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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

GREGORY SMITH,

Plaintiff,

No. CIV S-10-0762 CKD P

vs.

ALAN NANGALAMA, et al.,

Defendants.

ORDER &

FINDINGS AND RECOMMENDATIONS

_____ /

Plaintiff, a state prisoner proceeding pro se, has filed a civil rights action pursuant to 42 U.S.C. § 1983. This action is proceeding on the original complaint, filed March 31, 2010. (Dkt. No. 1.) On June 7, 2011, the previously assigned magistrate judge issued an order stating that plaintiff had alleged cognizable Eighth Amendment and state law negligence claims against defendants Nangalama, Hamkar, Ma, Teachout, and Sweeney. (Dkt. No. 12) Pending before the court is defendants Nangalama, Hamkar, Ma, and Teachout’s¹ December 15, 2010 motion to dismiss the claims against them for failure to state a claim pursuant to Rule 12(b)(6) of the Federal Rules of Civil Procedure. (Dkt. No. 30, hereinafter “Mot.”) Plaintiff filed an opposition to the motion, and defendants filed a reply. (Dkt. Nos. 39, 42.) For the reasons set forth below,

_____ ¹ As described infra, Teachout has withdrawn the motion to dismiss as to one of plaintiff’s claims.

1 the court will recommend that defendants' motion be granted in part and denied in part.

2 BACKGROUND

3 Plaintiff, an inmate at California State Prison-Solano, alleges that defendants
4 showed deliberate indifference to serious medical needs. Plaintiff's allegations concern his
5 medical treatment both leading up to and following his January 2009 surgery to remove a
6 cancerous growth in his neck. At all times relevant to this action, defendant doctors Nangalama,
7 Hamkar, and Ma, and licensed vocational nurses Teachout and Sweeney, were employed at
8 California State Prison-Sacramento (CSP-Sacramento.) (Dkt. No. 1 (Cmplt.) ¶¶ 6-10.)

9 I. Pre-Surgery Allegations

10 A. Referral to UCD Medical Center

11 In January 2008, while incarcerated at Pelican Bay State Prison, plaintiff noticed a
12 mass under his left jaw. (Dkt. No. 1-1 at 2-3² (Ex. Y)) After a July 2008 biopsy on his lymph
13 node tested positive for squamous cell carcinoma, petitioner was referred to an outside Ear, Nose,
14 and Throat specialist (ENT) for evaluation so that the primary cancer site could be determined.
15 (Cmplt. Ex. B, C, F, G.) Physician's progress notes dated September 9, 2008 state that an
16 "urgent referral has been made to UC Davis ENT . . . Results of biopsy and CT [Computed
17 Tomography] chest, abd, pelvis faxed to UCD ENT. P[atient] seen by Dr. Polidore, who verbally
18 indicated [patient] will probably need panendoscopy³ and/or radical neck. As these services are
19 not done by him [and] not available anywhere near our area, referral made to UCD." (Id. Ex. G.)

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21 _____
22 ² Citations are to page numbers assigned by the court's docketing system.

23 ³ Defined as "[e]xamination, usually with the patient under general anesthesia, of the
24 pharynx, larynx, upper trachea, and esophagus with rigid and flexible endoscopes."
25 <http://www.medilexicon.com/medicaldictionary.php?t=64705> (last accessed September 21,
26 2011).

1 Plaintiff was transferred to CSP-Sacramento for a September 23, 2008
2 appointment at the UC-Davis Medical Center. (Id. Ex. A, B.) However, plaintiff was not
3 allowed to attend his scheduled appointment because the institution was on contraband watch
4 that day and correctional officers deemed plaintiff's appointment a security risk. (Id. ¶¶ 18-20,
5 Ex. E.)

6 B. Dr. Nagalama

7 On September 25, 2008, plaintiff was seen by defendant Dr. Nangalama at CSP-
8 Sacramento. Plaintiff explained to Dr. Nangalama "that he was transferred to CSP-Sac for
9 cancer treatment. During this visit plaintiff explained that Dr. Williams, [his Primary Care
10 Physician at Pelican Bay State Prison], explained the prognosis without the discovery of the
11 primary site his 'condition would degenerate to terminal.'" Dr. Nangalama did not physically
12 examine plaintiff, but reviewed his file and stated: "I don't see why your [sic] down here. Your
13 cancer is benign." (Id., ¶¶ 21-23, Ex. F.) Plaintiff stated: "If I don't have cancer then send me
14 back." (Id. ¶ 24.) Dr. Nagalama's treatment notes state that plaintiff had "CT- Head, Chest,
15 Abd[omen] and Pelvis done - No evidence of metastatic disease." Dr. Nagalama noted that
16 plaintiff had squamous cell cancer of "lymph in neck - without evidence metastatic cell disease per
17 CT." He noted that plaintiff was scheduled for a follow-up appointment at UC-Davis the next
18 week, but wanted to return to Pelican Bay.⁴ Dr. Nangalama noted that he had briefly discussed
19 the course of treatment with another doctor and a nurse, with further discussion to follow. (Id.,
20 Ex. F.) This appointment constituted plaintiff's only interaction with Dr. Nangalama.

