

IN THE UNITED STATES DISTRICT COURT  
OR THE EASTERN DISTRICT OF CALIFORNIA

DARRIN DUNSTON,

Plaintiff,

No. 2:12-cv-0650-KJN

V.

COMMISSIONER OF,  
SOCIAL SECURITY,

Defendant.

## ORDER

Plaintiff seeks judicial review of a final decision of the Commissioner of Social Security (“Commissioner”) denying plaintiff’s application for Disability Insurance Benefits (“DIB”) and Supplemental Security Income (“SSI”) under Titles II and XVI, respectively, of the Social Security Act (“Act”).<sup>1</sup> In his motion for summary judgment, plaintiff principally contends that the Commissioner erred by finding that plaintiff was not disabled from September 30, 2005, the alleged disability onset date, through the date of the final administrative decision. (ECF No. 15.) The Commissioner filed an opposition to plaintiff’s motion and a cross-motion for summary judgment. (ECF No. 18.) Thereafter, plaintiff filed a reply brief. (ECF No. 20.) For the reasons

<sup>1</sup> This case was initially referred to the undersigned pursuant to E.D. Cal. L.R. 302(c)(15), and thereafter both parties voluntarily consented to proceed before a United States Magistrate Judge. (ECF Nos. 9, 11.)

1 that follow, the court grants plaintiff's motion for summary judgment in part, denies the  
2 Commissioner's cross-motion for summary judgment, remands the action for further proceedings  
3 under sentence four of 42 U.S.C. § 405(g), and enters judgment for plaintiff.

## 4 || I. BACKGROUND

5 Plaintiff was born on July 26, 1963, has a limited eleventh grade education, is able  
6 to communicate in English, and previously worked in various positions in the construction  
7 industry.<sup>2</sup> (Administrative Transcript (“AT”) 25, 53, 75-78.) On July 10, 2006, plaintiff applied  
8 for DIB and SSI, alleging that he was unable to work as of September 30, 2005, due to a heart  
9 condition, spinal condition, fainting spells, arthritis, bilateral rotor cuff surgery, heat exhaustion,  
10 high and low blood pressure, seizures, and depression. (AT 14, 75-78, 161.) On March 29,  
11 2007, the Commissioner determined that plaintiff was not disabled. (AT 14, 79-83.) Upon  
12 plaintiff’s request for reconsideration, the determination was affirmed on July 23, 2007. (AT 14,  
13 88-94.) Thereafter, plaintiff requested a hearing before an administrative law judge (“ALJ”),  
14 which took place on December 10, 2009. (AT 14, 47-71.)

15 In a decision dated May 13, 2010, the ALJ determined that plaintiff had not been  
16 under a disability, as defined in the Act, from September 30, 2005, through the date of that  
17 decision. (AT 14-26.) The ALJ's decision became the final decision of the Commissioner when  
18 the Appeals Council denied plaintiff's request for review on January 20, 2012. (AT 1-6.)  
19 Thereafter, plaintiff filed this action in federal district court on March 14, 2012, to obtain judicial  
20 review of the Commissioner's final decision. (ECF No. 1.)

## 21 || II. ISSUES PRESENTED

22 Plaintiff has raised the following issues: (1) whether the ALJ erred in not finding  
23 plaintiff's shoulder impairments to be severe at step two of the sequential evaluation process; (2)

2 Because the parties are familiar with the factual background of this case, including  
25 plaintiff's medical history, the court does not exhaustively relate those facts in this order. The  
26 facts related to plaintiff's impairments and medical history will be addressed insofar as they are  
relevant to the issues presented by the parties' respective motions.

