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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

ROBERT HAYDEN NESBITT, Jr.,

Petitioner,

No. CIV S-09-2821 GEB GGH P

vs.

FRANCISCO JACQUEZ, Warden,

FINDINGS AND RECOMMENDATIONS

Respondent.

Introduction

Petitioner is proceeding with appointed counsel on an amended petition (which petitioner filed while proceeding pro se), pursuant to 28 U.S.C. § 2254. By order, filed on January 28, 2011, this court denied petitioner’s motion for leave to conduct discovery, as well as denied leave to amend to set forth an ineffective assistance of counsel claim. Upon petitioner’s motion for reconsideration of that order by the district judge, the order was affirmed. See Order, filed on March 16, 2011. On March 28, 2011, petitioner filed a motion for the court to certify the March 16, 2011, order, denying leave to conduct discovery for interlocutory review to the Ninth Circuit, pursuant to 28 U.S.C. § 1292(b). Petitioner also seeks a stay to seek state court exhaustion of a claim of ineffective assistance of counsel at sentencing predicated in large part on trial counsel’s having failed to obtain and present the evidence sought by the discovery request.

1 Under 28 U.S.C. § 1292(b),¹ a district judge may certify for appeal an order not
2 otherwise appealable if “of the opinion that such order involves a controlling question of law as
3 to which there is substantial ground for difference of opinion and that an immediate appeal from
4 the order may materially advance the ultimate termination of the litigation.” United States v.
5 W.R. Grace, 526 F.3d 499, 522 (9th Cir. 2008), quoting from 28 U.S.C. § 1292(b). A litigant
6 may bring an immediate appeal of an interlocutory order only “upon the consent of both the
7 district court and the court of appeals.” In re Cement Antitrust Litigation (MDL No. 296), 673
8 F.2d 1020, 1025-26 (9th Cir. 1982). “Section 1292(b) is meant to be used sparingly, and appeals
9 under it are, accordingly, hen’s teeth rare.” Semeneck v. Ahlin, 2010 WL 2510996 *2 (E.D. Cal.
10 2010), quoting Camacho v. Puerto Rico Ports Authority, 369 F.3d 570, 573 (1st Cir. 2004).
11 “Only ‘exceptional circumstances justify departure from the basic policy of postponing appellate
12 review until after the entry of a final judgment.’” Id., quoting Coopers & Lybrand v. Livesay,
13 437 U.S. 463, 475, 98 S.Ct. 2454 (1978) [internal quotation omitted].

14 Petitioner pled no contest to ten counts of robbery in 2003 and was sentenced to a
15 term of 133 years to life under the three-strikes law in 2005. See Order, filed on Jan. 28, 2011, p.
16 1. It is petitioner’s sentencing which is at issue here. Petitioner’s motion for discovery sought
17 any material the Los Angeles County Department of Children and Family Services might have
18 regarding an investigation of any and all incidents of abuse of petitioner as a child to shore up a
19 potential claim for ineffective assistance of counsel and to further support the only actual claim
20 on which petitioner proceeds, that he was deprived of due process and a fair trial when the
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22 ¹ “When a district judge, in making in a civil action an order not otherwise appealable
23 under this section, shall be of the opinion that such order involves a controlling question of law
24 as to which there is substantial ground for difference of opinion and that an immediate appeal
25 from the order may materially advance the ultimate termination of the litigation, he shall so state
26 in writing in such order. The Court of Appeals which would have jurisdiction of an appeal of
such action may thereupon, in its discretion, permit an appeal to be taken from such order, if
application is made to it within ten days after the entry of the order: Provided, however, That
application for an appeal hereunder shall not stay proceedings in the district court unless the
district judge or the Court of Appeals or a judge thereof shall so order.” 28 USC § 1292(b).

1 sentencing judge relied on inaccurate information in a 1991 juvenile probation report (that
2 contradicted, or at least, undermined, petitioner's evidence presented at the Romero motion).
3 See Order, filed on Jan. 28, 2011, p. 7.

