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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

STANLEY W. MUNDY,

Plaintiff,

No. CIV S-10-1884 DAD

vs.

MICHAEL J. ASTRUE,
Commissioner of Social Security,

ORDER

Defendant.

_____/

This social security action was submitted to the court without oral argument for ruling on plaintiff’s amended motion for summary judgment and defendant’s cross-motion for summary judgment. For the reasons explained below, plaintiff’s motion is denied, defendant’s motion is granted, and the decision of the Commissioner of Social Security (Commissioner) is affirmed.

PROCEDURAL BACKGROUND

On June 19, 2006, plaintiff filed an application for Disability Insurance Benefits (DIB) under Title II of the Social Security Act (the Act), alleging disability beginning on June 20, 2005. (Transcript (Tr.) at 28, 76-81.) Plaintiff’s application was denied initially on October 16, 2006, and upon reconsideration on March 14, 2007. (Id. at 54-57, 61-66.) A hearing was held before an Administrative Law Judge (ALJ) on June 2, 2008. (Id. at 24-51.) Plaintiff was

1 represented by counsel and testified at the administrative hearing. In a decision issued on August
2 6, 2008, the ALJ found that plaintiff was not disabled. (Id. at 15-20.) The ALJ entered the
3 following findings:

4 1. The claimant meets the insured status requirements of the
5 Social Security Act through September 30, 2007.

6 2. The claimant has not engaged in substantial gainful activity
7 since June 20, 2005, the alleged onset date (20 CFR 404.1520(b)
8 and 404.1571 *et seq.*).

9 3.¹

10 4. The claimant has the following severe impairments: cervical
11 spine degenerative disk disease. (20 CFR 404.1520(c)).

12 5. The claimant does not have an impairment or combination of
13 impairments that meets or medically equals one of the listed
14 impairments in 20 CFR Part 404, Subpart P, Appendix 1 (20 CFR
15 404.1520(d), 404.1525 and 404.1526).

16 6. After careful consideration of the entire record, the undersigned
17 finds that the claimant has the residual functional capacity to
18 perform the full range of light work as defined in 20 CFR
19 404.1567(b).

20 7. The claimant is capable of performing past relevant work as a
21 construction superintendent. This work does not require the
22 performance of work-related activities precluded by the claimant's
23 residual functional capacity (20 CFR 404.1565).

24 8. The claimant has not been under a disability, as defined in the
25 Social Security Act, from June 20, 2005 through the date of this
26 decision (20 CFR 404.1520(f)).

(Id. at 17-20.)

On May 19, 2010, the Appeals Council denied plaintiff's request for review of the
ALJ's decision. (Id. at 1-4.) Plaintiff sought judicial review pursuant to 42 U.S.C. § 405(g) by
filing the complaint in this action on July 16, 2010.

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¹ This paragraph number was left blank, just as it appears here, in the ALJ's decision.

1 **LEGAL STANDARD**

2 The Commissioner’s decision that a claimant is not disabled will be upheld if the
3 findings of fact are supported by substantial evidence in the record as a whole and the proper
4 legal standards were applied. Schneider v. Comm’r of the Soc. Sec. Admin., 223 F.3d 968, 973
5 (9th Cir. 2000); Morgan v. Comm’r of the Soc. Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999).
6 The findings of the Commissioner as to any fact, if supported by substantial evidence, are
7 conclusive. Miller v. Heckler, 770 F.2d 845, 847 (9th Cir. 1985). Substantial evidence is such
8 relevant evidence as a reasonable mind might accept as adequate to support a conclusion.
9 Osenbrock v. Apfel, 240 F.3d 1157, 1162 (9th Cir. 2001) (citing Morgan, 169 F.3d at 599); Jones
10 v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985) (citing Richardson v. Perales, 402 U.S. 389, 401
11 (1971)).