1 whether the ALJ improperly weighed the medical opinion evidence; (3) whether the ALJ  
2 improperly discounted plaintiff's credibility and subjective complaints; and (4) whether the ALJ  
3 erred in failing to obtain testimony by a vocational expert.<sup>3</sup>

4 **III. LEGAL STANDARD**

5 The court reviews the Commissioner's decision to determine whether (1) it is  
6 based on proper legal standards pursuant to 42 U.S.C. § 405(g), and (2) substantial evidence in  
7 the record as a whole supports it. Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999).  
8 Substantial evidence is more than a mere scintilla, but less than a preponderance. Connett v.  
9 Barnhart, 340 F.3d 871, 873 (9th Cir. 2003) (citation omitted). It means "such relevant evidence  
10 as a reasonable mind might accept as adequate to support a conclusion." Orn v. Astrue, 495 F.3d  
11 625, 630 (9th Cir. 2007), quoting Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005). "The  
12 ALJ is responsible for determining credibility, resolving conflicts in medical testimony, and  
13 resolving ambiguities." Edlund v. Massanari, 253 F.3d 1152, 1156 (9th Cir. 2001) (citation  
14 omitted). "The court will uphold the ALJ's conclusion when the evidence is susceptible to more  
15 than one rational interpretation." Tommasetti v. Astrue, 533 F.3d 1035, 1038 (9th Cir. 2008).

16 **IV. DISCUSSION**

17 **A. Summary of the ALJ's Findings**

18 The ALJ evaluated plaintiff's entitlement to DIB and SSI pursuant to the  
19 Commissioner's standard five-step analytical framework.<sup>4</sup> As an initial matter, the ALJ found  
20

---

21 <sup>3</sup> In his motion, plaintiff also generally contends that the ALJ's residual functional  
22 capacity determination, the ALJ's determination that plaintiff could perform work existing in  
23 significant numbers in the national economy, and the ALJ's determination that plaintiff was not  
24 disabled were erroneous. However, these more general, overarching issues are all derived from  
25 the more specific issues listed above, and thus do not warrant any additional discussion.

26 <sup>4</sup> Disability Insurance Benefits are paid to disabled persons who have contributed to the  
Social Security program. 42 U.S.C. §§ 401 et seq. Supplemental Security Income is paid to  
disabled persons with low income. 42 U.S.C. §§ 1382 et seq. Both provisions define disability,  
in part, as an "inability to engage in any substantial gainful activity" due to "a medically  
determinable physical or mental impairment. . ." 42 U.S.C. §§ 423(d)(1)(a) & 1382c(a)(3)(A).

1 that plaintiff met the insured status requirements for purposes of DIB through December 31,  
2 2010. (AT 16.) At the first step, the ALJ concluded that plaintiff had not engaged in substantial  
3 gainful activity since September 30, 2005, plaintiff's alleged disability onset date. (Id.) At step  
4 two, the ALJ determined that plaintiff had the following severe impairments: chronic lumbar  
5 degenerative disc changes predominantly at L4-5, degenerative right hip osteoarthritis, chronic  
6 low back pain, and adjustment disorder with anxiety and depressed mood. (Id.) However, at step  
7 three, the ALJ determined that plaintiff did not have an impairment or combination of  
8 impairments that meet or medically equal an impairment listed in 20 C.F.R. Part 404, Subpart P,  
9 Appendix 1. (Id.)

10 Before proceeding to step four, the ALJ assessed plaintiff's residual functional  
11 capacity ("RFC"), finding that plaintiff was capable of performing sedentary work with  
12 additional limitations to simple repetitive tasks and limited public contact. (AT 18.) At step

---

13 A parallel five-step sequential evaluation governs eligibility for benefits under both programs.  
14 See 20 C.F.R. §§ 404.1520, 404.1571-76, 416.920 & 416.971-76; Bowen v. Yuckert, 482 U.S.  
137, 140-42, 107 S. Ct. 2287 (1987). The following summarizes the sequential evaluation:

15 Step one: Is the claimant engaging in substantial gainful  
16 activity? If so, the claimant is found not disabled. If not, proceed  
17 to step two.

Step two: Does the claimant have a "severe" impairment?  
18 If so, proceed to step three. If not, then a finding of not disabled is  
appropriate.

Step three: Does the claimant's impairment or combination  
19 of impairments meet or equal an impairment listed in 20 C.F.R., Pt.  
20 404, Subpt. P, App.1? If so, the claimant is automatically  
determined disabled. If not, proceed to step four.

Step four: Is the claimant capable of performing his past  
21 work? If so, the claimant is not disabled. If not, proceed to step  
22 five.

Step five: Does the claimant have the residual functional  
23 capacity to perform any other work? If so, the claimant is not  
disabled. If not, the claimant is disabled.

24 Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995).