21 After leaving Dr. Nagalama's office, plaintiff told a non-defendant nurse that Dr.
22 Nangalama had diagnosed his cancer as benign and plaintiff "guessed he was going back to
23 PBSP." (Id. ¶ 25.) The nurse "expressed concern with the diagnosis" and consulted with another
24 non-defendant nurse, who told plaintiff to seek a second opinion and referred him to non-

25
26 ⁴ It is not clear whether defendant was referring here to plaintiff's statement: "If I don't
have cancer then send me back."

1 defendant Nurse Practitioner (NP) Bakewell.

2 After talking with plaintiff, NP Bakewell agreed that plaintiff should “attend his
3 ENT evaluation at UCD.” However, due to a series of bureaucratic delays that did not directly
4 involve the named defendants (except as described below), plaintiff was not seen at UCD
5 Medical Center until December 2008. (Id. at ¶¶ 27-43.)

6 C. Dr. Hamkar

7 On November 13, 2008, plaintiff was seen by defendant Dr. Hamkar. Plaintiff
8 explained that he had cancer with an unknown primary and that his most recent appointment with
9 a UC-Davis ENT had been cancelled. Dr. Hamkar told plaintiff that he would submit a form
10 requesting an urgent specialty consultation. (Cmplt. at ¶¶ 44-45.) However, on the referral form
11 completed that day (whether by Dr. Hamkar or a nurse is not clear), the form was not clearly
12 marked as “urgent.”⁵ (Id. ¶ 49, Ex. S.)

13 On December 2, 2008, plaintiff informed nursing staff that 19 days had passed
14 since Dr. Hamkar had issued an “urgent” referral to a ENT specialist. The nurse found the
15 referral form that was not clearly marked as urgent, and consulted with Dr. Hamkar. Another
16 referral form was filled out, this time correctly marked “urgent.” (Id. ¶¶ 48-49.)

17 On December 7, 2008, plaintiff discovered another lump in his neck just below
18 the previous lump. (Id. ¶ 52.)

19 On December 9, 2008, a specialist at the Davis Medical Center, Dr. Aouad,
20 diagnosed plaintiff with “squamous cell carcinoma of the left neck, unknown primary.” Dr.
21 Aouad allegedly informed plaintiff that his cancer was “extremely aggressive and required urgent
22 surgery[.]” He noted in his report that plaintiff had lost 37 pounds since January 2008, and

23
24 ⁵ As defendants note, the referral was marked “urgent,” “routine,” and “ASAP,” with the
25 latter two markings crossed out with a large “X.” (Id., Ex. S.) Construing the pleadings in the
26 light most favorable to plaintiff, the court construes the form as containing “conflicting
information,” as all three boxes were circled, and a nurse had to consult with Dr. Hamkar on
December 2, 2008 to determine what that meant. (Id. ¶ 49.)

1 recommended that plaintiff get “the CT scan with IV contrast ASAP and get the report, get the
2 slides, and also I recommend that the patient getting panendo with biopsy including
3 tonsillectomy for evaluation and assessment of the primary. Should the primary stay unknown,
4 the patient is a candidate for a left modified radical neck dissection and radiation therapy to his
5 neck and primary.” The specialist reported that he would “make an urgent request to get the CT
6 scan, slides, and schedule the patient for the panendo.” (*Id.* ¶ 54; Dkt. 1-1, Ex. Y.)

7 On December 15, 2008, plaintiff was again seen by Dr. Hamkar. He reported that
8 he had found another tumor, and that Dr. Aouad had recommended immediate surgery before the
9 cancer could spread further. Dr. Hamkar made dismissive remarks. However, two days later, he
10 submitted a request for services for a “CT neck with contrast” for plaintiff at UCD Radiology.
11 However, the form was marked “routine” rather than “urgent.” (*Id.* at ¶ 55-56; Dkt. No. 1-1 at 16
12 (Ex. 1A).)

13 On January 5, 2009, plaintiff had a panendoscopy and tonsillectomy procedure for
14 evaluation of the primary source of the cancer. On January 7, 2009, he underwent an extended
15 modified radical neck dissection surgical procedure for squamous cell carcinoma of the left neck.
16 (*Id.* at ¶¶ 58-59; Dkt. No. 1-1 at 20-24 (Ex. 1C, 1D).)

17 II. Post-Surgery Allegations

18 On January 19, 2009, plaintiff was discharged from the hospital to the prison “in
19 good and stable condition” with his “pain . . . well controlled.” The discharge report stated that
20 he “tolerated a soft diet” and was to have a “non fat diet until next clinic visit.” (*Id.* at ¶ 60; Dkt.
21 No. 1-1 at 25 (Ex. 1D).) Medical notes indicated that plaintiff’s recovery had been complicated
22 by a “chyle leak with significant output,” which caused an accumulation of fluid in his neck. The
23 problem was treated and the drains were removed. (Dkt. No. 1-1 at 25 (Ex. 1D).) At discharge,
24 plaintiff was informed that he needed to elevate his head while sleeping so as not to complicate
25 his recovery. (*Id.* at ¶ 62.)