12 A reviewing court must consider the record as a whole, weighing both the
13 evidence that supports and the evidence that detracts from the ALJ’s conclusion. Jones, 760 F.2d
14 at 995. The court may not affirm the ALJ’s decision simply by isolating a specific quantum of
15 supporting evidence. Id.; see also Hammock v. Bowen, 879 F.2d 498, 501 (9th Cir. 1989). If
16 substantial evidence supports the administrative findings, or if there is conflicting evidence
17 supporting a finding of either disability or nondisability, the finding of the ALJ is conclusive,
18 Sprague v. Bowen, 812 F.2d 1226, 1229-30 (9th Cir. 1987), and may be set aside only if an
19 improper legal standard was applied in weighing the evidence, Burkhart v. Bowen, 856 F.2d
20 1335, 1338 (9th Cir. 1988).

21 In determining whether or not a claimant is disabled, the ALJ should apply the
22 five-step sequential evaluation process established under Title 20 of the Code of Federal
23 Regulations, Sections 404.1520 and 416.920. Bowen v. Yuckert, 482 U.S. 137, 140-42 (1987).
24 The five-step process has been summarized as follows:

25 Step one: Is the claimant engaging in substantial gainful activity?
26 If so, the claimant is found not disabled. If not, proceed to step
two.

1 Step two: Does the claimant have a “severe” impairment? If so,
2 proceed to step three. If not, then a finding of not disabled is
appropriate.

3 Step three: Does the claimant’s impairment or combination of
4 impairments meet or equal an impairment listed in 20 C.F.R., Pt.
404, Subpt. P, App. 1? If so, the claimant is automatically
5 determined disabled. If not, proceed to step four.

6 Step four: Is the claimant capable of performing his past work? If
so, the claimant is not disabled. If not, proceed to step five.

7 Step five: Does the claimant have the residual functional capacity
8 to perform any other work? If so, the claimant is not disabled. If
not, the claimant is disabled.

9 Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995).

10 The claimant bears the burden of proof in the first four steps of the sequential
11 evaluation process. Yuckert, 482 U.S. at 146 n.5. The Commissioner bears the burden if the
12 sequential evaluation process proceeds to step five. Id.; Tackett v. Apfel, 180 F.3d 1094, 1098
13 (9th Cir. 1999).

14 APPLICATION

15 Plaintiff argues that the ALJ committed the following three principal errors in
16 finding him not to be disabled: (1) the ALJ rejected a significant limitation assessed by an
17 examining physician without a legitimate basis for so doing and the appeals counsel rejected
18 updated medical opinion evidence without discussion; (2) the ALJ rejected plaintiff’s own
19 testimony regarding his subjective complaints and functional limitations without a convincing
20 reason for so doing; and (3) the ALJ failed to properly assess plaintiff’s residual functional
21 capacity and failed to utilize the expertise of a vocational expert (VE) and, as a result,
22 erroneously found plaintiff capable of performing his past relevant work. Each of these
23 arguments are addressed below.

24 I. Medical Opinions

25 The weight to be given to medical opinions in Social Security disability cases
26 depends in part on whether the opinions are proffered by treating, examining, or nonexamining

1 health professionals. Lester, 81 F.3d at 830; Fair v. Bowen, 885 F.2d 597, 604 (9th Cir. 1989).
2 “As a general rule, more weight should be given to the opinion of a treating source than to the
3 opinion of doctors who do not treat the claimant” Lester, 81 F.3d at 830. This is so because
4 a treating doctor is employed to cure and has a greater opportunity to know and observe the
5 patient as an individual. Smolen v. Chater, 80 F.3d at 1273, 1285 (9th Cir. 1996); Bates v.
6 Sullivan, 894 F.2d 1059, 1063 (9th Cir. 1990).

7 A treating physician’s uncontradicted opinion may be rejected only for clear and
8 convincing reasons, while a treating physician’s opinion that is controverted by another doctor
9 may be rejected only for specific and legitimate reasons supported by substantial evidence in the
10 record. Lester, 81 F.3d at 830-31. The ALJ, however, need not give weight to a treating
11 physician’s conclusory opinion supported by minimal clinical findings. Meanel v. Apfel, 172
12 F.3d 1111, 1113-14 (9th Cir. 1999) (affirming rejection of a treating physician’s “meager
13 opinion” as conclusory, unsubstantiated by relevant medical documentation, and providing no
14 basis for finding the claimant disabled); see also Magallanes v. Bowen, 881 F.2d 747, 751 (9th
15 Cir. 1989).

