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8	IN THE UNITED STATES DISTRICT COURT
9	FOR THE EASTERN DISTRICT OF CALIFORNIA
10	JOSE R. SALINAS,
11	Petitioner, No. 2:10-cv-2798 MCE KJN P
12	VS.
13	S. SALINAS, Warden,
14	Respondent. <u>FINDINGS AND RECOMMENDATIONS</u>
15	/
16	I. Introduction
17	Petitioner is a state prisoner proceeding without counsel, with an application for a
18	writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner was convicted of second degree
19	murder in 1988 and sentenced to fifteen years to life in state prison. Petitioner claims that his
20	federal constitutional right to due process was violated by a 2009 decision of the California
21	Board of Parole Hearings (hereafter "the Board") to deny him a parole date, and that he suffered
22	a violation of the Ex Post Facto Clause based on the Board's deferral of petitioner's next parole
23	consideration hearing for a period of three years, and based on myriad changes implemented by
24	the Parole Authority over the last thirty years. After review of the record, this court finds the
25	petition should be denied.
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1 II. Standards for a Writ of Habeas Corpus 2 Federal habeas corpus relief is not available for any claim decided on the merits in 3 state court proceedings unless the state court's adjudication of the claim: 4 (1) resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as 5 determined by the Supreme Court of the United States; or (2) resulted in a decision that was based on an unreasonable 6 determination of the facts in light of the evidence presented in the 7 State court proceeding. 28 U.S.C. § 2254(d). 8 9 Under section 2254(d)(1), a state court decision is "contrary to" clearly 10 established United States Supreme Court precedents if it applies a rule that contradicts the 11 governing law set forth in Supreme Court cases, or if it confronts a set of facts that are materially indistinguishable from a decision of the Supreme Court and nevertheless arrives at different 12 result. Early v. Packer, 537 U.S. 3, 7 (2002) (citing Williams v. Taylor, 529 U.S. 362, 405-06 13 (2000)).14 15 Under the "unreasonable application" clause of section 2254(d)(1), a federal 16 habeas court may grant the writ if the state court identifies the correct governing legal principle 17 from the Supreme Court's decisions, but unreasonably applies that principle to the facts of the prisoner's case. Williams, 529 U.S. at 413. A federal habeas court "may not issue the writ 18 19 simply because that court concludes in its independent judgment that the relevant state-court 20 decision applied clearly established federal law erroneously or incorrectly. Rather, that 21 application must also be unreasonable." Id. at 412; see also Lockyer v. Andrade, 538 U.S. 63, 75 22 (2003) (it is "not enough that a federal habeas court, in its independent review of the legal question, is left with a 'firm conviction' that the state court was 'erroneous.'") (internal citations 23 24 omitted). 25 The court looks to the last reasoned state court decision as the basis for the state

26 court judgment. Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004). Where the state

1	court reaches a decision on the merits, but provides no reasoning to support its conclusion, a
2	federal habeas court independently reviews the record to determine whether habeas corpus relief
3	is available under section 2254(d). Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003);
4	Delgado v. Lewis, 223 F.3d 976, 982 (9th Cir. 2000) ("Independent review of the record is not de
5	novo review of the constitutional issue, but rather, the only method by which we can determine
6	whether a silent state court decision is objectively unreasonable."); accord Pirtle v. Morgan,
7	313 F.3d 1160, 1167 (9th Cir. 2002). When it is clear that a state court has not reached the
8	merits of a petitioner's claim, or has denied the claim on procedural grounds, the AEDPA's
9	deferential standard does not apply and a federal habeas court must review the claim de novo.
10	Nulph v. Cook, 333 F.3d 1052, 1056 (9th Cir. 2003); Pirtle, 313 F.3d at 1167.
11	III. <u>Petitioner's Claims</u>
12	A. <u>Due Process</u>
13	Petitioner contends that his federal constitutional right to due process was violated
14	by a 2009 decision of the Board to deny him a parole date.
15	The Due Process Clause of the Fourteenth Amendment prohibits state action that
16	deprives a person of life, liberty, or property without due process of law. A litigant alleging a
17	due process violation must first demonstrate that he was deprived of a liberty or property interest
18	protected by the Due Process Clause and then show that the procedures attendant upon the
19	deprivation were not constitutionally sufficient. Kentucky Dep't of Corrections v. Thompson,
20	490 U.S. 454, 459-60 (1989).
21	A protected liberty interest may arise from either the Due Process Clause of the
22	United States Constitution "by reason of guarantees implicit in the word 'liberty," or from "an
23	expectation or interest created by state laws or policies." Wilkinson v. Austin, 545 U.S. 209,
24	221 (2005) (citations omitted). The United States Constitution does not, of its own force, create
25	a protected liberty interest in a parole date, even one that has been set. Jago v. Van Curen,
26	454 U.S. 14, 17-21 (1981); Greenholtz v. Inmates of Neb. Penal, 442 U.S. 1, 7 (1979) (There is
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"no constitutional or inherent right of a convicted person to be conditionally released before the
expiration of a valid sentence."). However, "a state's statutory scheme, if it uses mandatory
language, 'creates a presumption that parole release will be granted' when or unless certain
designated findings are made, and thereby gives rise to a constitutional liberty interest."
<u>Greenholtz</u>, 442 U.S. at 12; <u>see also Board of Pardons v. Allen</u>, 482 U.S. 369, 376-78 (1987) (a
state's use of mandatory language ("shall") creates a presumption that parole release will be
granted when the designated findings are made.).