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1 A. Dr. Ma and Nurse Teachout

2 Two days after his discharge, plaintiff was seen by defendant Dr. Ma. He
3 informed Dr. Ma of his need for a non-fat, soft diet until his follow-up visit, and complained that
4 he had not eaten since his discharge as this diet was not being provided to him. He asked Dr.
5 Ma's assistance in acquiring this diet. Dr. Ma told plaintiff that he was aware of plaintiff's
6 condition and discharge instructions, but allegedly refused to place plaintiff on a special diet
7 stating, "the institution does not do special diets." (Id. at ¶¶ 66-68.) However, the physician's
8 order issued that day states that plaintiff was to have a non-fat diet until his next clinic visit "per
9 UCD recommendations" and that his head was to be elevated at a 30-degree angle with pillows.
10 (Dkt. No. 1-1 at 30 (Ex. 1E), 57 (Ex. 10).) Dr. Ma also issued a chrono authorizing plaintiff to
11 obtain two extra pillows. (Dkt. No 1-1 at 38 (1I).)

12 Later that day, plaintiff attempted to obtain his two extra pillows from defendant
13 Nurse Teachout. Teachout refused to provide him these pillows, allegedly stating that the
14 pillows were not "medical issues." Plaintiff notified Dr. Ma that he had not received the pillows
15 and that Teachout claimed it was a custody issue while custody claimed it was a medical issue.
16 Dr. Ma refused to help plaintiff get his pillows and directed plaintiff back to Nurse Teachout.
17 (Id. ¶¶ 69-70.) Plaintiff claims that as a result he was forced for over two weeks to "resort to
18 such measures as sleeping upright, and attempting to make makeshift pillows from blankets and
19 rolling up his mattress," because "his mouth consistently filled with phlegm and thick saliva as
20 he slept, causing gagging, choking and irritating the throat." (Id. ¶¶ 64-65.) Plaintiff eventually
21 received his extra pillows on February 19, 2009, when correctional staff conducted a cell check,
22 determined that he did not have his recommended pillows, and provided them. (Id. ¶ 70; Dkt.
23 No. 1-1 at 40-41 (Ex. 1J), 52 (Ex. 1M).)

24 As to plaintiff's medically recommended diet, plaintiff alleges that, around
25 January 23, 2009, Dr. Ma completed his research into plaintiff's diet issue and allegedly
26 "informed plaintiff that diets were issued [through] the chaplain for religious purposes."

1 However, Dr. Ma “prescribed a diet order to pharmacy” on a form stating: “Please give Mr.
2 Smith low fat/ no fat diet x 14 days.” (Id. ¶ 73; Dkt. No. 1-1 at 57 (Ex. 1O).) He also prescribed
3 plaintiff two cans, twice a day, of nutritional supplement until his special diet arrived. (Id. ¶ 74.)

4 A few days later, plaintiff filed a grievance for not getting his non-fat, soft diet
5 and pillows. In the course of responding to plaintiff’s inmate grievance, Dr. Ma stated on
6 February 25, 2009 (in records attached to the complaint):

7 I saw you in my office on January 22, 2009, and I spent a lot of
8 time explaining the diet issues. There is no special medical diet on
9 C-yard except for vegetarian diets for religious reasons. I offered
10 you to stay in OHU or CTC-1 in order to get the special diet, but
11 you refused and insisted on having the special diet in C-yard. You
12 claimed that you saw special diets were given to non-religious
people in the yard. For this, I spent additional extra time to
investigate. After contacting and talking to numerous people, it
was confirmed that there is no special diet for medical reason in C-
yard and that all-special diets are either related to religion or ethnic
reasons. The special diet has to be issued by a chaplain.

13 (Dkt. No 1-1 at 61 (Ex. 1P).)

14 On January 27, 2009, plaintiff was provided only four days’ worth of supplement
15 drink and complained about the shortage to Nurse Teachout. Teachout allegedly refused to
16 investigate. (Id. ¶¶ 76-77.)

17 On March 9, 2009, plaintiff began radiation therapy. (Id. ¶ 78.) On March 24,
18 2009, he was seen by Dr. Ma, who again prescribed a nutritional supplement, among other
19 actions. (Dkt. No. 1-1 at 73 (Ex. 1S).)

20 B. Nurse Sweeney

21 On April 1, 2009, plaintiff notified defendant Nurse Sweeney his supply of
22 supplement drinks would be depleted the next morning, said he would “need it by the following
23 morning as it was his only source of nutritional intake due to his inability to swallow.” (Cmplt.
24 at ¶ 79.) Sweeney allegedly “refused to assist plaintiff, stating it was not her job to call the
25 warehouse, that when they deliver it she will give it to him.” (Id. ¶ 80.)

