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 8 **UNITED STATES DISTRICT COURT**
 9 **EASTERN DISTRICT OF CALIFORNIA**
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-000665 LKK-DAD

**AMENDED ORDER DIRECTING
 CHARLES SCHWAB TO PROVIDE
 DOCUMENTS AND INFORMATION
 CONCERNING B&B CONSULTING AND
 KARI BEAUCHAMP-SONOVICH TO
 RECEIVER**

Judge Lawrence K. Karlton

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 18 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity
 19 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment
 20 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently
 21 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and
 22 authorize the Receiver to carry out his duties and obligations,

23 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

24 EIMT invested substantial funds with Arcanum Equity Fund, LLC, Vestium Equity
 25 Fund, LLC, and/or Vestium Management Group, LLC (collectively, “Vestium”). In connection
 26 with disgorgement proceedings against Vestium, Vestium asserted that it wired \$872,305.80 of
 27 EIMT funds invested with it to an entity called Phlorian Racing in England on or about February
 28 9, 2009.

1 Based on his investigation into Phlorian Racing and his efforts to recover the
2 \$872,305.80, Receiver believes that there is a connection between Sir Joseph Birch and Phlorian
3 Racing.

4 Around the same time Vestium reports that it wired EIMT investor funds to Phlorian
5 Racing, certain EIMT investors were solicited by Kari Beauchamp-Sonovich (“Sonovich”) to
6 invest in a business called B&B Consulting, Inc., which in turn would send funds to Sir Joseph
7 Birch for investment. These EIMT investors were assured that their investment with B&B
8 Consulting, Inc./Sir Joseph Birch would not only recoup their losses in EIMT, but would secure
9 profits. At the time she solicited funds to invest with Sir Joseph Birch, Ms. Sonovich was
10 involved in an intimate relationship with Defendant Anthony Vassallo, with whom she had a
11 child last year.

12 Certain EIMT investors who were solicited to invest and did invest funds with Ms.
13 Sonovich have provided Receiver with bank account information where they transferred their
14 funds to Ms. Sonovich who was to transfer them to Sir Joseph Birch. Those funds were
15 transferred to Charles Schwab account number XXXX-8147 in the name of B&B Consulting,
16 Inc.

17 Receiver has been unable to trace the funds Vestium reportedly wired to Phlorian Racing.
18 Receiver believes if Ms. Sonovich in fact wired funds to Sir Joseph Birch as she represented she
19 was doing, then that wire information might assist him to trace the EIMT investor funds Vestium
20 reportedly wired to Phlorian Racing.

21 To further assist Receiver’s investigation in tracing the \$872,305.80 of EIMT funds
22 Vestium reportedly wired to Phlorian Racing, the Court hereby directs Charles Schwab to
23 provide information and documentation concerning any and B&B Consulting, Inc. accounts,
24 including but not limited to Charles Schwab Account No. XXXX-8147, and/or any accounts on
25 which Ms. Sonovich had signing authority from December 2008 to present, including but not
26 limited to statements and other documentation reflecting receipts by and disbursements from
27 such accounts, account numbers and account holder information.


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1 Charles Schwab is further directed to cooperate fully with Receiver in Receiver's
2 activities, investigation and inquiries on behalf of this Court.

3 This order amends the Court's Order on the same topic issued on September 14, 2010
4 (Doc. No. 339).

5 **IT IS SO ORDERED.**

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7 Dated: September 29, 2010

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11 LAWRENCE K. KARLTON
12 SENIOR JUDGE
13 UNITED STATES DISTRICT COURT
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