16 “The opinion of an examining physician is, in turn, entitled to greater weight than
17 the opinion of a nonexamining physician.” Lester, 81 F.3d at 830. An examining physician’s
18 uncontradicted opinion, like a treating physician’s, may be rejected only for clear and convincing
19 reasons, and when an examining physician’s opinion is controverted by another doctor’s opinion,
20 the examining physician’s opinion may be rejected only for specific and legitimate reasons
21 supported by substantial evidence in the record. Id. at 830-31. Finally, “[t]he opinion of a
22 nonexamining physician cannot by itself constitute substantial evidence that justifies the rejection
23 of the opinion of either an examining physician *or* a treating physician.” Id. at 831 (emphasis in
24 original).

25 Here, plaintiff contends that in finding him capable of performing light work the
26 ALJ tacitly rejected the September 18, 2006 opinion of examining physician Dr. Jane Wang, by

1 failing to refer to Dr. Wang’s limitation that, “[n]o overhead activities are permitted on the right
2 upper extremity” (Tr. at 197.)

3 With respect to Dr. Wang’s opinion, the ALJ stated:

4 As for the opinion evidence, the undersigned gives considerable
5 weight to the medical source statement resulting from the
6 consulting orthopedic examination—which found that despite some
7 abnormalities the claimant remained quite functional—at least to the
8 extent he remained capable of performing activities consistent with
9 a wide range of “light” exertion.

8 (Id. at 19.)

9 In her September 18, 2006 opinion, Dr. Wang described plaintiff’s functional
10 limitations in full as follows:

11 the claimant could walk eight hours in an eight-hour workday.
12 There are no restrictions to sitting. No assistive device is required.

13 The claimant could be expected to lift and carry 50 pounds on an
14 occasional basis and 25 pounds on a frequent basis. No overhead
15 activities are permitted on the right upper extremity.

16 There are no restrictions to bending or stooping, while crouching
17 and kneeling are permitted on a frequent basis.

18 There are no limitations to manipulative tasks on the left, while on
19 the right grasping, reaching, and handling are permitted on a
20 frequent basis, with no limitation to feeling and fingering.

21 There are no visual, communicative or workplace environmental
22 limitations. The claimant can continue to drive as tolerated.

20 (Id. at 197.)

21 The ALJ’s finding that plaintiff was capable of performing the full range of light
22 work as defined in 20 C.F.R. 404.1567(b) is entirely consistent with Dr. Wang’s full opinion.²

24 ² 20 C.F.R. 404.1567(b) provides that:

25 Light work involves lifting no more than 20 pounds at a time with
26 frequent lifting or carrying of objects weighing up to 10 pounds.
Even though the weight lifted may be very little, a job is in this

1 Indeed, Dr. Wang noted that plaintiff could be expected to lift and carry 50 pounds on an
2 occasional basis and 25 pounds on a frequent basis, which exceeds the requirements of light
3 work.³

4 While it is true that the ALJ failed to specifically discuss Dr. Wang's limitation
5 that "[n]o overhead activities are permitted on the right upper extremity," (id. at 197) that
6 limitation may be considered to be a non-exertional limitation.⁴ See Bruton v. Massanari, 268
7 F.3d 824, 828 (9th Cir. 2001) ("The inability of a claimant to lift his arms above ninety degrees
8 may be considered a non-exertional limitation.") (citing Desrosiers v. Sec'y of Health and
9 Human Servs., 846 F.2d 573, 580 (9th Cir. 1988)). An ALJ must account for non-exertional
10 limitations only if they "significantly limit the range of work permitted by [plaintiff's] exertional
11 impairments." Desrosiers, 846 F.2d at 576-77.

12 The ALJ's failure to specifically discuss Dr. Wang's non-exertional limitation that
13 plaintiff was limited to no overhead activities involving his right upper extremity was harmless
14 because that limitation would not have significantly limited the range of work permitted by
15

16 category when it requires a good deal of walking or standing, or
17 when it involves sitting most of the time with some pushing and
18 pulling of arm or leg controls. To be considered capable of
19 performing a full or wide range of light work, you must have the
20 ability to do substantially all of these activities. If someone can do
light work, we determine that he or she can also do sedentary work,
unless there are additional limiting factors such as loss of fine
dexterity or inability to sit for long periods of time.