25 The claimant bears the burden of proof in the first four steps of the sequential evaluation  
26 process. Bowen, 482 U.S. at 146 n.5, 107 S. Ct. at 2294 n.5. The Commissioner bears the  
burden if the sequential evaluation process proceeds to step five. Id.

1 four, the ALJ found that plaintiff was unable to perform any past relevant work. (AT 25.)  
2 Finally, at step five, the ALJ determined, in reliance on the “Grids” (see 20 C.F.R. Part 404,  
3 Subpart P, Appendix 2), that, considering plaintiff’s age, education, work experience, and RFC,  
4 there were jobs that existed in significant numbers in the national economy that plaintiff could  
5 perform. (Id.)

6 Accordingly, the ALJ concluded that plaintiff had not been under a disability, as  
7 defined in the Act, from September 30, 2005, through the date of the ALJ’s decision. (AT 26.)

8 B. Plaintiff’s Substantive Challenges to the Commissioner’s Determinations

9 1. Whether the ALJ erred in not finding plaintiff’s shoulder impairments to  
10 be severe at step two of the sequential evaluation process

11 Under the Commissioner’s regulations, an impairment or combination of  
12 impairments is deemed to be severe at step two if it “significantly limits your physical or mental  
13 ability to do basic work activities.” 20 C.F.R. §§ 404.1520(c), 404.1521(a). As the Ninth Circuit  
14 Court of Appeals has explained, “the step-two inquiry is a de minimis screening device to  
15 dispose of groundless claims. An impairment or combination of impairments can be found not  
16 severe only if the evidence establishes a slight abnormality that has no more than a minimal  
17 effect on an individual’s ability to work.” Smolen v. Chater, 80 F.3d 1273, 1290 (9th Cir. 1996)  
18 (internal citations and quotation marks omitted).

19 In this case, the ALJ provided no meaningful explanation as to why he found that  
20 plaintiff’s shoulder impairments had no more than a minimal effect on plaintiff’s ability to work.  
21 Although the Commissioner argues that plaintiff has offered no objective evidence regarding  
22 shoulder impairments apart from his subjective complaints, there are numerous references to  
23 plaintiff’s prior rotator cuff surgeries in the medical records. (See, e.g., AT 267-70, 278, 321-24,  
24 367, 413-14.) Even though consultative examiner Dr. R. Kelley Otani, to whose opinion the ALJ  
25 gave great weight (as discussed below), did not formally diagnose a shoulder impairment, he  
26 specifically found that plaintiff had limited range of motion in his shoulders. (AT 414-15.)

1 Furthermore, consultative examiner Dr. Mustafa Ammar noted significant pain in both of  
2 plaintiff's shoulders with range of motion testing, and actually limited the amount of weight  
3 plaintiff could lift and carry in part based on plaintiff's shoulder pain. (AT 269-70.)<sup>5</sup>

4 However, even if the ALJ technically erred at step two by not finding plaintiff's  
5 shoulder impairments to be severe, such error may nonetheless be harmless if the ALJ proceeded  
6 to consider the effects of that impairment at subsequent steps. See Lewis v. Astrue, 498 F.3d  
7 909, 911 (9th Cir. 2007). Because the court concludes, for the reasons discussed below, that the  
8 ALJ's evaluation of the medical opinion evidence was not supported by substantial evidence and  
9 that remand for further proceedings is required on that basis, it is unnecessary to definitively  
10 determine at this juncture whether plaintiff's shoulder impairments were appropriately  
11 considered in the sequential evaluation process. On remand, the ALJ will have an opportunity to  
12 perform a proper step two analysis with respect to plaintiff's shoulder impairments and consider  
13 any limitations relating to such impairments at subsequent steps, as appropriate.

14 2. Whether the ALJ improperly weighed the medical opinion evidence

15 Plaintiff primarily contends that the ALJ improperly relied on the opinions of the  
16 consultative examining physicians to reject the opinion of plaintiff's treating physician, Dr.  
17 David McKinney, with respect to plaintiff's physical limitations.<sup>6</sup>

18 The weight given to medical opinions depends in part on whether they are  
19 proffered by treating, examining, or non-examining professionals. Holohan v. Massanari,  
20 246 F.3d 1195, 1201-02 (9th Cir. 2001); Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1995).

