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8	IN THE UNITED STATES DISTRICT COURT	
9	FOR THE EASTERN DISTRICT OF CALIFORNIA	
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11	MARK A. EVANS, No. CIV S-10-2820-CMK	
12	Plaintiff,	
13	vs. <u>ORDER</u>	
14	COMMISSIONER OF SOCIAL SECURITY,	
15	Defendant.	
16	/	
17	Plaintiff, who is proceeding with retained counsel, brings this action for judicial	
18	review of a final decision of the Commissioner of Social Security under 42 U.S.C. § 405(g).	
19	Pursuant to the written consent of all parties, this case is before the undersigned as the presiding	
20	judge for all purposes, including entry of final judgment. See 28 U.S.C. § 636(c). Pending	
21	before the court are plaintiff's motion for summary judgment (Doc. 18) and defendant's cross-	
22	motion for summary judgment (Doc. 19). For the reasons discussed below, the court will deny	
23	plaintiff's motion for summary judgment or remand and grant the Commissioner's cross-motion	
24	for summary judgment.	
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1	I. PROCEDURAL HISTORY <sup>1</sup>		
2	Plaintiff applied for social security benefits protectively on March 14, 2008,		
3	alleging an onset of disability on October 2, 2007, due to disabilities including back pain,		
4	depression, sleep apnea, fibromyalgia, and headaches (Certified administrative record ("CAR")		
5	53-54, 107-08, 115-125). Plaintiff's claim was denied initially and upon reconsideration.		
6	Plaintiff requested an administrative hearing, which was held on November 16, 2009, before		
7	Administrative Law Judge ("ALJ") Gerardo Mariani. In a December 8, 2009 decision, the ALJ		
8	concluded that plaintiff is not disabled <sup>2</sup> based on the following findings:		
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10	<sup>1</sup> Because the parties are familiar with the factual background of this case, including plaintiff's medical history, the undersigned does not exhaustively relate those facts here. The		
11	facts related to plaintiff's impairments and medical history will be addressed insofar as they are relevant to the issues presented by the parties' respective motions.		
12	<sup>2</sup> Disability Insurance Benefits are paid to disabled persons who have contributed to the Social Security program, 42 U.S.C. § 401 et seq. Supplemental Security Income ("SSI") is		
13	paid to disabled persons with low income. 42 U.S.C. § 1382 <u>et seq</u> . Under both provisions, disability is defined, in part, as an "inability to engage in any substantial gainful activity" due to		
14	"a medically determinable physical or mental impairment." 42 U.S.C. §§ 423(d)(1)(a) &		
15	1382c(a)(3)(A). A five-step sequential evaluation governs eligibility for benefits. See 20 C.F.R. $\$$ 423(d)(1)(a), 416.920 & 416.971-76; Bowen v. Yuckert, 482 U.S. 137, 140-42 (1987). The following summerizes the accumulation:		
16	following summarizes the sequential evaluation:		
17	Step one: Is the claimant engaging in substantial gainful activity? If so, the claimant is found not disabled. If not, proceed to step two.		
18	Step two: Does the claimant have a "severe" impairment?		
19	If so, proceed to step three. If not, then a finding of not disabled is appropriate.		
20	Step three: Does the claimant's impairment or combination of impairments meet or equal an impairment listed in 20 C.F.R., Pt. 404, Subpt. P, App.1? If so, the claimant is automatically		
21	determined disabled. If not, proceed to step four.		
22	Step four: Is the claimant capable of performing his past work? If so, the claimant is not disabled. If not, proceed to step		
23	five. Step five: Does the claimant have the residual functional		
24	capacity to perform any other work? If so, the claimant is not disabled. If not, the claimant is disabled.		
25	Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995).		
26	The claimant bears the burden of proof in the first four steps of the sequential evaluation		
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1		laimant meets the insured status requirements of the Social ity Act at least though December 31, 2012.
2 3		laimant has not engaged in substantial gainful activity since ber 2, 2007, the alleged onset date (20 CFR 404.1571 <i>et seq.</i> ).
4		laimant has the following severe impairments: fibromyalgia besity (20 CFR 404.1520(c)).
5 6 7	impai impai	laimant does not have an impairment or combination of rments that meets or medically equals one of the listed rments in 20 CFR Part 404, Subpart P, Appendix 1 (20 CFR 520(d), 404.1525, and 404.1526).
8		careful consideration of the entire record, the undersigned
9	perfo	that the claimant has the residual functional capacity to rm light work as defined in 20 CFR 404.1567(b)[FN] except e can engage in postural activities only occasionally and must
10		concentrated exposure to extreme cold and vibration. [FN: Light work involves lifting no more than 20 pounds
11		at a time with frequent lifting or carrying of objects weighing up to 10 pounds. Even though the weight lifted
12		may be very little, a job in this category requires a good deal of walking or standing, or it involves sitting most of
13		the time with some pushing and pulling of arm or leg controls. To be considered capable of performing a full or
14		wide range of light work, you must have the ability to do substantially all of these activities. If someone can do light
15		work, we determine that he or she can also do sedentary work, unless there are additional limiting factors such as
16		loss of fine dexterity or inability to sit for long periods of time.]
17 18	6. The c	laimant is capable of performing past relevant work [FN] as a
10 19	skille	er clerk, auto parts - a light (performed as medium) and d, SVP5, job. This work does not require the performance of related activities precluded by claimant's residual functional
20		ity (20 CFR 404.1565). [FN: Other past relevant work, according to the credible
20		testimony of the vocational expert, consisting of a ranch hand (heavy and semiskilled, SVP 4) and a gas attendant
22		(medium and semiskilled, SVP 3), the claimant is not capable of performing because exertional demands exceed
23	///	the residual functional capacity.]
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<sup>26</sup> process. <u>Bowen</u>, 482 U.S. at 146 n.5. The Commissioner bears the burden if the sequential evaluation process proceeds to step five. <u>Id</u>.