8 California's parole statutes give rise to a liberty interest in parole protected by the 9 federal due process clause. Swarthout v. Cooke, 562 U.S. (2011), No. 10-333, 2011 WL 10 197627, at *2 (Jan. 24, 2011). In California, a prisoner is entitled to release on parole unless 11 there is "some evidence" of his or her current dangerousness. In re Lawrence, 44 Cal.4th 1181, 1205-06, 1210 (2008); In re Rosenkrantz, 29 Cal.4th 616, 651-53 (2002). However, in 12 13 Swarthout the United States Supreme Court held that "[n]o opinion of [theirs] supports converting California's 'some evidence' rule into a substantive federal requirement." Swarthout, 14 15 2011 WL 197627, at *3. In other words, the Court specifically rejected the notion that there can 16 be a valid claim under the Fourteenth Amendment for insufficiency of evidence presented at a 17 parole proceeding. Id. at *3. Rather, the protection afforded by the federal due process clause to 18 California parole decisions consists solely of the "minimal" procedural requirements set forth in 19 Greenholtz, specifically "an opportunity to be heard and ... a statement of the reasons why parole was denied." Swarthout, at *2-3. 20

The record reflects that petitioner was present at the 2009 parole hearing, that he participated in the hearing, and that he was provided with the reasons for the Board's decision to deny parole. (Dkt. No. 12-2 at 38-51.) According to the United States Supreme Court, the federal due process clause requires no more. Accordingly, this claim should be denied. ////

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B. Alleged Ex Post Facto Violations

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2	Petitioner raises two ex post facto claims. First, petitioner contends that the
3	Board's application of "Marsy's Law" (adopted by the voters pursuant to Proposition 9, the
4	"Victims' Bill of Rights Act of 2008: Marsy's Law") to delay for three years his next parole
5	hearing, violated the Ex Post Facto Clause of the United States Constitution. Petitioner asserts
6	that application of the extended deferral period violates the Ex Post Facto Clause because it
7	"significantly increases" the risk that petitioner's "measure of punishment" will be increased.
8	(Dkt. No. 1 at 24.) Second, petitioner argues that all of the myriad changes implemented by the
9	Parole Authority over the last thirty years, as applied to petitioner, have violated the Ex Post
10	Facto Clause. (Dkt. No. 1 at 21.)
11	Under the statute as it existed prior to the enactment of "Marsy's Law,"
12	indeterminately-sentenced inmates, such as petitioner, ¹ were denied parole for one year unless the
13	Board found, with stated reasons, that it was unreasonable to expect that parole could be granted
14	the following year, in which case the subsequent hearing could be extended up to five years. Cal.
15	Penal Code § 3041.5(b)(2) (2008). However, at his 2009 parole hearing, petitioner was subject
16	to the terms of the amended statute, which authorizes denial of a subsequent parole hearing for a
17	period of up to fifteen years. Cal. Pen. Code, § 3041.5(b)(3) (2010). The shortest interval that
18	the Board may set is three years, applied to petitioner herein (Dkt. No. 1 at 22; Dkt. No. 12-2 at
19	46), based on a finding that petitioner "does not require a more lengthy period of incarceration
20	. than seven additional years," Cal. Pen. Code, § 3041.5(b)(3)(C).
21	On December 28, 2009, the Santa Barbara County Superior Court issued the last
22	reasoned opinion addressing this claim:
23	The Board chose the earliest date for petitioner's next parole
24	suitability hearing that was available to it under Marsy's law, Penal Code § $3041.5(b)(3)(C)$, effective November 5, 2008. Petitioner

