-GGH (PS) Stephen v. Fukushima

Doc. 5

Case: 10-1511 Document: 009183696 Filed: 06/01/2011 Page: 1 of 14

FILED

JUN 1 2011

SUSAN M SPRAUL, CLERK U.S. BKCY. APP. PANEL OF THE NINTH CIRCUIT

UNITED STATES BANKRUPTCY APPELLATE PANEL

OF THE NINTH CIRCUIT

FILED
Jun 01, 2011
CLERK, U.S. DISTRICT COURT

In re:)	BAP No.	EC-10-1511 CLERK, U.S. DISTRICT COURT EASTERN DISTRICT OF CALIFORNIA
JIMMIE EARL STEPHEN,)	Bk. No.	10-50583
Debtor.)	CAED Case No.	2:11-mc-0037 MCE GGH (PS)
JIMMIE EARL STEPHEN,)))		
Appellant,)		
V.))	TO DISTRI	NSFERRING IFP MOTION CT COURT e Response Required)
ALAN S. FUKUSHIMA, Trustee; UNITED STATES TRUSTEE,)	(1111110414	e nesponse nequired,
Appellees.)		

Before: DUNN and JURY, Bankruptcy Judges.

Appellant filed a motion for leave to proceed <u>in forma</u>

<u>pauperis</u> on March 25, 2011. On April 7, 2011, the panel issued

its order transferring the in forma pauperis motion to the United

States District Court for the Eastern District of California. In

the April 7, 2011 order, the panel explained that under the

holding of <u>Perroton v. Gray (In re Perroton)</u>, 958 F.2d 889 (9th

Cir. 1992) and <u>Determan v. Sandoval (In re Sandoval)</u>, 186 B.R.

490, 496 (9th Cir. BAP 1995), the Bankruptcy Appellate Panel has

no authority to grant in forma pauperis motions under 28 U.S.C. § 1915(a) because bankruptcy courts are not "court[s] of the United States" as defined in 28 U.S.C. § 451.

On April 28, 2011, the United States District Court for the Eastern District of California issued an Order wherein it concluded that "bankruptcy courts are not courts separate and apart from district courts, but rather, the 'bankruptcy court' is simply a unit of the larger district court." Stephen v.

Fukushima, No. 11 MC 0037 MCE GGH PS (E.D.Cal. filed April 28, 2011). The District Court concluded that "[w]ithin their subject matter jurisdiction, 28 U.S.C. § 157, bankruptcy judges have authority to grant in forma pauperis status as would any other judge of the district court." Id. Based on this conclusion, the District Court transferred the in forma pauperis motion to the United States Bankruptcy Court for the Eastern District of California. On May 12, 2011, the Bankruptcy Court transferred the in forma pauperis motion to the Bankruptcy Appellate Panel.

Unfortunately, the Ninth Circuit Court of Appeals has expressly ruled that a "bankruptcy court is not a 'court of the United States' under the definition of that phrase contained in \$ 451 and does not have the authority to waive fees. . ."

Perroton, 958 f. 2d at 896 (emphasis added). "Logic dictates that if bankruptcy courts are not 'courts of the United States,'

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then neither is the Bankruptcy Appellate Panel." <u>In re Sandoval</u>, 186 B.R. at 496.

The Ninth Circuit Court of Appeals expressly rejected the argument that "bankruptcy courts are not courts separate and apart from district courts, but rather, the 'bankruptcy court' is simply a unit of the larger district court."

Finally, even if a bankruptcy court is not a "court of the United States" under § 451 and thus lacks direct authority to act under § 1915(a), it could be argued that the bankruptcy court nonetheless has the authority to waive fees under 28 U.S.C. § 157(a). Section 157(a) provides that: "any and all cases under title 11 and any or all proceedings arising under title 11 or arising in or related to a case under title 11 shall be referred to the bankruptcy judges for the district." Thus, notwithstanding its lack of authority to act under § 1915(a), it could be argued that the bankruptcy court may waive fees because, in delegating the authority to the bankruptcy court to hear a case under Title 11, the district court also delegates its authority to entertain a petition to proceed in forma pauperis under § 1930(b) or (c).FN17 This argument, however, also fails given the clear expression of congressional intent to exclude the bankruptcy court from those courts authorized to waive fees under § 1915(a) given the legislative history of § 451 discussed above. This conclusion is bolstered by the fact that § 157 was passed into law in the same piece of legislation that deleted the bankruptcy court language from § 451. BAFJA, P.L. No. 98-353, Title I, § 104(a), 98 Stat. 340 (July 10, 1989).