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# The claimant has not been under a disability, as defined in the Social Security Act, from October 2, 2007 through the date of this decision (20 CFR 404.1520(f)).

(CAR 16-25). After the Appeals Council declined review on August 20, 2010, this appeal followed.

#### **II. STANDARD OF REVIEW**

The court reviews the Commissioner's final decision to determine whether it is: 6 7 (1) based on proper legal standards; and (2) supported by substantial evidence in the record as a whole. See Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999). "Substantial evidence" is 8 9 more than a mere scintilla, but less than a preponderance. See Saelee v. Chater, 94 F.3d 520, 521 10 (9th Cir. 1996). It is "such evidence as a reasonable mind might accept as adequate to support a 11 conclusion." Richardson v. Perales, 402 U.S. 389, 402 (1971). The record as a whole, including both the evidence that supports and detracts from the Commissioner's conclusion, must be 12 13 considered and weighed. See Howard v. Heckler, 782 F.2d 1484, 1487 (9th Cir. 1986); Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985). The court may not affirm the Commissioner's 14 15 decision simply by isolating a specific quantum of supporting evidence. See Hammock v. 16 Bowen, 879 F.2d 498, 501 (9th Cir. 1989). If substantial evidence supports the administrative 17 findings, or if there is conflicting evidence supporting a particular finding, the finding of the Commissioner is conclusive. See Sprague v. Bowen, 812 F.2d 1226, 1229-30 (9th Cir. 1987). 18 19 Therefore, where the evidence is susceptible to more than one rational interpretation, one of 20 which supports the Commissioner's decision, the decision must be affirmed, see Thomas v. 21 Barnhart, 278 F.3d 947, 954 (9th Cir. 2002), and may be set aside only if an improper legal 22 standard was applied in weighing the evidence, see Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th 23 Cir. 1988).

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#### **III. DISCUSSION**

Plaintiff argues the ALJ erred in three ways: (1) the ALJ erred in his evaluation of
the medical opinion evidence; (2) the ALJ erred in evaluating plaintiff's credibility; and (3) the

1 ALJ erred in his evaluation of the vocational expert's opinion.

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#### A. MEDICAL OPINIONS

3 The weight given to medical opinions depends in part on whether they are 4 proffered by treating, examining, or non-examining professionals. See Lester v. Chater, 81 F.3d 5 821, 830-31 (9th Cir. 1995). Ordinarily, more weight is given to the opinion of a treating professional, who has a greater opportunity to know and observe the patient as an individual, 6 7 than the opinion of a non-treating professional. See id.; Smolen v. Chater, 80 F.3d 1273, 1285 (9th Cir. 1996); Winans v. Bowen, 853 F.2d 643, 647 (9th Cir. 1987). The least weight is given 8 9 to the opinion of a non-examining professional. See Pitzer v. Sullivan, 908 F.2d 502, 506 & n.4 10 (9th Cir. 1990).

