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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

ANTHONY R. TURNER,

Plaintiff,

No. 2: 10-cv-1848 MCE KJN P

vs.

WARDEN SALINAS, et al.,

Defendants.

FINDINGS & RECOMMENDATIONS

I. Introduction

Plaintiff is a state prisoner, proceeding without counsel, with a civil rights action pursuant to 42 U.S.C. § 1983. Pending before the court are cross-motions for summary judgment filed by plaintiff and defendants Hall and Colon.¹ After carefully reviewing the record, the undersigned recommends that defendants' motion be granted in part and denied in part, and that plaintiff's motion be denied.

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¹ The other named defendants have been dismissed.

1 II. Legal Standard for Summary Judgment

2 Summary judgment is appropriate when a moving party establishes that the
3 standard set forth in Federal Rule of Civil Procedure 56(c) is met. “The judgment sought should
4 be rendered if . . . there is no genuine issue as to any material fact, and that the movant is
5 entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(c).

6 Under summary judgment practice, the moving party
7 always bears the initial responsibility of informing the district court
8 of the basis for its motion, and identifying those portions of “the
9 pleadings, depositions, answers to interrogatories, and admissions
on file, together with the affidavits, if any,” which it believes
demonstrate the absence of a genuine issue of material fact.

10 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). “[W]here the nonmoving party will bear the
11 burden of proof at trial on a dispositive issue, a summary judgment motion may properly be made
12 in reliance solely on the ‘pleadings, depositions, answers to interrogatories, and admissions on
13 file.’” Id. Indeed, summary judgment should be entered, after adequate time for discovery and
14 upon motion, against a party who fails to make a showing sufficient to establish the existence of
15 an element essential to that party’s case, and on which that party will bear the burden of proof at
16 trial. See id. at 322. “[A] complete failure of proof concerning an essential element of the
17 nonmoving party’s case necessarily renders all other facts immaterial.” Id. at 323. In such a
18 circumstance, summary judgment should be granted, “so long as whatever is before the district
19 court demonstrates that the standard for entry of summary judgment, as set forth in Rule 56(c), is
20 satisfied.” Id.

21 If the moving party meets its initial responsibility, the burden then shifts to the
22 opposing party to establish that a genuine issue as to any material fact actually exists. See
23 Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to
24 establish the existence of such a factual dispute, the opposing party may not rely upon the
25 allegations or denials of its pleadings but is required to tender evidence of specific facts in the
26 form of affidavits, and/or admissible discovery material, in support of its contention that such a

1 dispute exists. See Fed. R. Civ. P. 56(e); Matsushita, 475 U.S. at 586 n.11. The opposing party
2 must demonstrate that the fact in contention is material, i.e., a fact that might affect the outcome
3 of the suit under the governing law, see Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248
4 (1986); T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir.
5 1987), and that the dispute is genuine, i.e., the evidence is such that a reasonable jury could
6 return a verdict for the nonmoving party, see Wool v. Tandem Computers, Inc., 818 F.2d 1433,
7 1436 (9th Cir. 1987).

8 In the endeavor to establish the existence of a factual dispute, the opposing party
9 need not establish a material issue of fact conclusively in its favor. It is sufficient that “the
10 claimed factual dispute be shown to require a jury or judge to resolve the parties’ differing
11 versions of the truth at trial.” T.W. Elec. Serv., 809 F.2d at 630. Thus, the “purpose of summary
12 judgment is to ‘pierce the pleadings and to assess the proof in order to see whether there is a
13 genuine need for trial.’” Matsushita, 475 U.S. at 587 (quoting Fed. R. Civ. P. 56(e) advisory
14 committee’s note on 1963 amendments).

15 In resolving a summary judgment motion, the court examines the pleadings,
16 depositions, answers to interrogatories, and admissions on file, together with the affidavits, if
17 any. Fed. R. Civ. P. 56(c). The evidence of the opposing party is to be believed. See Anderson,
18 477 U.S. at 255. All reasonable inferences that may be drawn from the facts placed before the
19 court must be drawn in favor of the opposing party. See Matsushita, 475 U.S. at 587.
20 Nevertheless, inferences are not drawn out of the air, and it is the opposing party’s obligation to
21 produce a factual predicate from which the inference may be drawn. See Richards v. Nielsen
22 Freight Lines, 602 F. Supp. 1224, 1244-45 (E.D. Cal. 1985), aff’d, 810 F.2d 898, 902 (9th Cir.
23 1987). Finally, to demonstrate a genuine issue, the opposing party “must do more than simply
24 show that there is some metaphysical doubt as to the material facts . . . Where the record taken
25 as a whole could not lead a rational trier of fact to find for the nonmoving party, there is no
26 ‘genuine issue for trial.’” Matsushita, 475 U.S. at 586 (citation omitted).

