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8	IN THE UNITED STATES DISTRICT COURT
9	FOR THE EASTERN DISTRICT OF CALIFORNIA
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11	ANTHONY R. TURNER, No. CIV S-09-3040-CMK-P
12	Plaintiff,
13	vs. <u>ORDER</u>
14	CALIFORNIA FORENSIC MEDICAL GROUP, et al.,
15 16	Defendants.
17	Plaintiff, a state prisoner proceeding pro se, brings this civil rights action pursuant
18	to 42 U.S.C. § 1983. Pending before the court is plaintiff's complaint (Doc. 1).
19	The court is required to screen complaints brought by prisoners seeking relief
20	against a governmental entity or officer or employee of a governmental entity. See 28 U.S.C.
21	§ 1915A(a). The court must dismiss a complaint or portion thereof if it: (1) is frivolous or
22	malicious; (2) fails to state a claim upon which relief can be granted; or (3) seeks monetary relief
23	from a defendant who is immune from such relief. See 28 U.S.C. § 1915A(b)(1), (2). Moreover,
24	the Federal Rules of Civil Procedure require that complaints contain a "short and plain statement
25	of the claim showing that the pleader is entitled to relief." Fed. R. Civ. P. 8(a)(2). This means
26	that claims must be stated simply, concisely, and directly. See McHenry v. Renne, 84 F.3d 1172,
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1 1177 (9th Cir. 1996) (referring to Fed. R. Civ. P. 8(e)(1)). These rules are satisfied if the
complaint gives the defendant fair notice of the plaintiff's claim and the grounds upon which it
rests. See Kimes v. Stone, 84 F.3d 1121, 1129 (9th Cir. 1996). Because plaintiff must allege
with at least some degree of particularity overt acts by specific defendants which support the
claims, vague and conclusory allegations fail to satisfy this standard. Additionally, it is
impossible for the court to conduct the screening required by law when the allegations are vague
and conclusory.

### I. PLAINTIFF'S ALLEGATIONS

9 Plaintiff's claims are unclear. The individuals he names in his caption all appear 10 to be medical personnel. To that extent, he appears to be claiming that he has been denied 11 adequate medical treatment for injuries he received during his arrest. He also appears to be alleging some officers used excessive force during his arrest, resulting in the injuries for which 12 13 he was not treated. He further appears to be alleging that he was placed in administrative segregation without a proper hearing in violation of his Due Process rights. Finally, he appears 14 15 to claim he was retaliated against for filing an inmate grievance regarding his treatment, resulting 16 in denial of adequate shelter, food, and protection. His claims seem to fall within four 17 categories: inadequate medical treatment; excessive force; due process; and retaliation.

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### **II. DISCUSSION**

### MEDICAL TREATMENT

The treatment a prisoner receives in prison and the conditions under which the
prisoner is confined are subject to scrutiny under the Eighth Amendment, which prohibits cruel
and unusual punishment. <u>See Helling v. McKinney</u>, 509 U.S. 25, 31 (1993); <u>Farmer v. Brennan</u>,
511 U.S. 825, 832 (1994). The Eighth Amendment "embodies broad and idealistic concepts of
dignity, civilized standards, humanity, and decency." <u>Estelle v. Gamble</u>, 429 U.S. 97, 102
(1976). Conditions of confinement may, however, be harsh and restrictive. <u>See Rhodes v.</u>
<u>Chapman</u>, 452 U.S. 337, 347 (1981). Nonetheless, prison officials must provide prisoners with

"food, clothing, shelter, sanitation, medical care, and personal safety." <u>Toussaint v. McCarthy</u>,
801 F.2d 1080, 1107 (9th Cir. 1986). A prison official violates the Eighth Amendment only
when two requirements are met: (1) objectively, the official's act or omission must be so serious
such that it results in the denial of the minimal civilized measure of life's necessities; and (2)
subjectively, the prison official must have acted unnecessarily and wantonly for the purpose of
inflicting harm. <u>See Farmer</u>, 511 U.S. at 834. Thus, to violate the Eighth Amendment, a prison
official must have a "sufficiently culpable mind." <u>See id.</u>

