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**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA**

ROBERTA D. GIBSON,

No. CIV S-10-2755-CMK

Plaintiff,

vs.

MEMORANDUM OPINION AND ORDER

COMMISSIONER OF SOCIAL  
SECURITY,

Defendant.

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Plaintiff, who is proceeding with retained counsel, brings this action for judicial review of a final decision of the Commissioner of Social Security under 42 U.S.C. § 405(g). Pursuant to the written consent of all parties, this case is before the undersigned as the presiding judge for all purposes, including entry of final judgment. See 28 U.S.C. § 636(c). Pending before the court are plaintiff’s motion for summary judgment (Doc. 24) and defendant’s cross-motion for summary judgment (Doc. 25).

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1 **I. PROCEDURAL HISTORY**

2 Plaintiff initially applied for social security benefits on April 25, 1994. She was  
3 found to be disabled based on an inflammatory arthritis impairment which met Listing 1.02 of  
4 the social security Listing of Impairments. Plaintiff's disability onset date was March 25, 1994.  
5 In an April 7, 2000, continuing review, the agency determined that plaintiff's disability had  
6 ceased as of April 2000 due to medical improvement. Her appeal from the termination of  
7 benefits was denied. Plaintiff then requested an administrative hearing, which was held on May  
8 16, 2001. The termination of benefits was upheld and the Appeals Council denied any further  
9 agency review on June 26, 2002.

10 Plaintiff then filed the current application for benefits on August 12, 2002,  
11 alleging an onset date of August 30, 2001. Plaintiff claims that disability is caused by a  
12 combination of: "rheumatoid disease and/or arthritis; ankylosing spondylitis;  
13 spondyloarthropathy; Crohn's disease (with inflammatory bowel disease, gastroesophageal reflux  
14 disease, and/or active ileitis and colitis); pain/numbness in extremities and joints; DeQuervain's  
15 tenosynovitis; tendinitis (left wrist) and/or residual effects of carpal tunnel syndrome surgeries;  
16 fibromyalgia; migraines and headaches; mental health disorders of depression, anxiety, and/or  
17 somatization (somataform disorder). . . ." Plaintiff's second claim was denied initially and on  
18 reconsideration. Plaintiff requested a hearing which was held on March 14, 2005. A vocational  
19 expert and medical expert both testified at the hearing. An unfavorable decision was rendered  
20 but, on appeal, the decision was vacated and the matter remanded. The Appeals Council's June  
21 25, 2007, remand order directed: (1) re-assessment of plaintiff's condition in light of a revised  
22 date last insured; (2) further evaluation of the plaintiff's residual functional capacity; (3) if  
23 warranted, supplemental vocational expert testimony; and (4) consideration of the August 29,  
24 2001, cessation decision.

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1 A further hearing was held on June 19, 2008, before Administrative Law Judge  
2 (“ALJ”) Jean Kingrey. Once again, a vocational expert offered testimony. In an August 20,  
3 2008, decision, the ALJ concluded that plaintiff is not disabled based on the following relevant  
4 findings:

- 5 1. The claimant has a combination of impairments that is severe, including:  
6 Crohn’s disease and residuals of carpal tunnel syndrome surgical releases;  
7 the claimant also carries diagnoses of anklosing spondylitis (arthritis of the  
8 spine leading to lipping or fusion of the vertebrae), spondyloarthropathy  
(spinal joint problems), and fibromyalgia, each of which is not well  
9 supported by objective medical evidence; her asthma, anemia,  
10 hypertension, hyperlipidemia, and hypothyroidism are generally well-  
11 controlled by medication.
- 12 2. The claimant does not have an impairment or combination of impairments  
13 that meets or medically equals an impairment listed in the regulations.
- 14 3. After careful consideration of the entire record, the undersigned finds that  
15 the claimant has the residual functional capacity to perform medium work  
16 except: carrying/lifting is limited to a maximum of 34 pounds on an  
17 occasional basis; bending, twisting, crouching, kneeling, crawling,  
18 walking, climbing stairs, climbing ladders, reaching above the shoulders,  
19 and pushing/pulling are limited to an occasional basis; use of the hand is  
20 not limited except in terms of lift/carry limitations; due to her Crohn’s  
21 disorder, easy bathroom access is required; operation of hazardous  
22 machinery is precluded, based on the medications used by the claimant.
- 23 4. The claimant is able to perform past relevant work as a medical assistant.
- 24 7. The claimant is also capable of performing other jobs that exist in  
25 significant numbers in the national economy.