1 III. Discussion

2 A. Background

3 On July 25, 2011, plaintiff filed a summary judgment motion regarding his claims
4 against defendant Colon. (Dkt. No. 69.) On July 27, 2011, plaintiff filed a summary judgment
5 regarding his claims against defendant Hall. (Dkt. No. 70.) On August 25, 2011, defendants
6 filed their summary judgment motion. (Dkt. No. 71.) On August 11, 2011, defendants filed
7 oppositions to plaintiff's motions. (Dkt. Nos. 72, 73.) On August 18, 2011, plaintiff filed an
8 opposition to defendants' motion. (Dkt. No. 74.)

9 On September 2, 2011, defendants filed a reply to plaintiff's opposition. (Dkt.
10 No. 75.) Defendants' reply contained new evidence, i.e., the declarations of defendants Hall and
11 Colon. When new evidence is presented in a reply, the court should not consider the new
12 evidence without giving the non-moving party an opportunity to respond. Provenz v. Miller, 102
13 F.3d 1478, 1483 (9th Cir. 1996). Accordingly, on September 7, 2011, the undersigned granted
14 plaintiff twenty-one days to file a supplemental opposition. On September 19, 2011, plaintiff
15 filed a supplemental opposition. (Dkt. No. 78.) On September 21, 2011, defendants filed a reply
16 to plaintiff's supplemental opposition. (Dkt. No. 79.)

17 B. Plaintiff's Claims

18 This action is proceeding on the amended complaint filed August 24, 2010.

19 Plaintiff alleges that he was transferred from the Yolo County Jail to the Deuel
20 Vocational Institution ("DVI"). Upon his arrival at DVI, Yolo County sheriffs deputies allegedly
21 told officers at DVI that plaintiff was a "legal beagle" and that they should watch him.

22 Plaintiff alleges that on May 26, 2010, he was deprived of his right to a daily
23 shower by defendant Hall. Plaintiff also alleges that continuing after May 5, 2010, defendant
24 Hall withheld plaintiff's legal mail, rerouted his regular mail and prevented plaintiff from
25 receiving mail. Plaintiff also claims that defendant Hall removed plaintiff's name from the list
26 for law library access. Plaintiff alleges that defendant Hall took all of the actions described

1 above in retaliation for plaintiff being a “legal beagle.”

2 Plaintiff alleges that on June 25, 2010, defendant Colon deliberately slammed the
3 steel door of plaintiff’s cell on plaintiff, causing pain to plaintiff’s shoulder and ankle.

4 Plaintiff seeks compensatory, punitive and nominal damages, declaratory relief
5 and unspecified injunctive relief.

6 C. Claims Against Defendant Colon

7 *Legal Standard for Eighth Amendment*

8 “[T]he unnecessary and wanton infliction of pain ... constitutes cruel and unusual
9 punishment forbidden by the Eighth Amendment.” Whitley v. Albers, 475 U.S. 312, 319 (1986).
10 “The Eighth Amendment's prohibition of cruel and unusual punishments necessarily excludes
11 from constitutional recognition de minimis uses of physical force, provided that the use of force
12 is not of a sort repugnant to the conscience of mankind.” Wilkins v. Gaddy, 130 S. Ct. 1175,
13 1178 (2010) (quoting Hudson v. McMillian, 503 U.S. 1, 9 (1992)) (internal quotations omitted).

14 Not “every malevolent touch by a prison guard gives rise to a federal cause of
15 action.” Hudson, 503 U.S. at 9. As the Supreme Court recently explained in Wilkins:

16 The ‘core judicial inquiry’ ... [is] not whether a certain quantum of
17 injury was sustained, but rather ‘whether force was applied in a
18 good-faith effort to maintain or restore discipline, or maliciously
19 and sadistically to cause harm.’ ... This is not to say that the
20 “absence of serious injury” is irrelevant to the Eighth Amendment
21 inquiry. ‘[T]he extent of injury suffered by an inmate is one factor
22 that may suggest ‘whether the use of force could plausibly have
23 been thought necessary’ in a particular situation.’ The extent of
24 injury may also provide some indication of the amount of force
25 applied.

22 ...

23 Injury and force, however, are only imperfectly correlated, and it is
24 the latter that ultimately counts. An inmate who is gratuitously
25 beaten by guards does not lose his ability to pursue an excessive
26 force claim merely because he has the good fortune to escape
without serious injury. Accordingly, the Court concluded in
Hudson that the supposedly ‘minor’ nature of the injuries
‘provide[d] no basis for dismissal of [Hudson's] § 1983 claim’
because ‘the blows directed at Hudson, which caused bruises,

1 swelling, loosened teeth, and a cracked dental plate, are not de
2 minimis for Eighth Amendment purposes.’ 503 U.S. at 10.

