

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

Z.F. et al.,

Plaintiffs,

No. 2:11-CV-02741-KJM-GGH

vs.

RIPON UNIFIED SCHOOL DISTRICT,

Defendant.

ORDER

_____ /

Plaintiffs¹ Z.F. and his mother appeal a decision issuing from the State of California, Office of Administrative Hearings (“OAH”) under the Individuals with Disabilities Education Act (“IDEA”), 20 U.S.C. § 1415(i)(2)(A). Plaintiffs move for summary judgment, alleging that the OAH decision incorrectly found that defendant, Ripon Unified School District (“District”), offered Z.F. a free appropriate public education (“FAPE”) as required by the IDEA. (ECF 12.) Defendant cross-moves for summary judgment, urging this court to affirm the OAH decision. (ECF 15.) The court heard oral argument on October 19, 2012; George D. Crook appeared for plaintiffs and Ileana Butu appeared for the District. For the following reasons,

////

¹ Plaintiff Z.F. pursues this action by and through his guardian ad litem who is his mother; his mother also is a named plaintiff.

1 plaintiffs' motion for summary judgment is DENIED and defendant's motion for summary
2 judgment is GRANTED.

3 I. STATUTORY, PROCEDURAL, AND FACTUAL BACKGROUND

4 A. Procedural History and Statutory Background

5 The IDEA requires all states receiving federal education funds to provide disabled
6 children a free and appropriate public education ("FAPE"). 20 U.S.C. § 1412(a)(1)(A). The
7 FAPE must be tailored to each student's unique needs through an individualized education
8 program ("IEP"). 20 U.S.C. § 1401(9). An IEP is a written statement for each disabled student
9 that includes, for example, goals, measures of progress, and a statement of special education and
10 supplementary aids and services the student will be provided. 20 U.S.C. § 1414(d)(1)(A)(i). An
11 IEP is formulated by the school district in conjunction with a student's parents and others. A
12 school district or a parent can request an administrative due process hearing before a state
13 administrative law judge ("ALJ") to receive a determination on whether an IEP in fact offers a
14 student a FAPE as required by the IDEA. 20 U.S.C. §1415(f)(1)(A).

15 On February 17, 2011, plaintiffs refused to agree to the IEP at issue in this case.
16 AR² Vol. 5 at 1242. In response, the District filed with the OAH a request for a due process
17 hearing, styled as a complaint, on March 17, 2011, to determine whether the IEP accorded with
18 the IDEA. AR Vol. 5 at 1242. The District filed its second amended complaint on March 24,
19 2011. *Id.* The ALJ assigned to the case held a three-day hearing from May 17 through May 19,
20 2011. *Id.* In her July 18, 2011 written decision, the ALJ found for the District on all issues,
21 concluding that the District's February 17, 2011 IEP adhered to the IDEA's requirements and
22 therefore offered Z.F. a FAPE. AR Vol. 5 at 1264. Plaintiffs timely filed this action, as
23 authorized by 20 U.S.C. §1415(i)(2)(A), to seek this court's review of the ALJ's decision.

24 ///

25
26 ² AR stands for the Administrative Record lodged with this court.

1 B. Facts³

2 Z.F. is an 11-year-old boy with autism who is eligible for special education
3 services under the IDEA. AR Vol. 5 at 1245. At all times relevant to this action, Z.F. attended
4 Weston Elementary School within Ripon Unified School District. *Id.* When Z.F. began
5 kindergarten in 2006, he was placed in a general education classroom for most of the day;
6 however, he was always accompanied by a one-to-one aide from Genesis, a non-public agency
7 (“NPA”)⁴ under contract with the District to provide behavioral intervention services for a
8 number of District students. *Id.* Each of Z.F.’s IEPs, including the February 17, 2011 IEP at
9 issue here, provided that Z.F. shall receive behavior intervention services from any NPA under
10 contract with the district; Genesis was never specifically prescribed in Z.F.’s IEPs. AR Vol. 4 at
11 928; AR Vol. 5 at 1251. Genesis provided Z.F. these services for over four years. During this
12 time, ten different Genesis aides, including four in Z.F.’s last school year alone, and four or five
13 different Genesis case managers worked with Z.F. AR Vol. 5 at 1255. Z.F. had no difficulty
14 with transitions from one Genesis aide to another, except during the 2007-2008 school year. AR
15 Vol. 5 at 1255-56. On January 24, 2011, the District and Genesis executed a mutual agreement
16 to terminate Genesis’s services for the District, with termination to take effect on or before
17 February 17, 2011. AR Vol. 6 at 1619-20. The District notified plaintiffs on January 19, 2011
18 that another NPA, Learning Solutions, would replace Genesis. AR Vol. 5 at 1355.

