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8 **UNITED STATES DISTRICT COURT**  
 9 **EASTERN DISTRICT OF CALIFORNIA**  
 10 **SACRAMENTO**

11 SECURITIES AND EXCHANGE  
 COMMISSION,

12 *Plaintiff*

13 v.

14 ANTHONY VASSALLO, et al,

15 *Defendants.*

Case No. CV 09-000665 LKK-DAD

**ORDER GRANTING RECEIVER'S  
 SEVENTH APPLICATION FOR FEES  
 AND COSTS**

**Local Rule 66-232(g)**

Date: December 9, 2013  
 Time: 10:00 a.m.  
 Courtroom: 4, 15<sup>th</sup> Floor  
 Judge: Hon. Lawrence K. Karlton

18 The seventh application of court-appointed receiver Stephen E. Anderson ("Receiver")  
 19 for fees and costs incurred from September 1, 2012 through August 31, 2013, came on regularly  
 20 for hearing on December 9, 2013, at 10:00 a.m. in Courtroom 4 of the above-entitled Court,  
 21 located at 501 I Street, Sacramento, California, 95814, with Jamie L. Dupree appearing on behalf  
 22 of Receiver;

23 Having reviewed the papers of Receiver, and having heard the argument of counsel, and

24 FOR GOOD CAUSE SHOWN, the Court hereby finds and orders as follows:

25 Receiver's Seventh Application for Fees and Costs is hereby GRANTED. Adequate and  
 26 proper notice of this application was provided to Equity Investment Management and Trading,  
 27 Inc. investors and other interested parties. The fees and costs sought by Receiver are reasonable  
 28 and the services rendered have been of benefit to the receivership estate.


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Accordingly, Receiver is authorized to make the following payments:

| Name                                   | Fees                | Costs             | Total Payment       |
|--|---------------------|-------------------|---------------------|
| Receiver Stephen E. Anderson           | \$51,435.00         | \$250.00          | \$51,685.00         |
| Stephan A. Werth, C.P.A.               | \$8,487.50          | \$0               | \$8,487.50          |
| Cindi Sherrod                          | \$24,517.50         | \$778.09          | \$25,295.59         |
| The Law Offices of Miriam Hiser        | \$17,570.00         | \$1,628.48        | \$19,198.48         |
| Futterman Dupree Dodd Croley Maier LLP | \$57,172.25         | \$5,103.32        | \$62,275.57         |
| <b>TOTALS</b>                          | <b>\$159,182.25</b> | <b>\$7,759.89</b> | <b>\$166,942.14</b> |

**IT IS SO ORDERED.**

Dated: December 9, 2013.

  
LAWRENCE K. KARLTON  
SENIOR JUDGE  
UNITED STATES DISTRICT COURT