11 In addition to considering its source, to evaluate whether the Commissioner properly rejected a medical opinion the court considers whether: (1) contradictory opinions are 12 13 in the record; and (2) clinical findings support the opinions. The Commissioner may reject an uncontradicted opinion of a treating or examining medical professional only for "clear and 14 15 convincing" reasons supported by substantial evidence in the record. See Lester, 81 F.3d at 831. 16 While a treating professional's opinion generally is accorded superior weight, if it is contradicted 17 by an examining professional's opinion which is supported by different independent clinical findings, the Commissioner may resolve the conflict. See Andrews v. Shalala, 53 F.3d 1035, 18 19 1041 (9th Cir. 1995). A contradicted opinion of a treating or examining professional may be 20 rejected only for "specific and legitimate" reasons supported by substantial evidence. See Lester, 21 81 F.3d at 830. This test is met if the Commissioner sets out a detailed and thorough summary of 22 the facts and conflicting clinical evidence, states her interpretation of the evidence, and makes a 23 finding. See Magallanes v. Bowen, 881 F.2d 747, 751-55 (9th Cir. 1989). Absent specific and legitimate reasons, the Commissioner must defer to the opinion of a treating or examining 24 25 professional. See Lester, 81 F.3d at 830-31. The opinion of a non-examining professional, 26 without other evidence, is insufficient to reject the opinion of a treating or examining

1	professional. See id. at 831. In any event, the Commissioner need not give weight to any		
2	conclusory opinion supported by minimal clinical findings. See Meanel v. Apfel, 172 F.3d 1111,		
3	1113 (9th Cir. 1999) (rejecting treating physician's conclusory, minimally supported opinion);		
4	see also Magallanes, 881 F.2d at 751.		
5	A nurse-practitioner is not considered an acceptable medical source. See 20		
6	C.F.R. § 404.1513(d). Evidence from other sources may be used "to show the severity of your		
7	impairment(s) an how it affects your ability to work." <u>Id.</u> Thus, while a plaintiff may produce		
8	such evidence to help the Commissioner, there is no requirement that the Commissioner accept		
9	or specifically refute it. See Bunnell v. Sullivan, 912 F.2d 1149, 1152 (9th Cir. 1990), modified		
10	on other grounds, 947 F.2d 341 (9th cir. 1991) (noting that while plaintiff "is free to offer		
11	evidence [from a non-acceptable medical source] to help the [Commissioner] understand his		
12	inability to work, there is no requirement that the [Commissioner] accept or specifically		
13	refute such evidence.").		
14	Plaintiff argues that the ALJ should have credited his treating Family Nurse		
15	Practitioner (FNP) Chaney as a treating medical source, and erred in discounting her opinion		
16	without clear and convincing or specific and legitimate reasons.		
17	After summarizing plaintiff's medical treatment records, the ALJ stated:		
18	[I]n February 2008, Dr. David Chamberland, his treating rheumatologist, assessed his functional limitation of only "lifting heavy objects" (Ex.		
19	3F14-15). He repeated that assessment in early 2009, as noted elsewhere in this decision. The undersigned finds this substantially consistent with		
20	the assessed residual functional capacity above and accords it significant weight. In May 2008 his primary provider, Sally Chaney, a family nurse		
21	practitioner, commented that he needs vocational rehabilitation - indicating his ability to do <i>some</i> type of work (Ex. 4F63). Dr. Stephen		
22	Kolpacoff - who was, based on the medical record, apparently supervising Sally Chaney but not personally treat[ing] the claimant - opined on		
23	December 1, 2008 that the claimant "will not be able to return to customary work" which he noted was "ranch foreman" (Ex. 9F26). The		
24	undersigned finds this consistent with the assessed residual functional capacity above and the opinion of the vocational expert below (that the		
25	claimant cannot return to that work which was a heavy and semiskilled job) and, consequently, accords this opinion great weight. Finally, in		
26	November 2009, Sally Chaney, FNP, filled out a fibromyalgia residual		
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1	functional capacity form noting that he could not complete a normal workday and would be excessively absent from [work] due to his medical	
2	problems (Ex. 10F2-6). The undersigned recognizes that the record	
3	includes this statement which implies that the claimant is completely disabled. However, the determination of disability is reserved to the Commissioner under 20 C.F.R. § 416.927(e) [FN], is totally unsupported	
4	by the objective evidence, is contrary to the opinions of the State agency medical consultants who reviewed his medical records (Social Security	
5	Rulings 96-2p and 96-5p), and last but not least, it has been provided by a non-medical "other" source under the Regulations. As a result, conclusory	
6	opinions that a claimant cannot work do not merit controlling weight. For these reasons, the undersigned declines to assign any weight at all to it.	
7	As for other opinion evidence, in accordance with SSR 96-6p, the	
8	undersigned takes notice that the concluding opinions pertaining to disability contained herein are consistent with the ones reached at the	
9	initial and reconsideration levels of disability determination (Ex. 5F-8F). In sum, the above residual functional capacity assessment is supported by	
10	the substantial evidence of record.	
11	(CAR 23)	
12	Defendant argues the ALJ correctly rejected FNP Chaney's opinion, the cases	
13	cited by plaintiff are distinguishable, and regardless, the ALJ provided sufficient valid reasons	
14	for rejecting the FNP's opinion. The undersigned agrees with defendant's analysis. As stated	
15	above, there is no requirement that the ALJ specifically accept or refute a non-medical source	
16	opinion. As a nurse-practitioner is considered a non-medical source, the ALJ could simply have	
17	ignored her opinion. However, the ALJ did not ignore FNP Chaney's opinion. Rather, after	
18	discussing the medical evidence in some detail, the ALJ specifically concluded that her opinion	
19	is unsupported by the objective evidence, is contrary to the opinion of the reviewing physicians,	
20	and her conclusory opinion that plaintiff cannot work did not merit controlling weight. These are	
21	sufficient reasons for discounting a non-medical source opinion.	
22	In addition, the undersigned agrees that the cases cited in support of plaintiff's	
23	position are distinguishable. In Benton v. Barnhart, 331 F.3d 1030 (9th Cir. 2003), the Ninth	
24	Circuit determined that a supervising physician's opinion could be treated as a treating medical	