3 130 S. Ct. at 1178–1179 (some internal citations omitted).

4 *Analysis*

5 It is undisputed that on June 25, 2010, defendant Colon was operating the controls
6 for plaintiff’s cell door.

7 Citing 42 U.S.C. § 1997(e)(e), defendant Colon first moves for summary
8 judgment on grounds that plaintiff suffered no physical injury. This section provides that, “[n]o
9 federal action may be brought by a prisoner confined in a . . . prison . . . , for mental or emotional
10 injury suffered while in custody without a prior showing of physical injury.”

11 The physical injury requirement only applies to claims for mental and emotional
12 injuries and does not bar an action for a violation of a constitutional right. See Oliver v. Keller,
13 289 F.3d 623, 630 (9th Cir. 2002).

14 Although hardly a model of clarity, Oliver determined that §
15 1997e(e) does not apply to claims for compensatory damages not
16 premised on emotional injury, suggesting that the violation of a
17 constitutional right has a compensatory value regardless of what
18 the physical/emotional injuries are. Oliver, 289 F.3d at 630. In
19 other words, damages would be available for a violation of
20 Cockcroft’s Eighth Amendment rights without regard to his ability
21 to show a physical injury. Oliver made this point in holding that “ §
22 1997e(e) applies only to claims for mental and emotional injury.
23 To the extent that appellant’s claims for compensatory, nominal or
24 punitive damages are premised on alleged Fourteenth Amendment
25 violations, and not on emotional or mental distress suffered as a
26 result of those violations, § 1997e(e) is inapplicable and those
claims are not barred.” Oliver, 289 F.3d at 630. The fact that
Cockcroft never suffered any physical injury as a result of Linfor’s
alleged acts may make his Eighth Amendment claim of very little
financial value but does not make the claim non-existent.

23 Cockcroft v. Kirkland, 548 F.Supp.2d 767, 776-77 (N.D. Cal. 2008).

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1 In this action, plaintiff seeks compensatory damages for the pain he allegedly
2 suffered as a result of defendant Colon allegedly slamming the cell door on his body. Pursuant to
3 Oliver, as explained by the district court in Cockcroft, plaintiff’s Eighth Amendment claim is not
4 barred by 42 U.S.C. § 1997e(e).

5 As noted above, the Supreme Court has found that the absence of injury is
6 relevant in determining the existence of an Eighth Amendment claim. In the summary judgment
7 motion, the only evidence offered by defendants that plaintiff suffered no physical injuries are
8 entries from plaintiff’s medical records from June 22, 2010, and July 13, 2010. Defendants
9 contend that on both dates, plaintiff complained of injuries to his back, wrist and arm which were
10 caused by a car accident one year earlier. Defendants argue that on July 13, 2010, plaintiff did
11 not complain of injuries caused by defendant Colon.

12 However, defendants have not demonstrated that these are plaintiff’s only medical
13 records from that period of time. The undersigned cannot find that plaintiff suffered no physical
14 injury based on these selected entries from plaintiff’s medical records.

15 In his amended complaint, plaintiff alleges that he suffered “serious physical
16 injuries of pain . . .” (Dkt. No. 13 at 17.) Attached to plaintiff’s opposition are several medical
17 records. (Dkt. No. 74 at 16-33.) After reviewing these records, the undersigned cannot find any
18 entries concerning injuries suffered by plaintiff as a result of defendant Colon allegedly
19 slamming the cell door on him. Many of these records are from before June 25, 2010.

20 Although plaintiff offers no medical records in support of his claim that he
21 suffered “serious . . . pain,” the undersigned finds that plaintiff’s claim of “serious . . . pain” is
22 sufficient to overcome an argument by defendants that plaintiff has not demonstrated an Eighth
23 Amendment violation due to the absence of injury.

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1 Defendants also argue that defendant Colon is entitled to summary judgment
2 because an investigation into plaintiff's claims found that defendant had not violated any
3 department policies. In support of this argument, defendants refer to the response to plaintiff's
4 staff complaint against defendant Colon by the Associate Warden. (Dkt. No. 71-4 at 23-25.) In
5 this response, a box is checked next to the statement, "The inquiry is complete. It was
6 determined that staff did not violate CDCR policy with respect to one or more of the issues
7 raised." (Id. at 24.) An internal investigation finding that defendant Colon did not violate the
8 policy of the California Department of Corrections and Rehabilitation ("CDCR") does not
9 demonstrate that defendant did not violate plaintiff's Eighth Amendment rights.

