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UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF CALIFORNIA

CALIFORNIA EARTHQUAKE  
AUTHORITY,

No. 2:10-cv-00291-MCE-GGH

Plaintiff,

v.

SECOND AMENDED PRETRIAL  
SCHEDULING ORDER

METROPOLITAN WEST SECURITIES,  
LLC.; WACHOVIA BANK, NATIONAL  
ASSOCIATION,

Defendants.

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After reviewing the parties' Joint Request for Relief from  
Scheduling Order, the Court makes the following Second Amended  
Pretrial Scheduling Order.

I. SERVICE OF PROCESS

All named Defendants have been served and no further service  
is permitted without leave of court, good cause having been  
shown.

II. ADDITIONAL PARTIES/AMENDMENTS/PLEADINGS

No joinder of parties or amendments to pleadings is  
permitted without leave of court, good cause having been shown.

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1           III. JURISDICTION/VENUE

2           Jurisdiction is predicated upon 28 U.S.C. §§ 1332 and  
3 1441(a). Jurisdiction and venue are not contested.

4           IV. DISCOVERY

5           All discovery, with the exception of expert discovery, shall  
6 be completed by **December 3, 2012**. In this context, "completed"  
7 means that all discovery shall have been conducted so that all  
8 depositions have been taken and any disputes relative to  
9 discovery shall have been resolved by appropriate order if  
10 necessary and, where discovery has been ordered, the order has  
11 been obeyed. All motions to compel discovery must be noticed on  
12 the magistrate judge's calendar in accordance with the local  
13 rules of this Court.

14           V. DISCLOSURE OF EXPERT WITNESSES

15           All counsel are to designate in writing, file with the  
16 Court, and serve upon all other parties the name, address, and  
17 area of expertise of each expert that they propose to tender at  
18 trial not later than **February 7, 2013**.<sup>1</sup> The designation shall be  
19 accompanied by a written report prepared and signed by the  
20 witness. The report shall comply with Fed. R. Civ. P.  
21 26(a)(2)(B).

22           Within twenty (20) days after the designation of expert  
23 witnesses, any party may designate a supplemental list of expert  
24 witnesses who will express an opinion on a subject covered by an  
25 expert designated by an adverse party.

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27           <sup>1</sup> The discovery of experts will include whether any motions  
28 based on Daubert v. Merrell Dow Pharmaceuticals, Inc., 509 U.S.  
579 (1993) and/or Kumho Tire Co. v. Carmichael, 119 S. Ct. 1167  
(1999) are anticipated.

1 The right to designate a supplemental expert for rebuttal  
2 purposes only shall apply to a party who has not previously  
3 disclosed an expert witness on the date set for expert witness  
4 disclosure by this Pretrial Scheduling Order.

5 Failure of a party to comply with the disclosure schedule as  
6 set forth above in all likelihood will preclude that party from  
7 calling the expert witness at the time of trial. An expert  
8 witness not appearing on the designation will not be permitted to  
9 testify unless the party offering the witness demonstrates:

10 (a) that the necessity for the witness could not have been  
11 reasonably anticipated at the time the list was proffered;

12 (b) that the Court and opposing counsel were promptly notified  
13 upon discovery of the witness; and (c) that the witness was  
14 promptly made available for deposition.

15 For purposes of this Pretrial Scheduling Order, an "expert"  
16 is any person who may be used at trial to present evidence under  
17 Rules 702, 703, and 705 of the Federal Rules of Evidence, which  
18 include both "percipient experts" (persons who, because of their  
19 expertise, have rendered expert opinions in the normal course of  
20 their work duties or observations pertinent to the issues in the  
21 case) and "retained experts" (persons specifically designated by  
22 a party to be a testifying expert for the purposes of  
23 litigation).

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1 Each party shall identify whether a disclosed expert is  
2 percipient, retained, or both. It will be assumed that a party  
3 designating a retained expert has acquired the express permission  
4 of the witness to be so listed. Parties designating percipient  
5 experts must state in the designation who is responsible for  
6 arranging the deposition of such persons.

