

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

RONALD BRATTON,

Plaintiff,

No. CIV-S-11-0781 MCE GGH P

vs.

A. HEDGPETH, Warden, et al.,

Defendants.

ORDER

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Plaintiff, a state prisoner proceeding pro se, seeks relief pursuant to 42 U.S.C. § 1983 and has requested authority pursuant to 28 U.S.C. § 1915 to proceed in forma pauperis. This proceeding was referred to this court by Local Rule 302 pursuant to 28 U.S.C. § 636(b)(1).

By Order, filed on June 2, 2011, this action was re-designated as one brought pursuant to 42 U.S.C. § 1983, the petition was dismissed with leave granted for petitioner to file a complaint, and a ruling on the application to proceed in forma pauperis was withheld until such time as a complaint might be timely filed. A complaint has been filed timely.

Plaintiff has submitted a declaration that makes the showing required by 28 U.S.C. § 1915(a). Accordingly, the request to proceed in forma pauperis will be granted.

Plaintiff is required to pay the statutory filing fee of \$350.00 for this action. 28 U.S.C. §§ 1914(a), 1915(b)(1). An initial partial filing fee of \$ 1.71 will be assessed by this

1 order. 28 U.S.C. § 1915(b)(1). By separate order, the court will direct the appropriate agency to  
2 collect the initial partial filing fee from plaintiff's trust account and forward it to the Clerk of the  
3 Court. Thereafter, plaintiff will be obligated for monthly payments of twenty percent of the  
4 preceding month's income credited to plaintiff's prison trust account. These payments will be  
5 forwarded by the appropriate agency to the Clerk of the Court each time the amount in plaintiff's  
6 account exceeds \$10.00, until the filing fee is paid in full. 28 U.S.C. § 1915(b)(2).

7           The court is required to screen complaints brought by prisoners seeking relief  
8 against a governmental entity or officer or employee of a governmental entity. 28 U.S.C.  
9 § 1915A(a). The court must dismiss a complaint or portion thereof if the prisoner has raised  
10 claims that are legally "frivolous or malicious," that fail to state a claim upon which relief may be  
11 granted, or that seek monetary relief from a defendant who is immune from such relief. 28  
12 U.S.C. § 1915A(b)(1),(2).

13           A claim is legally frivolous when it lacks an arguable basis either in law or in fact.  
14 Neitzke v. Williams, 490 U.S. 319, 325 (1989); Franklin v. Murphy, 745 F.2d 1221, 1227-28  
15 (9th Cir. 1984). The court may, therefore, dismiss a claim as frivolous where it is based on an  
16 indisputably meritless legal theory or where the factual contentions are clearly baseless. Neitzke,  
17 490 U.S. at 327. The critical inquiry is whether a constitutional claim, however inartfully  
18 pleaded, has an arguable legal and factual basis. See Jackson v. Arizona, 885 F.2d 639, 640 (9th  
19 Cir. 1989); Franklin, 745 F.2d at 1227.

20           A complaint must contain more than a "formulaic recitation of the elements of a  
21 cause of action;" it must contain factual allegations sufficient to "raise a right to relief above the  
22 speculative level." Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 127 S. Ct. 1955, 1965 (2007).  
23 "The pleading must contain something more...than...a statement of facts that merely creates a  
24 suspicion [of] a legally cognizable right of action." Id., quoting 5 C. Wright & A. Miller, Federal  
25 Practice and Procedure 1216, pp. 235-235 (3d ed. 2004). "[A] complaint must contain sufficient  
26 factual matter, accepted as true, to 'state a claim to relief that is plausible on its face.'" Ashcroft

1 v. Iqbal, \_\_\_ U.S. \_\_\_, 129 S.Ct. 1337, 1349 (2009) (quoting Twombly, 550 U.S. at 570, 127 S.Ct.  
2 1955). “A claim has facial plausibility when the plaintiff pleads factual content that allows the  
3 court to draw the reasonable inference that the defendant is liable for the misconduct alleged.” Id.