19 As the ALJ noted, the District’s decision to replace Genesis with Learning
20 Solutions is at the heart of this matter. AR Vol. 5 at 1245. The District began discussing
21 replacing Genesis during Z.F.’s November 16, 2009 IEP process. AR Vol. 6 at 1810. At that

22
23 ³ As discussed in the section that follows, the court has determined the ALJ’s
24 administrative findings deserve deference because they are “thorough and careful.” *K.D. v.*
Dep’t of Ed., Hawaii, 665 F.3d 1110, 1117 (9th Cir. 2011).

25 ⁴ An NPA is “a private, nonsectarian establishment or individual that provides related
26 services necessary for an individual with exceptional needs to benefit educationally from the
pupils’ educational program pursuant to an individualized education program [. . .] that is
certified by the department [of education].” CAL. EDUC. CODE § 56035.

1 point in time, the District intended to replace Genesis with district aides, not with another NPA.
2 *Id.* Z.F.’s 2009 IEP team concluded that switching from Genesis to district aides would require a
3 transition plan. *Id.* Z.F.’s mother stated she would sign “no” to an IEP that replaced Genesis
4 with district aides. AR Vol. 6 at 1811. On August 6, 2010, plaintiffs received a letter notifying
5 them that Genesis had been terminated.⁵ AR Vol. 3 at 661. In the end, however, the District
6 retained Genesis, in part because it was not able to conclude arrangements for a replacement
7 NPA at that time. AR Vol. 2 at 402. Genesis remained Z.F.’s NPA until the mutual rescission
8 agreement reached by the District and Genesis on January 19, 2011. AR Vol. 5 at 1355.

9 In a meeting on February 17, 2011, the District offered the IEP challenged here,
10 which plaintiffs rejected and which the ALJ found complied with the requirements of IDEA. AR
11 Vol. 5 at 1246. This IEP was drafted over three IEP team meetings. Z.F.’s mother attended the
12 first meeting held on November 8, 2010, which lasted several hours. AR Vol. 5 at 1247. The
13 group meeting there, including the mother, selected November 22, 2010 as the next meeting
14 date, because it had not satisfactorily completed the new IEP. AR Vol. 5 at 1247.

15 Approximately one and-a-half hours before the November 22 meeting, the mother called the
16 District to say she would not be able to attend. *Id.* The ALJ concluded that the mother must not
17 have objected to the meeting occurring without her, as the meeting was held and she did not
18 complain of her absence from the meeting in the due process hearing. *Id.* An additional
19 meeting, set first for December 16, 2010 and then January 25, 2011, was never held: the first
20 date was cancelled because the District’s Special Education Director, an indispensable IEP team
21 member, had to attend to a hospitalized parent; the second was cancelled because Z.F.’s mother
22 had to leave the country upon the death of a parent. AR Vol. 5 at 1248-1249. The mother was
23 present for the final meeting, held on February 17, 2011, at which the challenged IEP was
24 offered. AR Vol. 5 at 1246.

25
26 ⁵ Although this letter was not entered into evidence, the ALJ accepted its existence given
the District’s testimony on the subject. AR Vol. 3 at 663.

1 The District provided Z.F a transition between NPAs, and Z.F.'s mother had some
2 input on this transition. The District arranged for a Learning Solutions aide to overlap with a
3 Genesis aide for four days, from February 14, 2011 until Genesis's contract expired on
4 February 17, 2011. AR Vol. 5 at 1380. However, because Z.F. did not attend school on
5 February 16 and 17, aides from the two NPAs overlapped on only two days. AR Vol. 5 at 1614.
6 Z.F.'s mother participated in email and in-person discussions about the transition from Genesis
7 to Learning Solutions. AR Vol. 3 at 682, 685; AR Vol. 5 at 1358-1359, 1366-1367, 1379-1394,
8 1398-1399. Both sides testified that Z.F.'s mother had email exchanges with Erin Chargin, the
9 owner and director of Learning Solutions, and with Susan Harper, the District's Coordinator of
10 Student Support. AR Vol. 3 at 491, 682. Additionally, the mother asked Ms. Chargin several
11 questions about Learning Solution's practices and its ability to replace Genesis at the
12 February 17, 2011 meeting at which the District offered the IEP at issue here. AR Vol. 3 at 493.

13 Plaintiffs allege that the District violated IDEA procedures by predetermining the
14 nature of Z.F's transition from Genesis to Learning Solutions, without giving Z.F.'s parents
15 input on the transition. ECF 12 at 1.