Deliberate indifference to a prisoner's serious illness or injury, or risks of serious 8 9 injury or illness, gives rise to a claim under the Eighth Amendment. See Estelle, 429 U.S. at 105; see also Farmer, 511 U.S. at 837. This applies to physical as well as dental and mental 10 11 health needs. See Hoptowit v. Ray, 682 F.2d 1237, 1253 (9th Cir. 1982). An injury or illness is sufficiently serious if the failure to treat a prisoner's condition could result in further significant 12 injury or the "... unnecessary and wanton infliction of pain." McGuckin v. Smith, 974 F.2d 13 1050, 1059 (9th Cir. 1992); see also Doty v. County of Lassen, 37 F.3d 540, 546 (9th Cir. 1994). 14 15 Factors indicating seriousness are: (1) whether a reasonable doctor would think that the condition 16 is worthy of comment; (2) whether the condition significantly impacts the prisoner's daily 17 activities; and (3) whether the condition is chronic and accompanied by substantial pain. See 18 Lopez v. Smith, 203 F.3d 1122, 1131-32 (9th Cir. 2000) (en banc).

19 The requirement of deliberate indifference is less stringent in medical needs cases 20 than in other Eighth Amendment contexts because the responsibility to provide inmates with 21 medical care does not generally conflict with competing penological concerns. See McGuckin, 22 974 F.2d at 1060. Thus, deference need not be given to the judgment of prison officials as to 23 decisions concerning medical needs. See Hunt v. Dental Dep't, 865 F.2d 198, 200 (9th Cir. 24 1989). The complete denial of medical attention may constitute deliberate indifference. See 25 Toussaint v. McCarthy, 801 F.2d 1080, 1111 (9th Cir. 1986). Delay in providing medical 26 treatment, or interference with medical treatment, may also constitute deliberate indifference.

<u>See Lopez</u>, 203 F.3d at 1131. Where delay is alleged, however, the prisoner must also
 demonstrate that the delay led to further injury. <u>See McGuckin</u>, 974 F.2d at 1060.

Negligence in diagnosing or treating a medical condition does not, however, give
rise to a claim under the Eighth Amendment. See Estelle, 429 U.S. at 106. Moreover, a
difference of opinion between the prisoner and medical providers concerning the appropriate
course of treatment does not give rise to an Eighth Amendment claim. See Jackson v. McIntosh,
90 F.3d 330, 332 (9th Cir. 1996).

8 Here, Plaintiff alleges he was denied medical treatment for the injuries he 9 sustained during his arrest. His allegations, however, are vague and lack sufficient detail for the 10 court to determine who he is claiming failed to provide necessary treatment. Plaintiff's only 11 specific allegations relate to "Nurse Tammy" refusing to file an injury report, which the court notes is not the same as refusing treatment. He then refers to some treatment he did receive, but 12 13 that "Nurse Genine" and "Nurse Carolyn" obstructed and interfered with the course of plaintiff's 14 pain medications and prescribed treatment by refusing to give him his medication, but noting in 15 his chart that he did receive it, which prevented "Nurse Lisa" from giving him additional 16 medication. He also claims Jodell Jenks refused to allow him to review his medical records.

The allegations set forth in the complaint are vague and conclusory and therefore
fail to state a claim. However, it is possible that Plaintiff can cure these defects, and he will be
provided an opportunity to do so. As discussed below, Plaintiff is required to set forth sufficient
facts which identify what the individual defendants did that violated his constitutional rights.
Vague and conclusory allegations are insufficient.

