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IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

DEE THOMAS MURPHY,

Plaintiff,

No. CIV S-09-2587 JAM DAD PS

v.

ARNOLD SCHWARZENEGGER,

Defendant.

FINDINGS AND RECOMMENDATIONS

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This case came before the court on December 11, 2009, for hearing of defendant’s motion to dismiss plaintiff’s complaint pursuant to Federal Rule of Civil Procedure 12(b)(6). (Doc. No. 7.) Tom Blake, Esq. appeared telephonically on behalf of the moving party. Plaintiff, who is proceeding pro se in this action, appeared in court on his own behalf.

Less than an hour prior to the December 11, 2009 hearing on defendant’s motion to dismiss, plaintiff filed a request to continue the hearing to February 26, 2010. (Doc. No. 11.) In his written request and in open court, plaintiff represented that he sought a continuance in order to retain counsel and allow counsel time to prepare a response to defendant’s motion. Defendant did not oppose a continuance for these purposes. The court granted plaintiff’s request in part and continued the hearing to February 19, 2010. In light of plaintiff’s representations about retaining counsel, the undersigned ordered that if an amended complaint were filed prior to

1 February 5, 2010, defendant's motion to dismiss would be dropped from the calendar and denied  
2 as moot. (Doc. No. 13.)

3           On February 4, 2010, plaintiff, still proceeding pro se, filed a document titled  
4 "First Amended Complaint." (Doc. No. 14.) By order filed February 11, 2010, the new pleading  
5 was dismissed for several reasons, including plaintiff's attempt to join and represent an entity  
6 plaintiff that can proceed only through counsel, and his attempt to add numerous defendants and  
7 allege new claims and new legal theories arising from events unrelated to those alleged in his  
8 original pleading. (See Doc. No. 15.) The court also took judicial notice of court records  
9 showing that the proposed new claims arising from events that occurred in Arizona are  
10 duplicative of claims already alleged by plaintiff in several lawsuits pending in federal court in  
11 Arizona. The court declined to dismiss defendant's pending motion as moot, and the hearing of  
12 defendant's motion was continued to March 5, 2010. Plaintiff was ordered to file any opposition  
13 to the motion on or before February 19, 2010. Plaintiff was cautioned that no further extension  
14 of time would be granted for filing opposition to defendant's motion. Plaintiff was also  
15 cautioned that failure to file timely opposition could result in a recommendation that this case be  
16 dismissed for lack of prosecution and as a sanction for failure to comply with court orders and  
17 applicable rules.

18           On February 19, 2010, plaintiff filed what he characterized as a second amended  
19 complaint and an objection to the order dismissing his first amended complaint. (Doc. No. 16.)  
20 Plaintiff did not file any opposition to defendant's motion to dismiss. By order filed March 3,  
21 2010, plaintiff's objection to the February 11, 2010 order was overruled and the proposed second  
22 amended complaint was disregarded. (Doc. No. 19.) Defendant's unopposed motion to dismiss  
23 was taken under submission, and the hearing set for March 5, 2010 was vacated.

24           Upon consideration of all written materials filed in connection with defendant's  
25 motion to dismiss as well as relevant portions of the file, the undersigned recommends that  
26 defendant's motion to dismiss be granted and that this action be dismissed with prejudice.

1 PLAINTIFF’S COMPLAINT

2 On September 16, 2009, the plaintiff filed a 327-page complaint and paid the  
3 required filing fee. The Clerk issued a summons for California Governor Arnold  
4 Schwarzenegger, the sole named defendant.

5 The complaint commences with a jurisdictional statement in which plaintiff  
6 asserts jurisdiction “under 33 U.S.C. § 1365(a) over violations of any effluent standard or  
7 limitation established pursuant to 33 U.S.C. Chapter 26 - Water Pollution Prevention and Control  
8 effective July 1, 1973.” (Compl. ¶ 1.) Plaintiff asserts that venue is proper in the Eastern District  
9 of California because the state capital is located in this district, the defendant, as governor of the  
10 State of California, resides in this district, and all events and omissions giving rise to plaintiff’s  
11 claims are the result of the defendant’s failure to act in accordance with his duty as governor.  
12 Plaintiff states that his address is a residence in Los Osos, California. (Compl. ¶¶ 2-4.)

