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8	UNITED STAT	TES DISTRICT COURT
9	FOR THE EASTERN	DISTRICT OF CALIFORNIA
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11	JAMAAL THOMAS,	No. 2:11-cv-1138-MCE-EFB P
12	Plaintiff,	
13	v.	FINDINGS AND RECOMMENDATIONS
14	ANTIPOV, et al.,	
15	Defendants.	
16		
17	Plaintiff is a state prisoner proceeding	g without counsel in an action brought under 42
18	U.S.C. § 1983. He alleges Eighth Amendme	ent claims of deliberate indifference to serious
19	medical needs against each remaining defend	lant. ECF No. 45. Defendants have filed a motion
20	for summary judgment. ECF No. 112-2. Pla	aintiff filed an opposition to defendants' motion and a
21	counter-motion for summary judgment. ECF	F No. 122. For the reasons that follow, it is
22	recommended that (1) defendants' motion for	r summary judgment be granted as to defendants
23	Grinde, Ma, Maciel, McGee, and Park, but de	enied as to defendants Antipov and Downie, (2)
24	plaintiff's counter-motion for summary judg	ment be denied as to all defendants, and (3)
25	plaintiff's request for judicial notice be denie	ed.
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I. BACKGROUND

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This action proceeds on plaintiff's verified second amended complaint filed May 7, 2012.
ECF No. 45 ("SAC") at 1, 16.¹ Plaintiff alleges that defendants Antipov, Downie, Grinde, Ma,
Maciel, McGee, and Park were deliberately indifferent to his serious medical needs before,
during, and after the extraction of his wisdom teeth. *Id.* at 1, 12. Antipov is an oral surgeon who
performed the extractions while working for the California State Prison, Sacramento as an
independent contractor. Downie, Park, and Maciel are dentists. Ma is a physician. McGee and
Grinde are registered nurses.

9 Defendant Antipov removed plaintiff's four wisdom teeth on August 3, 2010. Id. at 4. 10 According to plaintiff, he informed Antipov before the extractions that he needed to be pre-11 medicated with antibiotics before every dental procedure because of his heart murmur, but neither 12 the dental staff nor Antipov had plaintiff's medical files on hand to confirm plaintiff's contention. *Id.*² Before the extraction, plaintiff was given a Dental Health Record form to complete that 13 14 asked him to list all medication allergies. Pl.'s Decl. at ¶ 10 (ECF No. 122 at 76). Plaintiff 15 signed this form before it was completed because the nurse assisting him "made it confusing." Id. 16 The nurse wrote "Motrin" before returning the form to plaintiff and spelling "Tylenol" and 17 "Penicillin" for him to add to the form. Id. Plaintiff says it was difficult for him to understand 18 the nurse, who took the form back out of frustration, saying she would add Aspirin and Naproxen. 19 *Id.* Nevertheless, though the form he signed on the day of the extraction listed only an allergy to 20 Motrin, plaintiff claims that his Unit Health Record "has [had] allergies to Tylenol, Penicillin, Aspirin, [and] Motrin written on it since [his] arrival to prison."³ Id. at ¶ 30 (ECF No. 122 at 81). 21

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³ Plaintiff has not submitted this Unit Health Record to the court.

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¹ For ease of reference, all citations to court documents are to the pagination assigned via the court's electronic filing system.

²⁴ ² According to plaintiff, a doctor diagnosed him with a heart murmur on May 14, 2008.
²⁵ ECF No. 122 ("Pl.'s Decl.") at ¶ 4. That doctor ordered plaintiff to take prescribed antibiotics thirty minutes before any dental surgery. *Id.* Other doctors made that same recommendation on January 16, March 5, and March 17, 2009. *Id.* at ¶ 6. Additionally, defendant Downie added that recommendation to plaintiff's progress notes on December 22, 2009. *Id.* at ¶¶ 7, 8.

1 Antipov injected plaintiff with local anesthesia before the extraction. Pl.'s Decl. at ¶ 11 2 (ECF No. 122 at 76). Plaintiff claims that his heart began to beat rapidly and he experienced cold 3 chills moments later. Id. Plaintiff describes the procedure as protracted and problematic. He asserts that Antipov showed no concern for plaintiff's well-being, left "mouth hinges"⁴ in 4 5 plaintiff's mouth for the entire two-hour procedure, and failed to prescribe necessary antibiotics 6 and pain medication. SAC at 4. Plaintiff claims that Antipov struggled to remove plaintiff's left 7 and lower right wisdom teeth, and he became "frustrated to [the] point that he applied immense 8 pressure, that [plaintiff's] whole head was being pulled to and fro." Id. Plaintiff claims that 9 Antipov ignored plaintiff's complaints of pain, Pl.'s Decl. at ¶ 12, and that because the procedure 10 took longer than expected, plaintiff was rushed out of the dental office without receiving any pain 11 medication, SAC at 4. Plaintiff also asserts that Antipov later stated that the surgery was 12 difficult. Pl.'s Decl. at ¶ 86 (ECF No. 122 at 91).

13 Later on the day of the extractions, plaintiff experienced extreme pain, difficulty 14 breathing, a rapid heartbeat, chest pain, and a fever. Id. Correctional officers took plaintiff to the 15 prison medical facility after he suffered a seizure. SAC at 5. There, he spoke with defendant 16 McGee, a nurse, who filled out and signed a form indicating that plaintiff's pain level was ten out 17 of ten. Pl.'s Decl. at ¶ 71 (citing Ex. E to Pl.'s Opp'n). McGee contacted the on-call doctor, but 18 allegedly was openly disrespectful towards plaintiff. SAC at 5. Plaintiff asserts that he had a 19 temperature of 102.5 degrees, but McGee did not offer any medical assistance. Id. He further 20 claims that McGee "jammed her fingers down [plaintiff's] throat in search of" gauzes that 21 plaintiff might have swallowed during his seizure. Id. Though McGee could not locate any 22 gauzes, two correctional officers were able to. Id. According to plaintiff, McGee's actions 23 caused him great pain. Id. When the on-call doctor arrived, he observed plaintiff's state and contacted Burns, a dentist. *Id.* at 5-6.⁵ Upon learning that plaintiff had not received any pain 24 25 medication after the extraction, Burns provided liquid pain medication, prescribed liquid Vicodin,

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⁵ Burns is not a defendant in this case.

⁴ In his declaration, plaintiff adopts Antipov's terminology and refers to them as "bite blocks." Pl.'s Decl. at \P 12.

scheduled plaintiff for an appointment with a prison dentist the following morning, and sent plaintiff to the Outpatient Housing Unit ("OHU") to be monitored throughout the night. *Id.* at 6.

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3 At OHU, plaintiff met with defendant Ma, the on-call doctor. Id. But plaintiff claims that 4 instead of examining or treating plaintiff, Ma left him in the cell suffering. Id. When the pain 5 became unbearable, plaintiff banged on his door and requested the liquid Vicodin that Burns had 6 ordered. Id. An hour later, a nurse informed plaintiff that the OHU did not carry liquid Vicodin, 7 and tried to give plaintiff the Tylenol #3 that Ma had ordered. Id. Plaintiff told both Ma and the 8 nurse that he could not take Tylenol, Aspirin, Penicillin, Naproxen, Ibuprofen, or Motrin. *Id.* 9 Plaintiff, without having seen a dentist in OHU, was sent back to his cell at approximately 2:00 10 p.m. the following day. *Id.* at 7.

11 Plaintiff was not seen by a dentist until August 10. *Id.* Throughout the preceding week 12 plaintiff was taking medication that he assumed he was not allergic to, as he had informed Ma of 13 his medication allergies. Id. The Tylenol #3 and Penicillin were "crushed down into water" and 14 plaintiff "did not know that Liquid T-3 stood for Tylenol #3" Pl.'s Decl. at ¶¶ 34, 35 (ECF 15 No. 122 at 82). But plaintiff was having an allergic reaction to the medication, experiencing chest 16 and heart pain, rashes, a swollen face, hives, diarrhea, bloody stool, continuous vomiting, 17 migraines, ear aches, fatigue, difficulty breathing, and dehydration. SAC at 7. The dentist 18 appointment on August 10 was not for these issues or even a follow-up for his surgery, but rather 19 a scheduled tooth cleaning. *Id.* Defendant Downie, a dentist, retrieved plaintiff's medical file 20 upon observing plaintiff's swollen face. Id. Downie discontinued Ma's prescription and said that 21 he would prescribe pain medication that plaintiff could tolerate. *Id.* Downie also said that he 22 would have plaintiff return in a week. Id.