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### B. EXCESSIVE FORCE

As stated above, the treatment a prisoner receives in prison and the conditions under which the prisoner is confined are subject to scrutiny under the Eighth Amendment. When prison officials stand accused of using excessive force, the core judicial inquiry is "whether force was applied in a good-faith effort to maintain or restore discipline, or maliciously and sadistically

to cause harm." Hudson v. McMillian, 503 U.S. 1, 6-7 (1992); Whitley v. Albers, 475 U.S. 312, 1 2 320-21 (1986). The "malicious and sadistic" standard, as opposed to the "deliberate 3 indifference" standard applicable to most Eighth Amendment claims, is applied to excessive 4 force claims because prison officials generally do not have time to reflect on their actions in the 5 face of risk of injury to inmates or prison employees. See Whitley, 475 U.S. at 320-21. In determining whether force was excessive, the court considers the following factors: (1) the need 6 7 for application of force; (2) the extent of injuries; (3) the relationship between the need for force and the amount of force used; (4) the nature of the threat reasonably perceived by prison officers; 8 9 and (5) efforts made to temper the severity of a forceful response. See Hudson, 503 U.S. at 7. 10 The absence of an emergency situation is probative of whether force was applied maliciously or 11 sadistically. See Jordan v. Gardner, 986 F.2d 1521, 1528 (9th Cir. 1993) (en banc). The lack of injuries is also probative. See Hudson, 503 U.S. at 7-9. Finally, because the use of force relates 12 to the prison's legitimate penological interest in maintaining security and order, the court must be 13 deferential to the conduct of prison officials. See Whitley, 475 U.S. at 321-22. 14

15 Plaintiff appears to be alleging that he was mistreated during his arrest. He has 16 not, however, named any of the arresting officers as defendants to this action. If his intention is 17 to raise a claim for excessive force used during his arrest, he is required to identify who used the excessive force, what force was used, and why. In addition, Plaintiff makes some allegations that 18 19 he has been mistreated while in custody. He states he was placed in a freezing cell without 20 blankets or a mattress, was denied adequate food and what food was provided was rotten, and he 21 was placed with other inmates in disregard of his safety. Plaintiff, however, fails to identified 22 who mistreated him, such as who denied him proper food and shelter. He does identify some 23 individuals whom he alleges mistreated him, however they are not identified as defendants. He claims Sgt. Malagoney ordered Plaintiff forcibly placed in a housing unit, and two deputies, 24 25 Hundl and Deneau, "inflicted serious injuries of pain" while handling him. If Plaintiff intends to 26 proceed on an excessive force claim in this action, he needs to properly identify the individuals

who were personally responsible for the excessive force, and identify them as defendants. He is
 also required to set forth sufficient facts specifically identifying what the defendants did that
 violated his constitutional rights. Vague and conclusory allegations are insufficient.

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# C. DUE PROCESS

5 The Due Process Clause protects prisoners from being deprived of life, liberty, or property without due process of law. Wolff v. McDonnell, 418 U.S. 539, 556 (1974). In order to 6 7 state a claim of deprivation of due process, a plaintiff must allege the existence of a liberty or property interest for which the protection is sought. See Ingraham v. Wright, 430 U.S. 651, 672 8 9 (1977); Bd. of Regents v. Roth, 408 U.S. 564, 569 (1972). Due process protects against the 10 deprivation of property where there is a legitimate claim of entitlement to the property. See Bd. 11 of Regents, 408 U.S. at 577. Protected property interests are created, and their dimensions are defined, by existing rules that stem from an independent source – such as state law – and which 12 13 secure certain benefits and support claims of entitlement to those benefits. See id.

14 Liberty interests can arise both from the Constitution and from state law. See 15 Hewitt v. Helms, 459 U.S. 460, 466 (1983); Meachum v. Fano, 427 U.S. 215, 224-27 (1976); Smith v. Sumner, 994 F.2d 1401, 1405 (9th Cir. 1993). In determining whether the Constitution 16 17 itself protects a liberty interest, the court should consider whether the practice in question "... is 18 within the normal limits or range of custody which the conviction has authorized the State to 19 impose." Wolff, 418 U.S. at 557-58; Smith, 994 F.2d at 1405. Applying this standard, the 20 Supreme Court has concluded that the Constitution itself provides no liberty interest in good-21 time credits, see Wolff, 418 U.S. at 557; in remaining in the general population, see Sandin v. 22 Conner, 515 U.S. 472, 485-86 (1995); in not losing privileges, see Baxter v. Palmigiano, 425 23 U.S. 308, 323 (1976); in staying at a particular institution, see Meachum, 427 U.S. at 225-27; or in remaining in a prison in a particular state, see Olim v. Wakinekona, 461 U.S. 238, 245-47 24 25 (1983).