4 In reviewing a complaint under this standard, the court must accept as true the  
5 allegations of the complaint in question, Hospital Bldg. Co. v. Rex Hospital Trustees, 425 U.S.  
6 738, 740, 96 S.Ct. 1848 (1976), construe the pleading in the light most favorable to the plaintiff,  
7 and resolve all doubts in the plaintiff’s favor. Jenkins v. McKeithen, 395 U.S. 411, 421, 89 S.Ct.  
8 1843 (1969).

9 The complaint states a colorable claim for relief against defendants CCI Lopez, D.  
10 Carnazzo, T. Verdesoto, N. Grannis, Ben Curry,<sup>1</sup> pursuant to 42 U.S.C. § 1983 and 28 U.S.C.  
11 § 1915A(b) based on plaintiff’s claims that these individuals acted in retaliation for plaintiff’s  
12 having filed a civil rights complaint against them.

13 However, plaintiff should be aware that he does not have an independent  
14 constitutional right to a certain classification. A prisoner does not have a constitutional right to a  
15 particular classification status. Hernandez v. Johnston, 833 F.2d 1316, 1318 (9th Cir. 1987)  
16 (quoting Moody v. Daggett, 429 U.S. 78, 88 n. 9, 97 S.Ct. 274, 279 (1976), wherein the  
17 Supreme Court, in a footnote, explicitly rejected a claim that “prisoner classification and  
18 eligibility for rehabilitative programs in the federal system” invoked due process protections).  
19 Thus, in general, prison officials’ housing and classification decisions do not give rise to federal  
20 constitutional claims encompassed by the protection of liberty and property guaranteed by the  
21 Fifth and Fourteenth Amendments. See Board of Regents v. Roth, 408 U.S. 564, 569, 92 S. Ct.  
22 2701 (1972). Nor does the Constitution guarantee a prisoner placement in a particular prison or  
23 protect an inmate against being transferred from one institution to another. Meachum v. Fano,

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25 <sup>1</sup> The court notes that, almost without exception, each of these defendants are employed  
26 by state prisons located within the jurisdiction of Northern District of California. As the only  
defendant who is or was not is most likely merely nominal, N. Grannis, the undersigned is  
unclear as to why this action has been filed in this district.

1 427 U.S. 215, 223-225, 96 S. Ct. 2532, 2538 (1976). See Rizzo v. Dawson, 778 F.2d 527, 530  
2 (9th Cir.1985) (prison authorities may change a prisoner's "place of confinement even though the  
3 degree of confinement may be different and prison life may be more disagreeable in one  
4 institution than in another" without violating the prisoner's due process rights); Hanrahan v.  
5 Lane, 747 F.2d 1137, 1140-41 (7th Cir.1984) (allegation that prison guard planted false evidence  
6 in retaliation for prisoner's failure to pay extortion demand fails to state section 1983 claim so  
7 long as procedural due process was provided).

8 Nor do prisoners have a "separate constitutional entitlement to a specific prison  
9 grievance procedure." Ramirez v. Galaza, 334 F.3d 850, 860 (9th Cir. 2003), citing Mann v.  
10 Adams, 855 F.2d 639, 640 (9th Cir. 1988). Even the non-existence of, or the failure of prison  
11 officials to properly implement, an administrative appeals process within the prison system does  
12 not raise constitutional concerns. Mann v. Adams, 855 F.2d at 640. See also, Buckley v.  
13 Barlow, 997 F.2d 494, 495 (8th Cir. 1993); Flick v. Alba, 932 F.2d 728 (8th Cir. 1991). Azeez v.  
14 DeRobertis, 568 F. Supp. 8, 10 (N.D.Ill. 1982) ("[A prison] grievance procedure is a procedural  
15 right only, it does not confer any substantive right upon the inmates. Hence, it does not give rise  
16 to a protected liberty interest requiring the procedural protections envisioned by the fourteenth  
17 amendment"). Specifically, a failure to process a grievance does not state a constitutional  
18 violation. Buckley, supra. State regulations give rise to a liberty interest protected by the Due  
19 Process Clause of the federal constitution only if those regulations pertain to "freedom from  
20 restraint" that "imposes atypical and significant hardship on the inmate in relation to the ordinary  
21 incidents of prison life." Sandin v. Conner, 515 U.S. 472, 484, 115 S. Ct. 2293, 2300 (1995).<sup>2</sup>