<u>Perroton</u>, 958 F.2d at 896 (footnote omitted) (emphasis added).

Accordingly, bankruptcy courts and bankruptcy appellate panels cannot grant in forma pauperis motions and therefore must refer then to a "court of the United States" with authority to rule on

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such requests.

Accordingly, appellant's in forma pauperis motion is hereby once again TRANSFERRED to the United States District Court for the Eastern District of California for the limited purpose of ruling on the in forma pauperis motion.

It is appellant's responsibility to take all necessary steps to have the in forma pauperis motion considered by the District Court within a reasonable period of time.

No later than **Thursday**, **June 30**, **2011**, appellant must file with the Bankruptcy Appellate Panel and serve on opposing counsel a written response which includes as an exhibit a copy of the District Court's order on the in forma pauperis motion or an explanation of the steps appellant has taken to have the in forma pauperis motion considered by the District Court.

For the convenience of the District Court, a copy of the notice of appeal, the order on appeal and the in forma pauperis motion will be forwarded to the District Court for consideration.

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JIMMIE STEPHEN C56483 P.O. BOX 4000 VACAVILLE CALIFORNIA..95696

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UNITE	D STATES BANKRUPTCY COURT ERN DISTRICT OF CALIFORNIA

RECEIVED Susan M. Spraul, Clark U.S. BKCY, APR PANEL OF THE NINTH CIRCUIT

DEC 1 6 2010

"ORIGINAL PAGES 1-12

UNITED STATES BANKRUPTCY EASTERN STATE OF CALIFORNIA..

10-50583-D-7

IN RE JIMMIE STEPHEN

DEBTOR

"NOTICE OF APPEAL""FRAP""6"

(EXHIBITS 1-4)

1. "DISMISSAL OF 11-30-10""PREMATURE""ERPOR"

DEBTOR JIMMIE STEPHEN HEREBY REQUEST TO "FILE NOTICE OF APPEAL" IN THIS MATTER "FORTHWITH BASED ON "PREMATURE DECISION" OF JAP 30 10 WHEN "DUE" ON OR AFTER 12-3-10. UNDER "BANKRUPTCY RULE 505-B". WHEN "BOTH ADMINISTRATIVE" ACTS OF "BANKRUPTCY CASE" AS TO "10 DAYS" FOR DEBTOR STEPHEN TO FORFILL THE "DISCREPICIES" OF 11-23-10. AS TO "SCHEDULES" "STATEMENTS MAILING LISTS "CREDITORS". AS "ADVERSARIAL PORTION" AS A "SEASONED JUDGE" WHOM "RULED WITHIN "4" DAYS AS "DENYING REQUIREMENTS OF "CLERKS NOTICE" DATED FOR "DOCUMENTS TO BE FILED OF "12-3-10". "WHEN 28 USC 158-D" AND "1334" "APPLICABLE".

"28 USC 157,144,455"BASED ON "QUESTIONS OF IMPARTIALITY" AND "BIAS"..AS
DEBTORS "RIGHT TO STAY DENIED IN THIS MATTER"..WHEN "WAIVER OF FEES" ARE "DENIED
"WITHOUT CAUSE" WHEN DEBTOR "150 %""INDIGENT, POVERTY LEVEL ECT..

"IN RE BELLUCI" 119 B.R. 763..(E.D. CALIF 1990).."AUTOMATIC STAY APPLIES TO "MONEY DAMAGES"..ECT..

"IN RE HORTON" (9TH 1980) 621 F2D 968..STATES BANKRUPTCY JUDGES ARE BOUND BY "BANKRUPTCY RULE 505-B"..AND "DISTRICT JUDGES" BY "28 USC 144.455"..

