1	
2	
3	
4	
5	
6	
7	
8	IN THE UNITED STATES DISTRICT COURT
9	FOR THE EASTERN DISTRICT OF CALIFORNIA
10	CHARLIE BROOKS
11	Plaintiff, No. 2:10-cv-00379 FCD KJN PS
12	VS.
13	LEGISLATIVE BILL ROOM
14	Defendant. <u>ORDER</u>
15	/
16	
17	Plaintiff, a California state prisoner, is proceeding without counsel. He filed a
18	complaint in the Northern District of California on February 9, 2009, alleging a violation of the
19	Freedom of Information Act for the California Legislative Bill Room's failure to send him copies
20	of certain senate and assembly bills upon request. (Dkt. No. 1.) Simultaneously, plaintiff filed
21	an in forma pauperis application. (Dkt. Nos. 2, 4.) On February 10, 2010, United States District
22	Judge Phyllis J. Hamilton found that venue was improper in the Northern District of California
23	and transferred this case to the Eastern District of California. (Dkt. No. 5.)
24	This proceeding was referred to the undersigned pursuant to 28 U.S.C. § 636(b)(1)
25	and Local Rule 302. Plaintiff has submitted an in forma pauperis declaration that makes the
26	showing required by 28 U.S.C. § 1915(a). Accordingly, the request to proceed in forma pauperis

will be granted.

1

2 Plaintiff is nonetheless required to pay the statutory filing fee of \$350.00 for this 3 action. 28 U.S.C. §§ 1914(a), 1915(b)(1). By this order, plaintiff will be assessed an initial 4 partial filing fee in accordance with the provisions of 28 U.S.C. § 1915(b)(1). By separate order, 5 the court will direct the appropriate agency to collect the initial partial filing fee from plaintiff's prison trust account and forward it to the Clerk of the Court. Thereafter, plaintiff will be 6 7 obligated to make monthly payments of twenty percent of the preceding month's income credited to plaintiff's prison trust account. These payments will be forwarded by the appropriate agency 8 9 to the Clerk of the Court each time the amount in plaintiff's account exceeds \$10.00, until the 10 filing fee is paid in full. 28 U.S.C. § 1915(b)(2).

The determination that a plaintiff may proceed in forma pauperis does not
complete the required inquiry. The court is required to screen complaints brought by prisoners
seeking relief against a governmental entity or officer or employee of a governmental entity. 28
U.S.C. § 1915A(a). The court must dismiss, at any point, a complaint or portion thereof if the
prisoner has raised claims that are legally "frivolous or malicious," that fail to state a claim upon
which relief may be granted, or that seek monetary relief from a defendant who is immune from
such relief. 28 U.S.C. § 1915A(b)(1),(2).

A claim is legally frivolous when it lacks an arguable basis either in law or in fact.
Neitzke v. Williams, 490 U.S. 319, 325 (1989); Franklin v. Murphy, 745 F.2d 1221, 1227-28
(9th Cir. 1984). The court may, therefore, dismiss a claim as frivolous when it is based on an
indisputably meritless legal theory or where the factual contentions are clearly baseless. Neitzke,
490 U.S. at 327. The critical inquiry is whether a constitutional claim, however inartfully
pleaded, has an arguable legal and factual basis. See Jackson v. Arizona, 885 F.2d 639, 640 (9th
Cir. 1989); Franklin, 745 F.2d at 1227.