21 ³ In this regard, plaintiff's limitations indicated by Dr. Wang in her opinion are consistent
with the exertional requirements of medium work. See 20 C.F.R. § 404.1567(c).

22 ⁴ Exertional limitations include impairments that affect the strength demands of jobs
23 such as sitting, standing, walking, lifting, carrying, pushing, and pulling. 20 C.F.R. §
24 404.1569(b); Cooper v. Sullivan, 880 F.2d 1152, 1155 n.6 (9th Cir. 1989). Non-exertional
25 limitations include "postural and manipulative limitations such as difficulty reaching, handling,
26 stooping, climbing, crawling, or crouching." Lounsbury v. Barnhart, 468 F.3d 1111, 1115 (9th
Cir. 2006). See also 20 C.F.R. § 404.1569a(c)(vi); Penny v. Sullivan, 2 F.3d 953, 958 (9th Cir.
1993) ("If a claimant has an impairment that limits his or her ability to work without directly
affecting his or her strength, the claimant is said to have nonexertional (not strength-related)
limitations that are not covered by the grids.").

1 plaintiff's exertional impairments. See Ramirez v. Astrue, No. 1:09cv01508 DLB, 2010 WL
2 3734002 at *12 (E.D. Cal. Sept. 21, 2010) (limitation to occasional overhead reaching with right
3 upper extremity did not represent a significant non-exertional impairment); Summers v. Comm'r
4 of Social Sec., No. CIV S-08-1309-CMK, 2009 WL 2051633 at *23 (E.D. Cal. July 10, 2009)
5 (limitation to no frequent forceful overhead reaching with the left upper extremity did not
6 represent a significant non-exertional limitation on plaintiff's ability to perform unskilled light
7 work); Martin v. Barnhart, No. CV F 05-0862 LJO, 2006 WL 1748589 at *17 (E.D. Cal. June 26,
8 2006) (limitation to occasional overhead reaching on the right did not represent a significant non-
9 exertional impairment); cf. Bruton, 268 F.3d at 828 (suggesting that under some circumstances
10 an inability to lift arms above ninety degrees may be considered a significant non-exertional
11 impairment). Because any error by the ALJ in this regard was harmless, remand is not required.
12 See generally Carmickle v. Comm'r, Soc. Sec. Admin., 533 F.3d 1155, 1162 (9th Cir. 2008) (if
13 ALJ's error was inconsequential to the ultimate nondisability determination, no remand
14 required); Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005) ("A decision of the ALJ will not
15 be reversed for errors that are harmless."); Batson v. Comm'r Soc. Sec., 359 F.3d 1190, 1197
16 (9th Cir. 2004) (finding an error harmless where it did not negate the validity of the ALJ's
17 ultimate conclusion).

18 Plaintiff also argues that the Appeals Council erred by summarily dismissing the
19 September 8, 2008 supplemental opinion of Dr. Pasquale Montesano, who examined plaintiff
20 and stated:

21 Clearly, I think he's totally disabled, and I don't think he is able to
22 work in his present state. More likely than not, he's going to need
23 to have at least a two level; perhaps a three level, anterior cervical
discectomy, fusion, and plating.

24 (Tr. at 211.) Dr. Montesano's opinion was new evidence submitted by plaintiff to the Appeals
25 Council and was not before the ALJ. However, in denying plaintiff's request for review the
26 Appeals Council stated:

1 In looking at your case, we considered the reasons you disagree
2 with the decision and the additional evidence listed on the enclosed
Order of Appeals Council.

3 We found that this information does not provide a basis for
4 changing the Administrative Law Judge's decision.

5 (Id. at 1-2.)

6 When the Appeals Council denies review, the decision of the ALJ is the final
7 decision. Russell v. Brown, 856 F.2d 81, 83-84 (9th Cir. 1988). However, any new evidence
8 considered by the Appeals Council in denying the request for review becomes part of the
9 administrative record for review by this court. See Ramirez v. Shalala, 8 F.3d 1449, 1452 (9th
10 Cir. 1993); see also Harman v. Apfel, 211 F.3d 1172, 1179-80 (9th Cir.), cert. denied, 531 U.S.
11 1038 (2000) (the court may properly consider the additional materials because the Appeals
12 Council addressed them in the context of denying appellant's request for review). To justify a
13 remand, the claimant must show that the new evidence is material, i.e. that there is a reasonable
14 possibility that the new evidence would have changed the outcome of the administrative hearing.
15 Mayes v. Massanari, 276 F.3d 453, 462 (9th Cir. 2001).