16 II. STANDARD OF REVIEW

17 In IDEA cases, courts give less deference to an administrative decision than in
18 other administrative cases, but at the same time full *de novo* review is inappropriate. *J.L. v.*
19 *Mercer Island Sch. Dist.*, 592 F.3d 938, 949 (9th Cir. 2010) (citing *J.G. v. Douglas County Sch.*
20 *Dist.*, 552 F.3d 786, 793 (9th Cir. 2008); *see also Bd. Of Educ. v. Rowley*, 458 U.S. 176, 206
21 (1982) (stating that "due weight" should be given to the administrative proceedings below).

22 Congress provides the following guidance regarding standard of review:

23 /////

24 /////

25 /////

26 /////

1 In any action brought under this paragraph, the court—

- 2 (i) shall receive the records of the administrative proceedings;
3 (ii) shall hear additional evidence at the request of a party; and
4 (iii) basing its decision on the preponderance of the evidence, shall
grant such relief as the court determines is appropriate.

5 20 U.S.C. §1415(i)(2)(C). A court therefore can hear evidence that “goes beyond the scope of
6 the administrative record and, based on a preponderance of the evidence, ‘grant such relief as the
7 court determines is appropriate.’” *Anchorage Sch. Dist. v. M.P.*, 689 F.3d 1047, 1053 (9th Cir.
8 2012) (quoting 20 U.S.C. § 1415(i)(2)(C)).

9 This action is presently before the court on cross-motions for summary judgment.
10 Under traditional summary judgment standards, the existence of disputed issues of material fact
11 is fatal to the moving party. FED. R. CIV. P. 56(a); *Anderson v. Liberty Lobby, Inc.*, 477 U.S.
12 242, 250 (1986). In most IDEA appeals, there are disputed issues of fact. *Capistrano Unified*
13 *Sch. Dist. v. Wartenberg*, 59 F.3d 884, 891-92 (9th Cir. 1998). But the district court cannot hear
14 the case anew, for otherwise the work of the ALJ would not receive “due weight.” *Id.* at 891.
15 The pending motions in this IDEA action, therefore, differ from ordinary summary judgment
16 motions because the existence of a disputed issue of material fact will not defeat the motion.
17 *Antonaccio v. Bd. of Educ. of Arlington Cent. Sch. Dist.*, 281 F. Supp. 2d 710, 714 (S.D.N.Y.
18 2003) (“The inquiry, however, is not directed to discerning whether there are disputed issues of
19 fact, but rather, whether the administrative record, together with any additional evidence,
20 establishes that there has been compliance with IDEA's processes and that the child's educational
21 needs have been appropriately addressed.”).

22 The Ninth Circuit has clarified that administrative decisions under the IDEA
23 should receive some deference. The court in *Capistrano* reasoned that some deference to the
24 administrative decision “makes sense” for the same reasons deference is given to other agency
25 actions: “agency expertise, the decision of the political branches . . . to vest the decision initially
26 in an agency, and the costs imposed on all parties of having still another person redecide the

1 issue from scratch.” 59 F.3d at 891 (citing *Kerkam v. McKenzie*, 862 F.2d 884, 887 (D.C. Cir.
2 1988)). The Circuit also has noted the structure of the IDEA demonstrates that Congress
3 “intended states to have the primary responsibility of formulating each individual child’s
4 education.” *Hood v. Encinitas Union Sch. Dist.*, 486 F.3d 1099, 1104 (9th Cir. 2007).
5 Consequently, the court must “not substitute [its] opinions of sound educational policy for those
6 of the school authorities which [it is] reviewing.” *Adams v. State of Oregon*, 195 F.3d 1141,
7 1149 (9th Cir. 1999).

8 The quality of the administrative decision determines the amount of deference the
9 District Court should afford it. Greater deference should be given to the ALJ’s findings when
10 they are “thorough and careful.” *K.D.*, 665 F.3d at 1117; *Hood*, 486 F.3d at 1104; *see also*
11 *Capistrano*, 59 F.3d at 891-92 (holding that “[t]he hearing officer’s report was especially careful
12 and thorough, so the judge appropriately exercised her discretion to give it quite substantial
13 deference”); *Ojai Unified Sch. Dist. v. Jackson*, 4 F.3d 1467, 1476 (9th Cir. 1993) (holding that
14 an ALJ’s decision is entitled to “substantial weight” where the “decision evinces his careful,
15 impartial consideration of all the evidence and demonstrates his sensitivity to the complexity of
16 the issues presented”); *G.D. v. Torrance Unified Sch. Dist.*, 857 F. Supp. 2d 953, 964 (C.D. Cal.
17 2012) (giving “substantial deference” to the ALJ’s decision because it was not only “thorough
18 and careful” but also “intensive and comprehensive.”). However, courts retain the freedom to
19 decide independently how much weight to give the ALJ’s findings and conclusions. *Ashland*
20 *Sch. Dist. v. Parents of Student R.J.*, 588 F.3d 1004, 1009 (9th Cir. 2009).