19 After the Appeals Council declined review on August 17, 2010, this appeal followed.

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1 forceful grasping tasks. No visual, communicative, or environmental limitations were noted.

2 The doctor offered the following summary:

3 This 36 yr old female was allowed as a Meets Listing 1.02, based on ALJ  
4 decision as per testimony given by Dr. Moorman at the hearing. At that  
5 time, the evidence shows cl does have some synovitis in her hands and  
6 swelling in her wrists. Current evidence shows cl's condition has  
7 medically improved. Although cl has some symptoms suggestive of carpal  
8 tunnel syndrome, her fine motor and strength is unimpaired. Current  
9 records do not indicate any objective evidence that would restrict cl's  
10 ability to walk or stand. Her gait is normal. Cl performed to 10.1 METS  
11 on a treadmill. As cl has demonstrated significant medical improvement  
12 since CPD, recommended a cessation of disability benefits."

9 July 24, 2000 – Agency consultative doctor Sharon Johnson, M.D., submitted a  
10 physical residual functional capacity assessment. The doctor opined that plaintiff could  
11 occasionally lift/carry 10 pounds and frequently lift/carry less than that amount of weight.  
12 Plaintiff could sit/stand/walk for about six hours in an eight-hour workday. Plaintiff's push/pull  
13 ability was found to be unlimited. No postural, visual, communicative, manipulative, or  
14 environmental limitations were noted.

15 September 18, 2000 – Agency consultative psychologist Robert Henry, Ph.D.,  
16 submitted a psychiatric review technique form. The doctor found no restrictions in activities of  
17 daily living, no difficulties with maintaining social functioning, and no episodes of  
18 decompensation. Dr. Henry noted only "seldom" instances of difficulties with concentration,  
19 persistence, or pace.

20 October 16, 2000 – Plaintiff's treating doctor Robert C. Gerber M.D., submitted a  
21 letter outlining his diagnoses. He diagnosed: (1) spondyloarthritis with a Reiter's-type onset;  
22 (2) fibromyalgia; and (3) panic disorder. Dr. Gerber opined that plaintiff is "not capable of  
23 gainful employment." Other than a sedimentation rate of 51mm/hr on October 8, 1999, no  
24 objective findings are noted. Accompanying Dr. Gerber's letter is a fibromyalgia residual  
25 functional capacity questionnaire in which the doctor noted various subjective and objective  
26 findings and concluded that plaintiff's fibromyalgia prevented her from working.

1           February 10, 2003 – Agency examining psychologist Michael Villanueva, Psy.D.,  
2 reported on a psychodiagnostic evaluation. Following an objective examination, the doctor could  
3 diagnose only “[p]ossible depression.” No Global Assessment of Functioning score was given.  
4 Dr. Villanueva offered the following recommendation:

5           The patient does not present as being anxious during clinical exam. Also,  
6 her report of anxiety is somewhat inconsistent. She is able to leave the  
7 household for some tasks. Her affect does not suggest severe depression at  
8 this time, though that could be because depression is adequately managed  
9 with current treatment regimen.

10           The patient seems over focused on discomfort. Pain ratings are high.

11           February 24, 2003 – Agency consultative psychologist Dorothy Anderson, Ph.D.,  
12 submitted a psychiatric review technique form. Dr. Anderson noted “[p]robable depression.”  
13 The doctor found mild restrictions in activities of daily living, mild restrictions in plaintiff’s  
14 ability to maintain social functioning and no limitations in the ability to maintain concentration,  
15 persistence, and pace. No episodes of decompensation were noted.

16           February 25, 2003 – Agency consultative doctor Linda Jensen, M.D., submitted a  
17 physical residual functional capacity assessment. The doctor opined that plaintiff could  
18 occasionally lift/carry 20 pounds and frequently lift/carry 10 pounds. Plaintiff could  
19 sit/stand/walk six hours in an eight-hour workday. Push/pull ability was found to be unlimited.  
20 For postural limitations, the doctor opined that plaintiff should only occasionally kneel. No  
21 manipulative, visual, communicative, or environmental restrictions were noted.