Rule 8(a)(2) of the Federal Rules of Civil Procedure "requires only 'a short and
plain statement of the claim showing that the pleader is entitled to relief,' in order to 'give the

defendant fair notice of what the . . . claim is and the grounds upon which it rests."" Bell Atlantic 1 2 Corp. v. Twombly, 550 U.S. 544, 555 (2007) (quoting Conley v. Gibson, 355 U.S. 41, 47 3 (1957)). In order to survive dismissal for failure to state a claim, a complaint must contain more 4 than "a formulaic recitation of the elements of a cause of action;" it must contain factual 5 allegations sufficient "to raise a right to relief above the speculative level." Id. However, "[s]pecific facts are not necessary; the statement [of facts] need only 'give the defendant fair 6 7 notice of what the . . . claim is and the grounds upon which it rests." Erickson v. Pardus, 551 U.S. 89, 93 (2007) (quoting Bell Atlantic Corp., 550 U.S. at 555). In reviewing a complaint 8 9 under this standard, the court must accept as true the allegations of the complaint in question, id., and construe the pleading in the light most favorable to the plaintiff. Scheuer v. Rhodes, 416 10 11 U.S. 232, 236 (1974).

12 In addition to stating a cognizable claim, because federal courts are courts of limited jurisdiction, this court must find that federal jurisdiction exists at all times. Original 13 federal subject matter jurisdiction may be premised on two bases: (1) federal question 14 15 jurisdiction, and (2) diversity jurisdiction. District courts have federal question jurisdiction over 16 "all civil actions that arise under the Constitution, laws, or treaties of the United States." 28 17 U.S.C. § 1331. "A case 'arises under' federal law either where federal law creates the cause of 18 action or 'where the vindication of a right under state law necessarily turn[s] on some construction of federal law." Republican Party of Guam v. Gutierrez, 277 F.3d 1086, 1088-89 19 20 (9th Cir. 2002) (modification in original) (citing Franchise Tax Bd. v. Constr. Laborers Vacation 21 Trust, 463 U.S. 1, 8-9 (1983)). "[T]he presence or absence of federal-question jurisdiction is 22 governed by the 'well-pleaded complaint rule,' which provides that federal jurisdiction exists 23 only when a federal question is presented on the face of the plaintiff's properly pleaded complaint." Provincial Gov't of Marinduque v. Placer Dome, Inc., 582 F.3d 1083, 1091 (9th Cir. 24 25 2009); Franchise Tax Bd. v. Constr. Laborers Vacation Trust for S. Cal., 463 U.S. 1, 10 n.9 (1983). Additionally and alternatively, district courts have diversity jurisdiction over "all civil 26

actions where the matter in controversy exceeds the sum or value of \$75,000, exclusive of
interest and costs," and the action is between: "(1) citizens of different States; (2) citizens of a
State and citizens or subjects of a foreign state; (3) citizens of different States and in which
citizens or subjects of a foreign state are additional parties; and (4) a foreign state . . . as plaintiff
and citizens of a State or of different States." 28 U.S.C. § 1332; <u>Bautista v. Pan American World</u>
Airlines, Inc., 828 F.2d 546, 552 (9th Cir. 1987).

A case presumably lies outside the jurisdiction of the federal courts unless
demonstrated otherwise. <u>Kokkonen</u>, 511 U.S. 375, 376-78 (1994). Lack of subject matter
jurisdiction may be raised at any time by either party or by the court. <u>Attorneys Trust v.</u>
<u>Videotape Computer Products, Inc.</u>, 93 F.3d 593, 594-95 (9th Cir. 1996). Moreover,
"[j]urisdiction may not be sustained on a theory that the plaintiff has not advanced." <u>Merrell</u>
Dow Pharm. Inc. v. Thompson, 478 U.S. 804, 809 n. 6 (1986).

Here, plaintiff's complaint is a short and plain statement of the plaintiff's claim
for relief, meeting the pleading requirements of Federal Rule of Civil Procedure 8. He requested
copies of certain California legislative bills from the defendant, the California Legislative Bill
Room, and has not received them. Jurisdiction, however, is another matter.