Later that night, plaintiff received a bag of Tylenol that defendant Maciel, a doctor, had
prescribed. *Id.* Plaintiff returned the medication to the medical technician and informed her of
his "condition." *Id. But cf.* Pl.'s Decl. at ¶ 42 (ECF No. 122 at 83) (stating that he "took this
medication for a couple of days not knowing it was Tylenol."). Plaintiff claims Maciel did not
check plaintiff's dental health history records to see what medications plaintiff was allergic to.
Pl.'s Decl. at ¶ 100 (ECF No. 122 at 93).

1 Plaintiff continued to experience extreme pain after August 10. Id. Every night, prison 2 staff would provide plaintiff with a bag of Tylenol, and plaintiff would inform them that he was 3 allergic to that medication. ECF No. 45 at 8, \P 17. Because plaintiff did not receive any other 4 medication, he was "forced to either take the medication that [he] was allergic to . . . or just suffer 5 through it all, in hopes of getting better" Id. On August 17, a nurse became very concerned 6 for plaintiff's health. Id., ¶ 19. Upon learning of plaintiff's medication allergies, the nurse made 7 a bold notation in plaintiff's medical file. *Id.* The nurse also scheduled plaintiff for an 8 appointment with the dentist the following morning. Id.

9 But plaintiff did not meet with a dentist until August 20. Id., ¶ 20. Upon seeing plaintiff's 10 condition and weight loss on that date, Downie prescribed Salsalate for plaintiff's pain. Id. 11 Downie did not prescribe anything for plaintiff's swollen face. *Id.* Downie did not examine 12 plaintiff's mouth because it was too painful for plaintiff to open his mouth. ECF No. 122 at 93, 13 ¶ 103. After informing Downie that he had not eaten anything since the extraction, plaintiff 14 requested that Downie place him on a liquid diet. ECF No. 45 at 8, ¶ 20. Downie replied that it 15 was not his department, but rather medical, that determines diet. Id. at 9. Medical, however, told 16 plaintiff that the dental department is responsible for that determination. *Id.* Plaintiff was not 17 placed on a liquid diet. Id. Because of the pain, plaintiff eventually took the Salsalate that 18 Downie prescribed. Id. Plaintiff also had an allergic reaction to that medication. Id. Upon 19 learning that Salsalate has Aspirin in it, plaintiff requested another medication. *Id.*

Plaintiff, "without receiving any pain medication," passed out on August 24. *Id.* He was
taken to the medical facility, where the nurse called defendant Park, a doctor. Park prescribed
Morphine for the night and scheduled a dentist appointment for plaintiff. *Id.*

On August 25, plaintiff had an appointment at San Joaquin General Hospital concerning
his heart murmur. *Id.* at 10. Because of that appointment, plaintiff was not able to attend the
dental appointment that Park had ordered. *Id.* Upon returning to the prison's A-facility to be
checked back into the institution, defendant Grinde, a nurse, approved plaintiff's return to Bfacility without any treatment. *Id.* Plaintiff's face was severely swollen, and he expressed to
Grinde that he was in severe pain. *Id.*; ECF No. 122 at 93, ¶ 104.

1	At B-facility, plaintiff refused to go back into his cell until he received treatment for his
2	pain. SAC at 9. After plaintiff argued with medical staff for fifteen minutes, Grinde reported to
3	B-facility. Id. Grinde stated that he could not do anything for plaintiff because plaintiff was just
4	trying to get drugs. Id. When Grinde stated that he would provide Tylenol #3, plaintiff informed
5	Grinde that he was allergic to that and other medications. Id. Grinde called Park, who again
6	prescribed Morphine for the pain. Id. at 11. But Park also prescribed Tylenol #3 and Penicillin,
7	despite knowing of plaintiff's allergies to those medications. Id. After plaintiff argued with both
8	Grinde and Park, Park also prescribed Erythromycin for plaintiff's infection. Id.
9	On August 26, plaintiff met with Maciel. Id. Maciel prescribed plaintiff liquid
10	Methadone, continued the Erythromycin treatment, ordered plaintiff a liquid diet, and scheduled
11	plaintiff for an appointment with the oral surgeon. Id. Maciel then prescribed a stronger
12	antibiotic and called plaintiff in everyday to receive an oral rinse to treat the infection. Id. at 12.
13	Plaintiff is still "suffering from the effects of this whole ordeal," as his jaw unhinges when
14	he chews and hurts when he speaks. SAC at 12. Specifically, plaintiff claims that Antipov's
15	extraction caused "TMJ, a dislocated disk in [plaintiff's] jaw and a deviation" in plaintiff's jaw.
16	Pl.'s Decl. at ¶ 76. Additionally, his heart condition "worsened tremendously" as a direct result
17	of the extraction and the medical care he received afterwards, SAC at 12, and he lost weight as a
18	result of not being able to eat from August 3 until the first week of September, Pl.'s Decl. at
19	¶ 13. ⁶
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Provever, the court has reviewed both Exhibits w and X and finds that heither shows
nor even mentions the causation that plaintiff claims. Exhibit W consists of dental progress notes
from March 1 and May 16, 2011. The note dated March 1 indicates plaintiff's "jaw doesn't pop anymore" and "opens freely without pain." ECF No. 122 at 176. The note dated May 16 states:
"Having soreness on right side of jaw. Palpation on right TMJ—he states he has pain—open is

⁶ Plaintiff also makes several medical claims based on documents he has filed with the court. However, those documents do not support the claims that plaintiff derives from them. For example, plaintiff contends that a heart murmur consultation on June 18, 2013, "showed that [he] was at risk of encocaditis[,] a heart disease that is caused from not being pre[-]medicated before oral surgery and an infection from oral surgery getting into the bloodstream traveling to the heart." Pl.'s Decl. at ¶ 82 (citing "Exhibit W" to Pl.'s Opp'n). Additionally, plaintiff claims the extraction caused TMJ, trismus, a displaced disk, and risk of osteomylitis, and that at an appointment on June 18, 2014, a doctor explained to plaintiff that his current heart condition was "impacted from" Antipov's surgery. *Id.* at ¶ 83, 84 (citing "Exhibit X" to Pl.'s Opp'n). However, the court has reviewed both Exhibits W and X and finds that neither "shows"

II. STANDARD

2	Summary judgment is appropriate when there is "no genuine dispute as to any material
3	fact and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a). Summary
4	judgment avoids unnecessary trials in cases in which the parties do not dispute the facts relevant
5	to the determination of the issues in the case, or in which there is insufficient evidence for a jury
6	to determine those facts in favor of the nonmovant. Crawford-El v. Britton, 523 U.S. 574, 600
7	(1998); Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 247-50 (1986); Nw. Motorcycle Ass'n v.
8	U.S. Dep't of Agric., 18 F.3d 1468, 1471-72 (9th Cir. 1994). At bottom, a summary judgment
9	motion asks whether the evidence presents a sufficient disagreement to require submission to a
10	jury.
11	The principal purpose of Rule 56 is to isolate and dispose of factually unsupported claims
12	or defenses. Celotex Corp. v. Catrett, 477 U.S. 317, 323-24 (1986). Thus, the rule functions to
13	"pierce the pleadings and to assess the proof in order to see whether there is a genuine need for
14	trial."" Matsushita Elec. Indus. v. Zenith Radio Corp., 475 U.S. 574, 587 (1986) (quoting Fed. R.
15	Civ. P. 56(e) advisory committee's note on 1963 amendments). Procedurally, under summary
16	judgment practice, the moving party bears the initial responsibility of presenting the basis for its
17	motion and identifying those portions of the record, together with affidavits, if any, that it
18	believes demonstrate the absence of a genuine issue of material fact. Celotex, 477 U.S. at 323;
19	Devereaux v. Abbey, 263 F.3d 1070, 1076 (9th Cir. 2001) (en banc). If the moving party meets
20	its burden with a properly supported motion, the burden then shifts to the opposing party to
21	present specific facts that show there is a genuine issue for trial. Fed. R. Civ. P. 56(e); Anderson,
22	477 U.S. at 248; Auvil v. CBS "60 Minutes", 67 F.3d 816, 819 (9th Cir. 1995).
23	A clear focus on where the burden of proof lies as to the factual issue in question is crucial
24	to summary judgment procedures. Depending on which party bears that burden, the party seeking
25	summary judgment does not necessarily need to submit any evidence of its own. When the
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27 28	limited[.] Bite is normal. No swelling or [illegible] present[.] He said pain has decrease[d.]" <i>Id.</i> at 177. Exhibit X is a dental progress note from August 3, 2010 (i.e., the day of the extraction). <i>Id.</i> at 179.