22           May 8, 2003 – Plaintiff’s treating physician Jon Van Valkenburg, M.D., submitted  
23 a physical functional impairments/limitations report. The doctor opined that plaintiff could  
24 sit/stand/walk/drive for four hours at a time for a total of four hours in a workday. Plaintiff was  
25 assessed as capable of occasionally lifting up to 24 pounds. Dr. Van Valkenburg also opined that  
26 plaintiff could occasionally engage in all other postural, manipulative, communicative, and visual  
tasks. The doctor specifically concluded that plaintiff could use both hands for simple and firm  
grasping, as well as firm manipulation. Despite these findings, the doctor concluded that



1 **IV. DISCUSSION**

2 In her motion for summary judgment, plaintiff argues: (1) the ALJ improperly  
3 rejected the opinions of plaintiff’s treating and examining doctors; (2) the ALJ improperly  
4 rejected plaintiff’s testimony as not credible; (3) the ALJ failed to consider the combined effect  
5 of impairments when evaluating the Listing of Impairments; and (4) the ALJ relied on vocational  
6 expert testimony which did not accurately reflect plaintiff’s limitations.

7 **A. Evaluation of Medical Opinions**

8 The weight given to medical opinions depends in part on whether they are  
9 proffered by treating, examining, or non-examining professionals. See Lester v. Chater, 81 F.3d  
10 821, 830-31 (9th Cir. 1995). Ordinarily, more weight is given to the opinion of a treating  
11 professional, who has a greater opportunity to know and observe the patient as an individual,  
12 than the opinion of a non-treating professional. See id.; Smolen v. Chater, 80 F.3d 1273, 1285  
13 (9th Cir. 1996); Winans v. Bowen, 853 F.2d 643, 647 (9th Cir. 1987). The least weight is given  
14 to the opinion of a non-examining professional. See Pitzer v. Sullivan, 908 F.2d 502, 506 & n.4  
15 (9th Cir. 1990).

16 In addition to considering its source, to evaluate whether the Commissioner  
17 properly rejected a medical opinion the court considers whether: (1) contradictory opinions are  
18 in the record; and (2) clinical findings support the opinions. The Commissioner may reject an  
19 uncontradicted opinion of a treating or examining medical professional only for “clear and  
20 convincing” reasons supported by substantial evidence in the record. See Lester, 81 F.3d at 831.  
21 While a treating professional’s opinion generally is accorded superior weight, if it is contradicted  
22 by an examining professional’s opinion which is supported by different independent clinical  
23 findings, the Commissioner may resolve the conflict. See Andrews v. Shalala, 53 F.3d 1035,  
24 1041 (9th Cir. 1995). A contradicted opinion of a treating or examining professional may be  
25 rejected only for “specific and legitimate” reasons supported by substantial evidence. See Lester,  
26 81 F.3d at 830. This test is met if the Commissioner sets out a detailed and thorough summary of



1 the facts and conflicting clinical evidence, states her interpretation of the evidence, and makes a  
2 finding. See Magallanes v. Bowen, 881 F.2d 747, 751-55 (9th Cir. 1989). Absent specific and  
3 legitimate reasons, the Commissioner must defer to the opinion of a treating or examining  
4 professional. See Lester, 81 F.3d at 830-31. The opinion of a non-examining professional,  
5 without other evidence, is insufficient to reject the opinion of a treating or examining  
6 professional. See id. at 831. In any event, the Commissioner need not give weight to any  
7 conclusory opinion supported by minimal clinical findings. See Meanel v. Apfel, 172 F.3d 1111,  
8 1113 (9th Cir. 1999) (rejecting treating physician’s conclusory, minimally supported opinion);  
9 see also Magallanes, 881 F.2d at 751.