13 In his complaint plaintiff alleges as follows. The community of Los Osos was  
14 identified as being responsible for degrading water quality along the Central Coast of California  
15 by pollutant discharges from approximately 4,500 conventional septic systems. In 1983, the  
16 Regional Water Quality Control Board (Water Board) passed a resolution prohibiting the use of  
17 onsite disposal systems, i.e., septic systems, in the Los Osos community in San Luis Obispo  
18 County (County) on Morro Bay. The resolution went into effect on November 1, 1988.  
19 Approximately 15,000 residents live in approximately 4,500 homes in the prohibition zone. Los  
20 Osos does not have a community sewage collection system or a wastewater treatment plant. In  
21 1992 and 1993, plaintiff and others urged the County and the Water Board to consider, as a  
22 solution for the prohibition zone, the “at-source innovative and alternative control technology”  
23 developed by Advanced Environmental Systems, Inc. (AES).<sup>1</sup> Plaintiff believed his alternative  
24 technology would reduce nitrate discharges to an amount well within the requirements of the

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25 <sup>1</sup> Exhibits to plaintiff’s complaint reveal that he is the president of AES, Inc. (See  
26 Compl., Ex. 18 at 6, Ex. 19 at 2.)

1 resolution that went into effect on November 1, 1988. The County and the Water Board  
2 requested a third-party evaluation and certification to verify the denitrification performance  
3 capability of the AES system.

4           In 1994, AES invested approximately \$150,000 in a six-month performance  
5 evaluation. Despite certified results and federal law favorable to AES technology, the County  
6 refused to recognize federal law, refused to comply with the Water Board's prohibition  
7 resolution, and refused to consider implementing the AES alternative. By 1999 the County's  
8 efforts to develop a solution for the Los Osos prohibition zone had failed. In 1999, the Los Osos  
9 Community Service District (Service District) was formed to develop a community sewage  
10 system. The Water Board confirmed a state of emergency in the Los Osos prohibition zone in  
11 May 1999 and caused Los Osos owners and operators of sources of point-source discharge to be  
12 served with cease-and-desist orders. In 2005 the Service District obtained permits from the  
13 Water Board to build a sewer system without considering innovative alternatives. The system  
14 was funded by a \$135 million loan issued by the state to the Service District. Local residents  
15 opposed to the sewer system project successfully recalled three of the five Service District board  
16 members and replaced them with members who were opposed to the project. In September 2005,  
17 the newly constituted Service District board issued stop-work notices to the contractors who were  
18 building the sewer system. In August 2006, the District filed for bankruptcy due to money owed  
19 to unpaid contractors and is now approximately \$40 million in debt. In September 2006, the  
20 Water Board attempted to force the citizens of Los Osos to support the County sewer project by  
21 issuing 46 individual state cease-and-desist orders mainly to individuals who were opposed to the  
22 sewer system. The Water Board was willing to approve the AES alternative system, but the  
23 Service District and the County refused to consider the AES proposal. Authority to develop a  
24 community sewage treatment system was transferred from the bankrupt Service District to the  
25 County by state legislation. The County did not accept responsibility for the Los Osos project.

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1           In August 2007 plaintiff presented the alternative technology to the Water Board  
2 as a solution. In the fall of 2007, the County obtained voter approval, through threats and  
3 intimidation tactics, to place liens on the homes in the Los Osos prohibition zone to obtain bond  
4 funding to build a community sewer system estimated to cost about \$250 million. The  
5 sustainable alternative water source (SAWS) system would cost only \$25 million at most, with  
6 federal and state financial assistance. In February 2008, plaintiff installed a SAWS technology  
7 system at his residence in Los Osos. The County Planning and Building Department issued  
8 plaintiff a permit for his SAWS installation, but the Service District refused to allow plaintiff to  
9 disconnect from the publicly owned water treatment works and began to take the new water  
10 produced by plaintiff's system instead of permitting him to benefit from re-use of that water. In  
11 October 2008, AES again submitted a proposed agreement to the County, but the County  
12 disregarded it. In November 2008, AES presented a proposal to the County's Director of Public  
13 Works, but the County disregarded the proposal and continued studying the project. When the  
14 two-year study was complete, the County submitted its findings to the California Coastal  
15 Commission for acceptance, but the findings were rejected. In February 2009, the County Public  
16 Works Department sent out a questionnaire to all residents of the Los Osos prohibition zone  
17 about two different types of waste managing systems, but did not include the SAWS technology  
18 as one of the options despite the fact that plaintiff had been operating a SAWS system for about a  
19 year.