10 In the reply, defendants move for summary judgment on grounds that defendant
11 Colon did not act maliciously and sadistically to cause plaintiff harm. In support of this claim,
12 defendants cite defendant Colon's declaration attached to the reply where he states, in relevant
13 part,

14 2. For approximately three or four months in 2010, I was assigned to
15 the F-wing housing unit at DVI. During this time, I worked as
16 the housing officer. The control booth was an elevated space on
17 the second tier of the housing unit. There were three tiers in that
18 housing unit. Located in the control booth was a panel with
19 buttons controlling the cell doors to each cell in the housing unit.
20 For each of the approximately 120 cells, there were two buttons.
21 One button would light up red and the other button would light up
22 green. When the button was lit red, that meant that the door to that
23 cell was closed. When the other button was lit green, that meant
24 that the cell door was open.

25 3. To open a cell a cell door, the control booth officer could press
26 the button that was lit red. This would unlock the door and it
would then slide open. Once it was fully locked open, the other
button would then light green. To then close the door, the control
booth officer could press the button that was lit green. Once it was
fully locked closed, the other button would then light red. It would
take a door approximately two seconds to open or close fully.

4. The control booth officer had the ability to open and close all
cell doors in the F-Wing at once or he could open and close
individual doors.

1 5. During the brief time of approximately two seconds when a cell
2 door was opening or closing, neither button on the panel in the
control booth would be lit.

3 6. The cell doors worked on air pressure. When released to either
4 be opened or closed, the door would make a slight hissing sound,
5 indicating to the inmates in the cell that the door was about to open
6 or close. The door would then move fairly slowly. A door being
7 closed would stop if it struck a person and it was possible for a
8 person to stop a door from closing by grabbing it with his hand.

9 7. In addition to the panel in the control booth, cell doors could be
10 opened by correctional staff with a key to the cell.

11 8. Throughout my time as an officer in F-Wing, cell 115 had a
12 door that regularly malfunctioned. Cell 115 was on the first tier.
13 The cause of the malfunction was unknown to me. The
14 malfunction would result in the door not opening when the red
15 button was pushed and not closing when the green button was
16 pushed. Frequently, neither button would be lit up for extended
17 periods of time, thus making it impossible for the control booth
18 officer to determine whether the door to cell 115 was open or
19 closed. Because cell 115 could not be seen from the control booth,
20 it was impossible for the control booth officer to visually see
21 whether the door was open or closed.

22 9. There were two methods for dealing with the malfunctioning
23 door on cell 115. When neither button on the panel was lit up, the
24 control booth officer could alternate between rapidly pressing each
25 button. This would regularly fix whatever the problem was and
26 cause the door to either open or close, depending on what was
desired. The other method of dealing with the door was to simply
have one of the correctional officers on the first tier use a key to
open or close cell 115.

10. On June 25, 2010, I was the housing officer in F-Wing. Using
the panel in the control booth, I pressed the buttons necessary to
open the cells in the unit so that the inmates could leave for
breakfast. However, cell 115's buttons did not light up. I
therefore presumed, since neither button had lit, that the door was
not open. At this moment, there were no correctional officers on
the first tier to open cell 115 with a key. I therefore pressed the
two buttons on the panel for cell 115 in rapid succession in an
attempt to open the cell door. Neither button lit up and I could not
see the cell door.

11. As I pressed the two buttons for cell 115, I heard one of the
inmates in cell 115, Anthony Turner, yell out indicating that the
door was open. I therefore called out to him that I was sorry.
A moment later, Turner had exited the cell and come into my view.
He was angry and yelling obscenities up at me. I again apologized.

1 When he continued his verbal abuse, I instructed him to proceed to
2 breakfast. He then walked off to breakfast. Some time later, after
breakfast, Turner returned to the housing unit.

3 12. At no time on June 25, 2010, did Turner express to me that he
4 had suffered any injuries as a result of the door on his cell. No
injuries were visible to me and I witnessed him walk both to and
5 from breakfast without any difficulty.

6 (Dkt. No. 75-2.)

7 Plaintiff's version of events is discussed in his verified summary judgment
8 motion. Plaintiff states that his cellmate, Anthony Hopkins, had to "intervene" and hold the cell
9 door open from a "second assault" while plaintiff tried to get up off the floor. (Dkt. No. 69 at 3.)
10 Plaintiff claims that when he told defendant that he had slammed the door on his shoulder,
11 defendant Colon responded, "Shut the fuck up and take your ass to chow or you will not eat."
12 (Id. at 3-4.)