7 All experts designated are to be fully prepared at the time  
8 of designation to render an informed opinion, and give their  
9 bases for their opinion, so that they will be able to give full  
10 and complete testimony at any deposition taken by the opposing  
11 party. Experts will not be permitted to testify at the trial as  
12 to any information gathered or evaluated, or opinion formed,  
13 after deposition taken subsequent to designation.

14 Counsel are instructed to complete all discovery of expert  
15 witnesses in a timely manner in order to comply with the Court's  
16 deadline for filing dispositive motions.

17 VI. MOTION HEARING SCHEDULE

18 The last day to hear dispositive motions shall be **June 13,**  
19 **2013.** The parties shall comply with the following filing  
20 deadlines:

21 Dispositive motion	filed at least 8 weeks 22 prior to hearing
23 Opposition and any cross-motion	filed at least 5 weeks prior to hearing
24 Reply and opposition to cross-motion	filed at least 3 weeks prior to hearing
25 Reply to cross-motion	filed at least 1 week 26 prior to hearing

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1 The parties are directed to the Court's website for  
2 available hearing dates. (www.caed.uscourts.gov → choose Court  
3 Calendar → choose Judge England → choose More Calendaring  
4 Information)

5 All purely legal issues are to be resolved by timely  
6 pretrial motions. Failure to comply with Local Rules 230 and  
7 260, as modified by this Order, may be deemed consent to the  
8 motion and the Court may dispose of the motion summarily.  
9 Further, failure to timely oppose a summary judgment motion<sup>2</sup> may  
10 result in the granting of that motion if the movant shifts the  
11 burden to the nonmovant to demonstrate that a genuine issue of  
12 material fact remains for trial.

13 The Court places a page limit for points and authorities  
14 (exclusive of exhibits and other supporting documentation) of  
15 twenty (20) pages on all initial moving papers, twenty (20) pages  
16 on oppositions, and ten (10) pages for replies. All requests for  
17 page limit increases must be made in writing to the Court setting  
18 forth any and all reasons for any increase in page limit at least  
19 fourteen (14) days prior to the filing of the motion.

20 For the Court's convenience, citations to Supreme Court  
21 cases should include parallel citations to the Supreme Court  
22 Reporter.

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27 <sup>2</sup> The Court urges any party that contemplates bringing a  
28 motion for summary judgment or who must oppose a motion for  
summary judgment to review Local Rule 260.

1 The parties are reminded that a motion in limine is a  
2 pretrial procedural device designed to address the admissibility  
3 of evidence. The Court will look with disfavor upon  
4 dispositional motions presented at the Final Pretrial Conference  
5 or at trial in the guise of motions in limine.

6 The parties are cautioned that failure to raise a  
7 dispositive legal issue that could have been tendered to the  
8 court by proper pretrial motion prior to the dispositive motion  
9 cut-off date may constitute waiver of such issue.

10 VII. FINAL PRETRIAL CONFERENCE

11 The Final Pretrial Conference is set for **August 22, 2013**, at  
12 **2:00 p.m.** At least one of the attorneys who will conduct the  
13 trial for each of the parties shall attend the Final Pretrial  
14 Conference. If by reason of illness or other unavoidable  
15 circumstance a trial attorney is unable to attend, the attorney  
16 who attends in place of the trial attorney shall have equal  
17 familiarity with the case and equal authorization to make  
18 commitments on behalf of the client.

19 Counsel for all parties are to be fully prepared for trial  
20 at the time of the Final Pretrial Conference, with no matters  
21 remaining to be accomplished except production of witnesses for  
22 oral testimony.

23 The parties shall file, not later than **August 1, 2013**, a  
24 Joint Final Pretrial Conference Statement. The provisions of  
25 Local Rules 281 shall apply with respect to the matters to be  
26 included in the Joint Final Pretrial Conference Statement.

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1 In addition to those subjects listed in Local Rule 281(b), the  
2 parties are to provide the Court with a plain, concise statement  
3 that identifies every non-discovery motion tendered to the Court  
4 and its resolution. Failure to comply with Local Rule 281, as  
5 modified by this Pretrial Scheduling Order, may be grounds for  
6 sanctions.