21

---

22 <sup>5</sup> The Commissioner argues that pain itself is not a medically determinable impairment,  
23 but instead a symptom. Although that may strictly be the case, plaintiff's shoulder pain is  
24 logically attributable to some shoulder impairment(s), possibly, as Dr. Ammar diagnosed, a  
25 condition of "bilateral shoulder pain status post surgical repair for rotator cuff injury." (AT 270.)  
26 If the ALJ did not find that diagnosis to be sufficient, he should have explained why and further  
developed the record as necessary.

<sup>6</sup> Plaintiff does not dispute the ALJ's findings with respect to the mental component of  
his RFC.

1 Ordinarily, more weight is given to the opinion of a treating professional, who has a greater  
2 opportunity to know and observe the patient as an individual. Id.; Smolen v. Chater, 80 F.3d  
3 1273, 1285 (9th Cir. 1996).

4 To evaluate whether an ALJ properly rejected a medical opinion, in addition to  
5 considering its source, the court considers whether (1) contradictory opinions are in the record;  
6 and (2) clinical findings support the opinions. An ALJ may reject an uncontradicted opinion of a  
7 treating or examining medical professional only for “clear and convincing” reasons. Lester,  
8 81 F.3d at 830-31. In contrast, a contradicted opinion of a treating or examining professional  
9 may be rejected for “specific and legitimate” reasons. Lester, 81 F.3d at 830. While a treating  
10 professional’s opinion generally is accorded superior weight, if it is contradicted by a supported  
11 examining professional’s opinion (supported by different independent clinical findings), the ALJ  
12 may resolve the conflict. Andrews v. Shalala, 53 F.3d 1035, 1041 (9th Cir. 1995) (citing  
13 Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989)). The regulations require the ALJ to  
14 weigh the contradicted treating physician opinion, Edlund, 253 F.3d at 1157,<sup>7</sup> except that the ALJ  
15 in any event need not give it any weight if it is conclusory and supported by minimal clinical  
16 findings. Meanel v. Apfel, 172 F.3d 1111, 1114 (9th Cir. 1999) (treating physician’s conclusory,  
17 minimally supported opinion rejected); see also Magallanes, 881 F.2d at 751. The opinion of a  
18 non-examining professional, without other evidence, is insufficient to reject the opinion of a  
19 treating or examining professional. Lester, 81 F.3d at 831.

20 In this case, on December 17, 2006, plaintiff underwent a consultative  
21 examination by board certified internal medicine specialist, Dr. Mustafa Ammar, who did not  
22 review plaintiff’s prior treatment records. (AT 267-70.) Plaintiff chief complaints were noted to  
23 be back pain, shoulder pain, and right hip pain, and plaintiff also described a history of fainting  
24

---

25 <sup>7</sup> The factors include: (1) length of the treatment relationship; (2) frequency of  
26 examination; (3) nature and extent of the treatment relationship; (4) supportability of diagnosis;  
consistency; (6) specialization. 20 C.F.R. § 404.1527.

1 episodes with heat, a heart attack, and a mild stroke resulting in residual problems with memory,  
2 focus, and concentration. (AT 267.) Plaintiff stated that he could not do anything at home and  
3 mostly watched television. (Id.) He further stated that he did not have a primary care physician,  
4 was not taking any medications, but took over-the-counter pain medications “[e]very now and  
5 then.” (AT 268.) Upon a physical examination, Dr. Ammar observed that plaintiff had a normal  
6 gait, did not have problems taking off and putting on his shoes, and did not use an assistive  
7 device. (AT 268-69.) Motor strength, sensation, and reflexes were found to be normal in all  
8 extremities; a straight leg raising test rendered a normal result; and there were no muscle spasms  
9 or atrophy. (Id.) Dr. Ammar did note significant pain in both shoulders with range of motion  
10 testing, as well as decreased range of motion of the lumbar spine and hip joints. (AT 269.)