17 Plaintiff states in a typewritten line at the top of his complaint that his complaint is "by a prisoner under the Civil Rights Act, 42 U.S.C. § 1983." Yet, no jurisdictional basis for 18 19 any such civil rights claim on the facts pled is apparent. In order to find a violation of section 20 1983, a district court must find that the defendant acted "under color of state law" and deprived 21 the plaintiff of his right under federal law. 42 U.S.C. § 1983. "Distinguishing between 22 'essentially fictitious' claims that do not invoke federal jurisdiction and those in which a fairly 23 debatable claim falls on the merits is essential if the federal courts are to remain tribunals of limited jurisdiction." Goros v. County of Cook, 489 F.3d 857, 860 (7th Cir. 2007) (quoting 24 25 Bailey v. Patterson, 369 U.S. 31, 33 (1962)). It is unclear from the complaint what, if any, 26 violation of federal law exists for the alleged wrongful conduct.

In the body of his complaint, plaintiff more specifically avers that the Freedom of
 Information Act ("FOIA") provides him a right to agency records. (Dkt. No. 1 at 5.) The FOIA
 is a federal law which requires federal agencies to disclose information upon request unless such
 information is exempt from disclosure. 5 U.S.C. § 552; <u>Oregon Natural Desert Ass'n v. Locke</u>,
 572 F.3d 610, 614 (9th Cir. 2009). The FOIA does not apply to state agencies. <u>See Grand Cent.</u>
 <u>Partnership, Inc. v. Cuomo</u>, 166 F.3d 472, 484 (2nd Cir. 1999). Lacking a cognizable federal
 claim, no federal question jurisdiction exists.

8 It is possible that plaintiff may be able to assert a state law claim under the
9 California Public Records Act. See Cal. Govt. Code §§ 6253 et seq. (permitting inspection under
10 certain conditions of California state or local agency record). However, that claim is a state law
11 rather than federal law claim. Inclusion of this claim in an amended federal complaint will not
12 provide this federal court with subject matter jurisdiction over this action.

13 This court also lacks diversity jurisdiction over this action. A federal court's authority to hear cases in diversity is established by 28 U.S.C. § 1332. "The diversity jurisdiction 14 15 statute, as construed for nearly 200 years, requires that to bring a diversity case in federal court 16 against multiple defendants, each plaintiff must be diverse from each defendant. That 17 compliance with the diversity statute, including its complete diversity requirement, is the *sine* qua non of diversity jurisdiction was made clear in Newman-Green, Inc. v. Alfonzo-Larrain, 490 18 19 U.S. 826 (1989). In a case involving claims against multiple defendants, "the plaintiff must meet 20 the requirements of the diversity statute for each defendant. Id. at 829." Lee v. American 21 National Insurance Company, 260 F.3d 997, 1004-05 (9th Cir. 2001) (fn and citations omitted). 22 Here, plaintiff is a California resident, domiciled in Crescent City, California. Defendant, the 23 Legislative Bill Room,¹ is a California entity. Because both the plaintiff and defendant are

 ¹ It is unclear whether the Legislative Bill Room is an entity capable of being sued, and whether this action should also include the California Department of General Services as a defendant. See State Publishing Website, November 14, 2008

1 citizens of the same state, California, no diversity exists.

