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 8 **UNITED STATES DISTRICT COURT**  
 9 **EASTERN DISTRICT OF CALIFORNIA**  
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE  
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-000665 LKK-DAD

**ORDER PROHIBITING TRANSFER OF  
 LAND ROVER VEHICLE WITHOUT  
 FURTHER ORDER OF THE COURT  
 AND REQUIRING THE  
 MAINTENANCE OF INSURANCE**

Judge Lawrence K. Karlton

16  
 17 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity  
 18 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment  
 19 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently  
 20 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and  
 21 authorize the Receiver to carry out his duties and obligations,

22 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

23 On November 7, 2007, and November 8, 2007, \$2,000,000 of EIMT investor funds were  
 24 transferred to Jeff Dennis Ferentz (a California attorney) and/or Jeff Dennis Ferentz, Inc.  
 25 (collectively “Ferentz”), in connection with a “bank sanctioned high yield project funding  
 26 program.”

27 This Court previously issued orders authorizing banks and financial institutions to assist  
 28 Receiver in tracing the EIMT investor funds transferred to Ferentz including funds transferred

1 from Jeffrey Dennis Ferentz, Inc. to entities controlled by Mr. Ferentz, including “Nevada  
2 Securities Arbitration” (Doc. Nos. 149 and 219 ), which remain in full force and effect.

3 Of the \$2,000,000 in investor funds transferred by EIMT to Ferentz, \$100,000 was  
4 transferred to “Nevada Securities Arbitration Services, Inc.” on November 13, 2007 “for  
5 Vassallo.” The same day, check No. 2283 was made payable from that entity to Wells Fargo  
6 Bank in the amount of \$76,552.79 with the “for” notation of “Land Rover Newport Beach.”

7 On April 27, 2010, this Court issued an order directing Land Rover of Newport Beach to  
8 provide documents and information concerning the \$76,552.79 transferred to it, including  
9 information about any vehicle purchased, and prohibiting Land Rover of Newport Beach from  
10 notifying its customer of this order and/or its compliance with it until it has supplied Receiver with  
11 the Responsive information and for ten (10) days thereafter, which remains in full force and effect.  
12 (Doc. 269) Through this order, Receiver has received significant information from Land Rover of  
13 Newport Beach permitting him to further trace the disposition of EIMT investor funds transferred  
14 to Ferentz.

15 Receiver’s investigation has revealed that on or before November 13, 2007, Jeff Ferentz  
16 purchased a Land Rover RR Sport SC, Vehicle Identification Number SALSH23468A135347, in  
17 the name of Nationwide Securities (“Land Rover”). The car was purchased for \$81,552.79, with  
18 \$5,000.00 paid via an American Express credit card in the name of Jeff Ferentz and the remaining  
19 balance of \$76,552.79 paid via Wells Fargo cashier’s check from EIMT investor funds deposited  
20 into the account of “Nevada Securities Arbitration Services, Inc.” Mr. Ferentz died in June 2008.  
21 Receiver’s investigation has revealed that the Land Rover is currently registered with the State of  
22 Nevada Department of Motor Vehicles in the name of Concettina M. Ferentz. Receiver believes  
23 Ms. Ferentz is Jeff Ferentz’s widow. Receiver believes that EIMT investor funds were used to  
24 purchase the Land Rover and therefore the automobile should be considered part of the  
25 Receivership estate.


26 To prevent the further transfer of and/or dissipation of EIMT assets, the Court directs  
27 Concettina M. Ferentz and any other person in possession, custody or control of the Land Rover,  
28 not to sell, transfer, exchange, encumber or otherwise take any action impairing the value of the

1 Land Rover pending further Court order. Concettina M. Ferentz is further directed to maintain  
2 automobile insurance on the Land Rover pending further Court order.

3 Concettina M. Ferentz is hereby further directed to cooperate fully with Receiver in  
4 Receiver's activities, investigation and inquiries on behalf of this Court.

5 **IT IS SO ORDERED.**

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7 Dated: May 5, 2010

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11 LAWRENCE K. KARLTON  
12 SENIOR JUDGE  
13 UNITED STATES DISTRICT COURT  
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