²⁵ ¹ Petitioner is serving an indeterminate sentence of 15 years to life in prison, based on a 1988 conviction for second degree murder. (Dkt. No. 1 at 1.) 26

1	had earlier received a one-year denial, and his progress is such that
2	it is difficult to see a basis on which a multi-year denial under the former law could be justified. In such circumstances petitioner
3	argues that application of Marsy's law is an ex post facto increase in punishment. There are, however, several difficulties that
4	preclude application of ex post facto principles here. First, petitioner remains subject to a life sentence under both the former
5	and present law. In this context, under either statutory scheme, the possibility of a finding of parole suitability is discretionary and
6	uncertain and no increase in punishment for the underlying offense occurs. Second, under the former law, a multi-year denial could
7	follow a one-year denial in the Board's discretion. <i>In re Lugo</i> (200) 164 CA 4th 1522. And third, the current law permits a
8	parole suitability to be advanced when a change of circumstances or new information establishes a likelihood that public and victim
9	safety does not require the additional period of incarceration previously determined. Penal Code § 3041.5(b)(4) and (d).
10	The court would encourage the Board to give full and fair
11	consideration to advancing the next hearing before the expiration of the current three-year denial on appropriate request.
12	(Dkt. No. 1 at 123.)
13	The Constitution provides that "No State shall pass any ex post facto
14	Law." U.S. Const. art. I, § 10. A law violates the Ex Post Facto Clause if it: (1) punishes as
15	criminal an act that was not criminal when it was committed; (2) makes a crime's punishment
16	greater than when the crime was committed; or (3) deprives a person of a defense available at the
17	time the crime was committed. Collins v. Youngblood, 497 U.S. 37, 52 (1990). The Ex Post
18	Facto Clause is also violated if: (1) state regulations have been applied retroactively to a
19	defendant; and (2) the new regulations have created a "sufficient risk" of increasing the
20	punishment attached to the defendant's crimes. <u>Himes v. Thompson</u> , 336 F.3d 848, 854 (9th Cir.
21	2003). Not every law that disadvantages a defendant is a prohibited ex post facto law. In order
22	to violate the Clause, the law must essentially alter "the definition of criminal conduct" or
23	increase the "punishment for the crime." Lynce v. Mathis, 519 U.S. 433, 441-42 (1997); Souch
24	<u>v. Schaivo</u> , 289 F.3d 616, 620 (9th Cir. 2002).
25	California Penal Code section 3041.5 has been amended several times since the
$\gamma \epsilon$	data of notitionar's conviction to allow for longer neriods of time between nerels suitability

26 date of petitioner's conviction to allow for longer periods of time between parole suitability