20           On February 9, 2009, plaintiff delivered to the Governor's Office in Sacramento  
21 draft proposed alternative requirements and regulations for water treatment, as well as a draft  
22 executive order addressing the need to control toxic discharges into drinking water. The  
23 defendant did not respond to plaintiff's written request for a meeting. On February 27, 2009, the  
24 defendant issued a State of Emergency - Water Shortage Proclamation finding California to be in  
25 an official drought condition. In March 2009, the California Coastal Commission sent a letter to  
26 the director of the County Public Works Department rejecting all options proposed. Plaintiff

1 believes that the Service District, the County, and the Water Board do not intend to consider  
2 alternatives that would eliminate the need for an expensive and unnecessary system of sewage  
3 collection and centralized treatment, i.e., publicly owned treatment works. (Compl ¶¶ 5-43.)

4 In a claim titled “Negligence of Defendant,” plaintiff alleges that the governor has  
5 strict liability to exercise a duty of care commensurate with the foreseeable risk of danger to  
6 public health resulting from emergencies proclaimed within his jurisdiction. 33 U.S.C. § 1370.  
7 In this regard, plaintiff claims that the governor has a duty to adopt standards that establish “a  
8 value of public water supplies.” 33 U.S.C. § 1313(c)(1) and (c)(2)(A). The governor has a  
9 nondiscretionary duty to uphold federal environmental laws to protect the quality of the human  
10 environment. 33 U.S.C. §§ 1365 & 1371(c)(1). The governor has a duty to adopt and enforce  
11 effluent limitations and various other limitations and standards for control of pollutants. 33  
12 U.S.C. §§ 26, 1311, 1312, 1316, 1317, 1318, 1321(b)(3), 1328, 1342, 1345, & 1370. Defendant  
13 Schwarzenegger breached his duty to protect the public health or welfare, enhance the quality of  
14 water, and protect the value of public water supplies. He was negligent for failing to recognize  
15 various federal laws, adopt and enforce various limitations and standards, establish “a value of  
16 public water supplies,” uphold federal environmental laws, exercise his authority to adopt and  
17 enforce standards and limitations, and uphold other federal environmental laws. He was  
18 complicit with San Luis Obispo County and various agencies in violation of federal bankruptcy  
19 law by not paying plaintiff for new water they took from him. Defendant Schwarzenegger was  
20 acting within the scope of his duties as governor, and as a result of his negligence and complicity,  
21 plaintiff’s livelihood was endangered, the cease-and-desist order on plaintiff’s property could not  
22 be complied with, the prohibition zone continued, plaintiff suffered economic hardship by the  
23 placement of a lien on his property, and plaintiff was caused to be in violation of defendant’s  
24 emergency drought proclamation. (Compl. ¶¶ 44-57.)

25 In his prayer for relief, plaintiff seeks orders requiring defendant Schwarzenegger  
26 to (1) pay plaintiff \$580.40 for water that was produced by his alternative water system but was

1 taken from him, (2) adopt certain standards, (3) direct San Luis Obispo County to take certain  
2 actions, (4) lift all cease-and-desist orders within the Los Osos prohibition zone, (5) lift the liens  
3 on properties located within the Los Osos prohibition zone, and (6) lift the Los Osos Prohibition.  
4 (Compl. at 13-14.)

5 LEGAL STANDARDS APPLICABLE TO DEFENDANT’S MOTION TO DISMISS

6 The purpose of a motion to dismiss pursuant to Rule 12(b)(6) is to test the legal  
7 sufficiency of the complaint. N. Star Int’l v. Ariz. Corp. Comm’n, 720 F.2d 578, 581 (9th Cir.  
8 1983). “Dismissal can be based on the lack of a cognizable legal theory or the absence of  
9 sufficient facts alleged under a cognizable legal theory.” Balistreri v. Pacifica Police Dep’t, 901  
10 F.2d 696, 699 (9th Cir. 1990). A plaintiff is required to allege “enough facts to state a claim to  
11 relief that is plausible on its face.” Bell Atl. Corp. v. Twombly, 550 U.S. 544, 570 (2007). Thus,  
12 a defendant’s Rule 12(b)(6) motion challenges the court’s ability to grant any relief on the  
13 plaintiff’s claims, even if the plaintiff’s allegations are true.