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1 On April 3, 2009, plaintiff filed a “‘sick call slip’ in an attempt to acquire his
2 Nutrien 1.5 before the weekend.” That day, he was seen by Dr. Ma and Nurse Sweeney. He
3 informed them that he was having difficulty receiving his nutritional drinks. Nurse Sweeney
4 stated that shipments from the warehouse only came once a week, and he would have to wait
5 until Monday. Dr. Ma refused to ask pharmacy staff why plaintiff was not receiving his
6 prescribed nutritional drink and a mechanical soft diet. Plaintiff alleges that, as a result, he
7 continued to suffer hunger, weight loss, stomach pains, and dizziness from lack of the
8 supplement drink. (Id. ¶¶ 81-84.)

9 The following Monday, April 6, 2009, plaintiff received a case of the supplement
10 drinks. (Id. ¶ 86.) Between April 9, 2009 and May 12, 2009, plaintiff complained repeatedly to
11 Nurse Sweeney and Dr. Hamkar that he was not receiving enough nutritional supplement and
12 could not swallow solid food. (Id. ¶¶ 90-110.) In a grievance dated May 6, 2009, plaintiff stated:

13 Today is May 6, 2009 and I have not received my 42 cans of
14 Nutrien 1.5 for the week beginning May 4, 2009 to May 10, 2009.
15 I am supposed to drink 2 cans 3 times a day and these cans of
16 Nutrien 1.5 should come regularly every week without me
17 constantly reminding them of the fact. . . . I should not have to save
18 some drinks . . . to ensure that I have something to eat because it
19 almost inevitable that they will be short or won’t come at all. My
20 order is current and I am still having trouble eating solid food.

21 (Dkt. 1-1 at 108-109 (Ex. 2F).) In response, Nurse Sweeney wrote that plaintiff “continuously
22 verbalized to others that he was not receiving Nutrien drinks”; that the drinks were discontinued
23 and new orders were written for a substitute drink; and that on May 12, 009, plaintiff received the
24 substitute drink as ordered. (Id.)

25 On May 11, 2009, plaintiff filed another grievance addressing the claim that his
26 original nutritional drink had been discontinued.

[T]his fact may be true however they should have made some
arrangements to ensure that I have something to eat/drink during
this switch. . . . [Plaintiff stated that he informed Nurse Teachout
earlier that day that he was not receiving his nutritional drinks and
she told him to “keep putting 602’s into the warehouse because
they haven’t been sending your meal replacements or any one

1 else.” 602ing the warehouse makes no sense as they are not
2 informed of my health issues, and only follow the direct and
3 specific orders of medical. . . . [S]everal doctors and nurses
4 are/were aware of the discontinuance of a certain supplement prior
5 to me running completely out of them, and as I have reminded time
6 in and time out that everyone knows my situation . . . [Medical
7 staff] know I have extreme difficulty swallowing any sold food,
8 and did nothing to help me get these drinks during this period so I
9 may receive some form of nourishment.

6 (Dkt. No. 1-1- at 115-116 (Ex. 2H).) On May 12, 2009, plaintiff received 84 cans of the new
7 supplement, and on May 18, 2009, he received another 84 cans. (Cmplt. ¶¶ 110-111; Dkt. 1-1 at
8 113-114 (Ex. 2H).)

9 III. Procedural Background

10 Plaintiff filed the instant action on March 31, 2010. (Cmplt.) On July 28, 2010,
11 service was ordered upon defendants Nangalama, Hamkar, Ma, Teachout and Sweeney for
12 Eighth Amendment and state law negligence claims. (Dkt. No. 12, 22.) Four of the five
13 defendants (all but Sweeney) originally filed a motion to dismiss on November 18, 2010. (Dkt.
14 No. 26.) Plaintiff filed an opposition on December 14, 2010. (Dkt. No. 28.) These defendants
15 then filed an amended, identical motion to dismiss (now pending) on December 15, 2010. (See
16 Dkt. No. 41.) Plaintiff filed a response (opposition) on January 6, 2011 (Dkt. No. 39) and
17 defendants filed a reply on January 20, 2011. (Dkt. No. 42.)

18 In their opposition, defendants withdraw that portion of the motion to dismiss
19 concerning Teachout’s alleged failure to timely provide pillows to plaintiff. They continue to
20 seek dismissal of plaintiff’s claim concerning Teachout’s alleged failure to assist plaintiff in
21 obtaining a nutritional supplement drink after plaintiff’s surgery. (Dkt. No. 42 at 8.)

22 DISCUSSION

23 I. Legal Standard

24 In order to survive dismissal for failure to state a claim pursuant to Rule 12(b)(6),
25 a complaint must contain more than a “formulaic recitation of the elements of a cause of action;”
26 it must contain factual allegations sufficient to “raise a right to relief above the speculative

1 level.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544 (2007). “The pleading must contain
2 something more...than...a statement of facts that merely creates a suspicion [of] a legally
3 cognizable right of action.” Id., quoting 5 C. Wright & A. Miller, Federal Practice and Procedure
4 § 1216, pp. 235-236 (3d ed. 2004). “[A] complaint must contain sufficient factual matter,
5 accepted as true, to ‘state a claim to relief that is plausible on its face.’” Ashcroft v. Iqbal, ____
6 U.S. ____, 129 S.Ct. 1937, 1949 (2009) (quoting Twombly, 550 U.S. at 570, 127 S.Ct. 1955). “A
7 claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw
8 the reasonable inference that the defendant is liable for the misconduct alleged.” Id.