1	hearings. Ex post facto challenges to those amendments have all been rejected. See e.g.
2	California Dep't of Corrections v. Morales, 514 U.S. 499, 509 (1995) (1981 amendment to
3	Section 3041.5, which increased maximum deferral period of parole suitability hearings to five
4	years did not violate the Ex Post Facto Clause because it simply altered the method of setting a
5	parole release date and did not create a meaningful "risk of increasing the measure of punishment
6	attached to the covered crimes"); Watson v. Estelle, 886 F.2d 1093, 1097-98 (9th Cir. 1989) (not
7	a violation of the Ex Post Facto Clause to apply Section 3041.5(b)(2)(A) to prisoners sentenced
8	to life imprisonment prior to the 1977 implementation of California's Determinate Sentence
9	Law); Clifton v. Attorney General Of the State of California, 997 F.2d 660, 662 n.1 (9th Cir.
10	1993) (same); see also Garner v. Jones, 529 U.S. 244, 249 (2000) (upholding Georgia's change
11	in the frequency of parole hearings for prisoners serving life sentences, from three to eight years).
12	Recently the Ninth Circuit overturned a district court decision granting
13	preliminary injunctive relief to plaintiffs in a class action seeking to prevent the Board from
14	enforcing the amended deferral periods established by Marsy's Law. Gilman v.
15	Schwarzenegger, F.3d, 2011 WL 198435 (9th Cir., Jan. 24, 2011). The court found it
16	unlikely that plaintiffs would succeed on the merits of their underlying challenge premised on the
17	Ex Post Facto Clause. The court initially compared and contrasted Marsy's Law with existing
18	Supreme Court precedent:
19	Here, as in <u>Morales</u> and <u>Garner</u> , Proposition 9 did not increase the
20	statutory punishment for any particular offense, did not change the date of inmates' initial parole hearings, and did not change the standard by which the Deard determined whether inmates were
21	standard by which the Board determined whether inmates were suitable for parole. However, the changes to the frequency of
22	parole hearings here are more extensive than the change in either <u>Morales</u> or <u>Garner</u> . First, Proposition 9 increased the maximum <u>deformation</u> from five years to fifteen years. This change is
23	deferral period from five years to fifteen years. This change is similar to the change in <u>Morales</u> (i.e., tripled from one year to three years) and the change in <u>Germer</u> (i.e., from three years to eight
24	years) and the change in <u>Garner</u> (i.e., from three years to eight years). Second, Proposition 9 increased the minimum deferral paried from any year to three years. Third, Proposition 9 shanged
25	period from one year to three years. Third, Proposition 9 changed the default deferral period from one year to fifteen years. Fourth, Proposition 9 altered the burden to impose a deformal period other
26	Proposition 9 altered the burden to impose a deferral period other than the default period Neither <u>Morales</u> nor <u>Garner</u> involved a

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change to the minimum deferral period, the default deferral period, or the burden to impose a deferral period other than the default period.

3 Gilman, 2011 WL 198435, at * 5. The Ninth Circuit found these distinctions insignificant due to 4 the availability of advance parole hearings at the Board's discretion (sua sponte or upon the 5 request of a prisoner, the denial of which is subject to judicial review), reasoning that, "as in Morales, an advance hearing by the Board 'would remove any possibility of harm' to prisoners 6 7 because they would not be required to wait a minimum of three years for a hearing." Id. at *6, quoting Morales, 514 U.S. at 513. The court concluded that plaintiffs had failed to demonstrate a 8 9 significant risk that their incarceration would be prolonged by application of Marsy's Law, and 10 thus found that plaintiffs had not established a likelihood of success on the merits of their ex post 11 facto claim.

12 In addition to these preliminary conclusions by the Ninth Circuit that Marsy's Law does not appear to violate the Ex Post Facto Clause, at least one district court has dismissed 13 a petitioner's challenge to the law on the ground that it improperly duplicates the class action 14 15 claim still pending on the merits in Gilman. See e.g. Bryant v. Haviland, 2011 WL 23064, *2-5, 16 15 (E.D. Cal., Jan. 4, 2011). That court noted that petitioner therein, as here, appears to be a 17 member of the Gilman class "of prisoners convicted of murder and serving a sentence of life with 18 the possibility of parole with at least one parole denial . . . challeng[ing] the state procedures 19 denying class members parole as well as their deferred parole suitability hearings following a 20 finding of parole unsuitability." Id. at *5. Relying on established precedent precluding a 21 member of a class action from seeking, in an individual action, equitable relief that is also sought by the class, see e.g. Crawford v. Bell, 599 F.2d 890, 892-93 (9th Cir. 1979), the court dismissed 22 23 petitioner's claim.

In light of these cases, petitioner's claim that the Ex Post Facto Clause was
violated by the application of Marsy's Law to defer his next parole consideration hearing for a
period of three years fails.