1 opposing party would have the burden of proof on a dispositive issue at trial, the moving party 2 need not produce evidence which negates the opponent's claim. See, e.g., Lujan v. National 3 Wildlife Fed'n, 497 U.S. 871, 885 (1990). Rather, the moving party need only point to matters 4 which demonstrate the absence of a genuine material factual issue. See Celotex, 477 U.S. at 323-5 24 ("[W]here the nonmoving party will bear the burden of proof at trial on a dispositive issue, a 6 summary judgment motion may properly be made in reliance solely on the 'pleadings, 7 depositions, answers to interrogatories, and admissions on file.""). Indeed, summary judgment 8 should be entered, after adequate time for discovery and upon motion, against a party who fails to 9 make a showing sufficient to establish the existence of an element essential to that party's case, 10 and on which that party will bear the burden of proof at trial. See id. at 322. In such a 11 circumstance, summary judgment must be granted, "so long as whatever is before the district 12 court demonstrates that the standard for entry of summary judgment, as set forth in Rule 56(c), is 13 satisfied." Id. at 323.

14 To defeat summary judgment the opposing party must establish a genuine dispute as to a 15 material issue of fact. This entails two requirements. First, the dispute must be over a fact(s) that 16 is material, i.e., one that makes a difference in the outcome of the case. Anderson, 477 U.S. at 17 248 ("Only disputes over facts that might affect the outcome of the suit under the governing law 18 will properly preclude the entry of summary judgment."). Whether a factual dispute is material is 19 determined by the substantive law applicable for the claim in question. *Id.* If the opposing party 20 is unable to produce evidence sufficient to establish a required element of its claim that party fails 21 in opposing summary judgment. "[A] complete failure of proof concerning an essential element 22 of the nonmoving party's case necessarily renders all other facts immaterial." *Celotex*, 477 U.S. at 322. 23

Second, the dispute must be genuine. In determining whether a factual dispute is genuine
the court must again focus on which party bears the burden of proof on the factual issue in
question. Where the party opposing summary judgment would bear the burden of proof at trial on
the factual issue in dispute, that party must produce evidence sufficient to support its factual
claim. Conclusory allegations, unsupported by evidence are insufficient to defeat the motion.

Taylor v. List, 880 F.2d 1040, 1045 (9th Cir. 1989). Rather, the opposing party must, by affidavit
or as otherwise provided by Rule 56, designate specific facts that show there is a genuine issue
for trial. *Anderson*, 477 U.S. at 249; *Devereaux*, 263 F.3d at 1076. More significantly, to
demonstrate a genuine factual dispute the evidence relied on by the opposing party must be such
that a fair-minded jury "could return a verdict for [him] on the evidence presented." *Anderson*,
477 U.S. at 248, 252. Absent any such evidence there simply is no reason for trial.

7 The court does not determine witness credibility. It believes the opposing party's 8 evidence, and draws inferences most favorably for the opposing party. See id. at 249, 255; 9 Matsushita, 475 U.S. at 587. Inferences, however, are not drawn out of "thin air," and the 10 proponent must adduce evidence of a factual predicate from which to draw inferences. American 11 Int'l Group, Inc. v. American Int'l Bank, 926 F.2d 829, 836 (9th Cir.1991) (Kozinski, J., 12 dissenting) (citing *Celotex*, 477 U.S. at 322). If reasonable minds could differ on material facts at 13 issue, summary judgment is inappropriate. See Warren v. City of Carlsbad, 58 F.3d 439, 441 (9th 14 Cir. 1995). On the other hand, the opposing party "must do more than simply show that there is 15 some metaphysical doubt as to the material facts Where the record taken as a whole could 16 not lead a rational trier of fact to find for the nonmoving party, there is no 'genuine issue for 17 trial." Matsushita, 475 U.S. at 587 (citation omitted). In that case, the court must grant 18 summary judgment.

Concurrent with their motion for summary judgment, defendants advised plaintiff of the
requirements for opposing a motion pursuant to Rule 56 of the Federal Rules of Civil Procedure.
ECF No. 112-1; *see Woods v. Carey*, 684 F.3d 934 (9th Cir. 2012); *Rand v. Rowland*, 154 F.3d
952, 957 (9th Cir. 1998) (en banc), *cert. denied*, 527 U.S. 1035 (1999); *Klingele v. Eikenberry*,
849 F.2d 409 (9th Cir. 1988).⁷

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⁷ Plaintiff requests that the court take judicial notice of Ninth Circuit cases that address
pro se litigants and the summary judgment standard. ECF No. 123. Supplemental authority is
not an adjudicative fact subject to judicial notice under Federal Rule of Evidence 201. A party
need not request judicial notice of published decisions, as simply citing such decisions in a brief
is sufficient. Accordingly, the undersigned recommends that plaintiff's request for judicial notice
be denied.

III. ANALYSIS

2 A. **Defendants' Motion for Summary Judgment** 3 Defendants argue that they are entitled to summary judgment because (1) plaintiff's 4 opposition does not comply with Local Rule 260(b), (2) plaintiff has not produced any evidence 5 of any defendant's deliberate indifference, and (3) they are entitled to qualified immunity. 6 1. Local Rule 260(b) 7 Defendants argue that summary judgment is appropriate because plaintiff's opposition to 8 their motion for summary judgment does not comply with Local Rule 260(b). That rule states: 9 Any party opposing a motion for summary judgment . . . shall reproduce the itemized facts in the Statement of Undisputed Facts 10 and admit those facts that are undisputed and deny those that are disputed, including with each denial a citation to the particular 11 portions of any pleading, affidavit, deposition, interrogatory 12 answer, admission, or other document relied upon in support of that denial. 13 14 E.D. Cal. L.R. 260(b). In his opposition, plaintiff repeats many of the allegations in his second 15 amended complaint, maintains his own account of the facts in this case, and includes his own 16 "Separate Statement of Disputed Facts." ECF No. 122 at 51-62. Plaintiff's filing satisfies the 17 core purpose for requiring an annotated statement of facts in that it sufficiently identifies the facts 18 that plaintiff disputes and, importantly, cites to the specific material in the record that plaintiff 19 relies upon for the factual assertion. The argument that this should all be moved to a separate 20 sheet of paper simply exalts form over substance. Thus, defendants are not entitled to summary 21 judgment on the ground that plaintiff's opposition failed to comply with Local Rule 260(b). 22 2. **Plaintiff's Eighth Amendment Claim** 23 Defendants also argue that they are entitled to summary judgment because plaintiff has 24 not produced any evidence of any defendant's deliberate indifference. 25 To succeed on an Eighth Amendment claim predicated on the denial of medical care, a 26 plaintiff must establish that he had a serious medical need and that the defendant's response to 27 that need was deliberately indifferent. Jett v. Penner, 439 F.3d 1091, 1096 (9th Cir. 2006); see 28

also Estelle v. Gamble, 429 U.S. 97, 106 (1976). A serious medical need exists if the failure to
 treat the condition could result in further significant injury or the unnecessary and wanton
 infliction of pain. *Jett*, 439 F.3d at 1096. Deliberate indifference may be shown by the denial,
 delay, or intentional interference with medical treatment, or by the way in which medical care is
 provided. *Hutchinson v. United States*, 838 F.2d 390, 394 (9th Cir. 1988).

6 To act with deliberate indifference, a prison official must both be aware of facts from 7 which the inference could be drawn that a substantial risk of serious harm exists, and he must also 8 draw the inference. Farmer v. Brennan, 511 U.S. 825, 837 (1994). Thus, a defendant is liable if 9 he knows that plaintiff faces "a substantial risk of serious harm and disregards that risk by failing 10 to take reasonable measures to abate it." Id. at 847. A physician need not fail to treat an inmate 11 altogether in order to violate that inmate's Eighth Amendment rights. Ortiz v. City of Imperial, 12 884 F.2d 1312, 1314 (9th Cir. 1989). A failure to competently treat a serious medical condition, 13 even if some treatment is prescribed, may constitute deliberate indifference in a particular case. 14 Id.

It is important to differentiate common law negligence claims of malpractice from claims
predicated on violations of the Eighth Amendment's prohibition of cruel and unusual punishment.
In asserting the latter, "[m]ere 'indifference,' 'negligence,' or 'medical malpractice' will not
support this cause of action." *Broughton v. Cutter Laboratories*, 622 F.2d 458, 460 (9th Cir.
1980) (citing *Estelle*, 429 U.S. at 105-06); *see also Toguchi v. Chung*, 391 F.3d 1051, 1057 (9th
Cir. 2004).