13 Plaintiff also states that defendant Colon's acts were "carried out in an oppressive,
14 fraudulent, malicious, vexatious, *deliberate*, cold, callous and *intentional manner* in order to
15 injure and damage plaintiff . . ." (Id., at 5.) In the operative amended complaint, plaintiff alleges
16 that defendant Colon "*knowingly*" slammed the door on plaintiff's right shoulder and ankle.
17 (Dkt. No. 13 at 17.) Based on these statements, the undersigned finds that plaintiff is claiming
18 that defendant Colon could see plaintiff's cell when the door allegedly closed on plaintiff.²

19 Whether defendant Colon could see plaintiff's cell at the time of the incident, and
20 thus purposefully "slammed" the cell door on plaintiff, is a disputed material fact. If plaintiff's
21 version of events is true, and defendant Colon was able to see plaintiff's cell, then it may be
22 possible to find that defendant acted maliciously and sadistically when he caused the door to
23 close, at least twice, as plaintiff exited the cell. If defendant's version of events is true, and he
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25 ² In his administrative appeals regarding this matter, plaintiff also claimed that defendant
26 Colon "saw him exiting his cell and he pushed the door button repeatedly which 'slammed' the
cell door" on plaintiff. (Dkt. No. 69 at 8.)

1 could not see plaintiff's cell and was only trying to open the door so that plaintiff could get to
2 breakfast, the undersigned would find that defendant did not act maliciously and sadistically.
3 Defendants did not provide a diagram demonstrating that plaintiff's cell could not be seen from
4 the control booth. Had such a diagram been provided, the court may have been able to resolve
5 this claim.

6 Whether the cell door was capable of causing the pain plaintiff alleges he suffered
7 is also a disputed material fact. According to defendant, cell doors close "fairly slowly."
8 Defendant also claims that the cell doors stop if they strike a person and that it is possible for a
9 person to stop a door from closing by grabbing it with his hand. According to plaintiff, the
10 impact from the cell door caused him to fall to the floor and suffer great pain. This claim
11 conflicts with defendant Colon's description of how the cell door operates. In other words, if the
12 cell door moves "slowly" and stops if it "strikes" a person, it seems unlikely that plaintiff would
13 have fallen to the floor and suffered "serious . . . pain" when the door began to move when he
14 was in its path.

15 Based on these disputed material facts, neither plaintiff nor defendant should be
16 granted summary judgment as to plaintiff's Eighth Amendment claim.

17 Defendants also move for summary judgment on the grounds that defendant
18 Colon is entitled to qualified immunity. "The doctrine of qualified immunity protects
19 government officials from liability for civil damages insofar as their conduct does not violate
20 clearly established statutory or constitutional rights of which a reasonable person would have
21 known." Pearson v. Callahan, 555 U.S. 223, 231 (2009). The defendant bears the burden of
22 establishing qualified immunity. Crawford-El v. Britton, 523 U.S. 574, 586-87 (1998).

23 The Supreme Court, in Saucier v. Katz, 533 U.S. 194 (2001), outlined a two-step
24 approach to qualified immunity. The first step requires the court to ask whether "[t]aken in the
25 light most favorable to the party asserting the injury, do the facts alleged show the officer's
26 conduct violated a constitutional right?" Saucier, 533 U.S. at 201. If the answer to the first

1 inquiry is yes, the second inquiry is whether the right was clearly established: in other words,
2 “whether it would be clear to a reasonable officer that his conduct was unlawful in the situation
3 he confronted.” Saucier, 533 U.S. at 201.

4 Taken in the light most favorable to plaintiff, the undersigned finds that defendant
5 Colon’s alleged conduct violated plaintiff’s constitutional rights. The undersigned finds that it
6 would be clear to a reasonable officer that intentionally “slamming” a cell door on an inmate was
7 unlawful. Accordingly, defendant Colon is not entitled to qualified immunity.

8 The undersigned also observes that in his declaration, defendant Colon states that
9 the door to plaintiff’s cell regularly malfunctioned. Defendant also states that after plaintiff
10 yelled that the cell door was open, defendant yelled that he was sorry. Defendant again
11 apologized when plaintiff came into his view and began yelling at him. It is unclear if these
12 statements are a concession by defendant that the cell door closed on plaintiff, possibly as a result
13 of the malfunctioning door. These statements in defendant’s declaration suggest that plaintiff’s
14 claim may alternatively proceed on an Eighth Amendment theory of unsafe conditions.

15 Prisoners alleging Eighth Amendment violations based on unsafe conditions must
16 demonstrate that prison officials were deliberately indifferent to their health or safety by
17 subjecting them to a substantial risk of serious harm. Farmer v. Brennan, 511 U.S. 825, 833
18 (1994). “For a claim . . . based on a failure to prevent harm, the inmate must show that he is
19 incarcerated under conditions posing a substantial risk of serious harm.” Id. at 834. The prisoner
20 must also demonstrate that the defendant had a “sufficiently culpable state of mind.” Id. This
21 standard requires that the official be subjectively aware of the risk; it is not enough that the
22 official objectively should have recognized the danger but failed to do so. Id. at 838. “[T]he
23 official must both be aware of facts from which the inference could be drawn that a substantial
24 risk of harm exists, and he must also draw the inference.” Id. at 837. “[A]n official’s failure to
25 alleviate a significant risk that he should have perceived but did not . . .” does not rise to the
26 level of constitutionally deficient conduct. Id. at 838. “[I]t is enough that the official acted or

1 failed to act despite his knowledge of a substantial risk of serious harm.” Id. at 842. If the risk
2 was obvious, the trier of fact may infer that a defendant knew of the risk. Id. at 840-42.