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1	The court turns now to the second prong of petitioner's ex post facto claim.
2	Petitioner claims that, as applied to petitioner, the myriad changes that the Parole Authority has
3	implemented over the last thirty years join together with the application of Marsy's Law to
4	violate the Ex Post Facto Clause. (Dkt. No. 1 at 21.) Petitioner asks the court to use a "Bey v.
5	Rubitschun ² style of analysis of all the Parole Authority changes over the past 30 years," (dkt.
6	No. 1 at 20), and Garner v. Jones, 529 U.S. 244 (2000). As noted by petitioner, the respondent
7	failed to address this claim. Although petitioner raised this ex post facto claim in the petition for
8	writ of habeas corpus filed in the Santa Barbara County Superior Court, the superior court also
9	failed to address this ex post facto claim.
10	This claim also fails.
11	[T]he question of whether there has been an ex post facto violation potentially turns on issues of fact. As the Supreme Court
12	recognized in <u>Garner v. Jones</u> , when a law does not facially increase punishment, "the [petitioner] must demonstrate, by
13	evidence drawn from the rule's practical implementation by the [entity] charged with exercising discretion that its retroactive
14	application will result in a longer period of incarceration than under the earlier rule." [footnote omitted] 529 U.S. 244, 245
15	(2000). This is a heavy burden, <u>see id.</u> , at 255 ("[petitioner] must show that as applied to his own sentence the law created a
16	significant risk of increasing his punishment")
17	Heller v. Powers-Mendoza, 2007 WL 963330 (E.D. Cal. 2007). Here, petitioner addresses the
18	myriad changes in general terms, without applying each change to his own situation, or providing
19	specific facts to demonstrate how each change allegedly increased his term of punishment. (Dkt.
20	No. 1 at 21.) In his traverse, petitioner claims that initially he only had to be found suitable for
21	parole under "Administrative Law Regulations CCR 2000(b)(48/50), CCR 2280-2282," but that
22	with the inclusion of Marsy's Law, "the focus is more on Victim's Rights than with the current
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24	² Petitioner identifies this case as "U.S. District Court E.D. Michigan Bey v. Rubitschun

² Petitioner identifies this case as "U.S. District Court E.D. Michigan Bey v. Rubitschun #07-71318." (Dkt. No. 1 at 30.) Petitioner refers to <u>Foster-Bey v. Rubitschun</u>, Case No. 05-cv-71318, Eastern District of Michigan, a class action lawsuit challenging 1992 changes in Michigan parole policies. <u>Id.</u> However, petitioner's citation does not clearly identify the specific court ruling to which petitioner refers.

threat or Rehabilitation of the prisoner." (Dkt. No. 13 at 18.) This conclusory statement, without 1 2 more, fails to demonstrate that this change created a significant risk of prolonging petitioner's 3 incarceration. This is particularly true in light of Morales, 514 U.S. 509, and the other authorities 4 recited above. Petitioner has failed to meet his heavy burden under Garner, 529 U.S. at 255. 5 Petitioner's reliance on Foster-Bey v. Rubitschun, is also unavailing as Foster-Bey involves challenges to Michigan laws, whereas the instant case involves a challenge to California laws. 6 7 This case is therefore distinguishable on that basis. Thus, petitioner's second ex post facto claim should also be denied. 8 9 For all of the above reasons, the state court's rejection of petitioner's ex post facto 10 claims for relief was neither contrary to, nor an unreasonable application of, controlling 11 principles of United States Supreme Court precedent. Therefore, petitioner is not entitled to relief in this action on his ex post facto claims.³ 12 13 IV. Conclusion For all of the above reasons, the undersigned recommends that petitioner's 14 15 application for a writ of habeas corpus be denied. 16 Accordingly, IT IS HEREBY RECOMMENDED that petitioner's application for 17 a writ of habeas corpus be denied. 18 These findings and recommendations are submitted to the United States District 19 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-20 one days after being served with these findings and recommendations, any party may file written 21 objections with the court and serve a copy on all parties. Such a document should be captioned 22 ³ Petitioner requests an evidentiary hearing. To obtain an evidentiary hearing, a petitioner is "required to allege specific facts which, if true, would entitle him to relief." Ortiz v. 23 Stewart, 149 F.3d 923, 934 (9th Cir. 1998) (internal guotation marks and citation omitted). The 24 court concludes that no additional factual supplementation is necessary in this case and that an

<sup>evidentiary hearing is not appropriate with respect to the claims raised in the instant petition.
The facts alleged in support of these claims, even if established at a hearing, would not entitle petitioner to federal habeas relief. Therefore, petitioner's request for an evidentiary hearing
should be denied.</sup>

"Objections to Magistrate Judge's Findings and Recommendations." If petitioner files objections, he shall also address whether a certificate of appealability should issue and, if so, why and as to which issues. A certificate of appealability may issue under 28 U.S.C. § 2253 "only if the applicant has made a substantial showing of the denial of a constitutional right." 28 U.S.C. § 2253(c)(3). Any response to the objections shall be filed and served within fourteen days after service of the objections. The parties are advised that failure to file objections within the specified time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991). DATED: April 5, 2011 UNITED STATES MAGISTRATE JUDGE sali2798.157