4 Even though finding that petitioner had waived his right to
5 object to the trial court's judicial notice of the 1991 probation
6 report,..., the state court of appeals made clear that even if
7 petitioner had not forfeited his right to appeal the issue and even if
8 it had been trial court error to consider it, petitioner did not
9 establish that he was thereby prejudiced. The state appellate court
10 made clear that any history of child abuse petitioner may have
11 suffered was not only not material to the denial of the Romero
12 motion by the trial court, it should not have been, given his overall
13 record. In other words, petitioner could not rely on any history of
14 child abuse to have rendered a different ruling as any such history
15 could not have reached the requisite level to show he was
16 prejudiced thereby in the trial court's ruling. In essence, the state
17 appellate court found it would have been an abuse of discretion by
18 the trial court to have granted the Romero motion because any such
19 abuse would not have constituted *carte blanche* for petitioner to
20 have engaged in the numerous prior robberies. As noted, to show
21 any entitlement to the discovery at issue, petitioner's claim must
22 implicate the sentencing decision as having been significantly
23 based on information that was materially untrue. Tucker,
24 supra, at 447, 92 S. Ct. at 592. Because, under Estelle, supra, this
25 court cannot debate the state appellate court's decision concluding
26 that it would and should have made no difference to the ruling on
the Romero report whether or not the 1991 probation report
contained accurate information, the undersigned finds good cause
is not shown for seeking discovery to show inaccuracy of the
information regarding petitioner's child abuse history contained
therein.[] In other words, giving appropriate AEDPA deference to
the state Court of Appeal, the sentence was not based on the
disputed information, nor could it have been.

20 Id. at 17-18.

21 Therefore, in this instance, the undersigned finds, for the reasons set forth in the
22 order, filed on January 28, 2011, and affirmed on reconsideration by the order, filed on March 16,
23 2011, that the issue presented fails to meet the requirements of § 1292(b), notwithstanding
24 petitioner's argument, inasmuch as "there is no controlling question of law as to which there is
25 substantial ground for difference of opinion, and immediate appeal will not materially advance
26 the ultimate termination of this litigation." Wilson v. Rolls-Royce Motor Cars, Inc., 1994 WL

1 92030 *1 (D. Or. 1994). This is particularly true in light of the Supreme Court’s very recent
2 ruling, Cullen v. Pinholster, [No. 09-1088, slip op.] __ S. Ct. ___, 2011 WL 1225705 *8 (Apr. 4,
3 2011), holding “that review under § 2254(d)(1) is limited to the record that was before the state
4 court that adjudicated the claim on the merits.”

5 Moreover, judicial efficiency and economy, as well as petitioner’s concerns, are
6 best addressed by this case being adjudicated to a final decision. As petitioner realizes, the
7 discovery ruling anticipates the probable final ruling herein. While the court could have
8 accelerated the discovery order into a final ruling, the undersigned desired to give petitioner a
9 final chance to persuade the court otherwise. In any event, the time to a final ruling herein
10 should not be lengthy. Should the petition be denied, petitioner may seek a certificate of
11 appealability as to the question of the denied discovery and the question of not being permitted
12 leave to amend to attempt to frame a claim of ineffective assistance of counsel. Should petitioner
13 prevail on appeal, the court will be instructed on the law, and the case may be remanded with
14 instruction to the district court to permit the discovery. In other words, petitioner loses little
15 “appellate time” in awaiting a final ruling herein. Nor is there any chance that a remanded case
16 would be considered successive.² At this time, the court finds that supplemental briefing to
17 conclude this case should proceed.

18 Accordingly, IT IS RECOMMENDED that:

19 1. Petitioner’s motion to certify the court’s March 16, 2011, order for appeal be
20 denied;

21 2. Petitioner’s motion for a stay pending state court exhaustion of an ineffective
22 assistance of counsel claim, leave to proceed upon which has previously been denied, also be

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24 ² Petitioner may be concerned that if she did *not* prevail on appeal, her prospective
25 ineffective assistance of counsel claim (counsel did not acquire the “correct” information to assist
26 at sentencing) might be considered successive if and when exhausted. However, this perceived
problem is ephemeral – the prejudice inquiry on the straight trial error claim and the ineffective
assistance claim would be the same. The Court of Appeal determined that the proffered “correct
information” would not have been sufficient to change the sentence.

1 denied;

2 3. Upon adoption of these findings and recommendations, should that occur,
3 petitioner be granted fourteen days to file any supplemental points and authorities to the
4 operative amended petition filed by petitioner pro se; thereafter, respondent be granted fourteen
5 days to file a response, including any procedural default dispositive motion, containing the
6 standard elements of an answer; petitioner be granted seven days to file any reply to respondent's
7 opposition/answer.

8 These findings and recommendations are submitted to the United States District
9 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen
10 days after being served with these findings and recommendations, any party may file written
11 objections with the court and serve a copy on all parties. Such a document should be captioned
12 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections
13 shall be served and filed within fourteen days after service of the objections. The parties are
14 advised that failure to file objections within the specified time may waive the right to appeal the
15 District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

16 DATED: April 6, 2011

17 /s/ Gregory G. Hollows

18 _____
19 GREGORY G. HOLLOWES
20 UNITED STATES MAGISTRATE JUDGE

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