14 In determining whether a complaint states a claim on which relief may be granted,  
15 the court accepts as true the allegations in the complaint and construes the allegations in the light  
16 most favorable to the plaintiff. Hishon v. King & Spalding, 467 U.S. 69, 73 (1984); Love v.  
17 United States, 915 F.2d 1242, 1245 (9th Cir. 1989). In general, pro se complaints are held to less  
18 stringent standards than formal pleadings drafted by lawyers. Haines v. Kerner, 404 U.S. 519,  
19 520-21 (1972). However, the court need not assume the truth of legal conclusions cast in the  
20 form of factual allegations. W. Mining Council v. Watt, 643 F.2d 618, 624 (9th Cir. 1981). The  
21 court is permitted to consider material which is properly submitted as part of the complaint,  
22 documents not physically attached to the complaint if their authenticity is not contested and the  
23 plaintiff’s complaint necessarily relies on them, and matters of public record. Lee v. City of Los  
24 Angeles, 250 F.3d 668, 688-89 (9th Cir. 2001).

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1 ANALYSIS

2 Defendant seeks dismissal of plaintiff's complaint pursuant to Federal Rule of  
3 Civil Procedure 12(b)(6) on the ground that the complaint fails to allege facts sufficient to  
4 support liability against defendant on any theory alleged in the pleading. Defendant also argues  
5 that the State of California and state officials are immune from suit under the Eleventh  
6 Amendment, that plaintiff's suit is barred by the Rooker-Feldman doctrine, and that any state law  
7 claims are barred by plaintiff's failure to allege the filing of a timely claim with the State  
8 Victim's Compensation and Government Claim Board.

9 Defendant requests that the court take judicial notice of the following documents  
10 filed in federal and state court cases in which plaintiff was the plaintiff or appellant: (1) in  
11 Murphy v. U.S. Environmental Protection Agency, Case No. 08-cv-04876-SI (N.D. Cal. 2008),  
12 plaintiff's complaint for declaratory and injunctive relief, the state defendants' motion to dismiss  
13 for improper venue or to transfer the action to the Central District of California, and the order  
14 filed October 7, 2009, dismissing plaintiff's action pursuant to his motion to withdraw it; (2) in  
15 Murphy v. State of California, Case No. CV 080510 (San Luis Obispo County Super. Ct. 2008),  
16 the order filed July 24, 2009, sustaining defendants' demurrer to plaintiff's declaratory relief  
17 cause of action and striking all other causes of action; and (3) the docket for Murphy v. State of  
18 California, et al., Case No. B219046 (Cal. Ct. App., 2d App. Dist. 2009), plaintiff's appeal from  
19 the ruling in Superior Court Case No. CV 080510, reflecting plaintiff's appeal being in default  
20 and subject to dismissal on October 8, 2009. (Def't's Mot. to Dismiss, Exs. A - E.) The court  
21 takes judicial notice of these documents pursuant to Federal Rule of Evidence 201. See Lee v.  
22 City of Los Angeles, 250 F.3d 668, 688-89 (9th Cir. 2001) (on a motion to dismiss, court may  
23 consider matters of public record); MGIC Indem. Corp. v. Weisman, 803 F.2d 500, 504 (9th Cir.  
24 1986) (on a motion to dismiss, the court may take judicial notice of matters of public record).

25 Below, the court examines each of defendant's arguments in support of the  
26 motion to dismiss in turn.



1 I. Failure to State a Claim for Negligence

2 Defendant notes that the elements of negligence under California law are (1) duty,  
3 i.e., the defendant’s obligation to conform to a certain standard of conduct for the protection of  
4 others against unreasonable risks; (2) breach of the duty, i.e., failure to conform to the standard;  
5 (3) proximate cause, i.e., a reasonably close connection between the defendant’s conduct and  
6 resulting injuries; and (4) damages, i.e., actual loss to the plaintiff. Defendant argues that  
7 plaintiff’s claim for negligence does not allege any actionable duty breached by defendant or any  
8 breach that proximately caused damages to plaintiff.