16 New evidence is "material," if the court finds a reasonable possibility that
17 considering the evidence would have changed the disability determination. See Mayes, 276 F.3d
18 at 462; Booz v. Secretary of Health and Human Services, 734 F.2d 1378, 1380-81 (9th Cir.
19 1984). Unless it is probative of plaintiff's condition at or before the disability hearing, new
20 evidence is not material. See 42 U.S.C. § 416(i)(2)(G); Sanchez v. Secretary of Health and
21 Human Services, 812 F.2d 509, 511-12 (9th Cir. 1987) (holding that new evidence was not
22 material because it related to a medical condition not significantly at issue at time of hearing).

23 Here, the September 8, 2008 opinion of Dr. Montesano is arguably material. Dr.
24 Montesano reviewed plaintiff's May 18, 2006 MRI, the same MRI reviewed by Dr. Wang, and
25 concluded that plaintiff was "totally disabled" and would need "a two level; perhaps a three level,
26 anterior cervical discectomy, fusion, and plating." (Tr. at 211.) Given the nature of Dr.

1 Montesano's findings, the court cannot say that there is no reasonable probability that had the
2 ALJ been presented with this evidence it would not have changed his disability determination.
3 Nonetheless, a determination that this evidence is material is not dispositive.

4 A federal district court should remand a case to the Commissioner to consider
5 material new evidence if good cause exists for its absence from the prior record. 42 U.S.C. §
6 405(g); Burton v. Heckler, 724 F.2d 1415, 1417 (9th Cir. 1984). "Good cause" requires more
7 than "simply . . . obtaining a more favorable report from an expert witness once [a] claim is
8 denied. The claimant must establish good cause for not seeking the expert's opinion prior to the
9 denial" Clem v. Sullivan, 894 F.2d 328, 332 (9th Cir. 1990) (citing Key v. Heckler, 754
10 F.2d 1545, 1551 (9th Cir. 1985)). See also Mayes, 276 F.3d at 462 For example, good cause
11 exists if new evidence earlier was unavailable, in the sense that it could not have been obtained
12 earlier. Embrey v. Bowen, 849 F.2d 418, 423-24 (9th Cir. 1988).

13 Here, plaintiff has offered no argument or explanation as to why he did not obtain
14 and present an opinion from Dr. Montesano at the administrative hearing before the ALJ. As
15 noted above, Dr. Montesano's opinion was based upon his review of plaintiff's May 18, 2006
16 MRI which was in existence long before the June 2, 2008 administrative hearing. Instead, it
17 appears that only after the ALJ rendered his decision finding plaintiff not disabled, did plaintiff
18 seek a more favorable medical opinion from Dr. Montesano. Accordingly, the court finds that
19 plaintiff has failed to establish that good cause exists for the absence of Dr. Montesano's opinion
20 from the prior record. See Mayes, 276 F.3d at 463 ("A claimant does not meet the good cause
21 requirement by merely obtaining a more favorable report once his or her claim has been denied . .
22 . . The claimant must also establish good cause for not having sought the expert's opinion
23 earlier."); Clem, 894 F.2d at 332.

24 For the reasons stated above, plaintiff is not entitled to summary judgment in his
25 favor on his claim that both the ALJ and the Appeals Council erred in their treatment of the
26 medical opinion evidence.