9 In considering a motion to dismiss, the court must accept as true the allegations of
10 the complaint in question, Hospital Bldg. Co. v. Rex Hospital Trustees, 425 U.S. 738, 740
11 (1976), construe the pleading in the light most favorable to the party opposing the motion and
12 resolve all doubts in the pleader’s favor. Jenkins v. McKeithen, 395 U.S. 411, 421, reh’g denied,
13 396 U.S. 869, 90 S. Ct. 35 (1969). The court will “‘presume that general allegations embrace
14 those specific facts that are necessary to support the claim.’” National Organization for Women,
15 Inc. v. Scheidler, 510 U.S. 249, 256 (1994), quoting Lujan v. Defenders of Wildlife, 504 U.S.
16 555, 561 (1992). Moreover, pro se pleadings are held to a less stringent standard than those
17 drafted by lawyers. Haines v. Kerner, 404 U.S. 519, 520 (1972).

18 The court may consider facts established by exhibits attached to the complaint.
19 Durning v. First Boston Corp., 815 F.2d 1265, 1267 (9th Cir. 1987). The court may also
20 consider facts which may be judicially noticed, Mullis v. United States Bankruptcy Ct., 828 F.2d
21 1385, 1388 (9th Cir. 1987); and matters of public record, including pleadings, orders, and other
22 papers filed with the court, Mack v. South Bay Beer Distributors, 798 F.2d 1279, 1282 (9th Cir.
23 1986). The court need not accept legal conclusions “cast in the form of factual allegations.”
24 Western Mining Council v. Watt, 643 F.2d 618, 624 (9th Cir. 1981).

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1 II. Eighth Amendment Claims

2 Plaintiff's cause of action against defendants is for deliberate indifference to
3 plaintiff's serious medical needs in violation of the Eighth Amendment.

4 Denial or delay of medical care for a prisoner's serious medical needs may
5 constitute a violation of the prisoner's Eighth and Fourteenth Amendment rights. Estelle v.
6 Gamble, 429 U.S. 97, 104-05 (1976). An individual is liable for such a violation only when the
7 individual is deliberately indifferent to a prisoner's known serious medical needs. Id.; see Jett v.
8 Penner, 439 F.3d 1091, 1096 (9th Cir. 2006); Hallett v. Morgan, 296 F.3d 732, 744 (9th Cir.
9 2002); Lopez v. Smith, 203 F.3d 1122, 1131-32 (9th Cir. 2000). To establish deliberate
10 indifference, an individual defendant must have "purposefully ignore[d] or fail[ed] to respond to
11 a prisoner's pain or possible medical need." McGuckin v. Smith, 974 F.2d 1050, 1060 (9th Cir.
12 1992) overruled on other grounds by WMX Techs., Inc. v. Miller, 104 F.3d 1133, 1136 (9th Cir.
13 1997). "Mere negligence in diagnosing or treating a medical condition, without more, does not
14 violate a prisoner's Eighth Amendment rights." Id. at 1059. "A difference of opinion between a
15 prisoner-patient and prison medical authorities regarding treatment does not give rise to a § 1983
16 claim." Franklin v. Or. State Welfare Div., 662 F.2d 1337, 1344 (9th Cir. 1981).

17 Furthermore, where a prisoner alleges that delay of medical treatment evinces
18 deliberate indifference, the prisoner must show that the delay caused "significant harm and that
19 Defendants should have known this to be the case." Hallett, 296 F.3d at 745-46; see McGuckin,
20 974 F.2d at 1060. Mere delay of medical treatment, "without more, is insufficient to state a
21 claim of deliberate medical indifference." Shapley v. Nev. Bd. of State Prison Comm'rs, 766
22 F.2d 404, 407 (9th Cir. 1985).

23 A. Dr. Nangalama

24 According to plaintiff's allegations, after reviewing plaintiff's file, Dr.
25 Nangalama erroneously concluded in September 2008 that plaintiff's cancer was benign, despite
26 the fact that, two months earlier, Dr. Polidore had urgently referred plaintiff to an outside

1 specialist for evaluation and treatment of his cancer. Dr. Nangalama stated that he did not know
2 why plaintiff was “down here,” apparently meaning that he did not know why plaintiff was in
3 Sacramento for an appointment with an ENT. That appointment was cancelled at the last minute
4 for security reasons unrelated to plaintiff. Dr. Nangalama noted that plaintiff had a follow up
5 appointment with an ENT scheduled for the following week; however, plaintiff left his office
6 with the impression that he was “going back to” Pelican Bay.