21 Plaintiffs argue that this court should afford the OAH decision no deference
22 because the ALJ failed to frame correctly one of the plaintiffs’ primary legal arguments. Pls.’
23 Mot. Summ. J. at 6-10, ECF 12. At hearing, plaintiffs argued the District violated IDEA
24 procedures by predetermining that Z.F.’s IEP would not include an adequate plan for

25 ////

26 ////

1 transitioning Z.F. from Genesis to Learning Solutions.⁶ ECF 12 at 9-10. This argument focused
2 on predetermination concerning the transition between NPAs. Plaintiffs aver that the ALJ's
3 decision focused on predetermination in the context of the District's power unilaterally to change
4 NPAs, which power plaintiffs concede the District has. *Id.*; AR Vol. 1 at 33. In their motion
5 here, plaintiffs reproduce part of the hearing transcript to show the ALJ understood the
6 predetermination issue in the "correct" context of the transition from one NPA to another, at the
7 hearing. ECF 12 at 9-10. Juxtaposing the ALJ's formulation of the issue at the hearing with the
8 ALJ's later written decision, plaintiffs seek to show that the case the ALJ resolved in her
9 decision was "not the case before her." *Id.* This court is unpersuaded.

10 The ALJ did not overlook or misunderstand plaintiffs' predetermination
11 argument. The ALJ comprehensively addressed the argument in the very paragraph that
12 plaintiffs have reproduced in part in their motion, but in an excerpt plaintiffs have excised. AR
13 Vol. 5 at 1260; ECF 12 at 9. In that paragraph, the ALJ incorporated correct legal principles and
14 Factual Findings 20-25, which discuss predetermination in the transition context, to conclude
15 that "the termination of Genesis as the provider of aide services to Z.F. did not constitute a
16 predetermination of Z.F.'s IEP that denied Parents meaningful participation in the IEP process."
17 AR Vol. 5 at 1260. As the court discusses more fully below, an IDEA procedural violation is
18 redressable only when, among other things, it denies parents meaningful participation in the IEP
19 process. *Mercer*, 592 F.3d at 953; 20 U.S.C. § 1415(f)(3)(E)(ii). The ALJ articulated this legal
20 standard in Paragraph 5 of her legal conclusions. AR Vol. 5 at 1258. In Paragraphs 20-25 she
21 concluded that Z.F.'s parents were allowed meaningful participation in the IEP process, even if
22 the District predetermined that no adequate transition plan would be considered. AR Vol. 5 at
23 1250-1251 (stating that "Z.F. claims that this change in [NPA] providers violated his procedural
24

25 ⁶ As discussed more fully below, predetermination is a problem because each child's IEP
26 should be formulated collaboratively by IEP team members, which include a child's parents. A
school district should not, therefore, unilaterally determine a child's IEP.

1 rights because it was an impermissible predetermination by the District that denied Parents
2 meaningful participation in the IEP decision-making process”). Properly understood, the ALJ’s
3 conclusion is that the law provides plaintiffs no relief because any predetermination, which
4 qualifies as a procedural error under the IDEA, did not deny Z.F.’s parents of meaningful
5 participation in the IEP process.

6 Here, the ALJ’s decision deserves substantial deference because it is
7 comprehensive, careful, and intensive. The ALJ presided over a three-day hearing. AR Vol. 5 at
8 1242. Each side presented at least a dozen witnesses, including several expert witnesses. AR
9 Vol. 4 at 1177-79 (plaintiffs’ witness list); AR Vol. 4 at 1184-85 (defendant’s witness list). The
10 parties sharpened the issues to be addressed during the hearing through pre-hearing colloquies
11 and briefing. AR Vol. 4 at 1130, 1151, 1154. During the hearing, the officer demonstrated a
12 clear understanding of the dispositive issues before her. After the hearing, the parties submitted
13 extensive post-hearing briefs to advocate further their positions. AR Vol. 3 at 1198, 1220. The
14 ALJ’s 23-page single-spaced decision, which contains very thorough factual findings, exhibits a
15 well-reasoned, impartial, and thoughtful evaluation of each party’s contentions. See AR Vol. 5
16 1242-1264. Consequently, this court grants the decision substantial weight.