7 At the time of filing the Joint Final Pretrial Conference  
8 Statement, counsel shall also electronically mail to the Court in  
9 digital format compatible with Microsoft Word or WordPerfect, the  
10 Joint Final Pretrial Conference Statement in its entirety  
11 including the witness and exhibit lists. **These documents shall**  
12 **be sent to: mceorders@caed.uscourts.gov.**

13 The parties should identify first the core undisputed facts  
14 relevant to all claims. The parties should then, in a concise  
15 manner, identify those undisputed core facts that are relevant to  
16 each claim. The disputed facts should be identified in the same  
17 manner. Where the parties are unable to agree as to what  
18 disputed facts are properly before the Court for trial, they  
19 should nevertheless list all disputed facts asserted by each  
20 party. Each disputed fact or undisputed fact should be  
21 separately numbered or lettered.

22 Each party shall identify and concisely list each disputed  
23 evidentiary issue which will be the subject of a motion  
24 in limine.

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1 Each party shall identify the points of law which concisely  
2 describe the legal issues of the trial which will be discussed in  
3 the parties' respective trial briefs. Points of law should  
4 reflect issues derived from the core undisputed and disputed  
5 facts. Parties shall not include argument or authorities with  
6 any point of law.

7 The parties shall prepare a joint statement of the case in  
8 plain concise language which will be read to the jury at the  
9 beginning of the trial. The purpose of the joint statement is to  
10 inform the jury what the case is about.

11 The parties are reminded that pursuant to Local Rule 281  
12 they are required to list in the Joint Final Pretrial Conference  
13 Statement all witnesses and exhibits they propose to offer at  
14 trial. After the name of each witness, each party shall provide  
15 a brief statement of the nature of the testimony to be proffered.  
16 The parties may file a joint list or each party may file separate  
17 lists. These list(s) shall not be contained in the body of the  
18 Joint Final Pretrial Conference Statement itself, but shall be  
19 attached as separate documents to be used as addenda to the Final  
20 Pretrial Order.

21 Plaintiff's exhibits shall be listed numerically.  
22 Defendants' exhibits shall be listed alphabetically. The parties  
23 shall use the standard exhibit stickers provided by the Court  
24 Clerk's Office: pink for plaintiff and blue for defendant. In  
25 the event that the alphabet is exhausted, the exhibits shall be  
26 marked "AA-ZZ" and "AAA-ZZZ" etc. After three letters, note the  
27 number of letters in parenthesis (i.e., "AAAA(4)") to reduce  
28 confusion at trial.



1 All multi-page exhibits shall be stapled or otherwise fastened  
2 together and each page within the exhibit shall be numbered. All  
3 photographs shall be marked individually. The list of exhibits  
4 shall not include excerpts of depositions, which may be used to  
5 impeach witnesses. In the event that Plaintiff and Defendants  
6 offer the same exhibit during trial, that exhibit shall be  
7 referred to by the designation the exhibit is first identified.  
8 The Court cautions the parties to pay attention to this detail so  
9 that all concerned, including the jury, will not be confused by  
10 one exhibit being identified with both a number and a letter.

11 The Final Pretrial Order will contain a stringent standard  
12 for the offering at trial of witnesses and exhibits not listed in  
13 the Final Pretrial Order, and the parties are cautioned that the  
14 standard will be strictly applied. On the other hand, the  
15 listing of exhibits or witnesses that a party does not intend to  
16 offer will be viewed as an abuse of the court's processes.

17 The parties also are reminded that pursuant to Rule 16 of  
18 the Federal Rules of Civil Procedure it will be their duty at the  
19 Final Pretrial Conference to aid the Court in: (a) the  
20 formulation and simplification of issues and the elimination of  
21 frivolous claims or defenses; (b) the settling of facts that  
22 should properly be admitted; and (c) the avoidance of unnecessary  
23 proof and cumulative evidence. Counsel must cooperatively  
24 prepare the Joint Final Pretrial Conference Statement and  
25 participate in good faith at the Final Pretrial Conference with  
26 these aims in mind.