21

a. <u>Antipov</u>

Antipov seeks summary judgment on the ground that there is "no evidence that he was deliberately indifferent to [plaintiff's] medical needs." ECF No. 112-2 at 22. Antipov's motion is based on his declaration, in which he does not dispute that he removed plaintiff's four wisdom teeth without premedicating him with antibiotics. Antipov Decl. at ¶¶ 3, 5, 7. Antipov also does not dispute that he provided local anesthesia, *id.* at ¶ 6, but did not prescribe plaintiff pain medication or antibiotics after the extraction. *Id.* at P 10. Antipov and plaintiff dispute whether Antipov had the authority to do so. Plaintiff claims that Antipov had such authority but was

deliberately indifferent in not prescribing medications necessary to address plaintiff's serious
medical needs. ECF No. 122 at 12. Antipov claims he did not prescribe plaintiff with either pain
medication or antibiotics after the extraction because, as an independent contractor not on the
medical staff at the prison, he is not permitted to prescribe medication to inmates. *Id.* at ¶ 10.
"Instead, it is up to the medical staff at the prison, such as [plaintiff's] dentist, to prescribe such
medications following the wisdom tooth extraction." *Id.*

7 Antipov's account differs from plaintiff's version of events in several other respects. 8 Antipov claims that he did in fact review plaintiff's medical chart before the extraction. Id. at $\P 4$. 9 His review indicated that plaintiff had in fact previously been hospitalized with heart murmurs 10 but was not under treatment on the day of the extraction. *Id.* He contends however, that 11 premedicating plaintiff with an antibiotic would have been "unnecessary and inappropriate," and 12 notes that the American Heart Association and American Dental Association have not 13 recommended such premedication for individuals with heart murmurs since 2007. Id. at \P 5. 14 Contrary to plaintiff's claim that Antipov struggled to remove some teeth, Antipov describes the extraction of plaintiff's wisdom teeth as a "very routine" and "fairly easy" procedure. *Id.* at ¶ 8. 15 16 Antipov asserts that the entire procedure took approximately twenty minutes, and plaintiff never 17 indicated to Antipov that he was in any discomfort. Id. Antipov explains that he did not place 18 any "hinges" in plaintiff's mouth, but rather used "bite blocks," which make the procedure more 19 comfortable for the patient. Id. at \P 9. According to Antipov, infections, slow-healing gums, and 20 pain and swelling in the gums and tooth socket are common side effects of wisdom tooth 21 extraction. Id.

Thus, plaintiff and Antipov dispute whether Antipov reviewed plaintiff's medical chart
before the extractions and whether Antipov should have premedicated plaintiff with antibiotics.
They also dispute the length and difficulty of the extraction, whether Antipov ignored plaintiff's
complaints of pain, and—significantly—whether Antipov choice not to prescribe pain medication
and antibiotics despite knowing plaintiff's condition can constitute an Eighth Amendment
violation under these circumstances. As noted, Antipov claims not to have had any authority to
prescribe plaintiff pain medication and antibiotics.

1 The dispute over Antipov's alleged failure to review plaintiff's medical chart before the 2 extraction appears to be of little consequence. Plaintiff suggests that if Antipov had looked at the 3 medical chart he would have seen that other doctors had recommended that plaintiff premedicate 4 with antibiotics before any dental surgery. Pl.'s Decl. at ¶¶ 4, 6-8. Plaintiff has certainly established a difference of opinion between he and Antipov and also between Antipov and other 5 6 doctors. But the recommendation of other doctors was not binding on Antipov, who instead 7 relied on guidelines from the American Heart Association and the American Dental Association. 8 The Ninth Circuit has made clear that a difference of medical opinion is, as a matter of law, 9 insufficient to establish deliberate indifference. See Toguchi, 391 F.3d at 1058. "Rather, to 10 prevail on a claim involving choices between alternative courses of treatment, a prisoner must 11 show that the chosen course of treatment 'was medically unacceptable under the circumstances,' 12 and was chosen 'in conscious disregard of an excessive risk to [the prisoner's] health." Id. 13 (quoting Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1996)). Plaintiff has not shown that 14 Antipov's decision to not premedicate plaintiff with antibiotics was medically unacceptable under 15 the circumstances, nor that Antipov made that determination in conscious disregard of an 16 excessive risk to plaintiff's health; the American Heart Association and American Dental 17 Association guidelines that Antipov refers to suggest just the opposite. Thus, neither the claim 18 that Antipov did not review plaintiff's medical chart nor the claim that Antipov should have 19 premedicated plaintiff with antibiotics establishes deliberate indifference. Thus, the dispute over 20 review of the medical chart is not a dispute over a material issue of fact.

21 However, the disputes over the length and difficulty of the extractions, the level of 22 plaintiff's pain during and after the extractions, and what, if anything, Antipov did to address the 23 pain and potential for infection are material. Plaintiff describes a prolonged and problematic 24 procedure during which Antipov became frustrated over the difficulty removing the left and right 25 lower wisdom teeth. ECF No. 45, at 4 ¶ 4. He describes Antipov having to apply "immense 26 pressure, that my whole head was being pulled to and fro." Id. He further adds that "[b]eing that 27 my procedure took longer than expected I was rush[ed] out of the dental office without receiving 28 any pain medication, even after I was notified that the anethesa [sic] wear off around 4:30 p.m.

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1	(dinner time.)" Id. The dispute over whether plaintiff complained of pain and the subsequent	
2	dispute over why Antipov did not prescribe pain medication for post-surgical pain that plaintiff	
3	would reasonably be expected to suffer precludes a grant of summary judgment in Antipov's	
4	favor. A reasonable fact finder could certainly conclude on the evidence presented that Antipov	
5	knew that the extractions would result in severe pain requiring treatment with pain medication.	
6	Indeed, plaintiff asserts that he was warned that the injections used in the procedure would soon	
7	wear off. Likewise, a fact finder could reasonably conclude that Antipov was aware of the risk of	
8	infection following the procedure. See Antipov Decl. at \P 10 ("infections are also common side	
9	effects, as extraction may allow bacteria to enter the bloodstream"). But, as noted above,	
10	Antipov claims that "[s]ince approximately 2009, [he has] performed work as an independent	
11	contractor and expert for the California Department of Corrections and Rehabilitation" Id. at	
12	\P 2. Antipov believes that he was therefore not permitted to prescribe medication to inmates. ⁸	
13	See id. at \P 11. However, as an independent contractor, Antipov quite clearly had the authority to	
14	prescribe medication to inmates:	
15	Only facility-employed health care staff, contractors paid to	
16	<i>perform health services</i> for the facility, or persons employed as health care consultants shall be permitted within the scope of their	
17	licensure, to diagnose illness or, prescribe medication and health	
18	care treatment for inmates. No other personnel or inmate may do so.	
19	Cal. Code Regs. tit. 15, § 3354(a) (emphasis added). Thus, contrary to Antipov's alleged	
20	mistaken belief—one that he appears to have held since 2009—Antipov had the authority to	
21	prescribe medication within the scope of his license to treat plaintiff's pain and risk of infection.	
22	A defendant is liable if he knows that plaintiff faces "a substantial risk of serious harm and	
23	disregards that risk by failing to take reasonable measures to abate it." Farmer, 511 U.S. at 847.	
24	Antipov's choice not to prescribe medication, while seemingly ill-informed, was indisputably	
25	deliberate. Further, he does not deny that prescribing such medication was medically indicated to	
26	⁸ In support of this position, Antipov's reply brief cites his Statement of Undisputed Facts	
27	numbers 26 and 27 (ECF No. 112-3 at 4), which in turn cite to paragraph 11 of his declaration. His declaration, however, fails to cite any authority for the assertion that as a contractor he was	
28	not authorized to prescribe medication.	

1	address or treat a serious medical condition. Instead, the reason he articulates for not doing so is
2	his erroneous assertion that he lacked authority to prescribe medication even if needed.

3	Notwithstanding the clear language of section 3354(a), Antipov still insists in his reply
4	brief that he lacked authority to prescribe medication. Antipov's stated belief that he could not
5	prescribe medication even under circumstances that otherwise warrant such treatment raises the
6	question of whether his deliberate, but allegedly ill-informed decision not prescribe medically
7	necessary medication can satisfy the test under Farmer for deliberate indifference. That question
8	turns on fact-specific issues of what Antipov knew not only as to plaintiff's immediate medical
9	needs but what he knew as to the authority of medical practitioners to respond to those needs, and
10	what other steps Antipov had available to respond to plaintiff's serious medical needs. The issue
11	that requires submission to a jury, however, is not whether Antipov had the authority to prescribe
12	medication; he did. Cal. Code Regs. tit. 15, § 3354(a). Rather, the issue that precludes summary
13	judgment is whether Antipov was deliberately indifferent for not prescribing any pain medication
14	or antibiotics after extracting plaintiff's wisdom teeth or otherwise taking steps to assure that such
15	treatment would be provided. As noted above,
16	Whether a prison official had the requisite knowledge of a
17	substantial risk is a question of fact subject to demonstration in the usual ways, including inference from circumstantial evidence, and
18	a factfinder may conclude that a prison official knew of a
19	substantial risk from the very fact that the risk was obvious.
20	Farmer, 511 U.S. at 842. More succinctly: "a trier of fact may infer knowledge from the obvious

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known.