3 “[D]eliberate indifference entails something more than mere negligence ... [but] is
4 satisfied by something less than acts or omissions for the very purpose of causing harm or with
5 knowledge that harm will result.” Hearns v. Terhune, 413 F.3d 1036, 1040 (9th Cir. 2005),
6 quoting Farmer, supra, 511 U.S. at 835. Prison officials display a deliberate indifference to an
7 inmate’s well-being when they consciously disregard an excessive risk of harm to that inmate’s
8 health or safety. Farmer, 511 U.S. at 837-838.

9 The undersigned will not address the issue of unsafe conditions because neither
10 party has briefed this issue.³

11 D. Claims Against Defendant Hall

12 *Legal Standards for Retaliation*

13 In Rhodes v. Robinson, 408 F.3d 559 (9th Cir. 2005), the Ninth Circuit set forth
14 the five basic elements of a First Amendment retaliation claim:

15 (1) An assertion that a state actor took some adverse action against
16 an inmate (2) because of (3) that prisoner's protected conduct, and
17 that such action (4) chilled the inmate's exercise of his First
18 Amendment rights, and (5) the action did not reasonably advance a
19 legitimate correctional goal.

20 408 F.3d at 567-68.

21 *Analysis*

22 Defendants argue that defendant Hall is entitled to summary judgment because
23 there is no evidence that he retaliated against plaintiff. In the summary judgment motion, the
24 evidence upon which defendants primarily rely in support of this argument is from administrative

25 ³ In his declaration, defendant states that rapidly pushing the red and the green button
26 “regularly” fixed the problem and caused the door to plaintiff’s cell to open or close. However, it
is unclear from defendant’s declaration whether this “solution” always worked, or whether there
occasions where plaintiff’s cell door did not open or close correctly despite rapidly pressing both
buttons.

1 appeals. For example, defendants cite a First Level Appeal Response by Correctional Sergeant
2 Talisayan which concluded that defendant Hall did not deny plaintiff a shower. (Dkt. No. 71-4 at
3 8.) Correctional Sergeant Talisayan reached this conclusion after reviewing log books. (Id.)

4 The “statements” in the administrative appeals by the investigating prison officials
5 are not verified. In addition, some of the “statements” contain hearsay. For these reasons, the
6 undersigned cannot consider these appeals in evaluating defendants’ summary judgment motion.

7 Attached to defendants’ reply is the declaration of defendant Hall. In this
8 declaration, defendant Hall addresses plaintiff’s allegations of retaliation:

9 2. In May 2010, I was assigned to the F-Wing housing unit at DVI.
10 The F-Wing contains three tiers (or floors) of cells. There are
11 approximately 120 total cells in the F-Wing. Each cell is generally
12 occupied by two inmates. Thus, there are approximately 240
13 inmates housed in F-Wing at any given time, with approximately
14 70 to 80 inmates housed on each tier.

15 3. I worked the shift known as third watch (2:00 p.m. to 10:00
16 p.m.). On May 26, 2010, during second watch (6:00 a.m. to 2:00
17 p.m.), the inmates housed in F-Wing’s first tier and half of the
18 second tier were permitted to shower. One inmate housed in the
19 first tier of that unit, Anthony Turner, was in the law library during
20 the time when his tier was permitted to shower.

21 4. At approximately 2:20 p.m. on May 26, 2010, I was in the F-
22 Wing when there was an incident in the prison’s H-Wing housing
23 unit. As a consequence, staff was summoned from the F-Wing to
24 assist in responding to this incident and conducting searches. This
25 left only one officer on duty in the F-Wing. Because only one staff
26 person was on duty, inmates had to remain in their cells and did not
have the opportunity to take showers.

5. At approximately 7:50 p.m., the program in F-Wing returned to
normal. Inmates in the third tier were then permitted to shower.
Afterwards, inmates in the second tier who had not had the
opportunity to shower earlier in the day were permitted to shower.
Due to the limited amount of time, and the large number of inmates
to shower, the inmates on the first tier who had been in the law
library when their tier had the opportunity to shower earlier in the
day, did not get the opportunity to shower.

6. Mail in the F-Wing was distributed to inmates prior to the
evening meal (at approximately 3:00 to 4:00 p.m.) and again after
the evening meal (at approximately 8 p.m.). Inmate mail is
distributed by officers in the housing unit. When an officer has

1 mail for an inmate, he goes to that inmate's cell, asks to see the
2 inmate's identification card, confirms that the mail is addressed to
3 that particular inmate, and then hands that mail to that inmate. It
4 was my custom and habit to follow this practice. I have no specific
5 recollection of delivering mail to Turner. However, had Turner
6 received mail, I would have acted consistent with my custom and
7 habit and delivered him his mail pursuant to these practices.