1 **II. Plaintiff's Testimony**

2 It is well established that once a claimant has presented medical evidence of an
3 underlying impairment, the ALJ may not discredit the claimant's testimony as to the severity of
4 his or her symptoms merely because the symptoms are unsupported by objective medical
5 evidence. Reddick v. Chater, 157 F.3d 715, 722 (9th Cir. 1998); Light v. Soc. Sec. Admin., 119
6 F.3d 789, 792 (9th Cir. 1997). In evaluating a claimant's subjective testimony regarding the
7 severity of his symptoms, the ALJ may, of course, consider the presence or absence of supporting
8 objective medical evidence, along with other factors. Bunnell v. Sullivan, 947 F.2d 341, 346
9 (9th Cir. 1991) (en banc); see also Smolen, 80 F.3d at 1285. However, "the ALJ can reject the
10 claimant's testimony about the severity of [his or] her symptoms only by offering specific, clear
11 and convincing reasons for doing so." Lingenfelter v. Astrue, 504 F.3d 1028, 1036 (9th Cir.
12 2007) (quoting Smolen, 80 F.3d at 1281). See also Light, 119 F.3d at 792. Thus, absent
13 affirmative evidence of malingering, the reasons for rejecting a claimant's testimony must be
14 clear and convincing. Morgan, 169 F.3d at 599.

15 In the present case, the record establishes that plaintiff's medically determinable
16 impairments could reasonably be expected to produce plaintiff's symptoms of pain and
17 functional limitations, a fact acknowledged by the ALJ in his decision. (Tr. at 18.) Accordingly,
18 the ALJ was required to evaluate the intensity, persistence, and limiting effects of plaintiff's
19 symptoms to determine the extent to which they limit plaintiff's ability to engage in basic work
20 activities.

21 In this regard, the ALJ found that plaintiff's statements concerning the intensity,
22 persistence and limiting effect of plaintiff's symptoms were not credible to extent they were
23 inconsistent with the RFC assessment that plaintiff is capable of performing light work. The ALJ
24 cited plaintiff's testimony that despite his alleged constant pain, he can stand for one to two
25 hours, though sometimes not more than fifteen minutes, and that he could perform substantial
26 lifting while other times he cannot. (Id. at 19.) The ALJ also found that plaintiff's credibility

1 regarding the alleged severity and “chronicity” of his pain was undermined by the fact that
2 plaintiff has had no medical treatment for approximately a year and a half at the time of the
3 administrative hearing and testified that he takes pain medication only when his pain is really
4 bad, which corresponded to only once or twice a month. (Id.) The ALJ also cited examining
5 physician Dr. Wang’s opinion, which found that despite some abnormalities, plaintiff remained
6 capable of performing activities consistent with a wide range of light exertion. (Id.)

7 The court finds that the ALJ made specific findings in support of his reasons for
8 rejecting plaintiff’s statements to the extent that those statements asserted limitations inconsistent
9 with the ability to perform light work. The reasons given by the ALJ are based on consideration
10 of matters the ALJ is permitted to consider under the applicable law and regulations. See 20
11 C.F.R. § 404.1529(a) (“We will consider all of your statements about your symptoms, such as
12 pain, and any description you . . . may provide about how the symptoms affect your activities of
13 daily living and your ability to work.”); Batson v. Comm’r of Social Sec. Admin., 359 F.3d 1190,
14 1195 (9th Cir. 2004) (holding that the ALJ properly relied on objective medical evidence and
15 medical opinions in determining credibility); Meanel, 172 F.3d at 115 (noting that a likely
16 consequence of debilitating pain is inactivity and that a likely consequence of inactivity is
17 muscular atrophy); Johnson v. Shalala, 60 F.3d 1428, 1434 (9th Cir. 1995) (holding that the ALJ
18 may consider the conservative nature of treatment in evaluating a claimant’s subjective
19 complaints); Bunnell, 947 F.2d at 346-47 (including effectiveness of medication, treatment other
20 than medication, the claimant’s daily activities, and ordinary techniques of credibility evaluation
21 among the factors that may be considered by the ALJ in assessing the credibility of allegedly
22 disabling subjective symptoms).

23 For these reasons, the court finds that the ALJ rejected plaintiff’s statements on
24 permissible grounds and did not arbitrarily discredit plaintiff’s testimony regarding his subjective
25 symptoms. The reasons given by the ALJ for doing so are clear and, because they are properly
26 supported by the record, convincing. Therefore, plaintiff is not entitled to summary judgment in

1 his favor with respect to his argument that the ALJ erred in rejecting plaintiff's testimony
2 regarding his subjective complaints and functional limitations without convincing reasons for so
3 doing.

