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 8 **UNITED STATES DISTRICT COURT**  
 9 **EASTERN DISTRICT OF CALIFORNIA**  
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE  
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-000665 LKK-DAD

**ORDER DIRECTING BANKS AND/OR  
 FINANCIAL INSTITUTIONS TO  
 PRODUCE DOCUMENTS  
 CONCERNING EIMT FUNDS  
 TRANSFERRED TO J.R. TRUST**

**Judge Lawrence K. Karlton**

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 18 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity  
 19 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment  
 20 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently  
 21 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and  
 22 authorize the Receiver to carry out his duties and obligations,

23 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

24 On August 12, 2008, EIMT transferred \$2,500,000 of investor funds from its Wells Fargo  
 25 Bank Account Number XXXXXX6681 (“EIMT Account”) to Wells Fargo Bank Account  
 26 Number XXXXXX2269, an account which is held in the name of J.R. Trust (“J.R. Trust  
 27 Account”). The \$2,500,000 was wired to J.R. Trust in connection with a bank note trading  
 28 “investment” facilitated by a company called Global Mergers & Acquisitions, Inc. (“Global

1 Mergers”) and its Chief Executive Officer William Alfred Hayward. Receiver located a signed  
2 “Transaction Agreement” entered into between EIMT and Global Mergers, but has been unable  
3 to discern any legitimate business reason for the transaction which did not financially benefit  
4 EIMT.

5 To facilitate Receiver’s investigation in tracing the disposition of EIMT investor funds,  
6 any and all banks and/or financial institutions, including, but not limited to Wells Fargo Bank,  
7 are direct to provide information and documentation to Receiver tracing where the \$2,500,000  
8 withdrawn from the EIMT Account on August 12, 2008, went, including after its deposit into  
9 the J.R. Trust Account at Wells Fargo Bank, including, but not limited to all bank statements and  
10 other documentation reflecting receipts by, disbursements from, and transfers to/from such  
11 account(s), account number(s) and account holder identification.

12 Any and all banks and/or financial institutions, including, but not limited to Wells Fargo  
13 Bank are hereby further directed to cooperate fully with Receiver in his investigation and  
14 inquiries on behalf of this Court.

15 **IT IS SO ORDERED.**

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17 Dated: October 19, 2010

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21 LAWRENCE K. KARLTON  
22 SENIOR JUDGE  
23 UNITED STATES DISTRICT COURT  
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