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23 <sup>2</sup> "[W]e recognize that States may under certain circumstances create liberty interests  
24 which are protected by the Due Process Clause. See also Board of Pardons v. Allen, 482 U.S.  
25 369, 107 S.Ct. 2415, 96 L.Ed.2d 303 (1987). But these interests will be generally limited to  
26 freedom from restraint which, while not exceeding the sentence in such an unexpected manner as  
to give rise to protection by the Due Process Clause of its own force, see, e.g., Vitek v. Jones,  
445 U.S. 480, 493, 100 S.Ct.1254, 1263-1264 (transfer to mental hospital), and Washington v.  
Harper, 494 U.S. 210, 221- 222, 110 S.Ct. 1028, 1036-1037 (involuntary administration of  
psychotropic drugs), nonetheless imposes atypical and significant hardship on the inmate in

1           However, as noted above, to the extent that plaintiff is alleging that the defendants  
2 acted in re-classifying him to a higher custody level and transferring him to a higher custody  
3 level institution because he had filed a civil rights complaint against them, his claim is  
4 cognizable as one for retaliation by prison officials for the exercise of a prisoner's constitutional  
5 right of access to the courts as such conduct violates the federal constitution. Pratt v. Rowland,  
6 65 F.3d 802, 807 (9th Cir. 1995); Schroeder v. McDonald, 55 F.3d 454, 461 (9th Cir. 1995);  
7 Black v. Lane, 22 F.3d 1395, 1402 (7th Cir. 1994); Woods v. Smith, 60 F.3d 1161, 1164 (5th  
8 Cir. 1995); Rizzo v. Dawson, 778 F.2d 527, 532 (9th Cir. 1985).

9           The court will, nevertheless, dismiss the complaint as to certain defendants, with  
10 leave to amend, because it is unclear exactly as to these individuals whether plaintiff is making  
11 allegations against them, and Rule 8 of the Federal Rules of Civil Procedure requires "sufficient  
12 allegations to put defendants fairly on notice of the claims against them." McKeever v. Block,  
13 932 F.2d 795, 798 (9th Cir. 1991). With respect to defendant Hedgpeth, Warden at Salinas  
14 Valley State Prison (SVSP), plaintiff does not appear to have named him as a defendant in  
15 making the transition from habeas petition to civil rights complaint, so he will be dismissed with  
16 leave to amend. In addition, although plaintiff lists as a defendant a classification staff  
17 representative named Gomez at CTF<sup>3</sup>-Soledad, he does not separately set him forth as a  
18 defendant in the portion of his complaint wherein he identifies each defendant and frames his  
19 allegation as to each. The same is true for defendants J. Batchelor and R.S. White. Therefore,  
20 defendants Gomez, Batchelor and White will be dismissed with leave to amend as well.

21           A troubling feature of the instant complaint is that it appears that plaintiff may be  
22 saying that he has already filed the instant allegations within the Northern District of California.  
23 See, e.g., Complaint, pp. 1-3, 34. If that is so, plaintiff should make clear in any future filing  
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25 relation to the ordinary incidents of prison life." Sandin v. Conner, supra.

26           <sup>3</sup> Correctional Training Facility.