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1 In determining whether state law confers a liberty interest, the Supreme Court has 2 adopted an approach in which the existence of a liberty interest is determined by focusing on the 3 nature of the deprivation. See Sandin v. Connor, 515 U.S. 472, 481-84 (1995). In doing so, the Court has held that state law creates a liberty interest deserving of protection only where the 4 5 deprivation in question: (1) restrains the inmate's freedom in a manner not expected from the sentence; and (2) "imposes atypical and significant hardship on the inmate in relation to the 6 7 ordinary incidents of prison life." Id. at 483-84. Prisoners in California have a liberty interest in 8 the procedures used in prison disciplinary hearings where a successful claim would not 9 necessarily shorten the prisoner's sentence. See Ramirez v. Galaza, 334 F.3d 850, 853, 859 (9th 10 Cir. 2003) (concluding that a due process challenge to a prison disciplinary hearing which did not 11 result in the loss of good-time credits was cognizable under § 1983); see also Wilkinson v. Dotson, 544 U.S. 74, 82 (2005) (concluding that claims which did not seek earlier or immediate 12 13 release from prison were cognizable under § 1983).

14 Finally, with respect to prison disciplinary proceedings, due process requires 15 prison officials to provide the inmate with: (1) a written statement at least 24 hours before the 16 disciplinary hearing that includes the charges, a description of the evidence against the inmate, 17 and an explanation for the disciplinary action taken; (2) an opportunity to present documentary evidence and call witnesses, unless calling witnesses would interfere with institutional security; 18 19 and (3) legal assistance where the charges are complex or the inmate is illiterate. See Wolff, 418 20 U.S. at 563-70. Due process is satisfied where these minimum requirements have been met, see 21 Walker v. Sumner, 14 F.3d 1415, 1420 (9th Cir. 1994), and where there is "some evidence" in 22 the record as a whole which supports the decision of the hearing officer, see Superintendent v. 23 Hill, 472 U.S. 445, 455 (1985). The "some evidence" standard is not particularly stringent and is 24 satisfied where "there is any evidence in the record that could support the conclusion reached." 25 Id. at 455-56. However, a due process claim challenging the loss of good-time credits as a result 26 of an adverse prison disciplinary finding is not cognizable under § 1983 and must be raised by

1 way of habeas corpus. See Blueford v. Prunty, 108 F.3d 251, 255 (9th Cir. 1997).

Here, Plaintiff alleges he was denied notice, an opportunity to be heard, his right to call witnesses, and to prepare a defense in response the decision to place him in administrative segregation. He fails, however, to identify who allegedly deprived him of his due process, and how he was so deprived. Such conclusory allegations are insufficient to state a claim. If he chooses to continue on this claim in an amended complaint, Plaintiff must specify how his Due Process rights were violated, including what did happen, and who was responsible for the failure to provide the proper notice and hearing.

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# D. RETALIATION

10 In order to state a claim under 42 U.S.C. § 1983 for retaliation, the prisoner must 11 establish that he was retaliated against for exercising a constitutional right, and that the retaliatory action was not related to a legitimate penological purpose, such as preserving 12 13 institutional security. See Barnett v. Centoni, 31 F.3d 813, 815-16 (9th Cir. 1994) (per curiam). 14 In meeting this standard, the prisoner must demonstrate a specific link between the alleged 15 retaliation and the exercise of a constitutional right. See Pratt v. Rowland, 65 F.3d 802, 807 (9th 16 Cir. 1995); Valandingham v. Bojorquez, 866 F.2d 1135, 1138-39 (9th Cir. 1989). The prisoner 17 must also show that the exercise of First Amendment rights was chilled, though not necessarily silenced, by the alleged retaliatory conduct. See Resnick v. Hayes, 213 F.3d 443, 449 (9th Cir. 18 19 2000), see also Rhodes v. Robinson, 408 F.3d 559, 569 (9th Cir. 2005). Thus, the prisoner 20 plaintiff must establish the following in order to state a claim for retaliation: (1) prison officials 21 took adverse action against the inmate; (2) the adverse action was taken because the inmate 22 engaged in protected conduct; (3) the adverse action chilled the inmate's First Amendment 23 rights; and (4) the adverse action did not serve a legitimate penological purpose. See Rhodes, 408 F.3d at 568. 24