7 Certainly plaintiff’s previously diagnosed cancer qualifies a serious medical need.
8 However, despite Dr. Nangalama’s dismissive comments, plaintiff has not alleged that Dr.
9 Nangalama interfered with his scheduled ENT appointment. Rather, plaintiff alleges that,
10 following his appointment with Dr. Nangalama, he was referred to NP Bakewell, who confirmed
11 that plaintiff should attend his ENT evaluation. Due to scheduling and clerical errors and a
12 mistakenly cancelled appointment, plaintiff was not seen by an outside specialist until December
13 2008. (Cmplt. ¶¶ 27-54.) However, as it is not alleged that Dr. Nangalama’s actions were the
14 cause of this delay or ultimately affected the treatment plaintiff received, the Eighth Amendment
15 claim against him should be dismissed.

16 B. Dr. Hamkar

17 On both November 13, 2008 and December 15, 2008, Dr. Hamkar allegedly was
18 aware that plaintiff required an urgent appointment with an outside specialist for evaluation
19 and/or treatment of his cancer. In the first instance, Dr. Hamkar’s referral form was not clearly
20 marked “urgent” and plaintiff had to obtain another referral from him 19 days later. Similarly in
21 the second instance, Dr. Hamkar’s referral form was mistakenly marked “routine.” However,
22 “[m]ere negligence in . . . treating a medical condition, without more, does not violate a
23 prisoner’s Eighth Amendment rights.” McGuckin, supra, 974 at 1059. Moreover, despite these
24 errors, plaintiff was seen by an outside specialist on December 9, 2008 and January 5, 2009,
25 respectively. On January 7, 2009 he underwent a surgical procedure to remove the cancer in his
26 neck, and on January 19, 2008 he was discharged from the hospital in good condition. Thus the

1 complaint does not state an Eighth Amendment claim as to Dr. Hamkar’s pre-surgery actions.

2 Plaintiff also alleges that Dr. Hamkar and other medical staff failed to ensure that
3 plaintiff was provided enough nutritional supplement following his surgery, when he had
4 difficulty eating solid food. Specifically as to Dr. Hamkar, plaintiff alleges that,

5 [o]n or about April 9, plaintiff notified Dr. Hamkar that he was
6 hungry from the lack of food and the difficulty he was
7 experiencing with receiving his correct amount of Nutrien 1.5.
8 Plaintiff repeatedly complained of his hunger pains, the blisters in
9 his mouth, and the importance of receiving his Nutrien 1.5 before
10 the day expired.

11 (Cmplt. ¶ 90.) Plaintiff alleges that Dr. Hamkar did not investigate the problem, and “[a]s a
12 result plaintiff underwent seven more days without eating, resulting in hung[er] pains, weight
13 loss and stress.” (*Id.* ¶ 91.)

14 On April 15, 2009, a UC Davis nurse contacted Dr. Hamkar concerning the
15 availabililty of supplement drinks for plaintiff. Dr. Hamkar was allegedly dismissive, referring to
16 plaintiff and other inmates as “game players,” but said he would “see what [he could] sort out.”
17 (*Id.* ¶¶ 99-100; Dkt. No. 1-1 at 100 (Ex. 2C).) Later that day, plaintiff received 42 cans of his
18 supplement drink. (*Id.* ¶¶ 98-99; Dkt. No. 1-1 at 132 (Ex. 2B).)

19 Plaintiff further alleges that Dr. Hamkar and other medical staff knew in advance
20 that his supplement, Nutrien, was being discontinued, but failed to provide plaintiff any
21 alternative nutrition until the replacement supplement was delivered on May 12, 2009. (Cmplt.
22 ¶¶ 105, 108-110.) As a result, plaintiff did not have enough supplement from May 7 through
23 May 11, 2009, and suffered “pain from the blister in his mouth, starvation, stomach pains,
24 weakness and weight loss.” (*Id.* ¶ 108; Dkt. 1-1 at 108-109 (Ex. 2F); Dkt. 39 at 11.)

25 Construing the pleading in the light most favorable to plaintiff, the court finds that
26 plaintiff has stated an Eighth Amendment claim as to Dr. Hamkar’s, specifically that Dr. Hamkar
was deliberately indifferent to plaintiff’s dietary requirements during his recovery from cancer
surgery. *See Byrd v. Wilson*, 701 F.2d 592, 595 (6th Cir. 1983) (reversing district court’s

1 dismissal of deliberate indifference claim where inmate requiring special medical diet was denied
2 medication and special diet for two days).

3 C. Dr. Ma

4 Plaintiff alleges that Dr. Ma was deliberately indifferent in failing to provide
5 plaintiff a special non-fat diet after plaintiff's surgery. However, it appears from attachments to
6 the complaint that on January 22, 2009, Dr. Ma gave plaintiff the option of transferring to other
7 areas of the prison where special diets were available for non-religious reasons, and plaintiff
8 refused. (Dkt. No. 1-1 at 61 (1P).) On January 23, 2009, Dr. Ma prescribed plaintiff two cans,
9 twice a day, of nutritional supplement. (Cmplt. ¶ 74.) The court does not find that these
10 allegations state a claim of deliberate indifference as to plaintiff's special diet.