11 Dr. Ammar diagnosed plaintiff with a history of degenerative disease of the lower  
12 back with back pain; a history of bilateral shoulder pain status post surgical repair for rotator cuff  
13 injury; a history of right hip pain; and a history of mild heart attack and mild stroke with memory  
14 problems. (AT 270.) He opined that plaintiff could stand and walk for about 6 hours in an 8-  
15 hour workday (with more frequent breaks due to low back and right hip pain); sit for 6 hours in  
16 an 8-hour workday; lift and carry 20 pounds frequently and occasionally due to low back and  
17 shoulder pain; only occasionally bend, stoop, and crouch; and that plaintiff did not need an  
18 assistive device. (Id.)

19 On October 19, 2007, Dr. David McKinney completed a treating source statement  
20 and RFC evaluation form. (AT 321-24.) Based on a physical examination of plaintiff, a review  
21 of plaintiff’s medical records, and plaintiff’s surgical history, Dr. McKinney diagnosed plaintiff  
22 with lumbar stenosis and radiculopathy, coronary heart disease, bipolar disorder, and bilateral  
23 rotator cuff repair with chronic shoulder impingement, noting that plaintiff experienced  
24 symptoms of daily lumbar pain and pain with any overhead lifting. (AT 321.) He rated  
25 plaintiff’s pain as 7 or 8 out of 10 chronically, and 9 to 10 out of 10 at worst, noting that  
26 plaintiff’s pain complaints were credible and that plaintiff was at that time treated with

1 medication, including 4-6 Vicodins per day, and lumbar spine epidural injections. (AT 324.)

2 Dr. McKinney opined that plaintiff could occasionally lift 6-10 pounds to his  
3 chest and rarely lift 6-10 pounds overhead; rarely lift overhead with either hand/arm; stand/walk  
4 for 10-15 minutes at a time for 4-5 times a day; sit for 15 minutes at a time for a total of 8 hours  
5 in a day; and occasionally bend, but never climb, balance, stoop, crouch, crawl, or kneel. (AT  
6 322-23.) In support of his assessment, Dr. McKinney relied on his physical examination,  
7 findings of nerve impingement of L3 and moderate to severe canal stenosis at L4-L5 as shown by  
8 a May 11, 2007 CT scan of the lumbar spine, findings of bilateral degenerative hip arthritis, and  
9 plaintiff's prior two rotator cuff repair surgeries. (Id.) Dr. McKinney also stated that plaintiff  
10 would have environmental restrictions with respect to heights, moving machinery, and humidity.  
11 (AT 323.) He opined that plaintiff's back pain would likely worsen. (AT 324.)

12 Finally, on March 25, 2010, plaintiff was evaluated for his orthopedic concerns by  
13 Dr. R. Kelley Otani, a diplomate of the American Board of Physical Medicine and Rehabilitation.  
14 (AT 413-15.) Dr. Otani reviewed some of plaintiff's prior records, including Dr. Ammar's  
15 December 17, 2006 assessment; x-rays taken on April 13, 2007; and certain treatment notes from  
16 2009. (AT 414.) Plaintiff reported that he was independent in terms of mobility and activities of  
17 daily living, but that he used a right-handed single point cane for long distances. (AT 413.)  
18 Upon physical examination, Dr. Otani found decreased lumbar lordosis, increased pain and  
19 sensitivity with axial loading and palpation of plaintiff's lumbar spine, and limited range of  
20 motion in plaintiff's shoulders and hips. (AT 414.) A straight leg raise test was positive on the  
21 right to 10 degrees and the left to 30 degrees, but plaintiff was able to "long sit" when distracted.  
22 (Id.) Plaintiff's tone, strength, nerves, touch, sensation, and reflexes were normal. (Id.) Dr.  
23 Otani observed that plaintiff had a slight antalgic gait, but was able to heel/toe/tandem walk, get  
24 up out of a chair and onto the examination table without difficulty, dress and undress without  
25 difficulty, and ambulate without any assistive devices. (Id.)