As to the chilling effect, the Ninth Circuit in <u>Rhodes</u> observed: "If Rhodes had not
 alleged a chilling effect, perhaps his allegations that he suffered harm would suffice, since harm

that is more than minimal will almost always have a chilling effect." Id. at n.11. By way of 1 2 example, the court cited Pratt in which a retaliation claim had been decided without discussing chilling. See id. This citation is somewhat confusing in that the court in Pratt had no reason to 3 4 discuss chilling because it concluded that the plaintiff could not prove the absence of legitimate 5 penological interests. See Pratt, 65 F.3d at 808-09. Nonetheless, while the court has clearly stated that one of the "basic elements" of a First Amendment retaliation claim is that the adverse 6 7 action "chilled the inmates exercise of his First Amendment rights," id. at 567-68, see also Resnick, 213 F.3d at 449, the comment in Rhodes at footnote 11 suggests that adverse action 8 9 which is more than minimal satisfies this element. Thus, if this reading of Rhodes is correct, the 10 chilling effect element is essentially subsumed by adverse action.

Plaintiff seems to be claiming that he was retaliated against for wanting to file a
complaint against the arresting deputies for using excessive force. However, his claims are
unclear. If he chooses to continue with a relation claim in this action, he will have to make his
allegations clear.

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# E. PLEADING STANDARDS

16 To state a claim under 42 U.S.C. § 1983, the plaintiff must allege an actual 17 connection or link between the actions of the named defendants and the alleged deprivations. 18 See Monell v. Dep't of Social Servs., 436 U.S. 658 (1978); Rizzo v. Goode, 423 U.S. 362 19 (1976). "A person 'subjects' another to the deprivation of a constitutional right, within the 20 meaning of § 1983, if he does an affirmative act, participates in another's affirmative acts, or 21 omits to perform an act which he is legally required to do that causes the deprivation of which 22 complaint is made." Johnson v. Duffy, 588 F.2d 740, 743 (9th Cir. 1978). Vague and 23 conclusory allegations concerning the involvement of official personnel in civil rights violations are not sufficient. See Ivey v. Board of Regents, 673 F.2d 266, 268 (9th Cir. 1982). Rather, the 24 25 plaintiff must set forth specific facts as to each individual defendant's causal role in the alleged 26 constitutional deprivation. See Leer v. Murphy, 844 F.2d 628, 634 (9th Cir. 1988).

1 As mentioned above, Plaintiff fails to allege sufficient facts identifying the 2 individuals responsible for the constitutional violations he alleges. In addition, Plaintiff 3 identifies defendants, such as Mr. Zil, against whom he does not allege any personal involvement 4 in the alleged constitutional violations. Plaintiff is required to plead sufficient facts showing the 5 personal involvement of each named defendant in the constitutional violations he is alleging. In addition, Plaintiff identifies several defendants by first name only. Plaintiff is cautioned that 6 7 service by the United States Marshall is unlikely to be accomplished without the full identity of the individuals. It is Plaintiff's responsibility to identify the individuals he intends to proceed 8 9 against, and failure to properly identify them will likely result in the failure to properly serve 10 them.