17 III. ANALYSIS

18 The sole task, then, for the court in this case is to determine whether a
19 preponderance of the evidence supports the ALJ’s finding that the District, through its
20 February 17, 2011 IEP, offered Z.F. a FAPE. This court’s inquiry is twofold. The first,
21 “procedural prong” requires the court to consider whether the state complied with the procedures
22 set forth in the IDEA. *Amanda J. v. Clark Cnty. Sch. Dist.*, 267 F.3d 877, 890 (9th Cir. 2001);
23 *Bd. of Educ. of Hendrick Hudson Cen. Sch. Dist., Westchester Cnty. v. Rowley* 458 U.S. 176,
24 206-07 (1982). Under the second, “substantive prong,” the court must determine if the IEP

25 ///

26 ///

1 developed through those procedures was reasonably calculated to enable the child to receive
2 educational benefits.⁷ *Amanda J.*, 267 F.3d at 890; *Rowley*, 458 U.S. at 206-07.

3 A. Compliance with IDEA Procedures

4 In analyzing whether the District offered Z.F. a FAPE under the IDEA, the court
5 first evaluates whether the District complied with the IDEA’s procedural requirements. *Rowley*,
6 458 U.S. at 206–07. Not every procedural violation results in the denial of a FAPE, however.
7 *Mercer*, 592 F.3d at 953. A procedural violation denies a FAPE if it “results in the loss of an
8 educational opportunity, seriously infringes the parents’ opportunity to participate in the IEP
9 formulation process or causes a deprivation of educational benefits.” *Id.*; 20 U.S.C.
10 § 1415(f)(3)(E)(ii).

11 Here, plaintiffs assert that the District did not comply with IDEA procedures
12 because it had “predetermined” in advance of the February 17, 2011 IEP that it would not offer
13 Z.F. an adequate plan to transition between NPAs. An adequate plan, according to plaintiffs,
14 necessarily would have involved the provision of services by Genesis beyond the termination
15 date of Genesis’s contract with the District. ECF 12 at 3. Plaintiffs point to a series of District
16 actions, dating back to 2009, as establishing that the District was fixated on replacing Genesis at
17 whatever cost to affected students.⁸ ECF 12 at 3. Additionally, plaintiffs aver that the 2011
18 mutual termination agreement between the District and Genesis is direct evidence of
19 predetermination: the agreement ended any possibility that Genesis could be involved with Z.F.
20 in any way after the contract’s termination date. ECF 12 at 5. In short, plaintiffs allege that the
21 District terminated Genesis’ services without properly consulting Z.F.’s IEP team, and in

22
23 ⁷ Although Congress has amended the IDEA several times since the *Rowley* decision, the
24 test the Supreme Court outlined in that case remains applicable. *J.L. v. Mercer Island Sch. Dist.*,
592 F.3d 938, 947-48 (9th Cir. 2010).

25 ⁸ The District several times discussed replacing Genesis with district aides. AR Vol. 6 at
26 1810. At one point, the District fired Genesis, notifying plaintiffs of this in a letter. *Id.*
However, apparently because it was unable to find a replacement NPA, the District then renewed
its contract with Genesis. AR Vol. 2 at 402.

1 particular his parents, thereby independently predetermining the nature of Z.F.'s transition from
2 Genesis to the new NPA.

3 Plaintiffs never explicitly state why this procedure is sufficient to deny Z.F. a
4 FAPE as a matter of law. The court infers from plaintiffs' arguments about parental
5 participation in students' IEPs that plaintiffs believe the District's predetermination "seriously
6 infringe[d] [their] opportunity to participate in the IEP formulation process," thereby rising to the
7 level of a redressable procedural violation under the IDEA. *Mercer*, 592 F.3d at 953; *see* ECF
8 12 at 5.

9 The District counters that Z.F.'s parents had ample opportunity to participate in
10 formulating the February 17, 2007 IEP. Def.'s Mot. Summ. J. at 16, ECF 15. The District also
11 avers that it has the sole discretion to select a qualified NPA to provide services as required by
12 students' IEPs. ECF 15 at 16-17. Simply replacing Genesis with Learning Solutions, the
13 District maintains, does not equate to the District's independently developing a student's IEP.
14 ECF 15 at 14.