4 **III. Past Relevant Work**

5 Plaintiff argues that the ALJ erred in concluding that he had the RFC to perform
6 the full range of light work by failing to recognize examining physician Dr. Wang's limitation
7 that plaintiff could not perform overhead activities on the right extremity and by improperly
8 rejecting plaintiff's statements concerning his symptoms and the intensity, persistence, and
9 limiting effects of pain. In this regard, plaintiff argues that had the ALJ properly assessed
10 plaintiff's RFC the ALJ would have recognized the need for testimony from a vocational expert.

11 "At step four of the sequential analysis, the claimant has the burden to prove that
12 he cannot perform his prior relevant work 'either as actually performed or as generally performed
13 in the national economy.'" Carmickle v. Comm'r, 533 F.3d 1155, 1166 (9th Cir. 2008) (citation
14 omitted). "Although the burden of proof lies with the claimant at step four, the ALJ still has a
15 duty to make the requisite factual findings to support his conclusion." Pinto v. Massanari, 249
16 F.3d 840, 844 (9th Cir. 2001). The ALJ must make specific findings as to: (1) "the claimant's
17 residual functional capacity"; (2) "the physical and mental demands of the past relevant work";
18 and (3) "the relation of the residual functional capacity to the past work." Id. at 845; Social
19 Security Ruling ("SSR") 82-62. But the ALJ is not required to make "explicit findings at step
20 four regarding a claimant's past relevant work both as generally performed and as actually
21 performed." Pinto, 249 F.3d at 845.

22 Here, the ALJ made specific findings as to the relation of plaintiff's RFC to his
23 past relevant work as a construction superintendent, stating:

24 In comparing the claimant's residual functional capacity with the
25 physical and mental demands of this work, the undersigned finds
26 that the claimant is able to perform it as generally performed. The
Dictionary of Occupational Titles describes the claimant's past
relevant work as involving light physical exertion, at Code

1 182.167-026. Further, as described by the claimant he had to lift
2 very seldom, up to 20 pounds, and performed other work-related
3 functions that are consistent with his present residual functional
4 capacity for light exertion. (See, Ex. C-4E).

4 (Tr. at 19.)

5 As noted above, however, the ALJ's failure to discuss Dr. Wang's non-exertional
6 limitation that plaintiff was limited to no overhead activities involving his right upper extremity
7 was harmless since that limitation would not have significantly limited the range of work
8 permitted by plaintiff's exertional impairments. Moreover, for the reasons addressed above, the
9 court has found that the ALJ did not improperly reject plaintiff's statements concerning his
10 symptoms and the intensity, persistence, and limiting effects of pain. Accordingly, the ALJ was
11 not required to obtain testimony from a vocational expert. See Crane v. Shalala, 76 F.3d 251,
12 255 (9th Cir. 1996) (testimony from vocational expert is not required if claimant can perform
13 past work); Gomez v. Chater, 74 F.3d 967, 971 (9th Cir. 1996) ("At the most, the Commissioner
14 need use a vocational expert only if there is an absence of other reliable evidence of the
15 claimant's ability to perform specific jobs.").

16 Therefore, for the reasons stated above, plaintiff is not entitled to summary
17 judgment in his favor with respect to his claim that the ALJ erred in failing to properly assess
18 plaintiff's residual functional capacity, in failing to utilize the expertise of a vocational expert
19 and in finding plaintiff capable of performing his past relevant work on.

20 CONCLUSION

21 The undersigned has found that plaintiff is not entitled to summary judgment on
22 any of his arguments.

23 Accordingly, IT IS HEREBY ORDERED that:

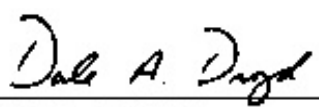
24 1. Plaintiff's motion for summary judgment (Doc. No. 15) as amended (Doc. No.
25 16) is denied;

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- 2. Defendant's cross-motion for summary judgment (Doc. No. 19) is granted; and
- 3. The decision of the Commissioner of Social Security is affirmed.

DATED: September 30, 2011.



DALE A. DROZD
UNITED STATES MAGISTRATE JUDGE

DAD:6
Ddad1/orders.socsec/mundy1884.order