26 the physician was in contact with and consulted with the nurse-practitioner who was treating the

source opinion even though the physician had only minimal contact with the plaintiff, because

plaintiff and whom the physician was supervising. Here, the opinion the plaintiff argues should 1 2 have been adopted by the ALJ was not the supervising physician's opinion, but rather the nurse-3 practitioner's opinion. Indeed, the ALJ discussed the one limited opinion from the physician who appeared to be FNP Chaney's supervising physician, Dr. Kolpacoff, that plaintiff "will not 4 5 be able to return to customary work" which he noted was "ranch foreman." The ALJ accepted this opinion. Noting that opinion was consistent with the vocational expert's opinion that given 6 7 plaintiff's limitations, the ALJ accepted that plaintiff could not return to his former position as ranch foreman. Therefore, the facts involved this action are distinguishable from those in 8 9 Benton.

10 Similarly, plaintiff's reliance on Gomez v. Chater, 74 F.3d 967, 971 (9th Cir. 11 1996), is misplaced. In Gomez, the Ninth Circuit determined that where a nurse practitioner worked closely with a supervising physician, consulting with the physician often related to the 12 13 patient's care, the opinion of the nurse practitioner could be considered a treating source. See id. However, that exception has been interpreted narrowly. See e.g., Ramirez v. Astrue, No. ED CV 14 15 09-1371-PJW, 2001 WL 1155682, at \*4 (C.D. Cal. Mar. 29, 2011) (physician's co-signature on 16 client-plan prepared by a social worker did not indicate that the social worker was under close 17 supervision of the physician in treating or in prepare the reports, thus social worker's evaluation 18 was not from an "acceptable medical source"); Vasquez v. Astrue, No. CV-08-078-CI, 2009 WL 19 939339, at \*6 n.3 (E.D. Wash. Apr. 3, 2009) (PA's report "signed off" by a superior believed to 20 be a doctor did not constitute "acceptable medical source" opinion); Nichols v. Comm'r of Soc. 21 Sec. Admin., 260 F. Supp. 2d 1057, 1066-67 (D. Kan. 2003) (distinguishing Gomez where 22 physician signed the report of an NP but no evidence indicated that NP consulted with the 23 physician during the course of patient's treatment and concluding opinion was not from an acceptable medical source.) Gomez does not stand for the proposition that any medical 24 25 professional, who would not otherwise be considered an "acceptable medical source," is treated as an "acceptable medical source" merely because they are supervised to any degree by a 26

physician. This is supported by the revisions to 20 C.F.R. § 416.913 that removed the reference
 to "interdisciplinary team" as an acceptable medical source, as was relied on in <u>Gomez</u>.