15 Predetermination occurs when an educational agency has made a determination
16 prior to the IEP meeting, including when it presents one educational placement option at the
17 meeting and is unwilling to consider other alternatives. *Deal v. Hamilton Cnty. Bd. of Educ.*
18 392 F.3d 840, 858 (6th Cir. 2004). Predetermination violates the IDEA because the Act requires
19 that an educational placement be based on the IEP, and not vice versa. *K.D.*, 665 F.3d at 1123
20 (citing *Spielberg v. Henrico Cnty. Pub. Sch.*, 853F.2d 256, 259 (4th Cir. 1988)). Parents must
21 have the opportunity "to participate in meetings with respect to the identification, evaluation, and
22 educational placement of the child." *H.B. v. Las Virgenes Unified Sch. Dist.*, 239 F. App'x 342,
23 344-45 (9th Cir. 2007) (quoting 20 U.S.C. § 1415(b)(1)). Ninth Circuit cases emphasize that
24 parents must be invited to attend IEP meetings and must have the opportunity for meaningful
25 participation in the formulation of IEPs. *See Shapiro v. Paradise Valley Unified Sch. Dist. No.*
26 *69*, 317 F.3d 1072, 1078 (9th Cir. 2003) ("The IDEA 'imposes upon the school district the duty

1 to conduct a meaningful meeting *with* the appropriate parties.”) (quoting *W.G. v. Board of Trs.*
2 *of Target Range Sch. Dist. No. 23*, 960 F.2d 1479, 1483 (9th Cir. 1992) (emphasis in the
3 original)). Thus, “[a] school district violates IDEA procedures if it independently develops an
4 IEP, without meaningful parental participation, and then simply presents the IEP to the parent for
5 ratification.” *Ms. S. v. Vashon Island Sch. Dist.*, 337 F.3d 1115, 1131 (9th Cir. 2003).

6 Courts in this Circuit have rarely found that a school district’s predetermining a
7 student’s IEP rises to the level of a redressable violation of IDEA procedures. This is for two
8 primary reasons. First, procedural violations must surpass a substantial threshold: “procedural
9 violations that have been found sufficient to deprive a student of a FAPE have been labeled
10 ‘egregious.’” *K.M. v. Tustin Unified Sch. Dist.*, No. SACV 10-1011 DOC, 2011 WL 2633673, at
11 *11 (C.D. Cal. July 5, 2011) (quoting *Amanda J.*, 267 F.3d at 891); *see also M.L. v. Federal Way*
12 *Sch. Dist.*, 394 F.3d 634, 656 (9th Cir. 2005) (holding that failing to include the student’s regular
13 education teacher on the IEP team denied the student a FAPE). Predeterminations that rise to the
14 level of “egregiousness” typically involve a school district adopting a “take it or leave it”
15 approach to all, or a significant part of, a student’s IEP. *See, e.g., Target Range*, 960 F.2d at
16 1484 (finding predetermination when the school district independently developed the IEP
17 without the input of the student’s parents, teachers, or other school representatives).

18 Second, it is often difficult to prove that a district in fact made a determination
19 before an IEP meeting. The Ninth Circuit recently declined to decide whether it was
20 predetermination to take an autistic child from his private school and place him in a public
21 school classroom for children with autism, with no input from the parents and with evidence of a
22 longstanding district plan to make this change.⁹ *Las Virgenes*, 239 F. App’x at 344-45. This case
23 is instructive because changing a student’s educational placement from private to public school,

24
25 ⁹ The Circuit lacked sufficient facts to make this determination, remanding for
26 supplementation of the record. *Las Virgenes*, 239 F. App’x at 345. The court found it critical to
know whether the school district was “unwilling to consider other placements” even if the
parents had given input. *Id.*

1 with no parental input, a more drastic change than switching behavioral services providers, did
2 not qualify as a *per se* IDEA procedural violation. *Id.* at 345.

3 Here, the District’s actions with regard to Z.F.’s transition from Genesis to
4 Learning Solutions are not sufficiently egregious to deny Z.F. a FAPE. The court reaches this
5 conclusion for several reasons. First, the fact that the District terminated its contract with
6 Genesis does not mean the District was unwilling to consider others’ input on Z.F.’s NPA
7 transition or unable to act on such input. In their termination agreement, the District and Genesis
8 agreed to end Genesis’s services for the District on or before February 17, 2011, the very day the
9 District offered Z.F. the IEP that is the basis of this action. AR Vol. 6 at 1619-1620. Despite
10 plaintiffs’ assertions that Z.F.’s NPA transition was predetermined because IEP team members
11 thought the termination agreement made discussing a transition “moot” (AR Vol. 1 at 149), a fair
12 reading of the record suggests the District and other IEP team members simply believed Z.F. did
13 not require an elaborate transition plan.¹⁰ Z.F. had ten different Genesis aides with four in his
14 last school year alone and four or five different Genesis case managers over the four-plus years
15 Genesis provided his behavioral intervention services. AR Vol. 5 at 1255. Despite the many
16 transitions he experienced, he had difficulty with these transitions only during the 2007-2008
17 school year. AR Vol. 5 at 1255. Based upon this evidence, the ALJ concluded that the February
18 17, 2011 IEP did not require a transition plan. AR Vol. 5 at 1256. Additionally, Z.F.’s mother