8 7. On no occasion have I ever withheld legal mail that was
9 addressed to Turner, then re-routed his mail, or prevented him from
10 receiving his mail. Similarly, I have never prevented Turner from
11 attending the prison's law library.

12 8. When an inmate in the F-Wing wishes to mail a letter, he may
13 deposit that mail into a box by the officer's station in the housing
14 unit. The box is kept locked and has a slot in it for inmates to slip
15 through. That box is emptied by officers on the first watch (10:00
16 p.m. to 6:00 a.m.). Because I worked on the third watch in May
17 2010, I would not have been responsible for handling the inmate
18 mail.

19 9. I never retaliated against Turner for filing his inmate appeals or
20 lawsuits or other such activities.

21 (Dkt. No. 75-1 at 1-4.)

22 In his verified summary judgment motion, opposition to defendants' motion and
23 supplemental opposition, plaintiff does not address the retaliation claims. The exhibits attached
24 to these pleadings also do not contain evidence in support of plaintiff's retaliation claim. In the
25 amended complaint, plaintiff generally alleges that defendant Hall denied him a shower, access
26 to the law library and tampered with his mail in retaliation for his reputation as a "legal beagle."
However, plaintiff offers no other specific allegations in support of this claim.

Defendants' unopposed evidence demonstrates that defendant Hall did not
retaliate against plaintiff. In his declaration, defendant Hall states that plaintiff was denied a
shower on May 26, 2010 due to what was, in essence, a scheduling conflict caused by an incident
on H wing. In his declaration, defendant Hall describes the procedures by which inmates receive
and send mail. In his declaration, defendant Hall states that he did not withhold plaintiff's mail
or otherwise tamper with it. Defendant Hall also states that he has never prevented plaintiff from
accessing the law library. Based on the statements in defendant Hall's declaration, the

1 undersigned finds that defendants have met their initial burden of demonstrating the absence of a
2 genuine issue of material fact as to plaintiff's retaliation claim.

3 Because plaintiff has failed to oppose defendants' summary judgment motion as it
4 pertains to defendant Hall, and no evidence in the record supports plaintiff's retaliation claim, the
5 undersigned recommends that defendant Hall be granted summary judgment. Defendants also
6 argue that defendant Hall is entitled to qualified immunity. Because plaintiff has not met his
7 burden of opposing defendant Hall's summary judgment motion, there is no need to address the
8 issue of qualified immunity as to the retaliation claims.

9 Defendants also move for summary judgment as to defendant Hall on grounds that
10 as a result of failing to respond to requests for admissions, plaintiff admitted that defendant Hall
11 did not violate his constitutional rights. Defendants state that on February 9, 2011, they served
12 plaintiff with requests for admissions to which plaintiff did not respond. (Dkt. No. 71-3 at 2.)
13 Request for admission one stated, "Hall did not violate your rights under the First Amendment."
14 (Id. at 4.) Request for admission two stated, "Hall did not retaliate against you." (Id. at 5.)
15 Request for admission three stated, "Hall did not violate any of your constitutional rights." (Id.)
16 Request for admission six stated, "Hall did not reroute your mail." (Id.) Request for admission
17 seven stated, "Hall did not prevent your from receiving mail." (Id.) Request for admission eight
18 stated, "Hall did not deprive you of a shower." (Id. at 6.)

19 Regarding requests for admissions, Federal Rule of Civil Procedure 36(a)(3)
20 provides that a matter is deemed admitted unless, within thirty days after being served, the party
21 to whom the request is directed serves an answer or objection. On September 7, 2011, the
22 undersigned ordered plaintiff to show cause for his failure to respond to defendants' requests for
23 admissions.

24 In his supplemental opposition, plaintiff states that in November 2010, he was
25 transferred to Pelican Bay State Prison and placed in administrative segregation ("ad seg"). (Dkt.
26 No. 78 at 7.) While in ad seg, plaintiff alleges that he had "restricted" access to his legal

1 property and that prison officials refused to give him his mail. (Id.) Plaintiff claims that his
2 legal property was confiscated on February 10, 2011. (Id. at 8.) On that date, plaintiff was
3 placed on a bus and transferred to California State Prison-Corcoran (“Corcoran”). (Id.)
4 Following his arrival at Corcoran, plaintiff did not have access to his legal property until April
5 12, 2011. (Id.)

6 Plaintiff is apparently claiming that he did not respond to defendants’ requests for
7 admissions because he was not aware of them because he was being denied access to his legal
8 property. Plaintiff may also be claiming that he did not receive defendants’ request for
9 admissions because of alleged tampering with his legal mail by prison officials.

