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 8 **UNITED STATES DISTRICT COURT**
 9 **EASTERN DISTRICT OF CALIFORNIA**
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-000665 LKK-DAD

**ORDER DIRECTING BANKS AND/OR
 FINANCIAL INSTITUTIONS TO
 PRODUCE DOCUMENTS
 CONCERNING EIMT FUNDS
 TRANSFERRED TO RAAR
 INVESTMENTS, LTD., JACK MILLER
 AND MICHAEL BRADSHAW**

Judge Lawrence K. Karlton

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 18 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity
 19 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment
 20 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently
 21 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and
 22 authorize the Receiver to carry out his duties and obligations,

23 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

24 On August 12, 2008, EIMT transferred \$2,500,000 of investor funds to J.R. Trust in
 25 connection with a bank note trading “investment” facilitated by a company called Global
 26 Mergers & Acquisitions, Inc. and its Chief Executive Officer William Alfred Hayward.
 27 Receiver has been unable to discern any legitimate business reason for the transaction which did
 28 not financially benefit EIMT.

1 The Court previously issued an order authorizing any and all banks and/or financial
2 institutions, including, but not limited to Wells Fargo Bank, to provide information and
3 documentation to Receiver tracing the \$2,500,000 in EIMT investor funds transferred to J.R.
4 Trust (Doc. No. 362), which remains in full force and effect.

5 Receiver's investigation has revealed that a portion of the \$2,500,000 in EIMT investor
6 funds was transferred from J.R. Trust to the following persons and/or entities during August and
7 September of 2008:

- 8 • \$395,317.50 to RAAR Investments, Ltd. ("RAAR");
- 9 • \$102,317.01 to Jack Miller; and
- 10 • \$232,349.49, Michael Bradshaw.

11 Public records indicated that Richard J. Schotts, Jr., Jack Miller, Michael Bradshaw and
12 Chris Bradshaw are managing members of RAAR.

13 To further facilitate Receiver's investigation in tracing the disposition of EIMT investor
14 funds transferred to J.R. Trust, the Court directs any and all banks and/or financial institutions,
15 including, but not limited to Wells Fargo Bank and HSBC, to provide documents and
16 information for accounts held by RAAR Investments, Ltd., Richard J. Schotts, Jr., Jack Miller,
17 Michael Bradshaw and Chris Bradshaw, or for which Richard J. Schotts, Jr., Jack Miller,
18 Michael Bradshaw and Chris Bradshaw have signing authority, including, but not limited to
19 Account Numbers XXX-XXX9127, XXX-XXX9438, XXX-XXX1611 and XXX-XXX9327.
20 Such documentation shall include, but is not limited to statements and other documentation
21 reflecting receipts by, disbursements from, and transfers to/from such account(s), account
22 number(s) and account holder identification.

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1 Any and all banks and/or financial institutions, including, but not limited to Wells Fargo
2 Bank and HSBC are hereby prohibited from notifying account holder(s), including, but not
3 limited to RAAR Investments, Ltd., Richard J. Schotts, Jr., Jack Miller, Michael Bradshaw and
4 Chris Bradshaw, of this Order and are directed to cooperate fully with Receiver in his
5 investigation and inquiries on behalf of this Court.

6 **IT IS SO ORDERED.**

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8 Dated: December 7, 2010

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11 LAWRENCE K. KARLTON
12 SENIOR JUDGE
13 UNITED STATES DISTRICT COURT
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