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...."⁹ Id. at 844; see also Harrelson v. Dupnik, 970 F. Supp. 2d 953, 979 (D. Ariz. 2013) ("The

question is whether the risk of harm . . . was so 'obvious' that ignoring it amounted to deliberate

indifference."). Similarly, a fact finder need not ignore evidence that may show it was obvious

that a contractor for medical services had the authority for which Antipov claims not to have

⁹ While the court in *Farmer* adopted a subjective test under which a jury must conclude
that Antipov had knowledge of the risk from his failure to act before liability may be imposed, it
is for the jury to resolve credibility and decide what he actually knew, and in doing so the jury
need not ignore what was obvious. 511 U.S. at 843, n.8.

Here, a fair-minded jury could find that not prescribing pain medication or antibiotics
 after extracting plaintiff's wisdom teeth posed a substantial risk of serious harm to plaintiff, that
 Antipov had to have known of such an obvious risk, and that Antipov failed to take reasonable
 measures to abate that risk. Because those findings could lead a fair-minded jury to return a
 verdict for plaintiff on the evidence presented, Antipov's motion for summary judgment must be
 denied.

7

b. <u>Ma</u>

8 Ma also argues that there is "no evidence that he was deliberately indifferent to 9 [plaintiff's] medical needs." ECF No. 112-2 at 22. Ma submits a declaration acknowledging that 10 he was the on-call doctor on the evening of August 3. Ma Decl. at ¶ 3. However, Ma states that 11 before he even arrived at the medical clinic, he issued an order that plaintiff be seen on the dental 12 line the following morning. *Id.* When he arrived at the medical clinic a short time later, he 13 conducted an examination that confirmed plaintiff was awake and orientated; Ma diagnosed 14 plaintiff with somnolence of an unknown etiology. Id. at \P 4, 5. Ma also ordered that plaintiff 15 be admitted to OHU, where the nursing staff was to monitor plaintiff's vital signs every thirty 16 minutes for four hours and then every two hours for three hours. Id. at ¶ 6. Ma claims that he 17 reviewed plaintiff's medical chart during the examination. Id. at \P 7. That review indicated that Burns had prescribed Tylenol #3 and Penicillin for plaintiff. Id. Ma ordered that prescription to 18 19 continue because neither Burns's order nor plaintiff's medication reconciliation form listed 20 allergies to those medications. Id.

21 On August 4, nursing staff informed Ma that the prison did not have any liquid Tylenol #3 22 available, and that plaintiff's medication reconciliation form identified a drug allergy only to 23 Motrin. Id. at ¶ 11. Ma therefore prescribed Tylenol #3 in tablet form, three times per day for 24 four days. Id. Ma did not become involved in plaintiff's medical care again until 2013, when he 25 became plaintiff's primary care physician. Id. at ¶ 13. In Ma's review of plaintiff's medical 26 chart—both in 2010 and later as his primary care physician—Ma has not seen any indication that 27 plaintiff is genuinely allergic to any medication. *Id.* at ¶ 14. According to Ma, stomach 28 discomfort, nausea, and vomiting are fairly common side-effects of taking Tylenol #3 and not

necessarily an indication that an individual cannot tolerate the medication. *Id.* at 8. Moreover,
 Vicodin and Tylenol #3 contain the same amount of Tylenol; thus, if an individual does not have
 an allergic reaction to the Tylenol in Vicodin, he will not have an allergic reaction to the Tylenol
 in Tylenol #3. *Id.* at 14-15.¹⁰

5 Thus, although plaintiff and Ma dispute the extent of Ma's examination and treatment of 6 plaintiff, and whether plaintiff is allergic to Tylenol #3 and Penicillin, these disputes are not 7 material. There is no evidence to show that Ma was aware of any allergies to either medication 8 yet prescribed it anyway. Thus, a fair-minded jury could not return a verdict against Ma on the 9 evidence presented. *Anderson*, 477 U.S. at 248, 252.

10 Further, plaintiff's claim that Ma did not treat him at the OHU is refuted by plaintiff's 11 own verified complaint (SAC at 5-6) which asserts that Ma was the doctor who ordered the 12 Tylenol #3 that he received the night of the extraction. Plaintiff cannot create a genuine factual 13 dispute by contradicting the verified allegations of his own complaint. See also Jones v. 14 Marshall, 459 F. Supp. 2d 1002, 1013 (E.D. Cal. 2006) (granting defendant summary judgment 15 on a deliberate indifference claim based on defendant's failure to touch or treat plaintiff because 16 there was no evidence that defendant's conduct caused further injury). Moreover, plaintiff does 17 not dispute the claim that Ma ordered that plaintiff be seen on the dental line the following 18 morning and, shortly after arriving at the OHU, ordered the nursing staff to monitor plaintiff's 19 vital signs. Such attentive care is inconsistent with plaintiff's claim that Ma was deliberately 20 indifferent to his serious medical needs. See Toguchi, 391 F.3d at 1060 ("Deliberate indifference 21 is a high legal standard."); Hutchinson, 838 F.2d at 394.

The undisputed evidence indicates that Ma ordered that plaintiff be seen by a dentist the following morning, ordered that plaintiff be admitted to and observed in the OHU, and prescribed the Tylenol #3 that plaintiff received that night. Of the greatest significance is Ma's statement which plaintiff cannot dispute—that he did not believe plaintiff was allergic to Tylenol. *See* Ma

 ¹⁰ It is unclear whether plaintiff claims an allergy to Vicodin. See Pl.'s Decl. at ¶ 27
 ("Being that I never taken Vicodin before August 3, 2010 I never had an allergic reaction to it nor would I have knowledge that it contains Tylenol. I was never made aware by any physician that Vicodin contains Tylenol.").

1	Decl. at ¶ 14 ("In my review of [plaintiff's] medical chart, both in 2010 and now as his primary
2	care physician, I have seen no indication of any genuine allergic reaction by [plaintiff] to any
3	medication."). Under Toguchi, Ma's decision to prescribe Tylenol #3 cannot constitute deliberate
4	indifference if Ma did not believe that the medication presented a serious risk of harm to plaintiff.
5	See Toguchi, 391 F.3d at 1058 ("Because she did not believe that Cogentin use presented a
6	serious risk of harm to [plaintiff], her conduct cannot constitute deliberate indifference."); see
7	also Murillo v. Thornton, No. 07-CV-0197 W(POR), 2008 WL 110899, at *4 (S.D. Cal. Jan. 9,
8	2008) (prisoner's allegations that defendant "prescribed him the wrong medication and did not
9	inform him about the side effects," causing plaintiff "severe stomach aches and headaches for
10	four months," failed to state an Eighth Amendment claim).
11	Even believing plaintiff's version of the disputed facts, he has not produced evidence
12	sufficient to establish that Ma was deliberately indifferent to his serious medical needs.
13	Accordingly, Ma's motion for summary judgment must be granted.
14	c. <u>McGee</u>
15	McGee, too, seeks summary judgment on the ground that there is "no evidence that she
16	was deliberately indifferent to [plaintiff's] medical needs." ECF No. 112-2 at 23. McGee's
17	declaration does not dispute that she met with plaintiff on August 3, that plaintiff had a
18	temperature of 102.2 degrees, or that she contacted the on-call dentist. McGee Decl. at ¶¶ 3, 4.
19	According to McGee, she measured plaintiff's vital signs in the Triage and Treatment Area after
20	learning that Antipov had extracted plaintiff's wisdom teeth earlier in the day. Id. Because
21	plaintiff was talking freely, McGee determined that there was no obstruction in plaintiff's airway.
22	Id. at \P 4. But McGee was concerned that plaintiff could be bleeding into his airway, so she had
23	plaintiff open his mouth while she inspected the gauze that had been put in place after the
24	extraction. Id. at \P 5. Because the wisdom teeth are located at the very back of the mouth,
25	inspecting the gauze and ensuring that they were tightly packed into place required McGee to
26	insert her fingers far into plaintiff's mouth and to press on the gauze. Id. at \P 6. McGee contends
27	that she performed this task "with as much care and as gently as possible." Id. McGee then
28	contacted Burns, and he instructed only that ice packs be applied bilaterally. <i>Id.</i> at \P 8.
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According to McGee, plaintiff did not voice any complaints of pain or make any requests for pain
 medication or antibiotics during the meeting. *Id.* at ¶ 9.

3

As noted above, plaintiff contends that McGee was disrespectful towards plaintiff, did not offer any medical assistance, and caused plaintiff great pain when she jammed her fingers down plaintiff's throat in search of gauzes that he might have swallowed. SAC at 5. Thus, while plaintiff and McGee dispute (1) whether McGee was disrespectful towards plaintiff, (2) whether McGee offered medical assistance, and (3) why McGee put her fingers in plaintiff's mouth and the degree of care she exercised when doing so, those disputes are not material under the substantive law applicable to plaintiff's Eighth Amendment claims.