9 The court finds that plaintiff’s complaint fails to allege facts stating the elements  
10 of a negligence claim. Although plaintiff asserts jurisdiction under 33 U.S.C. § 1365(a) “over  
11 violations of any effluent standard or limitation established pursuant to 33 U.S.C. Chapter 26 -  
12 Water Pollution Prevention and Control effective July 1, 1973,” the pleading is devoid of any  
13 link between the court’s jurisdiction over violations of effluent standards or limitation and the  
14 bald allegation of negligence by defendant. Plaintiff fails to allege what the defendant’s duty was  
15 relative to CWA standards or limitations, and when and how the defendant breached that duty  
16 and caused harm to plaintiff. Plaintiff’s complaint reflects that any alleged harm to plaintiff had  
17 been ongoing for years, and plaintiff does not allege any facts showing that plaintiff’s alleged  
18 loss – the taking of his “new water” by local water authorities – was proximately caused by a  
19 duty owed to plaintiff by defendant Schwarzenegger.

20 Plaintiff’s vague assertion of the defendant’s failure to act in accordance with his  
21 duty as governor falls far short of alleging enough facts to state a claim to relief that is plausible  
22 on its face. Although plaintiff has cited more than a dozen sections of Title 33, none supply the  
23 missing allegations regarding defendant’s duty and breach thereof. See 33 U.S.C. §§ 1281, 1282,  
24 1311, 1312, 1313, 1316, 1317, 1318, 1321, 1328, 1342, 1345, 1365, 1370 & 1371. For example,  
25 33 U.S.C. § 1313(c)(1) merely provides that the governor of a state or the state water pollution  
26 control agency of the state “shall from time to time (but at least once each three year period

1 beginning with October 18, 1972) hold public hearings for the purpose of reviewing applicable  
2 water quality standards and, as appropriate, modifying and adopting standards.” Plaintiff has not  
3 alleged any breach of this statute. Indeed, many of the statutes cited by plaintiff in his complaint  
4 do not contain any mention of the governor of the state. See, e.g., 33 U.S.C. §§ 1313(c)(2)(A) &  
5 1371(c)(1). Plaintiff’s allegation of complicity by defendant Schwarzenegger with the relevant  
6 political subdivisions is unsupported by any factual allegation, and the relief sought by plaintiff is  
7 not supported by allegations demonstrating that the requested relief is within defendant’s power.

8           The court finds that plaintiff’s complaint fails to state a claim upon which relief  
9 may be granted. Defendant’s motion to dismiss pursuant to Rule 12(b)(6) should therefore be  
10 granted.

## 11 II. Eleventh Amendment Immunity

12           Defendant argues that the Eleventh Amendment provides the State of California,  
13 state agencies, and state officers acting in their official capacity with immunity from suit.  
14 Defendant points to plaintiff’s statement that all acts alleged were done by defendant within the  
15 scope of his duties as governor and plaintiff’s demand for relief that could only be granted by the  
16 defendant in his official capacity. Defendant asserts that the Eleventh Amendment bar applies to  
17 suits seeking injunctive or declaratory relief as well as suits seeking damages.

18           Plaintiff has not sued the State of California or any of its agencies. He has sued  
19 the Governor of the State of California in his official capacity pursuant to the Federal Water  
20 Pollution Control Act, more commonly known as the Clean Water Act (CWA), 33 U.S.C. § 1251  
21 et seq. The statute plaintiff relies upon for jurisdiction provides that

22           any citizen may commence a civil action on his own behalf –

23                           (1) against any person (including (i) the United States, and  
24                           (ii) any other government instrumentality or agency to the  
25                           extent permitted by the eleventh amendment to the  
26                           Constitution) who is alleged to be in violation of (A) an  
                              effluent standard or limitation under this chapter or (B) an

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1 order issued by the [EPA]<sup>2</sup> Administrator or a State with respect to  
2 such a standard or limitation . . . .

3 The district courts shall have jurisdiction,<sup>3</sup> without regard to the  
4 amount in controversy or the citizenship of the parties, to enforce  
5 such an effluent standard or limitation, or such an order, or to order  
6 the [EPA] Administrator to perform such act or duty, as the case  
7 may be, and to apply any appropriate civil penalties under section  
8 1319(d) of this title.

9 33 U.S.C. § 1365(a) (emphasis added).

10 In general, the Eleventh Amendment bars suits against a state, absent the state's  
11 affirmative waiver of its immunity or congressional abrogation of that immunity. Pennhurst v.  
12 Halderman, 465 U.S. 89, 98-99 (1984); Simmons v. Sacramento County Superior Court, 318  
13 F.3d 1156, 1161 (9th Cir. 2003); Yakama Indian Nation v. State of Washington Dep't of  
14 Revenue, 176 F.3d 1241, 1245 (9th Cir. 1999). The Eleventh Amendment also bars federal suits,  
15 whether seeking damages or injunctive relief, against state officials where the state is the real  
16 party in interest. Pennhurst, 465 U.S. at 101-02.