While FNP Chaney may have been supervised by Dr. Kolpacoff to some extent, 3 4 plaintiff points to no evidence to support the contention that they actually worked together as part 5 of a team or that FNP Chaney consulted with Dr. Kolpacoff on plaintiff's treatment to such an extent that her opinion should qualify as that of a treating source. Plaintiff points to only one 6 7 instance where there was any apparent communication between Dr. Kolpacoff and FNP Chaney. In that instance, Dr. Kolpacoff agreed with FNP Chaney about referring plaintiff to a pain clinic, 8 9 signed a prescription for plaintiff, and signed a state disability form. The other citation plaintiff 10 provides is to the record for the only time plaintiff saw Dr. Kolpacoff for treatment. There is no 11 indication in that record that FNP Chaney was involved in that visit, or that there was communication between Dr. Kolpacoff and FNP Chaney. There is only a note for plaintiff to 12 13 follow up with FNP Chaney as needed. This limited contact with Dr. Kolpacoff is not sufficient to treat FNP Chaney's opinion as an acceptable medical source. According, the ALJ did not err 14 15 in discounting FNP Chaney's opinion that plaintiff was completely disabled and unable to work.

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#### **B. PLAINTIFF'S CREDIBILITY**

17 The Commissioner determines whether a disability applicant is credible, and the court defers to the Commissioner's discretion if the Commissioner used the proper process and 18 19 provided proper reasons. See Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1996). An explicit 20 credibility finding must be supported by specific, cogent reasons. See Rashad v. Sullivan, 903 21 F.2d 1229, 1231 (9th Cir. 1990). General findings are insufficient. See Lester v. Chater, 81 F.3d 22 821, 834 (9th Cir. 1995). Rather, the Commissioner must identify what testimony is not credible 23 and what evidence undermines the testimony. See id. Moreover, unless there is affirmative evidence in the record of malingering, the Commissioner's reasons for rejecting testimony as not 24 25 credible must be "clear and convincing." See id.; see also Carmickle v. Commissioner, 533 F.3d 1155, 1160 (9th Cir. 2008) (citing Lingenfelter v Astrue, 504 F.3d 1028, 1936 (9th Cir. 2007), 26

and Gregor v. Barnhart, 464 F.3d 968, 972 (9th Cir. 2006)). 1 2 If there is objective medical evidence of an underlying impairment, the 3 Commissioner may not discredit a claimant's testimony as to the severity of symptoms merely 4 because they are unsupported by objective medical evidence. See Bunnell v. Sullivan, 947 F.2d 5 341, 347-48 (9th Cir. 1991) (en banc). As the Ninth Circuit explained in Smolen v. Chater: The claimant need not produce objective medical evidence of the 6 [symptom] itself, or the severity thereof. Nor must the claimant produce 7 objective medical evidence of the causal relationship between the medically determinable impairment and the symptom. By requiring that the medical impairment "could reasonably be expected to produce" pain or 8 another symptom, the Cotton test requires only that the causal relationship 9 be a reasonable inference, not a medically proven phenomenon. 80 F.3d 1273, 1282 (9th Cir. 1996) (referring to the test established in Cotton v. Bowen, 799 10 11 F.2d 1403 (9th Cir. 1986)). The Commissioner may, however, consider the nature of the symptoms alleged, 12 13 including aggravating factors, medication, treatment, and functional restrictions. See Bunnell, 947 F.2d at 345-47. In weighing credibility, the Commissioner may also consider: (1) the 14 15 claimant's reputation for truthfulness, prior inconsistent statements, or other inconsistent 16 testimony; (2) unexplained or inadequately explained failure to seek treatment or to follow a 17 prescribed course of treatment; (3) the claimant's daily activities; (4) work records; and (5) physician and third-party testimony about the nature, severity, and effect of symptoms. See 18 19 Smolen, 80 F.3d at 1284 (citations omitted). It is also appropriate to consider whether the 20 claimant cooperated during physical examinations or provided conflicting statements concerning 21 drug and/or alcohol use. See Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002). If the 22 claimant testifies as to symptoms greater than would normally be produced by a given 23 impairment, the ALJ may disbelieve that testimony provided specific findings are made. See Carmickle, 533 F.3d at 1161 (citing Swenson v. Sullivan, 876 F.2d 683, 687 (9th Cir. 1989)). 24 25 Regarding reliance on a claimant's daily activities to find testimony of disabling 26 pain not credible, the Social Security Act does not require that disability claimants be utterly