19 ////

21 ¹⁰ Sharon Filippi, an IEP team member who is a speech and language pathologist,
22 testified before the ALJ that she thought discussing a transition would have been “moot” in light
23 of Genesis’ termination; however, she went on to say that, had a transition been discussed at that
24 meeting, she did not “think a lot would have been — a lot more would have done [sic] — if
25 anything, I just think it would have been discussed more.” AR Vol. 1 at 150. Susan Harper,
26 coordinator of special education services for the District, testified that she did not consider
extending Genesis’s termination date to accommodate a transition because she “really felt very
confident in Learning Solutions.” AR Vol. 2 at 435. Ms. Harper had “spoken to many people
about [Z.F.’s] ability to move forward.” *Id.* She further testified that the transition “was not
brought up at the IEP as a concern by anyone that this was going to be a difficult transition at
that point . . . Genesis was there, they didn’t have any objection to that either.” *Id.* at 438.

1 was not surprised at the February 17, 2011 IEP meeting by the news that Genesis was being
2 terminated; she had been notified by letter on January 19, 2011. AR Vol. 5 at 1355.

3 Second, as a practical matter, the District has the power unilaterally to choose
4 NPAs. Plaintiffs concede as much. ECF 12 at 9-10. So long as this choice does not affect the
5 substantive quality of a student's IEP, and a student's IEP does not require the services of a
6 particular NPA, the IDEA provides no relief to a parent who does not agree with the District's
7 choice of provider. Plaintiffs do not allege, and the record does not support, that Genesis's
8 replacement NPA, Learning Solutions, is unqualified for the job of an NPA. None of Z.F.'s IEPs
9 specifically named Genesis; every one of his IEPs fashioned in the District, including the
10 February 17, 2011 IEP at issue here, provides that Z.F. receive behavior intervention services
11 from any NPA under contract with the district. AR Vol. 4 at 928; AR Vol. 5 at 1251. Z.F.
12 continues to receive the same IEP services, with a different company providing them.

13 Finally, the District's actions do not rise to the level of a procedural violation that
14 seriously impaired Z.F.'s parents' opportunity to participate in the IEP formulation process.
15 Maximum parental participation is not the standard under the IDEA; rather, the standard is
16 meaningful participation. *Mercer*, 575 F.3d at 1033 (citing *Rowley*, 458 U.S. at 197 n.21, 200).
17 Z.F.'s parents had many opportunities to participate meaningfully in formulating the significant
18 elements of the February 17, 2011 IEP. They also had the opportunity to provide input on his
19 transition between NPAs.

20 The administrative record supports the ALJ's conclusion that Z.F.'s mother
21 meaningfully participated in the IEP process. Leading up to the District's IEP offer on February
22 17, 2011, Z.F.'s IEP team held three meetings. His mother attended the first, held on November
23 8, 2010, which, not unusually for Z.F.'s team meetings, lasted several hours. AR Vol. 5 at 1247.
24 The group meeting that day, including the mother, selected November 22, 2010 as the next
25 meeting date, as it had not satisfactorily completed the new IEP. *Id.* Shortly before the
26 November 22 meeting, Z.F.'s mother called the District to say she would not be able to attend.

1 *Id.* The meeting was held and the mother did not make her absence from the meeting an issue in
2 the due process hearing. *Id.* An additional meeting, set for December 16, 2010 and then January
3 25, 2011, was never held. AR Vol. 5 at 1248-1249. The IEP that is the basis of this action was
4 offered to the mother at the third meeting on February 17, 2011. AR Vol. 5 at 1249.

5 Z.F.'s mother also participated in email and in-person discussions specifically
6 about the transition from Genesis to Learning Solutions. AR Vol. 3 at 682, 685; AR Vol. 5 at
7 1358-59, 1366-67, 1379-94, 1398-99. The owner and director of Learning Solutions, Erin
8 Chargin, testified that she sent the mother two or three emails in January regarding the NPA
9 transition. AR Vol. 3 at 491. The mother asked Ms. Chargin several questions about Learning
10 Solution's practices and ability to replace Genesis at the February 17, 2011 meeting at which the
11 District offered Z.F.'s IEP. AR Vol. 3 at 493.