17 The Ninth Circuit has expressly held that states and state agencies are entitled to  
18 Eleventh Amendment immunity from suits brought under the CWA. Natural Resources Defense  
19 Council v. California Dep't of Transp., 96 F.3d 420, 423 (9th Cir. 1996). In general, state  
20 immunity extends to state officials. Id. at 421. However, in Ex Parte Young, 209 U.S. 123  
21 (1908), the Supreme Court held that federal courts have jurisdiction over suits against state  
22 officers to enjoin official actions that violate federal statutory or constitutional law, even if the  
23 state itself is immune from suit under the Eleventh Amendment. Sofamor Danek Group, Inc. v.  
24 Brown, 124 F.3d 1179, 1183-84 (9th Cir. 1997) (citing Ex Parte Young, 209 U.S. at 155-56);

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25 <sup>2</sup> A reference to "Administrator" in the CWA is a reference to the Administrator of the  
26 Environmental Protection Agency. 33 U.S.C. § 1251(d).

<sup>3</sup> The Ninth Circuit has joined the majority of federal courts in holding that the federal  
courts have exclusive jurisdiction over citizen suits brought pursuant to federal environmental  
laws, including the CWA. See Natural Resources Defense Council v. U.S. E.P.A., 542 F.3d  
1235, 1242 (9th Cir. 2008).

1 Natural Resources Defense Council, 96 F.3d at 422-23 (citing Ex Parte Young). Nonetheless,  
2 the Supreme Court has explained that the Ex Parte Young exception to sovereign immunity must  
3 be applied with a proper appreciation of its purpose. Idaho v. Coeur d’Alene Tribe of Idaho, 521  
4 U.S. 261, 269-71 (1997).

5 Here, the court finds that defendant is entitled to Eleventh Amendment immunity  
6 against plaintiff’s requests for prospective injunctive relief as well as his request for damages.  
7 As set forth supra, plaintiff’s claim against the defendant Schwarzenegger is one of negligence,  
8 and plaintiff has failed to allege facts that state a claim of negligence. In the absence of  
9 allegations demonstrating defendant’s violation of federal statutory or constitutional law,  
10 defendant is entitled to immunity from plaintiff’s suit.

### 11 III. Rooker-Feldman Doctrine

12 Defendant contends that plaintiff raised the same facts and sought the same relief  
13 in his action filed in the San Luis Obispo County Superior Court and therefore review of the  
14 matter in this court is precluded by the Rooker-Feldman doctrine. The court finds this argument  
15 unpersuasive.

16 Under the Rooker-Feldman doctrine, federal district courts lack jurisdiction to  
17 review alleged errors in state court decisions. Dist. of Columbia Court of Appeals v. Feldman,  
18 460 U.S. 462, 476 (1983) (holding that review of state court determinations can be obtained only  
19 in the United States Supreme Court). The doctrine applies to “cases of the kind from which the  
20 doctrine acquired its name: cases brought by state-court losers complaining of injuries caused by  
21 state-court judgments rendered before the district court proceedings commenced and inviting  
22 district court review and rejection of those judgments.” Exxon Mobil Corp. v. Saudi Basic  
23 Indus. Corp., 544 U.S. 280, 284 (2005). “The purpose of the doctrine is to protect state  
24 judgments from collateral federal attack.” Doe & Assocs. Law Offices v. Napolitano, 252 F.3d  
25 1026, 1030 (9th Cir. 2001). Put another way, a federal district court is prohibited from  
26 exercising subject matter jurisdiction over a suit that is “a de facto appeal” from a state court

1 judgment. Kougasian v. TMSL, Inc., 359 F.3d 1136, 1139 (9th Cir. 2004). A federal district  
2 court may not examine claims that are inextricably intertwined with state court decisions, “even  
3 where the party does not directly challenge the merits of the state court’s decision but rather  
4 brings an indirect challenge based on constitutional principles.” Bianchi v. Rylaarsdam, 334  
5 F.3d 895, 900 n.4 (9th Cir. 2003). See also Ignacio v. Judges of U.S. Court of Appeals, 453 F.3d  
6 1160, 1165-66 (9th Cir. 2006) (affirming district court’s dismissal of the case “because the  
7 complaint is nothing more than another attack on the California superior court’s determination in  
8 [plaintiff’s] domestic case”).