incapacitated. See Fair v. Bowen, 885 F.2d 597, 602 (9th Cir. 1989). The Ninth Circuit has 1 2 repeatedly held that the "mere fact that a plaintiff has carried out certain daily activities . . . does not . . . [necessarily] detract from her credibility as to her overall disability." See Orn v. Astrue, 3 4 495 F.3d 625, 639 (9th Cir. 2007) (quoting Vertigan v. Heller, 260 F.3d 1044, 1050 (9th Cir. 5 2001)); see also Howard v. Heckler, 782 F.2d 1484, 1488 (9th Cir. 1986) (observing that a claim of pain-induced disability is not necessarily gainsaid by a capacity to engage in periodic restricted 6 7 travel); Gallant v. Heckler, 753 F.2d 1450, 1453 (9th Cir. 1984) (concluding that the claimant 8 was entitled to benefits based on constant leg and back pain despite the claimant's ability to cook 9 meals and wash dishes); Fair, 885 F.2d at 603 (observing that "many home activities are not 10 easily transferable to what may be the more grueling environment of the workplace, where it 11 might be impossible to periodically rest or take medication"). Daily activities must be such that 12 they show that the claimant is "able to spend a substantial part of his day engaged in pursuits involving the performance of physical functions that are transferable to a work setting." Fair, 13 14 885 F.2d at 603. The ALJ must make specific findings in this regard before relying on daily activities to find a claimant's pain testimony not credible. See Burch v. Barnhart, 400 F.3d 676, 15 16 681 (9th Cir. 2005).

Here, the ALJ found:

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18 that the claimant's medically determinable impairments could reasonably be expected to cause the alleged symptoms; however, the claimant's 19 statements concerning the intensity, persistence and limiting effects of these symptoms are not credible to the extent they are inconsistent with the 20 above residual functional capacity assessment. The claimant complained of severe pain. It is the conclusion of the Administrative Law Judge that 21 the pain experienced by the claimant was limiting, but when compared with the total evidence, not severe enough to preclude all types of work. 22 The issue is not the existence of pain, but rather the degree of incapacity incurred because of it. While the claimant complained of severe pain, it 23 does not seem reasonable to conclude from the findings in evidence that such could be the basis for the degree of pain alleged. The claimant's records did not show progressive physical deterioration which might be 24 expected when there is intense and continuous pain. Aquatic therapy was 25 recommended to him more than once but he never did it. He never had any surgery of injections (ESIs or triggerpoint) to control pain. A sample 26 patch was given to him only once; the only treatment was medication one

with partial at best success and sporadic use of a TENS unit. Imaging studies failed to show anything significant and virtually the only finding repeatedly confirmed was fibromyalgia tender points. Additionally, the record shows an ever-increasing weight and consent morbid obesity. Further, the claimant's daily activities were not exactly consistent with living with debilitating pain. As noted above, during the relevant time, he was vacationing, traveled to visit his ill step-father, and enjoyed fishing. At times he was also non-compliant with the treatment. Besides not engaging in recommended aquatic therapy, he did not exercise, certainly did not diet, as evidenced by his persistently increasing morbid obesity, kept smoking a pack of cigarettes per day (at the same time complaining of occasional shortness of breath), and drink alcohol occasionally despite the fact of his history as a heavy drinker. Also diagnosed with OSA he tried C-PAP but claimed it did not work for him so he returned the equipment, as noted above, and obviously did nothing more about it. He even never used an Albuterol inhaler that he had, as also shown above. The record also shows that he reported that Darvocet worked, reducing pain, but he stopped using it on his own because it allegedly caused headaches. Claimant cannot be found disabled if he or she does not follow prescribed treatment without a good reason. 20 C.F.R.404.1530(b). Although the outcome of this claim would not be any different even if he was compliant (due to the record being unsupportive of his allegations *per se*), the fact

remains that he was not and that he failed to produce or establish such a reason (cause). (CAR 22-23).

In support of these findings, the ALJ summarized the evidence, noting Dr. Chamberland's assessment that plaintiff was limited only of "lifting heavy objects;" and that plaintiff's physical examination was unremarkable, with the exception of fibromyalgia tenderpoints. He also noted FNP Chaney's comment that he needed vocational rehabilitation. In addition, plaintiff was vacationing despite allegedly debilitating pain, and denied "*inter alia*, sleep disorder, fatigue, weakness, and malaise." (CAR at 21-22).

