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8 **UNITED STATES DISTRICT COURT**
 9 **EASTERN DISTRICT OF CALIFORNIA**
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*
 16

Case No. CV 09-000665 LKK-DAD

**ORDER DIRECTING WELLS FARGO
 BANK AND FAR WEST BANK TO
 PRODUCE DOCUMENTS AND
 INFORMATION TO RECEIVER
 CONCERNING VIP ACCOUNTS**

Judge Lawrence K. Karlton

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 18 On April 30, 2009, this Court filed the Order Appointing Temporary Receiver for Equity
 19 Investment Management and Trading, Inc. (“EIMT”) and Order to Show Cause for Appointment
 20 of Permanent Receiver (“OAR”) and on July 31, 2009, the Court filed an Order permanently
 21 appointing Receiver. Pursuant to the OAR, for good cause shown, and in order to empower and
 22 authorize the Receiver to carry out his duties and obligations,

23 **THE COURT HEREBY FINDS AND ORDERS AS FOLLOWS:**

24 EIMT transferred investor funds to various subfunds and various subfunds transferred
 25 funds to EIMT. To date, Receiver has identified in excess of thirty subfunds, one of which is
 26 Vastmann Investment Partners, LLC (“VIP”). Receiver’s investigation has revealed that in or
 27 around July 2004, defendant Anthony Vassallo, Jarom L. Dastrup and Jaren Ahlmann formed the
 28 Strategic Investment Group (“SIG”) and in or around September 2005, Vassallo, Dastrup and

1 Ahlmann formed VIP. Vassallo and/or Dastrup and/or Ahlmann were signatories on one or
2 more Washington Mutual bank account(s), possibly held in the name(s) of VIP, SIG, VDA
3 Management, Dastrup Vassallo Investments, Links Lending, Links Capital Lending, Dastrup
4 Assets Strategies, Crossroad Equity, LLC, JD Mann, LLC and JD One, LLC (the “VIP
5 Washington Mutual Accounts”), all of which Receiver believes are related to VIP and/or SIG.

6 Receiver believes that EIMT money was comingled with VIP money and was used to
7 redeem investments made by SIG and VIP investors. Receiver’s investigation has determined
8 that in excess of \$18 million was contributed to EIMT by VIP. In return, VIP, its principals
9 and/or SIG/VIP investors received in excess of \$29 million in EIMT investor funds, representing
10 at least \$10.7 million more than was originally deposited with EIMT. Receiver’s investigation
11 has revealed that the \$10.7 million in EIMT investor funds were transferred to the VIP and/or
12 SIG investors to pay principal and/or profit on their investments.

13 The Court previously issued an order authorizing Washington Mutual Bank to provide
14 information and documentation to Receiver concerning any and all VIP Washington Mutual
15 Accounts and/or any accounts on which Jarom L. Dastrup, Jaren Ahlmann and/or Anthony
16 Vassallo had signing authority (Doc. No. 327), which remains in full force and effect.

17 Receiver’s investigation has revealed that VIP and/or SIG sent their own investors’
18 money to other entities, but were able to repay those investors principal and/or profit solely
19 because they received a large influx of capital from EIMT; and VIP and/or SIG had relationships
20 with financial institutions in addition to Washington Mutual, including, but not limited to Wells
21 Fargo Bank and Far West Bank. Receiver believes that prior to March 2006, significant funds
22 were transferred from the VIP Washington Mutual Account to Wells Fargo and Far West Bank
23 accounts held in the name of Links Lending and SIG. Receiver is endeavoring to track the
24 money transferred to/from the VIP Washington Mutual Accounts and to create an accounting
25 record for the EIMT investor funds transferred to/from EIMT to/from VIP and/or SIG.

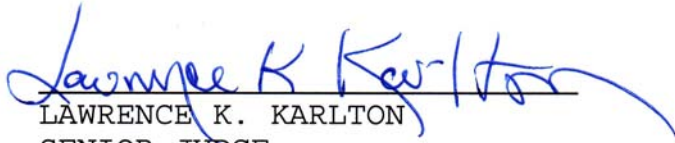
26 To further assist Receiver’s investigation, the Court directs Wells Fargo Bank and Far
27 West Bank to provide information and documentation concerning any and all accounts held in
28 the name(s) of Links Lending, Links Capital Lending, VIP, SIG, VDA Management, Dastrup

1 Vassallo Investments, Dastrup Assets Strategies, Crossroad Equity, LLC, JD Mann, LLC and JD
2 One, LLC, and/or any accounts on which Jarom L. Dastrup, Jaren Ahlmann and/or Anthony
3 Vassallo had signing authority from July 2004 through December 2008, including, but not
4 limited to Wells Fargo Bank account numbers XXX-XXX3606, XXX-XXX4265 and Far West
5 Bank account number XXXXX3326. Such documentation shall include, but is not limited to
6 statements and other documentation reflecting receipts by and disbursements from such
7 accounts, account numbers and account holder information.

8 Wells Fargo Bank and Far West Bank are hereby prohibited from notifying account
9 holder(s), including, but not limited to Jarom L. Dastrup and/or Jaren Ahlmann, of this Order and
10 are directed to cooperate fully with Receiver in his investigation and inquiries on behalf of this
11 Court.

12 **IT IS SO ORDERED.**

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14 Dated: December 14, 2010

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17 LAWRENCE K. KARLTON
18 SENIOR JUDGE
19 UNITED STATES DISTRICT COURT
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