9           Here, the court is unable to find that plaintiff seeks review, directly or indirectly,  
10 of the San Luis Obispo County Superior Court’s rulings. Defendant Schwarzenegger was not a  
11 defendant in the Superior Court case initially and, although he was named in plaintiff’s amended  
12 complaint, the state court declined to consider new claims alleged by plaintiff without having  
13 sought prior leave of court. The court had previously dismissed plaintiff’s claims of defamation,  
14 trade libel and tortious interference without leave to amend, and those claims were ordered  
15 stricken from the first amended complaint. The court had granted plaintiff leave to amend his  
16 cause of action for declaratory relief regarding invention but determined that plaintiff failed to  
17 amend the claim to allege a controversy that required a declaration of the parties’ rights. (Def’t’s  
18 Mot. to Dismiss, Ex. D.) In the present case, the defendant and plaintiff’s claim against that  
19 defendant do not require this court to review any of the rulings made by the state court. Thus,  
20 plaintiff’s lawsuit in this court is not a de facto appeal from the state court judgment and is not  
21 barred under the Rooker-Feldman doctrine.

#### 22 IV. Futility of Amendment

23           The undersigned has carefully considered whether there is any possibility that  
24 plaintiff may amend his complaint to state a cognizable claim that would not be subject to  
25 dismissal for failure to state a claim or immunity under the Eleventh Amendment. “Valid  
26 reasons for denying leave to amend include undue delay, bad faith, prejudice, and futility.”

1 California Architectural Bldg. Prod. v. Franciscan Ceramics, 818 F.2d 1466, 1472 (9th Cir.  
2 1988). See also Klamath-Lake Pharm. Ass'n v. Klamath Med. Serv. Bureau, 701 F.2d 1276,  
3 1293 (9th Cir. 1983) (holding that, while leave to amend shall be freely given, the court does not  
4 have to allow futile amendments). It appears that leave to amend would be futile in this instance  
5 given the nature of plaintiff's complaint and the defects noted above.

6 In addition, this court is not the proper venue for the action plaintiff seeks to  
7 pursue. Plaintiff has relied on the general venue statute, 28 U.S.C. § 1391(a), despite the specific  
8 venue provision found in the CWA: "Any action respecting a violation by a discharge source of  
9 an effluent standard or limitation or an order respecting such standard or limitation may be  
10 brought under this section only in the judicial district in which such source is located." 33  
11 U.S.C. § 1365(c) (emphasis added). Plaintiff's complaint begins with his allegation of  
12 jurisdiction under 33 U.S.C. § 1365(a) "over violations of any effluent standard or limitation,"  
13 and the allegations of the pleading reflect that the discharge sources are located in San Luis  
14 Obispo County, which is part of the Central District of California. 28 U.S.C. § 84(c)(2).

15 Finally, plaintiff has demonstrated in this action and in his state court action that  
16 he cannot be relied upon to amend in good faith. In this case, he attempted, not just once, but  
17 twice, to join and represent co-plaintiffs who must be represented by counsel and to expand this  
18 suit far beyond the scope of the original pleading, adding federal defendants and numerous  
19 defendants who reside in another state.

20 For all of these reasons, the undersigned will recommend that plaintiff's  
21 complaint be dismissed without leave to amend.

#### 22 CONCLUSION

23 Accordingly, IT IS HEREBY RECOMMENDED that:

- 24 1. Defendant's motion to dismiss (Doc. No. 7) be granted; and
- 25 2. Plaintiff's complaint be dismissed without leave to amend and this action be  
26 closed.

1           These findings and recommendations will be submitted to the United States  
2 District Judge assigned to this case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within  
3 fourteen days after being served with these findings and recommendations, any party may file  
4 and serve written objections with the court. A document containing objections should be titled  
5 “Objections to Magistrate Judge’s Findings and Recommendations.” Any reply to objections  
6 shall be filed and served within seven days after the objections are served. The parties are  
7 advised that failure to file objections within the specified time may, under certain circumstances,  
8 waive the right to appeal the District Court’s order. See Martinez v. Ylst, 951 F.2d 1153 (9th  
9 Cir. 1991).

10 DATED: September 8, 2010.

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DALE A. DROZD  
UNITED STATES MAGISTRATE JUDGE

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