10 Plaintiff claims the ALJ erred with respect to analysis of the opinions of treating  
11 physicians Drs. Gerber and Van Valkenburg.<sup>1</sup>

12 1. Dr. Van Valkenburg

13 Plaintiff argues that the ALJ improperly rejected Dr. Van Valkenburg’s August  
14 23, 2005, report “that Plaintiff’s skin condition was ‘itchy and blotchy with sun exposure’ and  
15 was ‘now worse.’” Plaintiff also assigns error to the ALJ’s rejection of Dr. Van Valkenburg’s  
16 opinion that plaintiff’s psoriatic arthritis is “quite disabling.” Plaintiff further argues that the  
17 ALJ erred by concluding that Dr. Van Valkenburg provides no explanation for his conclusions,  
18 noting that “Dr. Van Valkenburg’s opinion is well supported by the clinical findings in his chart  
19 notes.”

20 The court finds no error. First, there is no opinion as to plaintiff’s work capacity  
21 expressed in Dr. Van Valkenburg’s observation that plaintiff’s skin was itchy and blotchy in  
22 August 2005, following sun exposure. Second, as to the doctor’s statement that plaintiff’s  
23 arthritis is disabling, the ALJ was correct in stating that ultimate conclusions of law as to  
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25 <sup>1</sup> Though plaintiff also states that she is challenging the ALJ’s analysis of the  
26 opinions of Drs. Adesman, and Greenburg, she points to no specific areas of the ALJ’s decision  
discussing these doctors.

1 disability are the sole purview of the agency. Third, even assuming that there is objective  
2 evidence in the doctor's chart notes, the fact remains that Dr. Van Valkenburg did not indicate  
3 which specific objective findings noted in the charts gave rise to which specific opinions.

4 2. Dr. Gerber

5 Plaintiff argues that the ALJ erred in stating that Dr. Gerber had never reviewed x-  
6 rays because the doctor's notes reflect that he revised his findings based on x-ray results. Even if  
7 the ALJ erred in this regard, other reasons were cited for rejecting Dr. Gerber's assessments.  
8 Specifically, the ALJ stated:

9 . . . Contrary to the reporting by Dr. Goodman, Robert Gerber, M.D., had  
10 reported on May 15, 2000, that the claimant's "x-rays exhibited bilateral  
11 sacroiliitis, *sine qua non* of ankylosing spondylitis and  
12 spondyloarthropathy." . . . However, little weight is given to the reporting  
13 by Dr. Gerber since bilateral sacroiliitis is also an indicator of  
inflammatory bowel disease, a condition that was diagnosed for the  
claimant subsequent to Dr. Gerber's reporting and this latter condition  
(Crohn's) now has significant objective medical support in the record. . . .

14 In other words, because Dr. Gerber was wrong with respect to his analysis of bilateral sacroiliitis  
15 (which turned out to relate to Crohn's disease rather than, as the doctor opined, ankylosing  
16 spondylitis and spondyloarthropathy), the ALJ reasonably concluded that none of Dr. Gerber's  
17 opinions were entitled to significant weight. The court can hardly fault this logic.

18 **B. Plaintiff's Credibility**

19 The Commissioner determines whether a disability applicant is credible, and the  
20 court defers to the Commissioner's discretion if the Commissioner used the proper process and  
21 provided proper reasons. See Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1996). An explicit  
22 credibility finding must be supported by specific, cogent reasons. See Rashad v. Sullivan, 903  
23 F.2d 1229, 1231 (9th Cir. 1990). General findings are insufficient. See Lester v. Chater, 81 F.3d  
24 821, 834 (9th Cir. 1995). Rather, the Commissioner must identify what testimony is not credible  
25 and what evidence undermines the testimony. See id. Moreover, unless there is affirmative  
26 evidence in the record of malingering, the Commissioner's reasons for rejecting testimony as not

1 credible must be “clear and convincing.” See id.; see also Carmickle v. Commissioner, 533 F.3d  
2 1155, 1160 (9th Cir. 2008) (citing Lingenfelter v Astrue, 504 F.3d 1028, 1936 (9th Cir. 2007),  
3 and Gregor v. Barnhart, 464 F.3d 968, 972 (9th Cir. 2006)).