26 ////

1 Dr. Otani diagnosed plaintiff with low back pain with degenerative disk disease  
2 (as shown by the x-rays); degenerative osteoarthritis in the hips, bipolar disorder,  
3 hypercholesterolemia, and bilateral rotator cuff surgery (with the right shoulder in 1997 and the left  
4 shoulder in 2003). (AT 414-15.) He noted that plaintiff did not have focal neurologic findings  
5 or evidence of a radicular component with regards to his pain symptomatology, and observed that  
6 there were non-physiologic inconsistencies in the physical examination, with subjective  
7 complaints that appeared to be greater than the objective findings. (AT 415.) Dr. Otani opined  
8 that plaintiff could sit for 1 hour at a time for a total of 6 hours in an 8-hour day; stand for 45  
9 minutes at a time for a total of 2 hours in an 8-hour day; and lift/carry 20 pounds occasionally  
10 and 10 pounds frequently. (Id.)

11 The ALJ, in according minimal weight to treating physician Dr. McKinney's  
12 opinion, primarily relied on the opinions of the consultative examiners, and in particular the  
13 opinion of Dr. Otani. (AT 24.) Because Dr. Otani and Dr. Ammar both personally examined  
14 plaintiff and conducted thorough evaluations based on their examinations, resulting in  
15 independent clinical findings, their opinions would ordinarily constitute substantial evidence.  
16 Andrews, 53 F.3d at 1041 (citing Magallanes, 881 F.2d at 751). The court also agrees, at least in  
17 part, that Dr. McKinney's severe limitations are not always fully supported by his examination  
18 findings. (AT 24.) Indeed, although Dr. McKinney assessed detailed restrictions, his assessment  
19 included little detail regarding the scope and findings of his physical examination. (AT 321-24.)  
20 Additionally, even though Dr. McKinney represented that he was plaintiff's treating physician, it  
21 appears that his assessment was actually based on a one-time evaluation of plaintiff. (AT 64-65,  
22 321.) Given its brief and limited nature, Dr. McKinney's treatment relationship with plaintiff  
23 was therefore more comparable to that of a consultative examining physician.

24 Nevertheless, the court finds that the opinions of Dr. Otani and Dr. Ammar here  
25 cannot qualify as substantial evidence to support the ALJ's assessment of plaintiff's functional  
26 limitations, because these examiners did not have access to all of plaintiff's pertinent medical

1 records, and in particular, the May 11, 2007 CT scan of plaintiff's lumbar spine on which Dr.  
2 McKinley heavily relied in his assessment. Unlike the April 13, 2007 x-rays and a December 10,  
3 2007 lumbar spine MRI, which were reviewed by Dr. Otani and contained mostly mild to  
4 moderate findings, the May 11, 2007 CT scan of plaintiff's lumbar spine contained more severe  
5 findings. (AT 304-05, 397, 414.) In particular, that CT scan contained the following impression:

6                   Abnormalities at several sites as per above. Primary abnormality is  
7 possible far lateral impingement of the left third root and fairly  
8 striking 4-5 canal stenosis. There may be similar far lateral  
9 impingement of the exited fourth root. I reviewed the study on a  
10 GE workstation, and images parallel to the vertebral end plates  
11 suggest there may be far lateral impingement of the exited right  
12 fourth root as well by broad-based end-plate change. The 4-5 canal  
13 stenosis, again, moderately severe.

14 (AT 304.)

15                   The regulations require that a consultative examiner be given any necessary  
16 background information about the plaintiff's condition. 20 C.F.R. § 404.1517. Background  
17 information is essential because consultative exams are used to try to resolve inconsistencies or  
18 ambiguities in the evidence. 20 C.F.R. § 404.1519a(b). An opinion on a documented medical  
19 problem given after only a one-shot examination, without recourse to the plaintiff's available  
20 medical records, is not one which can generally be relied upon. This conclusion is especially true  
21 when the medical records contain results from important objective tests, such as the above-  
22 mentioned CT scan, which the treating physician used to support his opinion.

23                   Although the May 11, 2007 CT scan was only performed after Dr. Ammar  
24 examined plaintiff on December 17, 2006, there is no indication that the CT scan results were  
25 somehow unavailable at the time of Dr. Otani's examination on March 25, 2010. The May 11,  
26 2007 CT scan results, on which Dr. McKinney heavily relied in his assessment, were more severe  
than the other objective test results on which Dr. Otani relied, suggesting, for example, that there  
may be possible impingement of nerve roots. Dr. Otani and Dr. Ammar did not have access to  
the May 11, 2007 CT scan results, and it is unclear how these examiners would have weighed the

1 potentially conflicting objective test results. Importantly, the court cannot independently  
2 determine whether and/or how review of those results would have changed Dr. Otani's and Dr.  
3 Ammar's opinions, if at all, with respect to plaintiff's functional limitations.