First, the disrespectful behavior alone that is described in plaintiff's amended complaint—
"insisting that I was on some illegal drugs" and "continuely [sic] interrogat[ing] me with
erroneous questions"—does not amount to deliberate indifference. *See Oltarzewski v. Ruggiero*,
830 F.2d 136, 139 (9th Cir. 1987) ("[v]erbal harassment or abuse . . . is not sufficient to state a
constitutional deprivation under 42 U.S.C. § 1983.") (quoting *Collins v. Cundy*, 603 F.2d 825,
827 (10th Cir. 1979)).

16 Second, while failing to render medical assistance can amount to deliberate indifference, 17 the undisputed evidence here indicates that McGee did in fact provide medical assistance. 18 Plaintiff's own complaint (SAC at 5) indicates that McGee contacted the on-call doctor and 19 searched plaintiff's throat for gauzes that he might have become lodged by swallowing during a 20 seizure. Plaintiff also does not dispute McGee's claims that she checked plaintiff's vital signs 21 and took his temperature, that the only direction Burns provided McGee was to apply ice packs, 22 or that he received ice packs. Thus, plaintiff has not shown that McGee was indifferent to 23 plaintiff's serious medical needs for failure to provide medical assistance. See Jones v. Marshall, 24 459 F. Supp. 2d. at 1013.

Third, while the parties dispute the manner in which McGee placed her hands in plaintiff's
mouth and her specific purpose for doing so (plaintiff characterizes it as "jamm[ing] her fingers
down [his] throat") plaintiff has not established that McGee was deliberately indifferent to his
serious medical needs when doing so. Of particular significance is plaintiff's own evidence

1 indicating that McGee was searching for gauzes that plaintiff may have partially swallowed 2 during his seizure. At most, plaintiff own allegations amount to lack of reasonable care for 3 plaintiff's discomfort while McGee examined the mouth and throat for gauze that might have 4 been lodged in those areas. The Supreme Court has made clear that deliberate indifference 5 "requires more than ordinary lack of due care." *Farmer*, 511 U.S. at 835 (quoting *Whitley v.* 6 Albers, 475 U.S. 312, 319, (1986)) (internal quotation mark omitted). Not only does McGee's 7 purpose for the procedure conflict with plaintiff's claim that she was deliberately indifferent, but 8 plaintiff has not produced any evidence that McGee disregard a substantial risk of serious harm. 9 Again, deliberate indifference is a high legal standard requiring more than a showing of medical 10 malpractice or negligence. *Toguchi*, 391 F.3d at 1060. Taking plaintiff's version of the disputed facts regarding McGee as true, he has not 11 12 produced evidence sufficient to establish that McGee was deliberately indifferent to plaintiff's 13 serious medical needs. Therefore, McGee's motion for summary judgment must be granted. 14 d. Maciel Maciel seeks summary judgment on the ground that there is "no evidence that he was 15 16 deliberately indifferent to [plaintiff's] medical needs." ECF No. 112-2 at 24. In his declaration, 17 he describes examining plaintiff on five occasions between August 10 and October 21, 2010. Maciel Decl. at ¶¶ 3, 8, 11, 13, 15.¹¹ Maciel states that he prescribed Tylenol, 325 mg after 18 examining plaintiff on August 10. Id. at ¶¶ 3, 5. During that examination, plaintiff told Maciel 19 20 that he was allergic to Motrin; Maciel then reviewed plaintiff's dental health history record and 21 confirmed that Motrin was listed as a drug allergy. Id. at ¶ 5. However, at that time Tylenol and 22 Penicillin were not so listed; according to Maciel's declaration, allergies to Tylenol and Penicillin were added when the form was updated on August 20, 2010^{12} Id. 23 24 ¹¹ Plaintiff's only complaint with respect to Maciel, however, appears to be that Maciel 25 prescribed the bag of Tylenol that plaintiff received on August 10. SAC at 7. 26

¹² As discussed below, defendant Downie states that at an August 20 meeting he asked
 plaintiff to review and update the dental health history record plaintiff had completed on August
 3. According to Downie, plaintiff had previously identified only Motrin as an allergy drug but he
 added Tylenol and Penicillin at the August 20 meeting.

1 Maciel claims that plaintiff did complain of "slight pain" from the extraction and that the 2 Tylenol #3 was causing an upset stomach; however, plaintiff did not claim to be allergic to 3 Tylenol during Maciel's examination. *Id.* at ¶¶ 3, 5. Rather, plaintiff claimed only that Tylenol 4 #3 made him sick to his stomach. Id. at \P 5. Maciel explains that stomach irritation is a "fairly 5 common side-effect" of Tylenol #3 and is not an indication of an allergy to the medication. Id. at 6 ¶ 4. Maciel also claims that "[n]o allergic symptoms were present in Thomas during my 7 examination of him" Id. Maciel prescribed the less powerful pain medication, Tylenol, 325 8 mg, rather than Tylenol #3 because he believed it would still relieve the pain but be less likely to 9 cause plaintiff stomach irritation. Id. at ¶ 5.

10In response to Maciel's motion, plaintiff contends that his Unit Health Record has listed11his allergies to Tylenol, Penicillin, Aspirin, and Motrin since he arrived in prison. Pl.'s Decl. at12 \P 30.13 He also contends that he told both Maciel and Downie at the August 10 appointment that13he was allergic to the Tylenol #3 that he had been prescribed for the preceding week, and that he14showed them "the rashes and hives that were all over [his] neck, arms, chest, stomach and back15...." Id. at ¶¶ 38, 40.

16 Even if plaintiff had produced the Unit Health Record listing his allergy to Tylenol on 17 August 10, and even if he showed Maciel the rashes and hives that he believes were caused by his 18 consumption of Tylenol #3, the undisputed evidence indicates that Maciel did not believe that the 19 Tylenol presented a serious risk of harm to plaintiff. See Maciel Decl. at ¶¶ 4, 5 (stating that he 20 did not observe any allergic symptoms and "believed that the less powerful pain medication-21 Tylenol rather than Tylenol #3—would still relieve the pain but would also be less-likely to cause 22 [plaintiff] the stomach irritation which he complained about"). As with plaintiff's claim against 23 Ma, this fact is critical under *Toguchi*. Moreover, this is not an instance in which Maciel "knew 24 of a substantial risk from the very fact that the risk was obvious." *Farmer*, 511 U.S. at 842. 25 When plaintiff informed Maciel of his allergy to Motrin, Maciel "consulted [plaintiff's] dental 26 health history record and confirmed it was listed as a drug allergy." Maciel Decl. at ¶ 5. The

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¹³ Again, plaintiff has not submitted this Unit Health Record to the court.

dental health history record did not at that time list Tylenol or Penicillin as a drug allergy. *Id.* Because Maciel did not believe that prescribing plaintiff Tylenol would present a serious risk of
 harm to plaintiff—a belief based on his examination of plaintiff and review of plaintiff's dental
 health history record—Maciel's conduct cannot amount to deliberate indifference. *See Toguci*,
 391 F.3d at 1058.

Even believing plaintiff's version of the disputed facts, he has not produced evidence
sufficient to establish that Maciel was deliberately indifferent to his serious medical needs.
Therefore, Maciel is entitled to summary judgment.

9

e. <u>Downie</u>

10 Downie also seeks summary judgment on the ground that there is "no evidence that he 11 was deliberately indifferent to [plaintiff's] medical needs." ECF No. 112-2 at 25. Downie, a 12 dentist, states in his declaration that he recalls seeing plaintiff on August 20, but not on August 13 10. Downie Decl. at ¶¶ 4, 5. Downie contends that at the August 20 meeting he asked plaintiff to 14 review and update a dental health history record that plaintiff completed on August 3. Id. at ¶ 5. 15 Although plaintiff had previously identified an allergy only to Motrin, plaintiff added Tylenol and Penicillin before signing the form on August 20. *Id.* at $\P\P$ 5, 6.¹⁴ Plaintiff complained of pain at 16 17 the appointment, and Downie considered prescribing Aspirin until plaintiff claimed that he was 18 also allergic to that medication. Id. at \P 8. Downie doubted the legitimacy of this claim: not only 19 had plaintiff not claimed an allergy to Aspirin on his dental health history record—which plaintiff 20 had just updated at the beginning of the appointment—but plaintiff described his allergic 21 symptom to Aspirin as stomach discomfort. Id. According to Downie, stomach discomfort is not 22 an indication of a drug allergy. *Id.* Downie did not observe any allergic symptoms during his 23 examination of plaintiff. Id. at \P 9. Notwithstanding his skepticism, Downie reviewed plaintiff's medical chart and contacted plaintiff's primary care physician to determine whether plaintiff's 24 25 alleged allergies were genuine. Id. at ¶¶ 9, 10. Downie eventually decided to prescribe Salsalate, 26 which is "commonly prescribed to patients who cannot take Aspirin," and plaintiff did not claim