4           If there is objective medical evidence of an underlying impairment, the  
5 Commissioner may not discredit a claimant’s testimony as to the severity of symptoms merely  
6 because they are unsupported by objective medical evidence. See Bunnell v. Sullivan, 947 F.2d  
7 341, 347-48 (9th Cir. 1991) (en banc). As the Ninth Circuit explained in Smolen v. Chater:

8           The claimant need not produce objective medical evidence of the  
9 [symptom] itself, or the severity thereof. Nor must the claimant produce  
10 objective medical evidence of the causal relationship between the  
11 medically determinable impairment and the symptom. By requiring that  
12 the medical impairment “could) (referring to the test established in Cotton  
13 v. Bowen, 799 F.2d 1403 (9th Cir. 1986)).

14           The Commissioner may, however, consider the nature of the symptoms alleged,  
15 including aggravating factors, medication, treatment, and functional restrictions. See Bunnell,  
16 947 F.2d at 345-47. In weighing credibility, the Commissioner may also consider: (1) the  
17 claimant’s reputation for truthfulness, prior inconsistent statements, or other inconsistent  
18 testimony; (2) unexplained or inadequately explained failure to seek treatment or to follow a  
19 prescribed course of treatment; (3) the claimant’s daily activities; (4) work records; and (5)  
20 physician and third-party testimony about the nature, severity, and effect of symptoms. See  
21 Smolen, 80 F.3d at 1284 (citations omitted). It is also appropriate to consider whether the  
22 claimant cooperated during physical examinations or provided conflicting statements concerning  
23 drug and/or alcohol use. See Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002). If the  
24 claimant testifies as to symptoms greater than would normally be produced by a given  
25 impairment, the ALJ may disbelieve that testimony provided specific findings are made. See  
26 Carmickle, 533 F.3d at 1161 (citing Swenson v. Sullivan, 876 F.2d 683, 687 (9th Cir. 1989)).

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1 According to plaintiff, the only reference to her credibility in the hearing decision  
2 is the ALJ's conclusion that plaintiff's statements concerning her limitations are "accepted only  
3 to the extent that they are consistent with the residual functional capacity assessment arrived at  
4 above." Plaintiff argues that this conclusion is not supported by the reasons required to discredit  
5 her testimony. As to plaintiff's credibility, the ALJ discussed each of plaintiff's subjective  
6 complaints one by one. Beginning with plaintiff's claim that she is disabled, in part, due to a  
7 skin condition, the ALJ stated:

8 As noted above, in her current Disability Report, the claimant specifically  
9 alleged that her ability to work is limited, in part, by chronic solar  
10 urticaria. . . . Steadman's Medical Dictionary defines urticaria as a skin  
11 condition, also called hives, with intensely itching welts. . . . Although  
12 listing this condition in first or second place among her disabling  
13 impairments, even if urticaria is medically objectively demonstrated by the  
14 medical record, the associated symptoms reported by the claimant . . . are  
15 not found to cause more than mild restriction of work activities. Although  
16 the claimant reported itching with sun exposure on August 23, 2005, and  
17 treating physician Valkenburg assessed dermatitis due to solar radiation  
18 (Exhibit B-30F, pages 13 and 17), he dropped this assessment at the next  
19 contact on September 19, 2005, when the claimant reported that she had  
20 quit taking her morphine because it made her itch (Exhibit B-30F, page 2).  
21 The claimant is not found to have a skin condition that is a severe  
22 medically determinable impairment.

23 Thus, just by this one example, the ALJ demonstrated how plaintiff's subjective testimony of  
24 disabling hives is inconsistent with the objective evidence. In fact, the ALJ found that not only is  
25 plaintiff's testimony of disabling hives not credible, but also found that plaintiff does not even  
26 have a condition which could reasonably be expected to cause the symptoms about which  
27 plaintiff complains. Similarly, the ALJ found that Raynaud's phenomenon – which plaintiff  
28 claimed in the current application as a disabling condition – is not a medically determinable  
29 impairment in this case. Given that plaintiff complained of disabling symptoms arising from  
30 conditions which were not even medically determinable, the ALJ was entitled to discount all or  
31 part of plaintiff's testimony as not credible based on the inconsistency.