11 Plaintiff also alleges that Dr. Ma was deliberately indifferent by failing to provide
12 plaintiff's medically-ordered pillows after his discharge. Dr. Ma knew plaintiff required these
13 pillows per his discharge order, but allegedly did nothing to make sure that plaintiff received
14 them and instead referred him to Nurse Teachout, who had already refused to help him obtain the
15 pillows. Whether or not an outside medical specialist ordered the pillows connection with
16 plaintiff's post-surgical fluid retention, plaintiff alleges that, without the pillows, he repeatedly
17 gagged on phlegm and saliva at night for over two weeks until the pillows were provided.

18 It well-established that deliberate indifference to a prisoner's medical needs can
19 be demonstrated in multiple ways, as an Eighth Amendment violation may appear when prison
20 officials deny or delay access to medical care, or intentionally interfere with medical treatment
21 once prescribed. Estelle, supra, 429 U.S. at 104-105; Wakefield v. Thompson, 177 F.3d 1160,
22 1165 (9th Cir. 1999) ("Following Estelle, we have held that a prison official acts with deliberate
23 indifference when he ignores the instructions of the prisoner's treating physician or surgeon.");
24 see also Johnson v. Figueroa, No. 08-cv-1242-POR (JMA), 2011 WL 3702419 at **7-10
25 (S.D.Cal. Aug. 23, 2011) (finding plaintiff with stroke-related impairments stated medical
26 indifference claims under Rule 12(b)(6) against defendants who subjected plaintiff to black box

1 restraints and did not transport him in waist-chains, despite medical orders exempting plaintiff
2 from black box restraints and stating he was to be transported in waist-chains.) In Johnson, the
3 court also found that plaintiff stated a deliberate indifference claim as to a defendant nurse who
4 had access to plaintiff's medical files, was aware of plaintiff's serious medical condition, and
5 "knowingly refused to schedule Plaintiff for physical therapy appointments in accordance with
6 [doctor's] orders." Id. at *10.

7 Here, construing the pleadings in the light most favorable to plaintiff, the court
8 finds that plaintiff has alleged that Dr. Ma was deliberately indifferent concerning plaintiff's
9 post-surgical discharge order stating that plaintiff should sleep with his head elevated.

10 D. Nurse Teachout

11 Defendants have withdrawn their motion to dismiss the claim against Nurse
12 Teachout for failing to provide plaintiff with pillows after his surgery. (Dkt. No. 42 at 8.) At
13 issue is whether plaintiff has stated a claim under Rule 12(b)(6) concerning Nurse Teachout's
14 alleged failure to assist plaintiff in obtaining enough nutritional supplement. Plaintiff alleges
15 that, on January 27, 2009, Teachout refused to document or look into why plaintiff had only
16 received four days' worth of nutritional supplement. (Cmplt. ¶¶ 76-77.) Attachments to the
17 complaint further indicate that, on May 11, 2009, plaintiff complained to Teachout that he had
18 nothing to eat or drink after Nutrien was discontinued and shipments of the replacement drink
19 were delayed. Teachout advised him to keep putting requests into the warehouse, but did not do
20 anything further to assist him getting food or drink that he could eat during this period.
21 However, the next day, plaintiff received 84 cans of the new supplement. The court concludes
22 that these allegations are insufficient to plead deliberate indifference as to Teachout, and will
23 recommend dismissal of the supplement-related claim.

24 E. Qualified Immunity

25 As discussed above, the court finds that plaintiff has stated Eighth Amendment
26 claims as to defendants Hamkar and Ma. The court now turns to defense counsel's contention

1 that these defendants are entitled to qualified immunity. “Government officials enjoy qualified
2 immunity from civil damages unless their conduct violates ‘clearly established statutory or
3 constitutional rights of which a reasonable person would have known.’” Jeffers v. Gomez, 267
4 F.3d 895, 910 (9th Cir. 2001) (quoting Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982)). When a
5 court is presented with a qualified immunity defense, the central questions for the court are (1)
6 whether the facts alleged, taken in the light most favorable to the plaintiff, demonstrate that the
7 defendant’s conduct violated a statutory or constitutional right and (2) whether the right at issue
8 was “clearly established.” Saucier v. Katz, 533 U.S. 194, 201 (2001).

9 Although the court was once required to answer these questions in order, the
10 United States Supreme Court has clarified that “while the sequence set forth there is often
11 appropriate, it should no longer be regarded as mandatory.” Pearson v. Callahan, 555 U.S. 223,
12 236 (2009). In this regard, if a court decides that plaintiff’s allegations do not make out a
13 statutory or constitutional violation, “there is no necessity for further inquiries concerning
14 qualified immunity.” Saucier, 533 U.S. at 201. Likewise, if a court determines that the right at
15 issue was not clearly established at the time of the defendant’s alleged misconduct, the court may
16 end further inquiries concerning qualified immunity without determining whether the allegations
17 in fact make out a statutory or constitutional violation. Pearson, 555 U.S. at 236–42.