Plaintiff disagrees with the ALJ's assessment, and contends the ALJ did not provide sufficient clear and convincing reasons based on specific substantial evidence for rejecting plaintiff's credibility.

The court does not substitute its own judgment over that of the ALJ's when there is evidence which reasonably supports either confirming or reversing the ALJ's decision. <u>See</u> <u>Tackett v. Apfel</u>, 180 F.3d 1094,1098 (9th Cir. 1999). This applies to the ALJ's credibility

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1 determination as well. The ALJ set forth several reasons for her determination, including the 2 medical evidence failing to show progressive physical deterioration, plaintiff's activities as 3 inconsistent with plaintiff's allegations of disabling pain, and plaintiff's failure to follow various 4 prescribed/recommended courses of treatment. These are clear and convincing reasons, as set 5 forth in the ALJ's opinion, and they are supported by substantial evidence in the record. While the ALJ's interpretation of the evidence may not be the only reasonable one, it is still a 6 7 reasonable interpretation and is supported by substantial evidence. Providing the ALJ's decision with the proper deference, the court finds the ALJ's credibility determination was not erroneous. 8

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### C. VOCATIONAL EXPERT'S OPINION

Plaintiff's final contention is that the vocational expert's opinion was based on a
hypothetical question which failed to accurately reflect plaintiff's abilities.

The ALJ may meet his burden by propounding to a vocational expert hypothetical
questions based on medical assumptions, supported by substantial evidence, that reflect all the
plaintiff's limitations. <u>See Roberts v. Shalala</u>, 66 F.3d 179, 184 (9th Cir. 1995). Specifically,
where the Medical-Vocational Guidelines are inapplicable because the plaintiff has sufficient
non-exertional limitations, the ALJ is required to obtain vocational expert testimony. <u>See</u>
<u>Burkhart v. Bowen</u>, 587 F.2d 1335, 1341 (9th Cir. 1988).

18 Hypothetical questions posed to a vocational expert must set out all the 19 substantial, supported limitations and restrictions of the particular claimant. See Magallanes v. 20 Bowen, 881 F.2d 747, 756 (9th Cir. 1989). If a hypothetical does not reflect all the claimant's 21 limitations, the expert's testimony as to jobs in the national economy the claimant can perform 22 has no evidentiary value. See DeLorme v. Sullivan, 924 F.2d 841, 850 (9th Cir. 1991). While 23 the ALJ may pose to the expert a range of hypothetical questions based on alternate 24 interpretations of the evidence, the hypothetical that ultimately serves as the basis for the ALJ's 25 determination must be supported by substantial evidence in the record as a whole. See Embrey v. Bowen, 849 F.2d 418, 422-23 (9th Cir. 1988). 26

Here, the ALJ posed the following hypothetical to the vocational expert:

Okay. Let's look at occasionally lifting and carrying 20, 20 pounds; frequently 10. Standing and walking six out of eight, sitting six out of eight. The posturals, all of them would be occasionally; climbing, balancing, stooping, leaning, crouching and crawling occasionally And he would have to avoid concentrated exposure to extreme cold and vibrations, avoid concentrated exposure. And, okay, with that would he be able to go back to past work?

(CAR 47). The vocational expert responded that plaintiff could return to his past work of
counter clerk job, but not as the gas station attendant or ranch hand. (CAR 47). In addition, the
vocational expert testified based on that hypothetical plaintiff could perform other jobs in the
national economy, including ticketer, table worker, and office helper. (CAR 48). Both the ALJ
and plaintiff's attorney then posed additional hypotheticals to the vocational expert, limiting
plaintiff's abilities more. The vocational expert then testified that under such restrictions,
plaintiff would not be able to sustain competitive employment.

The issue is whether the hypothetical the ALJ posed to the vocational expert was sufficient, whether it identified all of plaintiff's limitations. As discussed above, the court finds the ALJ did not err in her analysis of the medical opinions, nor in discounting plaintiff's credibility. The hypothetical the ALJ posed to the vocation expert took into account the limitations he accepted and set forth in the residual functional capacity (RFC) assessment. While the ALJ's determination as to plaintiff's RFC may not have been the only one possible, it is supported by substantial evidence, and as such will not be disturbed here. Similarly, the hypothetical the ALJ posed to the vocational expert included all of the limitations he determined to be supported by the evidence, and since that determination was not erroneous, the hypothetical posed must be considered legally adequate. The court, therefore, finds no error in the ALJ's hypothetical, nor in the vocational expert's testimony based thereon.