10 Whether plaintiff was able to respond to defendants’ requests for admissions is
11 not clear. For this reason, the undersigned will not and need not consider plaintiff’s failure to
12 respond to defendants’ requests for admissions in resolving defendant Hall’s motion for
13 summary judgment.

14 In his summary judgment motion addressing the claims against defendant Hall,
15 plaintiff argues that defendant Hall acted in a racially discriminatory manner when he denied
16 plaintiff a shower. Plaintiff argues that “similarly situated” white and Hispanic inmates were
17 allowed to shower. In the amended complaint, plaintiff generally alleged that defendant Hall was
18 “racially motivated” and targeted African Americans. (Dkt. No. 13 at 16.) Plaintiff’s amended
19 complaint contained no further allegations in support of this claim for racial discrimination. For
20 this reason, the undersigned did not order service of these vague claims of racial discrimination.
21 See Ivey v. Bd. of Regents, 673 F.2d 266, 268 (9th Cir. 1982) (noting that “[v]ague and
22 conclusory allegations of official participation in civil rights violations are not sufficient to
23 withstand a motion to dismiss”).

24 Plaintiff’s claim in his summary judgment motion that “similarly situated” white
25 and Hispanic inmates were allowed showers is not sufficient for the undersigned to revisit the
26 decision not to serve the racial discrimination claim. Plaintiff does not explain how these white

1 and Hispanic inmates were “similarly situated.” Plaintiff also does not address defendant Hall’s
2 statement in his declaration that plaintiff was not allowed to shower because plaintiff had been in
3 the law library when his tier had the opportunity to shower earlier that day. Accordingly,
4 plaintiff’s unsubstantiated allegations of racial discrimination will not be further addressed.

5 In their reply to plaintiff’s opposition, defendants argue that they are entitled to
6 summary judgment because plaintiff failed to file a statement of undisputed facts as required by
7 Local Rule 260(b). Local Rule 260(b) provides that a party opposing a summary judgment
8 motion “shall reproduce the itemized facts in the Statement of Undisputed Facts and admit those
9 facts that are undisputed and deny those that are disputed . . .”

10 It is true that plaintiff’s opposition did not include a statement of undisputed facts.
11 However, as discussed above, defendants’ reply contained new evidence, which is technically
12 improper. Rather than striking the new evidence, the undersigned granted plaintiff an
13 opportunity to file a supplemental opposition. Because defendants were granted some leeway in
14 their summary judgment pleadings, plaintiff will be as well. Accordingly, the undersigned finds
15 that defendants are not entitled to summary judgment because plaintiff failed to include a
16 statement of undisputed facts in his opposition.

17 D. Plaintiff’s Request for Sanctions

18 In his opposition to defendants’ summary judgment motion, plaintiff requests that
19 defendants be sanctioned for failing to comply with discovery orders and for failing to respond to
20 “summons deadlines.” Plaintiff contends that defendants failed to answer the complaint on time.
21 Defendants are not in default. (See waiver of service filed February 7, 2011 (Dkt. No. 37).)

22 Plaintiff contends that defendants failed to respond to his discovery requests and
23 “lied” when they said they did. It is unclear what particular discovery requests plaintiff is
24 claiming defendants failed to respond to. Based on this record, the undersigned cannot find that
25 defendants acted in bad faith. To the extent plaintiff is attempting to request additional time to
26 conduct discovery, the undersigned finds that such a request is not well supported. See Fed. R.

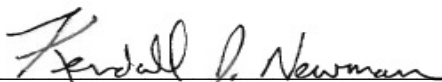
1 Civ. P. 56(d) (if nonmovant shows by affidavit or declaration that, for specified reasons, it cannot
2 present facts essential to justify its opposition to a summary judgment motion, the court may
3 allow additional time for discovery).

4 Accordingly, IT IS HEREBY RECOMMENDED that:

- 5 1. Plaintiff's motions for summary judgment (Dkt. Nos. 69, 70) be denied;
- 6 2. Defendants' summary judgment motion (Dkt. NO. 71) be granted as to
7 defendant Hall and denied as to defendant Colon.

8 These findings and recommendations are submitted to the United States District
9 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-
10 one days after being served with these findings and recommendations, any party may file written
11 objections with the court and serve a copy on all parties. Such a document should be captioned
12 "Objections to Magistrate Judge's Findings and Recommendations." Any response to the
13 objections shall be filed and served within fourteen days after service of the objections. The
14 parties are advised that failure to file objections within the specified time may waive the right to
15 appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

16 DATED: October 13, 2011

17
18 
19 KENDALL J. NEWMAN
UNITED STATES MAGISTRATE JUDGE

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