12 At Z.F.'s hearing on the pending motions, plaintiffs' counsel refined plaintiffs'
13 position, stating it was not Z.F.'s mother's lack of an opportunity to participate in formulating an
14 NPA transition plan, but rather that the District was not receptive to her desires. The IDEA
15 guarantees parents the opportunity to participate meaningfully; however, as one participant in a
16 group, the parents' opinions may be overridden. *Vashon*, 337 F.3d at 1131 (stating that a district
17 "has no obligation to grant [a parent] a veto power over any individual IEP provision."). Here
18 the record contains several emails from Z.F.'s mother to various District personnel that suggest
19 some tension existed between the parties. *See, e.g.*, AR Vol. 5 at 1358 (depicting an email in
20 which the mother, in response to emails about IEP processes, takes the District to task for
21 various alleged infractions against her and Z.F.). However, these emails also demonstrate that
22 the mother was meaningfully active in Z.F.'s IEP processes: her preference for one NPA
23 company over another was simply overridden by the District. No authority provides that such an
24 action denied Z.F. a FAPE.

25 The facts of this case thus are materially distinguishable from those of *Target*
26 *Range*, a case plaintiffs think should control this one. In *Target Range*, the district

1 independently developed a student’s entire IEP and then presented it to the student’s parents,
2 without their input or participation, and without the input or participation of the student’s regular
3 classroom teacher or any other representative of the student’s school. 960 F.2d at 1484. Here,
4 Z.F.’s IEP team met on three occasions, and the District did not unilaterally change a single
5 provision of the IEP, it only changed the entity tasked with fulfilling a provision of the IEP. The
6 record demonstrates that Z.F.’s entire IEP team, including his parents, participated meaningfully
7 in the formulation of the February 17, 2011 IEP. And most members of the IEP team simply did
8 not believe a transition was necessary.¹¹

9 Therefore, the court holds that the District’s February 17, 2011 IEP did not deny
10 Z.F. a FAPE through predetermining the nature of Z.F.’s transition to a new NPA.

11 B. Substantive Sufficiency of the IEP

12 Apart from procedural compliance, the IEP must also satisfy the substantive
13 prong of the *Rowley* test: it must be “reasonably calculated to enable the child to receive
14 educational benefits.” *Hendrick Hudson Cent. Sch. Dist. v. Rowley*, 458 U.S. 176, 207 (1982).

15 Congress enacted the IDEA “to ensure that all children with disabilities have
16 available to them a free appropriate public education [a ‘FAPE’] that emphasizes special
17 education and related services designed to meet their unique needs.” *M.M. v. Lafayette Sch.*
18 *Dist.*, CV 09-4624, 2012 WL 398773, at *5 (N.D. Cal. Feb. 7, 2012) (quoting 20 U.S.C.
19 § 1400(d)(1)(A)). “Each IEP must include an assessment of the child’s current educational
20 performance, must articulate measurable educational goals, and must specify the nature of the
21 special services that the school will provide.” *Id.* (quoting *Schaffer*, 546 U.S. at 53). Schools are
22 obligated to provide “a ‘basic floor of opportunity’ to disabled students, not a ‘potential-

23
24 ¹¹ The District did in fact provide Z.F. a transition between NPAs. A Learning Solutions
25 aide overlapped with a Genesis aide for four days, from February 14 until the Genesis contract
26 expired on February 17. AR Vol. 5 at 1380. But Z.F. did not attend school on February 16 and
17. AR Vol. 5 at 1614. At the hearing on this matter, plaintiffs’ counsel effectively conceded
that the decision to keep Z.F. home during these two days was not advisable.

1 maximizing education.”” *J.L. v. Mercer Island Sch. Dist.*, 575 F.3d 1025, 1033 (9th Cir. 2009)
2 (quoting *Rowley*, 458 U.S. at 197 n.21, 200).

3 Plaintiffs’ motion for summary judgment focuses only on the alleged procedural
4 deficiencies in the District’s development of Z.F.’s February 17, 2011 IEP. In fact, before the
5 OAH hearing, plaintiffs stipulated that, other than the seven issues specifically objected to, the
6 February 17, 2011 IEP was otherwise “designed to meet Z.F.’s unique needs and confer
7 educational benefit.” AR at 1244. The only one of those seven issues plaintiffs have appealed to
8 this court is the predetermination-in-transition argument. As plaintiffs do not allege that Z.F.’s
9 IEP was substantively deficient and nothing in the record suggests such deficiency, and as the
10 ALJ found that the February 17, 2011 IEP offered Z.F. a FAPE, the court finds this prong is
11 satisfied.

12 III. CONCLUSION

13 For the foregoing reasons, the court affirms the ALJ's decision as supported by
14 the preponderance of the evidence. The record demonstrates that the District provided Z.F. with
15 a FAPE in the February 17, 2011 IEP. The District’s motion for summary judgment is hereby
16 GRANTED, and plaintiffs’ motion for summary judgment is DENIED.

17 IT IS SO ORDERED.

18 DATED: January 8, 2013.

19
20 
21
22
23
24
25
26
UNITED STATES DISTRICT JUDGE