"IN RE TUCSON" ESTATES INC 912 F2D 1162..(9TH 1990)..STATES ORDER DENYING RELIEF RELIEF FROM "BANKRUPTCY STAY" IS APPEALABLE"..

AS "JUDGE BARDWIL" "WORDS" AND "ACTIONS" OF 11-19-10 UNDER A "REASONABLE"
"IMPARTIAL JUDGMENT" "APPEARS""BIAS" AND "PREJUDICE". TO "DEBTOR STEPHEN".
"WILLFUL""DISMISSAL"BY CLERK" OF 11-30-10"WITHOUT CAUSE""AS ERROR".

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"DECLARATION OF JIMMIE STEPHEN"

"DEBTOR JIMMIE STEPHEN" FILED "CHAPTER 7" FOR "STAY" ON "CREDITORS" AND ON 11-19-10 "CLERKS GAVE NOTICE" THAT DEBTOR HAD TO 12-3-10 TO "FILE" THE" "SCHEDULES"" AND MAILING LIST CREDITORS" ECT.. AS THIS WAS DONE ON 12-1-10 AS IN PROCESS "JUDGE BARDWIL" "DISMISSED "WAIVER OF FEES" AND INSTITUTED HIS OWN PAYMENTS SCHEDULE ... WHEN DEBTOR IF 150 % POVERTY LEVEL AND "ELIGIBLE" FOR THE CHAPTER 7 "WAIVER OF FEES"...

ON 11-30-10 THE CLERKS "DISMISSAL" WHEN "REQUESTED DOCUMENTS" AMOUNTED TO "WILLFUL DUE PROCESS""ERROR AND PREJUDICE"...

"RELIEF REQUESTED"

- 1. "ORDER""NOTICE OF APPEAL" "FILED""FORTHWITH"
 2. "ORDER FULL WATVER OF FEES"FORTHWITH".
 3. "ORDER AUTOMATIC STAY".

"ANY OTHER RELIEF"

(SEE EXHIBITS 1-4).

SIGNATUR

TRUE AGAINST FRAUD OR PREJUDICE

DATE 10-10-10

Case: 10-1511 Document: 009163696 Filed: 00/02/2010 Page: 3 of 16

EXHIBIT 1..

COPY OF "ORDER OF 11-30-10 BY CLERK" WHEN DOCUMENTS AUTHORIZED OF 11-19-10 TO BE FILED 12-3-10..AS DUE PROCESS DENIED..

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FORM L146 Order Dismissing Case for Failure to Timely File Document(s) (v.01.10)

10-50583 - D - 7



UNITED STATES BANKRUPTCY COURT Eastern District of California

Robert T Matsul United States Courthouse 501 I Street, Suite 3-200 Sacramento, CA 95814

> (916) 930-4400 www.caeb.uscourts.gov

FILED.

11/30/10

CLERK, U.S. BANKRUPTCY COURT EASTERN DISTRICT OF CALIFORNIA

msws

ORDER DISMISSING CASE FOR FAILURE TO TIMELY FILE DOCUMENT(S)

Case Number:

10-50583 - D - 7

Debtor Name(s), Social Security Number(s), and Address(es):

Jimmie Earl Stephen C56483 PO Box 4000-22-H-3-L Vacaville, CA 95696

Notice of Incomplete Filing and Notice of Intent to Dismiss Case If Documents Are Not Timely Filed having been given to the Debtor(s), the debtor's(s') attorney, if any, the trustee, all creditors, and other parties in interest, and the Debtor(s) having failed to comply therewith by timely filling the documents referenced therein or a motion to extend time to file the documents for cause shown, and no Notice of Hearing on the Court's Notice of Intent to Dismiss Case having been filed.

IT IS ORDERED that the within case be, and is hereby, dismissed.