 ¹⁴ Downie reviewed and signed that form, which is attached to his declaration as an
 exhibit. Downie Decl. ("Exhibit A").

an allergy to it. *Id.* at \P 11. According to Downie, Salsalate and Aspirin are not the same medication, and Salsalate does not contain Aspirin. *Id.*

3 Thus, in comparing their respective version of the August appointments, plaintiff and 4 Downie dispute whether Downie was present at the August 10 appointment, whether Salsalate is 5 an alternative for someone who is allergic to Aspirin, and whether Salsalate contains Aspirin. 6 For purposes of this motion, the court must take as true plaintiff's claim that Downie was present 7 on August 10 and observed rashes and hives on plaintiff's body. However, even assuming those 8 facts, the only evidence of plaintiff's purported allergic reaction to Tylenol and Penicillin is his 9 own lay testimony. See Hardy v. 3 Unknown Agents, 690 F. Supp. 2d 1074, 1086 (C.D. Cal. 10 2010) (sustaining an objection to plaintiff's statement as improper lay testimony "to the extent 11 that plaintiff asserts that the allergic reaction was caused by the [medication]"). There is nothing 12 in the medical records before the court to establish a diagnosis or medical finding that plaintiff in 13 fact has or had an allergy to either medication. But of greater significance to Downie's motion 14 for summary judgment is plaintiff's failure to allege any wrongdoing on Downie's part arising 15 from the August 10 appointment. Plaintiff claims the bag of Tylenol that he received that evening 16 was prescribed by Maciel, not Downie. Pl.'s Decl. at ¶ 42; see also Leer, 844 F.2d at 634 ("The 17 prisoner must set forth specific facts as to each individual defendant's deliberate indifference."). 18 Furthermore, similar to plaintiff's claims against Ma and Maciel, his deliberate indifference claim 19 against Downie fails because Downie did not believe that Salsalate presented a serious risk to 20 plaintiff's health. See Toguchi, 391 F.3d at 1058. Even if, as plaintiff claims, Salsalate contains 21 Aspirin and is not an alternative for someone who is allergic to Aspirin, a point for which plaintiff 22 has no expertise, Downie makes clear that he did not believe plaintiff's alleged allergy to Aspirin 23 was legitimate and provides cogent reasons why he did not believe plaintiff. See Downie Decl. at 24 ¶ 8. Accordingly, the undersigned recommends that Downie's motion for summary judgment as 25 to the allegations regarding the August 10 appointment and Downie's prescribing of Salsalate on 26 August 20 be granted.

However, in neither his declaration nor his motion for summary judgment did Downie
address or even acknowledge plaintiff's allegations regarding the request for a liquid diet on

1 August 20. Because Downie has not properly addressed plaintiff's allegations as to this claim, it 2 cannot be disposed of on this motion. Plaintiff has asserted that during the August 20 3 appointment, he informed Downie that he had not eaten anything since the extraction and 4 requested that Downie place him on a liquid diet. Specifically, he alleges that Downie observed 5 plaintiff's swollen face and weight loss (ECF No. 45 at 7 -8; ECF No. 122 at 9-10), was made 6 aware that plaintiff was unable to chew or swallow food (ECF No. 122 at 10; ECF No. 45 at 8, 7 \P 20) and observed that plaintiff's mouth was too painful for plaintiff to open (ECF No. 122 at 93, 8 ¶ 103). Plaintiff asserts that he specifically told Downie that he had not eaten anything since the 9 oral surgery and requested that Downie place him on a liquid diet at least until the swelling went 10 down. ECF No. 45 at 8, ¶ 20. Downie allegedly replied that it was not his department, but rather 11 "medical" that determines diet. Id. at 9. However, according to plaintiff, "medical" told plaintiff 12 that the dental department is responsible for that determination. Id. In any event, it was not until 13 August 26 that Maciel ordered a liquid diet for plaintiff. *Id.* at 11. 14 Downie has shown no basis for granting summary judgment on this claim. A fair-minded 15 jury could—on the undisputed evidence that Downie did not prescribe a liquid diet for plaintiff 16 despite knowing that plaintiff, due to a painful infection and post-operative condition had not 17 eaten anything in the seventeen days preceding the request—return a verdict for plaintiff on his 18 deliberate indifference claim against Downie. See Anderson, 477 U.S. at 248, 252; see also 19 Foster v. Runnels, 554 F.3d 807, 815 n.5 (9th Cir. 2009) ("This conclusion, that the deliberate and 20 unnecessary withholding of food essential to maintain normal health can violate the Eighth 21 Amendment, is well supported by case law."). 22 Thus, it is recommended that Downie's motion for summary be granted as to plaintiff's 23 allegations regarding the August 10 appointment and Downie's prescription of Salsalate on 24 August 20, but denied as to plaintiff's allegations regarding his request to be placed on a liquid 25 diet on August 20.

26

f. Park

Park, too, seeks summary judgment on the ground that there is "no evidence that he was
deliberately indifferent to [plaintiff's] medical needs." ECF No. 112-2 at 26. Park states in his

1	declaration that he was the on-call dentist on August 24 and 25. Park Decl. at ¶¶ 3, 7. In the
2	evening on both of those days, Park received a telephone call informing him that plaintiff was
3	experiencing pain three weeks after having his wisdom teeth extracted and that plaintiff was
4	claiming an allergy to Tylenol, even though plaintiff's medication administration record listed an
5	allergy only to Motrin. Id. On August 24, Park ordered a one-time dosage of Morphine, that
6	plaintiff apply ice to the right side of his face, and that plaintiff be scheduled for an appointment
7	in the dental clinic the following day. Id. at \P 4. Park did not order an antibiotic at that time
8	because he expected plaintiff to be seen at the dental clinic the following day. Id. On August 25,
9	Park learned that plaintiff was unable to attend the dentist appointment because he had conflicting
10	medical appointments. Id. at \P 8. Park therefore ordered another dosage of Morphine and the
11	antibiotic Erythromycin. Id. at $\P\P$ 9-10. Park ordered the Erythromycin because plaintiff claimed
12	to be allergic to Penicillin. Id. at \P 10. Park does not dispute that the August 25 physician's order
13	includes an order for Tylenol #3, but he does not recall ordering that medication and suspects it
14	was included by mistake. Id. at ¶ 13.
15	Plaintiff does not dispute any part of Park's account (including Park's claim that the order
16	for Tylenol #3 was included by mistake), and plaintiff's deliberate indifference claim against Park
17	appears to be based solely on the prescription for Tylenol #3. See SAC at 11; Pl.'s Decl. at \P 57.
18	The issue, then, is whether Park's mistake amounts to deliberate indifference.
19	"[D]eliberate indifference entails something more than mere negligence," Farmer, 511
20	U.S. at 835, and mistakes by medical professionals are not sufficient to meet the high legal
21	standard, see Jones v. Sahota, 570 F. App'x 638, 638 (9th Cir. 2014) (citing Toguchi, 391 F.3d at
22	1057-58, 1060); Ross v. McGuinness, 471 F. App'x 608, 609 (9th Cir. 2012). Moreover, even if
23	the Tylenol #3 prescription was not a mistake, Park—similar to Ma, Maciel, and Downie—
24	believes "there is no evidence of [plaintiff] suffering [] a genuine allergic reaction at any time."
25	Park Decl. at ¶ 13. Thus, because he did not believe that the Tylenol #3 that he prescribed
26	presented a serious risk of harm to plaintiff, Park's conduct cannot amount to deliberate
27	indifference. Toguchi, 391 F.3d at 1058. Furthermore, Park's mistake was of no consequence, as
28	plaintiff has not even alleged that he consumed the Tylenol #3 that Park prescribed.

Park was not deliberately indifferent for mistakenly prescribing Tylenol #3—a mistake without any consequences. Therefore, Park is entitled to summary judgment.

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g. Grinde

4 As with the other defendants, Grinde seeks summary judgment on the ground that there is 5 "no evidence that he was deliberately indifferent to [plaintiff's] medical needs." ECF No. 112-2 6 at 26. Grinde, the nurse who approved plaintiff's return to B-facility, describes speaking with 7 plaintiff on the evening of August 25. Grinde Decl. at ¶ 5. Upon learning of plaintiff's pain and 8 the fact that plaintiff had missed his dental appointment that day, Grinde contacted Park, the on-9 call dentist. *Id.* at ¶¶ 4-5. Grinde informed Park that plaintiff was experiencing pain but was 10 unable to see a dentist that day due to conflicting medical appointments. Id. at \P 5. Grinde also 11 informed Park that although plaintiff was claiming to be allergic to Motrin and Tylenol, plaintiff's 12 medication administration record indicated only the allergy to Motrin. Id. at \P 6. According to 13 Grinde, Park ordered a single dosage of Morphine, Tylenol #3, an antibiotic, and that plaintiff be 14 seen in the dental clinic the following day. Id. at \P 7. Park initially ordered Penicillin, but instead 15 prescribed Erythromycin after learning that plaintiff claimed to be allergic to Penicillin. Id. 16 Grinde prepared the "physician's orders" document that Park signed later. Id. at $\P 8$.