18 Here, plaintiff alleges that defendants were deliberately indifferent to his
19 medically-ordered requirements for post-surgical nutrition and care. At this juncture, the court
20 cannot say what admissible evidence will ultimately prove. At the pleading stage, however, the
21 court must accept plaintiff’s allegations as true. As noted above, if proven, plaintiff’s allegations
22 are sufficient to establish that the defendants were deliberately indifferent to his serious medical
23 needs in violation of the Eighth Amendment. Moreover, having determined that defendants’
24 alleged conduct is sufficient to violate the Eighth Amendment, the court observes that by 2008,
25 “the general law regarding the medical treatment of prisoners was clearly established,” and “it
26 was also clearly established that [prison staff] could not intentionally deny or delay access to

1 medical care.” Clement v. Gomez, 298 F.3d 989, 906 (9th Cir. 2002). In this regard, any
2 reasonable prison official should have known that ignoring plaintiff’s needs for post-surgical
3 care, in light of his medical condition and contrary to his doctors’ medical recommendations,
4 violated the Eighth Amendment.

5 Accordingly, defendants’ motion to dismiss based on the affirmative defense of
6 qualified immunity should also be denied.⁶

7 III. State Negligence Claims

8 Plaintiff also asserts negligence claims against defendants arising under California
9 based on the same allegations that underlie his federal constitutional claims.

10 Where a federal court has jurisdiction pursuant to 42 U.S.C. § 1983, the court may
11 exercise “pendent” or “supplemental” jurisdiction over closely related state law claims asserted
12 in the complaint. See Bahrampour v. Lampert, 356 F.3d 969, 978 (9th Cir. 2004) (citing 28
13 U.S.C. § 1367(a)). “[A] plaintiff’s pendent state law claims against a state or state employees are
14 barred unless the plaintiff has complied with the requirements of the California Tort Claims Act
15 (‘CTCA’) before commencing the civil action.” Wayne v. Leal, 2009 WL 2406299, at *7 (S.D.
16 Cal. 2009) (citing Ortega v. O’Connor, 764 F.2d 703, 707 (9th Cir.1985), rev’d in part on other
17 grounds, 480 U.S. 709 (1987)); see Karim-Panahi v. Los Angeles Police Dept., 839 F.2d 621,
18 627 (9th Cir. 1988) (affirming dismissal of pendent state law claims against public employee
19 where plaintiff failed to allege compliance with the CTCA). The CTCA applies to claims
20 brought against state employees “for injury resulting from an act or omission in the scope of his
21 employment as a public employee,” Cal. Gov’t Code § 950.2, and requires that tort claims
22 against state employees be presented to the California Victim Compensation and Government
23 Claims Board (Board), formerly known as the State Board of Control, no more than six months
24

25 ⁶ Defendants are free to raise the affirmative defense of qualified immunity at the
26 summary judgment stage of this action based upon evidence adduced through discovery or
otherwise obtained.

1 after the cause of action accrues, Cal. Gov't Code §§ 910, 911.2.

2 Here, plaintiff filed a claim with the Board on March 20, 2009. On May 28, 2009,
3 the Board mailed him a notice stating that they had rejected his claim. The notice stated that
4 plaintiff had “six months from the date this notice was . . . deposited in the mail to file a court
5 action on this claim.” (Cmpl., Ex. A.) Pursuant to Cal. Gov't Code § 945.6(a)(1), to be timely,
6 an action must be commenced within 182 days or six months after notice of rejection of the
7 claim is served or placed in the mail, whichever period is longer. *Gonzales v. County of Los*
8 *Angeles*, 199 Cal. App. 3d, 601, 614 (1988). However, Smith did not constructively file the
9 instant action until nearly ten months later, on March 24, 2010 (per the mailbox rule). While the
10 district court has discretion to exercise jurisdiction over supplemental state law claims, such
11 discretion can only be exercised if the claim is timely brought under California law. Thus, the
12 undersigned concludes that plaintiff's state law claims should be dismissed.

13 ACCORDINGLY, IT IS HEREBY ORDERED THAT the Clerk of Court shall
14 assign a district judge to this action.

15 IT IS HEREBY RECOMMENDED THAT:

16 1. Defendants' December 15, 2010 motion to dismiss be granted in part and
17 denied in part, as follows:

18 a. Granted as to Nangalama, Hamkar (pre-surgery claim), Ma (special diet
19 claim), and Teachout;

20 b. Denied as to Hamkar (nutritional supplement claim) and Ma (pillow
21 claim).

22 These findings and recommendations are submitted to the United States District
23 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-
24 one days after being served with these findings and recommendations, any party may file written
25 objections with the court and serve a copy on all parties. Such a document should be captioned
26 “Objections to Magistrate Judge's Findings and Recommendations.” Any reply to the objections

1 shall be served and filed within fourteen days after service of the objections. The parties are
2 advised that failure to file objections within the specified time may waive the right to appeal the
3 District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

4 Dated: February 6, 2012

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6 CAROLYN K. DELANEY
7 UNITED STATES MAGISTRATE JUDGE

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smit0762.mtd

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