Dated: 11/30/10

ORDERED PURSUANT TO SPECIAL ORDER 10-02 FOR THE COURT Wayne Blackweider, Clerk

By: msws	
	Deputy Clerk

067663

Cassae: 1100-11551111 Discocumeentt: 0009911873665062 FFileedt: 0053/0215/2201111 PPagge: 91 odf 164 JIMMIE STEPHEN C56483 P.O. BOX 4000 RECEIVED Susan M. Spraul, Clerk U.S. BKCY APP PANEL OF THE NINTH GIRCUIT VACAVILLE CALIFORNIA., 95696 MAR 2 5 2011 "ORIGINAL PAGES # 1-6".. FILED _ "EXHIBIT # 1"... DOCKETED DATE "BANKRUPTCY APPELLATE PANEL" 9TH CIRCUIT IN RE JIMMIE "STEPHEN" CASE # 10-50583-D-7 (DEBTOR) "DEBTOR" REQUEST TO "PROCEED" ON "APPEAL" BY "WAIVER OF FEES" AS WELL "OTHER FEES" IN "BANKRUPTCY"DEBTS WHEN "UNSECURED".. A.. "SUPPLEMENTAL" "FEES" "ORDERED" FROM "CREDITORS" OF "USDC-E" COURT WHEN COMPLAINT # 10-03469-KJM-KJN "FILED" 3-8-11.. B.."AUTOMATIC STAY" "APPLICABLE" UNDER "11 USCA 362-A".. C.. "LIENS DISCHARGES UNDER" "11 USCA 522-F".."LLC V CHIU" 304 F3D 905. (9TH 2002).. "DEBTOR JIMMIE STEPHEN" HEREBY "REQUEST GRANTING OF FULL "WAIVER OF FEES" WHILE "APPEAL PENDING" BY NAMED "CREDITORS" "USDC-EASTERN"..AND "SUPPLEMENT DEBT" IN CV-10-03469-KJM-KJN FILED 3-8-11..IN VIOLATION OF "AUTOMATIC STAY" IN "IN RE BELLUCCI" 119 B.R. 763..(E.D. CALIF 1990)..STATES "AUTOMATIC STAY APPLIES TO MONEY JUDGMENTS" "EVEN ON APPEAL" .. AS "BURDEN IS ON PARTY" "ASSERT-ING" "NON-DISCHARGEABLE" "MATTER OF VITREOUS" 911 F2D 1233..(7TH 1990).."11 USCA 362-A"...IN RE BOEHMER" 119 B.R.703..(E.D. MO 1990)...."11 USCA 522-F"...

AS TO "LIEN DISCHARGES" UPON "INDIGENT" "IN RE SMITH" 119 B.R. 1009..(D.N.D. 1990).."11 USCA 523-A" AS TO "DETERMINATION OF DISCHARAGEABILITY"...

AS IN THIS ACTION NAMED "CREDITOR" IN CV-10-03469-KJM-KJN HAS LODGE: A "FEE" OR "JUDGMENT" DURING THIS "APPEAL" WHETHER IS "DISCHARGEABLE" IS ON THE "PARTY" ALLEGING "NON-DISCHARGEABILITY" OF ANY "JUDGMENT" ON "APPEAL".. IN THIS ACTION..

TRUE AGAINST FRAUD OR PERJURY

DATE 3-22-11

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SIGNATURE/

June Stelle

EXHIBIT # 1..

COPY OF "WAIVER OF FEES REQUEST" OF 11-19-10 APPEAL IN THIS ACTION"...

General Docket U. S. Bankruptcy Appellate Panel for the Ninth Circuit

Bankruptcy Appellate Panel Docket #: 10-1511 Docketed: 12/23/2010 Jimmie Stephen v. Alan Fukushima, et al Appeal From: California Eastern - Sacramento Fee Status: fee due **Case Type Information:** 1) Bankruptcy 2) Chapter 7 Non-Business 3) null **Originating Court Information:** District: 0972-2 : 10-50583 Trial Judge: Robert S Bardwil, U.S. Bankruptcy Judge **Date Filed:** 11/19/2010 **Date Order/Judgment:** Date NOA Filed: Date Rec'd BAP: 11/30/2010 12/16/2010 12/22/2010 **Prior Cases:** None **Current Cases:** None **Panel Assignment:** Not available In re: JIMMIE EARL STEPHEN Debtor JIMMIE EARL STEPHEN, C56483 Jimmie Earl Stephen Appellant [NTC Pro Se] P.O. Box 4000-22H-3-L Vacavilla, CA 95696 ٧. ALAN S. FUKUSHIMA, Trustee Alan S. Fukushima [NTC Pro Se] Appellee