4 Accordingly, remand for a consultative evaluation by an examining orthopedic  
5 specialist, or other appropriate health professional, *with full access to plaintiff's prior treatment*  
6 *records and objective test results*, is necessary to adjudicate plaintiff's claims. Depending on the  
7 results of the consultative examination, the ALJ may also consider conducting a supplemental  
8 hearing with vocational expert testimony regarding any limitations found, if necessary.<sup>8</sup>

9 Plaintiff requests that the case be remanded for payment of benefits. However, an  
10 award of benefits is only appropriate where "no useful purpose would be served by further  
11 administrative proceedings," "the record has been thoroughly developed," and "there are no  
12 outstanding issues that must be resolved before a proper disability determination can be made."  
13 Varney v. Sec'y of Health & Human Servs., 859 F.2d 1396, 1399, 1401 (9th Cir. 1988). Here,  
14 given the ambiguities and inconsistencies in the medical opinion evidence and objective test  
15 results, as discussed above, it is far from clear that plaintiff is in fact disabled. Portions of the  
16 record suggest that plaintiff's impairments may be satisfactorily controlled with medication. For  
17 example, on August 13, 2009, plaintiff apparently informed Dr. Jerry Waters, one of his treating  
18 physicians who did not submit a functional assessment, that he was "at least 80% better" and that  
19 his pain level was "very tolerable." (AT 351.) Treatment records from another treating  
20 physician, Dr. Htun Zaw Oo, between July and October 2009 likewise state that plaintiff's back  
21 pain was controlled with medication, that he had a nonantalgic gait, and indicate that he often did  
22 not use an assistive device during his appointments. (AT 374, 379, 381-82.) As the ALJ noted,

23  
24 

---

<sup>8</sup> Plaintiff also correctly observes that, although the ALJ gave Dr. Otani's opinion great  
25 weight, the ALJ did not explain why he did not adopt all of Dr. Otani's suggested limitations,  
26 including, for example, that plaintiff could only sit for one hour at a time. (AT 415.) If the ALJ  
elects not to adopt certain pertinent limitations suggested by an otherwise credited medical  
source, the ALJ must provide specific and legitimate reasons in any future decision.

1 there were also gaps in plaintiff's treatment during the alleged disability period. (AT 23.)

2                   Therefore, the court finds that the better course of action is to remand the case for  
3 further proceedings as outlined above. Indeed, the court expresses no opinion regarding the  
4 weight ultimately to be given to any particular evidence on remand, and leaves it to the sound  
5 discretion of the ALJ to evaluate the record as a whole within the confines of the applicable law.

6                   3.        Other Issues

7                   In light of the court's conclusion that the case should be remanded for further  
8 development of the medical opinion evidence, the court declines to address plaintiff's remaining  
9 issues regarding plaintiff's credibility and vocational expert testimony at this time. Upon  
10 remand, the ALJ will have an opportunity to consider whether his analysis regarding plaintiff's  
11 credibility should or should not be revised in light of further development of the record, and  
12 whether vocational expert testimony is appropriate, subjects on which the court expresses no  
13 opinion here.

14                  V.        CONCLUSION

15                   For the foregoing reasons, IT IS HEREBY ORDERED that:

16                   1. Plaintiff's motion for summary judgment (ECF No. 15) is GRANTED IN  
17 PART.

18                   2. The Commissioner's cross-motion for summary judgment (ECF No. 18) is  
19 DENIED.

20                   3. The action is REMANDED for further proceedings consistent with this order  
21 pursuant to sentence four of 42 U.S.C. § 405(g).

22                   4. Judgment is entered for plaintiff.

23                  ////

24                  ////

25                  ////

26                  ////

1           5. The Clerk of Court is directed to close this case and vacate all dates.  
2  
3 DATED: June 11, 2013

4           IT IS SO ORDERED.

5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26

4           Kendall J. Newman  
5           KENDALL J. NEWMAN  
6           UNITED STATES MAGISTRATE JUDGE