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1 A failure to do so may result in the imposition of sanctions  
2 which may include monetary sanctions, orders precluding proof,  
3 elimination of claims or defenses, or such other sanctions as the  
4 Court deems appropriate.

5 VIII. TRIAL BRIEFS

6 The parties shall file trial briefs not later than **August 8,**  
7 **2013.** Counsel are directed to Local Rule 285 regarding the  
8 content of trial briefs.

9 IX. EVIDENTIARY AND/OR PROCEDURAL MOTIONS

10 Any evidentiary or procedural motions are to be filed by  
11 **August 1, 2013.** Oppositions must be filed by **August 8, 2013,** and  
12 any reply must be filed by **August 15, 2013.** The motions will be  
13 heard by the Court at the same time as the Final Pretrial  
14 Conference.

15 X. TRIAL SETTING

16 The trial is set for **October 7, 2013, at 9:00 a.m.** Trial  
17 will be by jury. The panel will consist of **8 jurors.** The  
18 parties estimate a trial length of **fifteen (15) days.**

19 XI. SETTLEMENT CONFERENCE

20 At the Final Pretrial Conference, the Court may set a  
21 settlement conference if the parties so request. In the event no  
22 settlement conference is requested, the parties are free to  
23 continue to mediate or attempt to settle the case with the  
24 understanding that the trial date is a firm date.

25 In the event a settlement conference is set by the Court,  
26 counsel are instructed to have a principal with full settlement  
27 authority present at the Settlement Conference or to be fully  
28 authorized to settle the matter on any terms.

1 At least seven (7) calendar days before the settlement  
2 conference, counsel for each party shall submit to the chambers  
3 of the settlement judge a confidential Settlement Conference  
4 Statement. Such statements are neither to be filed with the  
5 Clerk nor served on opposing counsel. Each party, however, shall  
6 serve notice on all other parties that the statement has been  
7 submitted. If the settlement judge is not the trial judge, the  
8 Settlement Conference Statement shall not be disclosed to the  
9 trial judge.

10 Notwithstanding the foregoing, the parties may request a  
11 settlement conference prior to the Final Pretrial Conference if  
12 they feel it would lead to the possible resolution of the case.  
13 In the event an early settlement conference date is requested,  
14 the parties shall file said request jointly, in writing. The  
15 request must state whether the parties waive disqualification,  
16 pursuant to Local Rule 270(b), before a settlement judge can be  
17 assigned to the case. Absent the parties' affirmatively  
18 requesting that the assigned Judge or Magistrate Judge  
19 participate in the settlement conference AND waiver, pursuant to  
20 Local Rule 270(b), a settlement judge will be randomly assigned  
21 to the case.

22 XII. VOLUNTARY DISPUTE RESOLUTION PROGRAM

23 Pursuant to Local Rule 271 parties will need to lodge a  
24 stipulation and proposed order requesting referral to the  
25 Voluntary Dispute Resolution Program.

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1 XIII. MODIFICATION OF PRETRIAL SCHEDULING ORDER

2 The parties are reminded that pursuant to Rule 16(b) of the  
3 Federal Rules of Civil Procedure, the Pretrial Scheduling Order  
4 shall not be modified except by leave of court upon a showing of  
5 **good cause**. Agreement by the parties pursuant to stipulation  
6 alone to modify the Pretrial Scheduling Order does not constitute  
7 good cause. Except in extraordinary circumstances,  
8 unavailability of witnesses or counsel will not constitute good  
9 cause.

10 XIV. OBJECTIONS TO PRETRIAL SCHEDULING ORDER

11 This Pretrial Scheduling Order will become final without  
12 further order of the Court unless objections are filed within  
13 seven (7) court days of service of this Order.

14 IT IS SO ORDERED.

15 Dated: March 27, 2012

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18 MORRISON C. ENGLAND, JR.  
19 UNITED STATES DISTRICT JUDGE  
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