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I IV. CONCLUSION   Based on the foregoing, the court concludes that the Commissioner's final   decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY   ORDERED that:   3   4   5   6   7   8   9   10   11   12   13   14   15   16   17   18   19   19   11   11   12   13   14   15   16   17   18   19   19   11   11   12   13   14   15   16   17   18   19   19   10   11   12   13   14   15             16   17   18   19   10   11   12   13   14   15         16   17   18   19   19   19   10   11   12   13   14   15         16     <		
2       Based on the foregoing, the court concludes that the Commissioner's final         3       decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY         4       ORDERED that:         5       1.       Plaintiff's motion for summary judgment (Doc. 18) is denied;         6       2.       Defendant's cross-motion for summary judgment (Doc. 19) is granted; and         7       3.       The Clerk of the Court is directed to enter judgment and close this file.         8       DATED: March 16, 2012       CRAIG M. KELLISON         11       CRAIG M. KELLISON       UNITED STATES MAGISTRATE JUDGE         12       CRAIG M. KELLISON       UNITED STATES MAGISTRATE JUDGE         13       Interview of the court is directed to enter judgment and close this file.       Interview of the court is directed to enter judgment and close this file.         14       DATED: March 16, 2012       CRAIG M. KELLISON         15       Interview of the court is directed to enter judgment and close this file.       Interview of the court is directed to enter judgment and close this file.         16       Interview of the court is directed to enter judgment and close this file.       Interview of the court is directed to enter judgment and close this file.         17       Interview of the court is directed to enter judgment and close the court is directed to enter judgment and close the court is directed to enter judgment and		
<ul> <li>decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY</li> <li>ORDERED that: <ol> <li>Plaintiff's motion for summary judgment (Doc. 18) is denied;</li> <li>Defendant's cross-motion for summary judgment (Doc. 19) is granted; and</li> <li>The Clerk of the Court is directed to enter judgment and close this file.</li> </ol> </li> <li>DATED: March 16, 2012 CRAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE </li> <li>Indiana in the state i</li></ul>	1	IV. CONCLUSION
4       ORDERED that:         5       1.       Plaintiff's motion for summary judgment (Doc. 18) is denied;         6       2.       Defendant's cross-motion for summary judgment (Doc. 19) is granted; and         7       3.       The Clerk of the Court is directed to enter judgment and close this file.         8       0       DATED: March 16, 2012         10       .       CRAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE         12       .       .         14       .       .         15       .       .         16       .       .         17       .       .         18       .       .         19       .       .         11       .       .         12       .       .         13       .       .         14       .       .         15       .       .         16       .       .         17       .       .         18       .       .         19       .       .         12       .       .         13       .       .         14       .       . <th>2</th> <th>Based on the foregoing, the court concludes that the Commissioner's final</th>	2	Based on the foregoing, the court concludes that the Commissioner's final
<ul> <li>Plaintiff's motion for summary judgment (Doc. 18) is denied;</li> <li>Defendant's cross-motion for summary judgment (Doc. 19) is granted; and</li> <li>The Clerk of the Court is directed to enter judgment and close this file.</li> </ul> DATED: March 16, 2012 CRAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE RAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26	3	decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY
<ul> <li>2. Defendant's cross-motion for summary judgment (Doc. 19) is granted; and</li> <li>3. The Clerk of the Court is directed to enter judgment and close this file.</li> <li>DATED: March 16, 2012 <ul> <li>CRAIG M. KELLISON</li> <li>UNITED STATES MAGISTRATE JUDGE</li> </ul> </li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> </ul>	4	ORDERED that:
<ul> <li>3. The Clerk of the Court is directed to enter judgment and close this file.</li> <li>DATED: March 16, 2012</li> <li>CRAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE</li> <li>11</li> <li>CRAIG M. KELLISON</li> <li>UNITED STATES MAGISTRATE JUDGE</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> </ul>	5	1. Plaintiff's motion for summary judgment (Doc. 18) is denied;
B DATED: March 16, 2012 CRAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE CRAIG M. KELLISON UNITED STATES MAGISTRATE JUDGE 13 14 15 16 17 18 19 20 21 22 23 24 25 26	6	2. Defendant's cross-motion for summary judgment (Doc. 19) is granted; and
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