11 Finally, Plaintiff names as a defendant the California Forensic Medical Group. This group is presumably the medical providers for the Yolo County Jail. As such, it is likely 12 13 that it would be treated as a state agency for purposes of an action under § 1983. In addition to Plaintiff's failure to allege any specific facts related to the group itself, the group is likely to be 14 15 immune from such an action under the Eleventh Amendment. The Eleventh Amendment 16 prohibits federal courts from hearing suits brought against a state both by its own citizens, as well as by citizens of other states. See Brooks v. Sulphur Springs Valley Elec. Coop., 951 F.2d 1050, 17 18 1053 (9th Cir. 1991). This prohibition extends to suits against states themselves, and to suits 19 against state agencies. See Lucas v. Dep't of Corr., 66 F.3d 245, 248 (9th Cir. 1995) (per 20 curiam); Taylor v. List, 880 F.2d 1040, 1045 (9th Cir. 1989). A state's agency responsible for 21 incarceration and correction of prisoners is a state agency for purposes of the Eleventh 22 Amendment. See Alabama v. Pugh, 438 U.S. 781, 782 (1978) (per curiam); Hale v. Arizona, 23 993 F.2d 1387, 1398-99 (9th cir. 1993) (en banc). Therefore, Plaintiff has the choice of whether to try to proceed against the California Forensic Medical Group, in which case he will likely have 24 25 to show that the group should not be considered a state agency for purposes of § 1983, or he can file his amended complaint without naming the group as a defendant and continue in this action 26

1 only against the individual defendants who are not immune.

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### F. PENDING MOTIONS

Plaintiff has also filed two separate motions requesting the court allow service of
his complaint (Docs. 7,9). As discussed above, the court finds service of the complaint to be
inappropriate at this time. Those motions will therefore be denied. If Plaintiff chooses to file an
amended complaint, as addressed in this order, the court will review the amended complaint and
issue appropriate orders in response thereto.

#### **III. CONCLUSION**

9 Because it is possible that the deficiencies identified in this order may be cured by 10 amending the complaint, plaintiff is entitled to leave to amend prior to dismissal of the entire 11 action. See Lopez v. Smith, 203 F.3d 1122, 1126, 1131 (9th Cir. 2000) (en banc). Plaintiff is informed that, as a general rule, an amended complaint supersedes the original complaint. See 12 13 Ferdik v. Bonzelet, 963 F.2d 1258, 1262 (9th Cir. 1992). Thus, following dismissal with leave to 14 amend, all claims alleged in the original complaint which are not alleged in the amended 15 complaint are waived. See King v. Atiyeh, 814 F.2d 565, 567 (9th Cir. 1987). Therefore, if 16 plaintiff amends the complaint, the court cannot refer to the prior pleading in order to make 17 plaintiff's amended complaint complete. See Local Rule 15-220. An amended complaint must be complete in itself without reference to any prior pleading. See id. 18

If plaintiff chooses to amend the complaint, plaintiff must demonstrate how the
conditions complained of have resulted in a deprivation of plaintiff's constitutional rights. See
<u>Ellis v. Cassidy</u>, 625 F.2d 227 (9th Cir. 1980). The complaint must allege in specific terms how
each named defendant is involved, and must set forth some affirmative link or connection
between each defendant's actions and the claimed deprivation. <u>See May v. Enomoto</u>, 633 F.2d
164, 167 (9th Cir. 1980); Johnson v. Duffy, 588 F.2d 740, 743 (9th Cir. 1978).

Plaintiff is warned that failure to file an amended complaint within the time
provided in this order may be grounds for dismissal of this action. See Ferdik, 963 F.2d at 1260-

1	61; see also Local Rule 11-110. Plaintiff is also warned that a complaint which fails to comply
2	with Rule 8 may, in the court's discretion, be dismissed with prejudice pursuant to Rule 41(b).
3	See Nevijel v. North Coast Life Ins. Co., 651 F.2d 671, 673 (9th Cir. 1981). Therefore, Plaintiff
4	is cautioned against attaching excessive exhibits to his amended complaint. Rather, his
5	compliant is to contain all necessary factual allegations to support his claims, stated simply and
6	concisely. He is informed that at the pleading stage he is not required to offer documents in
7	support his factual allegations.
8	Accordingly, IT IS HEREBY ORDERED that:
9	1. Plaintiff's complaint is dismissed with leave to amend;
10	2. Plaintiff shall file an amended complaint within 30 days of the date of
11	service of this order; and
12	3. Plaintiff's motions for service of the complaint (Docs. 7,9) are denied.
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14	DATED: May 6, 2010
15	- raig m. Kellison
16	UNITED STATES MAGISTRATE JUDGE
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