Plaintiff's deliberate indifference claim against Grinde is based on (1) Grinde's approving
of plaintiff to return to B-facility after plaintiff's heart murmur appointment without any
treatment for his swollen face and extreme pain, (2) Grinde's statement that he could not do
anything for plaintiff because plaintiff was just trying to get drugs, and (3) the August 25
prescription for Tylenol #3. Even believing plaintiff's evidence, plaintiff has not established that
Grinde was deliberately indifferent to his serious medical needs.

First, plaintiff does not dispute that Grinde contacted and informed Park of plaintiff's pain and drug allergies before preparing the "physician's orders," nor does plaintiff contend that he did not receive the prescribed medication. Second, accusing plaintiff of feigning pain to get drugs does not amount to deliberate indifference to plaintiff's serious medical needs. *Oltarzewski*, 830 F.2d at 139. Third, as noted above, the mistaken Tylenol #3 prescription of August 25 was of no consequence, as plaintiff has not even alleged that he consumed that mistakenly prescribed

medication. Thus, even believing plaintiff's version of the disputed facts, he has not produced
 evidence sufficient to establish that Grinde was deliberately indifferent to his serious medical
 needs. Therefore Grinde is entitled to summary judgment.

4

3. **Qualified Immunity**

Antipov and Downie contend that they are entitled to qualified immunity. ECF No. 112-2
at 28.¹⁵

7 Qualified immunity protects government officials from liability for civil damages where a 8 reasonable person would not have known that their conduct violated a clearly established right. 9 Anderson v. Creighton, 483 U.S. 635, 638-39 (1987). "In resolving questions of qualified 10 immunity at summary judgment, courts engage in a two-pronged inquiry." Tolan v. Cotton, 11 U.S. ____, 134 S. Ct. 1861, 1865 (2014) (per curiam). "The first asks whether the facts, 12 'taken in the light most favorable to the party asserting the injury, ... show the officer's conduct 13 violated a federal right." Id. (internal bracketing omitted) (quoting Saucier v. Katz, 533 U.S. 14 194, 201 (2001)). "The second prong of the qualified-immunity analysis asks whether the right in 15 question was 'clearly established' at the time of the violation." *Tolan*, 134 S. Ct. at 1866 (quoting 16 Hope v. Pelzer, 536 U.S. 730, 739 (2002)). A plaintiff invokes a "clearly established" right when 17 "the contours of the right [are] sufficiently clear that a reasonable official would understand that what he is doing violates that right." Anderson v. Creighton, 483 U.S. at 640. "The salient 18 19 question is whether the state of the law at the time of an incident provided fair warning to the 20 defendants that their alleged conduct was unconstitutional." Tolan, 134 S. Ct. at 1866 (internal 21 bracketing and quotation marks omitted).

Antipov's assertion of qualified immunity is based on his contention that the care he provided plaintiff was reasonable and therefore did not violate a plaintiff's Eighth Amendment rights. As explained above, there are triable issues of material fact with respect to whether Antipov acted with deliberate indifference to plaintiff's serious medical needs in violation of the

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 ¹⁵ Because it is recommended that summary judgment be granted as to the Eighth Amendment claims against Grinde, Ma, Maciel, McGee, and Park, a discussion of qualified immunity as to those defendants is unnecessary.

1 Eighth Amendment. Those same issues preclude summary judgment on Antipov's assertion of 2 qualified immunity. Moreover, at the time of the alleged constitutional violations in this case, 3 "the general law regarding the medical treatment of prisoners was clearly established," and "it 4 was also clearly established that [prison staff] could not intentionally deny or delay access to 5 medical care." Clement v. Gomez, 298 F.3d 898, 906 (9th Cir. 2002) (citing Hamilton v. Endell, 6 981 F.2d 1062, 1066 (9th Cir. 1992) and Estelle, 429 U.S. at 104-05)). 7 Downie's assertion of qualified immunity is also unconvincing. As explained above, 8 Downie does not dispute the fact that he denied plaintiff's request for a liquid diet, even after 9 learning that plaintiff had not eaten anything in the seventeen days before the August 20 10 appointment. Not only does such undisputed evidence show a violation of a constitutional right, 11 but that right was clearly established when Downie violated that right. See Foster, 554 F.3d at 12 815 ("There is no question that an inmate's Eighth Amendment right to adequate food is clearly 13 established.").

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Neither Antipov nor Downie are entitled to qualified immunity.

B. Plaintiff's Counter-Motion for Summary Judgment

16 Plaintiff filed an opposition to defendants' motion for summary judgment and a counter-17 for summary judgment. ECF No. 122. In their reply, defendants argue that the court should deny 18 plaintiff's counter-motion as untimely and construe it only as an opposition to defendants' 19 motion. ECF No. 125 at 12. Although defendants correctly point out that the deadline for filing 20 dispositive motions was March 24, 2014, see ECF No. 101, and that plaintiff did not file his counter-motion for summary judgment until July 31, 2014,¹⁶ see ECF No. 122, plaintiff's motion 21 22 is considered on its merits and is denied. Plaintiff's motion is denied not because it is untimely, 23 but because it is a counter-motion for summary judgment in name only.

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 ¹⁶ Defendants emphasize that while the court granted plaintiff an extension of time to file his opposition, *see* ECF Nos. 114, 120, the court did not grant plaintiff an extension to file a
 dispositive counter-motion for summary judgment. *But see* E.D. Cal. L.R. 230(e) (explaining that counter-motions "shall be served and filed in the manner and on the date prescribed for the filing of opposition").

1 Only in conclusory statements at the beginning and end of plaintiff's counter-motion does 2 plaintiff argue that the court should grant summary judgment in his favor. See ECF No. 122 at 5, 3 27. He makes no claim that there is an absence of a genuine issue of material fact as to the facts 4 which would entitle him to judgment in his favor, see Celotex, 477 U.S. at 323, and seems to 5 misunderstand the standard for summary judgment, see ECF No. 122 at 9 (arguing that "[t]he 6 issue for the court is whether plaintiff has *sufficiently alleged* that individual defendants were 7 deliberately indifferent to his medical needs.") (emphasis added). First, plaintiff is not simply 8 opposing a Rule 12(b)(6) motion to dismiss for failure to state a claim. Federal Rule of Civil 9 Procedure 56 and Celotex require more than sufficient allegations. Secondly, as to his counter-10 motion for summary judgment plaintiff overlooks the point that it is he, not the defendants, who 11 bear the ultimate burden of proof as to each of the elements of his claims. For the same reasons 12 discussed above in the context of defendants' motions, plaintiff has produced no evidence 13 indicating that he can meet that burden. Because plaintiff has not met his burden of providing a 14 properly supported motion for summary judgment, his "counter motion" for summary judgment must be denied.¹⁷ 15 16 IV. RECOMMENDATION 17 For the reasons stated above, it is hereby RECOMMENDED that (1) defendants' motion 18 for summary judgment (ECF No. 112) be granted as to defendants Grinde, Ma, Maciel, McGee, 19 and Park, but denied as to defendants Antipov and Downie, (2) plaintiff's counter-motion for 20 summary judgment (ECF No. 122) be denied as to all defendants, and (3) plaintiff's request for judicial notice (ECF No. 123) be denied.¹⁸ 21 22 These findings and recommendations are submitted to the United States District Judge 23 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days 24 after being served with these findings and recommendations, any party may file written 25 ¹⁷ This resolution moots defendants' request for the opportunity to respond to the merits of plaintiff's counter-motion. See ECF No. 125 at 12. 26 ¹⁸ If the court adopts this recommendation, the action will proceed solely as to plaintiff's 27 Eighth Amendment claims against defendants Antipov and Downie. As to Downie, plaintiff's 28 claim is limited to the allegations regarding his request to be placed on a liquid diet on August 20.

1	objections with the court and serve a copy on all parties. Such a document should be captioned
2	"Objections to Magistrate Judge's Findings and Recommendations." Failure to file objections
3	within the specified time may waive the right to appeal the District Court's order. Turner v.
4	Duncan, 158 F.3d 449, 455 (9th Cir. 1998); Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).
5	DATED: December 18, 2014.
6	Elmind F-bieman
7	EDMUND F. BRENNAN UNITED STATES MAGISTRATE JUDGE
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