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1           **C.     Listing of Impairments Analysis**

2           The Social Security Regulations “Listing of Impairments” is comprised of  
3 impairments to fifteen categories of body systems that are severe enough to preclude a person  
4 from performing gainful activity. Young v. Sullivan, 911 F.2d 180, 183-84 (9th Cir. 1990); 20  
5 C.F.R. § 404.1520(d). Conditions described in the listings are considered so severe that they are  
6 irrebuttably presumed disabling. 20 C.F.R. § 404.1520(d). In meeting or equaling a listing, all  
7 the requirements of that listing must be met. Key v. Heckler, 754 F.2d 1545, 1550 (9th Cir.  
8 1985).

9           As to the Listings, the ALJ stated:

10           The medical evidence indicates that the claimant has physical and  
11 psychological impairments that, in combination, are severe within the  
12 meaning of the Regulations. However, the claimant’s impairments, severe  
13 and non-severe, singularly and in combination, are not accompanied by the  
14 findings specified for any impairment or combination of impairments  
15 included in any section of the Listings. No treating or examining  
16 physician has mentioned findings equivalent in severity to the criteria of  
17 any listed impairment. Particular consideration is given to Listings 1.00  
18 (musculoskeletal system, (11.00 (neurological), 5.00 (digestive system  
19 including liver), and 12.00 (mental disorders). . . .

20 Plaintiff argues that the ALJ erred in concluding that her impairments did not meet Listings 5.06,  
21 5.08, or 14.09B. According to plaintiff, the record indicates that she “may” have meet or at least  
22 equaled either Listing 5.06 or 5.08 due to her Crohn’s disease. Plaintiff does not, however,  
23 indicate which specific pieces of evidence from the medical record satisfy any particular listing  
24 requirement. As to Listing 14.09B, relating to ankylosing spondylitis, plaintiff appears to argue  
25 that Dr. Gerber’s statement that x-rays “exhibited bilateral sacroiliitis, *sine qua non* of ankylosing  
26 spondylitis and spondyloarthropathy” satisfies this listing. As discussed above, however, Dr.  
Gerber’s analysis in this regard turned out to be wrong. Plaintiff does not point to other evidence  
in the record which satisfies this listing.

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1           **E.     Vocational Expert Testimony**

2           The ALJ may meet his burden under step five of the sequential analysis by  
3 propounding to a vocational expert hypothetical questions based on medical assumptions,  
4 supported by substantial evidence, that reflect all the plaintiff's limitations. See Roberts v.  
5 Shalala, 66 F.3d 179, 184 (9th Cir. 1995). Specifically, where the Medical-Vocational  
6 Guidelines are inapplicable because the plaintiff has sufficient non-exertional limitations, the  
7 ALJ is required to obtain vocational expert testimony. See Burkhart v. Bowen, 587 F.2d 1335,  
8 1341 (9th Cir. 1988).

9           Hypothetical questions posed to a vocational expert must set out all the  
10 substantial, supported limitations and restrictions of the particular claimant. See Magallanes v.  
11 Bowen, 881 F.2d 747, 756 (9th Cir. 1989). If a hypothetical does not reflect all the claimant's  
12 limitations, the expert's testimony as to jobs in the national economy the claimant can perform  
13 has no evidentiary value. See DeLorme v. Sullivan, 924 F.2d 841, 850 (9th Cir. 1991). While  
14 the ALJ may pose to the expert a range of hypothetical questions based on alternate  
15 interpretations of the evidence, the hypothetical that ultimately serves as the basis for the ALJ's  
16 determination must be supported by substantial evidence in the record as a whole. See Embrey v.  
17 Bowen, 849 F.2d 418, 422-23 (9th Cir. 1988).

18           Plaintiff argues that the ALJ erred by not relying on the vocational expert's  
19 testimony based on questions that reflected Dr. Van Valkenburg's limitations. As discussed  
20 above, however, the ALJ did not err in discounting Dr. Van Valkenburg's opinions. Therefore,  
21 the ALJ was not required to rely on vocational testimony reflecting those opinions.

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**V. CONCLUSION**

Based on the foregoing, the court concludes that the Commissioner's final decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY ORDERED that:

1. Plaintiff's motion for summary judgment (Doc. 24) is denied;
2. Defendant's cross-motion for summary judgment (Doc. 25) is granted; and
3. The Clerk of the Court is directed to enter judgment and close this file.

DATED: March 30, 2012

  
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**CRAIG M. KELLISON**  
UNITED STATES MAGISTRATE JUDGE