#200

[NTC Pro Se]

UST- UNITED STATES TRUSTEE, SACRAMENTO

Appellee

9245 Laguna Springs Dr Elk Grove, CA 95758

501 I Street, Room 7-500 Sacramento, CA 95814

ust- United States Trustee, Sacramento

Robert T Matsui United States Courthouse

In re: JIMMIE EARL STEPHEN
Debtor

JIMMIE EARL STEPHEN, C56483
Appellant
v.
ALAN S. FUKUSHIMA, Trustee; UST- UNITED STATES TRUSTEE, SACRAMENTO
Appellees

12/22/2010	16 pg, 2.19 MB	Received notice of appeal filed in Bankruptcy Court on 12/16/2010, notice of referral, transmittal form. (VJ)
01/04/2011	3 pg, 78.16 KB	Notice to all parties and Bankruptcy Court RE: BAP Case number assigned: EC-10-1511. Sent Bankruptcy Record Request Form to Bankruptcy Court. (VJ)
01/18/2011	3 pg, 43.51 KB	Filed order (Deputy Clerk: sms) IT IS ORDERED THAT no later than Monday, February 7, 2011, appellant must file a response with the BAP indicating why the scope of this appeal should not be limited to review of the order dismissing the bankruptcy case.; dated: 01/18/2011. (VJ)
02/22/2011		Mail returned by U.S. Post Office on 02/22/2011; Document: Clerk's Order re: Scope of Appeal for Jimmie Earl Stephen ; filed on 01/18/2011. (VJ)
03/07/2011	2 pg, 42.49 KB	Filed order (HOLLOWELL) Since the notice of appeal was timely filed as to the dismissal order under the "prison mail-box" rule. IT IS FURTHER ORDERED THAT no later than Monday, March 28, 2011, appellant must file with the BAP a written response indicating that the filing and docketing fees, the designation of record, the statement of issues, and a notice re transcripts have all been filed in the bankruptcy court. (VJ)
03/22/2011		Mail returned by U.S. Post Office on 03/22/2011; Document: Order re: Prosecution of Appeal for Jimmie Earl Stephen; filed on 03/07/2011. Reason: Mail Refused (VJ)
03/25/2011	6 pg, 1.02 MB	Filed original and copies of Party Jimmie Earl Stephen's motion to proceed in forma pauperis; served on 03/22/2011. (VJ)
04/07/2011	14 pg, 1.15 MB	Filed order (HOLLOWELL and JURY); Appellant's IFP Request is hereby TRANSFERRED to the United States District Court for the Eastern District of California for the limited purpose of ruling on the IFP Motion. No later than Monday, May 9, 2011, appellant must file with the BAP and serve on opposing counsel a written response which includes as an exhibit a copy of the district court's order on the IFP Motion or an explanation of the steps appellant has taken to have the IFP Motion considered by the district court. (VJ)
04/19/2011	3 pg, 47.37 KB	Sent BRIEFING ORDER & NOTICE to appellant. Copies to all parties. Appellant Jimmie Earl Stephen's opening brief due 06/03/2011 (VJ)
04/19/2011	2 pg, 42.64 KB	Notice of Deficiency sent to Appellant RE: Record on appeal not filed for lack of prosecution. Re: Response Due: 05/03/2011. cc: All parties. (VJ)
04/29/2011	2 pg, 277.27 KB	Received from U.S. District Court copy of document(s) re: IFP Order ;served on 04/28/2011. (VJ)
05/16/2011	2 pg, 42.64 KB	Notice of Deficiency sent to Appellant RE: Record on appeal not filed for lack of prosecution. Re: Response Due: 05/31/2011. cc: All parties. (VJ)
05/24/2011	28 pg, 3.46 MB	Filed Party Jimmie Earl Stephen's response to IFP order filed on 04/07/2011; served on 05/19/2011. (VJ)
06/01/2011	14 pg, 1.62 MB	Filed order (Clerk sms:) ORDER Transferring IFP motion to District Court.; dated: 06/01/2011. (VJ)

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Documents and Docket Summary

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