1 how this case should not be dismissed as duplicative or as res judicata. Under the doctrine of res  
2 judicata, a final judgment on the merits precludes the parties or their privies from relitigating  
3 issues that were or could have been raised in that action. Dodd v. Hood River County, 59 F.3d  
4 852, 863 (9th Cir. 1995). The Supreme Court has noted that “claim preclusion” and “issue  
5 preclusion” are referred to collectively as “res judicata.” Taylor v. Sturgell, 553 U.S. 880, 128 S.  
6 Ct. 2161, 2171 (2008). The doctrine of res judicata is applicable to § 1983 actions. Clark v.  
7 Yosemite Community College Dist., 785 F.2d 781, 788 n.9 (9th Cir. 1986) (noting that there is  
8 no exception to the rules of issue and claim preclusion for federal civil rights actions brought  
9 under 42 U.S.C. § 1983), citing Migra v. Warren City School Dist. Bd. of Education, 465 U.S.  
10 75, 84, 104 S.Ct. 892, 898 (1984); Allen v. McCurry, 449 U.S. 90, 97-98, 101 S.Ct. 411, 416-417  
11 (1980); Piatt v. MacDougall, 773 F.2d 1032, 1034 (9th Cir.1985) (en banc). Courts have held  
12 that habeas proceedings can have preclusive effect in subsequent civil rights actions. See  
13 Hawkins v. Risley, 984 F.2d 321, 323 (9th Cir. 1993) (per curiam) (holding that a federal habeas  
14 decision may have preclusive effect in a subsequent § 1983 action); Silverton v. Dep’t of  
15 Treasury, 644 F.2d 1341, 1347 (9th Cir. 1981) (ruling that state habeas proceedings can have  
16 issue or claim preclusive effect in subsequent § 1983 actions).

17           If plaintiff chooses to amend the complaint, plaintiff must demonstrate how the  
18 conditions complained of have resulted in a deprivation of plaintiff’s constitutional rights. See  
19 Ellis v. Cassidy, 625 F.2d 227 (9th Cir. 1980). Also, the complaint must allege in specific terms  
20 how each named defendant is involved. There can be no liability under 42 U.S.C. § 1983 unless  
21 there is some affirmative link or connection between a defendant’s actions and the claimed  
22 deprivation. Rizzo v. Goode, 423 U.S. 362, 96 S.Ct. 598 (1976); May v. Enomoto, 633 F.2d  
23 164, 167 (9th Cir. 1980); Johnson v. Duffy, 588 F.2d 740, 743 (9th Cir. 1978). Furthermore,  
24 vague and conclusory allegations of official participation in civil rights violations are not  
25 sufficient. See Ivey v. Board of Regents, 673 F.2d 266, 268 (9th Cir. 1982).

26           In addition, plaintiff is informed that the court cannot refer to a prior pleading in

1 order to make plaintiff's amended complaint complete. Local Rule 220 requires that an amended  
2 complaint be complete in itself without reference to any prior pleading. This is because, as a  
3 general rule, an amended complaint supersedes the original complaint. See Loux v. Rhay, 375  
4 F.2d 55, 57 (9th Cir. 1967). Once plaintiff files an amended complaint, the original pleading no  
5 longer serves any function in the case. Therefore, in an amended complaint, as in an original  
6 complaint, each claim and the involvement of each defendant must be sufficiently alleged.

7 Accordingly, IT IS HEREBY ORDERED that:

8 1. Plaintiff's request to proceed in forma pauperis is granted;

9 2. Plaintiff is obligated to pay the statutory filing fee of \$350.00 for this action.  
10 Plaintiff is assessed an initial partial filing fee of \$ 1.71. All fees shall be collected and paid in  
11 accordance with this court's order to the Director of the California Department of Corrections  
12 and Rehabilitation filed concurrently herewith.

13 3. Plaintiff's claims against defendants Hedgpeth, Gomez, Batchelor and White  
14 are dismissed for the reasons discussed above, with leave to file an amended complaint within  
15 twenty-eight days from the date of service of this Order. In any amended complaint, plaintiff  
16 should make clear that his claims in the instant action are not duplicative or res judicata. Failure  
17 to file an amended complaint will result in a recommendation that these defendants be dismissed  
18 from this action.

19 4. Upon filing an amended complaint or expiration of the time allowed therefor,  
20 the court will make further orders for service of process upon some or all of the defendants.

21 DATED: August 17, 2011

22 /s/ Gregory G. Hollows  
23 UNITED STATES MAGISTRATE JUDGE

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