2	Hence, the court is unable to determine a jurisdictional basis for this action. The
3	complaint has not set forth a cognizable theory of federal law or a federal right, and does not
4	assert diversity jurisdiction. "A party invoking the federal court's jurisdiction has the burden of
5	proving the actual existence of subject matter jurisdiction." <u>Thompson v. McCombe</u> , 99 F.3d
6	352, 353 (9th Cir. 1996). Plaintiff has failed to meet this burden. ²
7	Because this is plaintiff's first attempt at pleading his action, and because he is a
8	pro se litigant, the court will grant plaintiff an opportunity to amend his complaint to assert a
9	claim supported by federal jurisdiction, if he can do so. Plaintiff is informed that the court
10	cannot refer to a prior pleading in order to make plaintiff's amended complaint complete. Local
11	Rule 220 requires that an amended complaint be complete in itself without reference to any prior
12	pleading. This requirement exists because, as a general rule, an amended complaint supersedes
13	the original complaint. See Loux v. Rhay, 375 F.2d 55, 57 (9th Cir. 1967). Once plaintiff files
14	an amended complaint, the original pleading no longer serves any function in the case.
15	Therefore, in an amended complaint, as in an original complaint, each claim and the involvement
16	
17	http://www.osp.dgs.ca.gov/Services+Directory/Legislative+Bill+Room.htm (stating that "[t]he Legislative Bill Room (LBR) is part of the Office of State Publishing (OSP) in the Department of
18	General Services.").
19	² Should plaintiff desire to proceed with his action, a California state court may be a more appropriate forum due to (1) lack of original federal jurisdiction; and (2) the Eleventh
20	Amendment of the United States Constitution. The Eleventh Amendment prohibits federal courts from hearing suits brought against a state by its own citizens or citizens of other states.
	Brooks v. Sulphur Springs Valley Elec. Coop., 951 F.2d 1050, 1053 (9th Cir. 1991). Claims
21	seeking relief premised solely on a state's compliance with state law are barred by the Eleventh Amendment. See Suever v. Connell, 439 F.3d 1142, 1148 (9th Cir. 2006). Eleventh
22	Amendment immunity for state officials acting in their official capacities is limited to claims for damages and does not apply to a claim for prospective injunctive relief to remedy ongoing
23	violations of federal law. See id.; Flint, 488 F.3d 816, 825 (9th Cir. 2007) (citing Will v. Mich.
24	<u>Dep't of State Police</u> , 491 U.S. 58, 71 n.10 (1989)). Here, plaintiff appears to be seeking solely injunctive relief, namely delivery of the legislative bills at issue. <u>See</u> Dkt. No. 1 at 5 ("The
25	Plaintiff in this case is only asking for information that should already be a part of [the] Public Record.") However, to the extent plaintiff is seeking any damages for the state's failure to send

Record.") However, to the extent plaintiff is seeking any damages for the state's failure to send him copies of the requested bills, plaintiff's claim should not be brought in federal court due to the Eleventh Amendment.

1 of each defendant must be sufficiently alleged.

2	In accordance with the above, IT IS HEREBY ORDERED that:
3	1. Plaintiff's request for leave to proceed in forma pauperis is GRANTED. (Dkt.
4	No. 4.)
5	2. Plaintiff is obligated to pay the statutory filing fee of \$350.00 for this action.
6	Plaintiff is assessed an initial partial filing fee in accordance with the provisions of 28 U.S.C.
7	§ 1915(b)(1). All fees shall be collected and paid in accordance with this court's order to the
8	Director of the California Department of Corrections and Rehabilitation filed concurrently
9	herewith.
10	3. Plaintiff's complaint is DISMISSED with leave to amend. If plaintiff chooses
11	to amend his complaint, he must be able to assert a proper basis for jurisdiction. If plaintiff does
12	not file an amended complaint within thirty days from the date of this order, this action may be
13	dismissed.
14	4. Within thirty days from the date of this order, if plaintiff chooses to amend his
15	complaint, plaintiff must complete the attached Notice of Amendment and submit the following
16	documents to the court:
17	a. The completed Notice of Amendment; and
18	b. An original and one copy of the Amended Complaint.
19	Plaintiff's amended complaint must bear the docket number assigned to this case and must be
20	labeled "Amended Complaint." Failure to file an amended complaint in accordance with this
21	order may result in a recommendation that this action be dismissed.
22	5. The Clerk of Court is ordered to REDACT the plaintiff's social security
23	////
24	////
25	////
26	////
	7

number from his filings to date (see e.g., Dkt. No. 2 at 3). Plaintiff is cautioned against including
 his social security number on any future filings in this case.

DATED: May 24, 2010

KEND

KENDALL J. NEWMAN UNITED STATES MAGISTRATE JUDGE

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA
CHARLIE BROOKS,
Plaintiff, No. 2:10-cv-0379 FCD KJN PS
V.
LEGISLATIVE BILL ROOM, <u>NOTICE OF AMENDMENT</u>
Defendant.
/
Plaintiff hereby submits the following document in compliance with the court's
order dated May, 2010:
Amended Complaint
DATED:
Plaintiff
9
7