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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

EDDIE L. PITTS,

Plaintiff,

No. 2:12-cv-0823 AC P

vs.

C. DAVIS, et al.,

Defendants.

ORDER &

FINDINGS AND RECOMMENDATIONS

_____ /

Plaintiff, a state prisoner proceeding pro se, seeks relief pursuant to 42 U.S.C. § 1983. Plaintiff alleges that health care providers at California State Prison - Solano (CSP-Sol) were deliberately indifferent to his serious medical conditions. Pending before the court is the motion to dismiss brought by defendants Braunger, Kiesz, Austin, Morgan, Mefford, McAlpine, Trujillo, Davis, Fleischman, and Villanueva (de la Vega). ECF No. 24. The motion has been fully briefed.

ALLEGATIONS OF THE FIRST AMENDED COMPLAINT

On November 11, 2010, plaintiff received an emergency medical evaluation for a sports injury to his left shoulder and collarbone. Plaintiff was x-rayed and provided a shoulder brace, neck brace and pain medications, and a follow-up appointment with his primary care

1 physician (PCP) was scheduled. Over the next several months, plaintiff was repeatedly thwarted
2 in his attempts to be seen by his PCP and to receive the medication he required for his injury and
3 for his chronic headaches, as well as treatment for an allergy-related rash that developed into
4 sores. The amended complaint details the history of plaintiff's attempts to secure treatment, and
5 is supported by numerous health care services request forms that he submitted complaining of
6 severe pain and unfilled prescriptions for ibuprofen and allergy medications. In response to these
7 requests, plaintiff was seen on several occasions by nurses who failed to ensure that he saw a
8 doctor or obtained his medications.

9 The amended complaint more specifically alleges as follows regarding the
10 moving defendants. Registered Nurse (RN) Braunger saw plaintiff on December 10, 2010, in
11 response to a medical request form complaining of pain from his shoulder injury and the fact that
12 he had not yet seen his PCP or been provided the ibuprofen previously prescribed for his head-
13 aches. RN Braunger failed to evaluate or address his medical complaints, and simply asserted
14 that he had already received the ibuprofen. RN Kiesz interviewed plaintiff on January 6, 2011
15 regarding a medical request seeking clearance for a job assignment. During the interview
16 plaintiff requested medical care for his shoulder injuries and help obtaining the ibuprofen which
17 had been prescribed for him. Defendant Kiesz provided no medical assistance and refused to
18 address anything not related to the job clearance.

19 Defendant Trujillo is a supervising pharmacist at CSP-Sol. Plaintiff alleged that
20 he failed to fulfill his oversight and supervisory obligations to see that plaintiff was provided his
21 prescriptions in a timely manner. In January of 2011 an unnamed nurse informed plaintiff that
22 the pharmacy was overwhelmed and backlogged with unfilled inmate prescriptions.

23 Defendants Austin, Morgan, Mefford and McAlpine are medical supervisors at
24 CSP-Sol. Plaintiff alleges that they were responsible for the manner in which the nurses, doctors
25 and pharmacists addressed his medical problems and all had "prior knowledge" of a history or
26 pattern of delays in the distribution of prescribed medication to prisoners and of the inadequate

1 response to inmates' appropriate requests for medical assistance. This awareness arose from
2 prior 602 appeals, previous litigation, prison policies and regulations and contracts.

3 Defendants Davis, Fleischman and Villanueva (de la Vega) were CSP-Sol
4 medical department officials to whom plaintiff submitted 602 inmate appeals beginning on
5 March 30, 2011. These defendants, along with defendants Austin, Trujillo and Boughn (not a
6 moving defendant), variously failed to address plaintiff's requests for medical relief, rejected his
7 appeals and/or otherwise engaged in a "historical pattern" of evading plaintiff's requests.

8 MOTION TO DISMISS

9 Defendants move for dismissal on grounds that (1) plaintiff failed to exhaust
10 administrative remedies on two of his claims against defendants Braunger, Kiesz, Austin,
11 Morgan, Mefford, McAlpine, Trujillo, Davis, Fleischman, and Villanueva (de la Vega); and (2)
12 plaintiff's claims against defendants Austin, Morgan, Mefford, McAlpine, Trujillo, Davis,
13 Fleischman, and Villanueva (de la Vega) fail as a matter of law.

14 I. Administrative Exhaustion

15 A. The Exhaustion Requirement

16 The Prison Litigation Reform Act (PLRA), 42 U.S.C. § 1997e(a), provides that
17 "[n]o action shall be brought with respect to prison conditions under [42 U.S.C. § 1983], or any
18 other Federal law, by a prisoner confined in any jail, prison, or other correctional facility until
19 such administrative remedies as are available are exhausted." Regardless of the relief sought,
20 whether injunctive relief or money damages, inmates must exhaust administrative remedies.

21 Rumbles v. Hill, 182 F.3d 1064 (9th Cir. 1999); Booth v. Churner, 532 U.S. 731 (2001).

22 Administrative remedies must be exhausted before the complaint is filed. McKinney v. Carey,
23 311 F.3d 1198 (9th Cir. 2002), but see Rhodes v. Robinson, 621 F.3d 1002 (9th Cir. 2010)
24 (PLRA exhaustion requirement satisfied with respect to new claims within an amended or
25 supplemental complaint so long as administrative remedies are exhausted prior to the filing of
26 the amended or supplemental complaint).

1 Exhaustion of administrative remedies under the PLRA requires that the prisoner
2 complete the administrative review process in accordance with the applicable procedural rules.
3 Woodford v. Ngo, 548 U.S. 81 (2006). An untimely or otherwise procedurally defective appeal
4 will not satisfy the exhaustion requirement. Id. at 84. When an inmate’s administrative
5 grievance is improperly rejected on procedural grounds, however, exhaustion may be excused as
6 “effectively unavailable.” Sapp v. Kimbrell, 623 F. 3d 813, 823 (9th Cir. 2010).

7 Exhaustion may be excused where administrative remedies are effectively
8 unavailable, see Nunez v. Duncan, 591 F.3d 1217, 1224-26 (9th Cir. 2010), or where exhaustion
9 would be futile, see Ward v. Chavez, 678 F.3d 1042, 1045 (9th Cir. 2012).

10 Prior to January 28, 2011,¹ inmates were to proceed through four levels of appeal
11 to exhaust the appeal process: (1) attempted informal resolution, (2) formal written appeal on a
12 CDC 602 inmate appeal form, (3) second level appeal to the institution head or designee, and (4)
13 third level appeal to the Director of the California Department of Corrections and Rehabilitation
14 (CDCR). MTD, Declaration of J. Lozano, CDCR Chief of the Office of Appeals (ECF No. 24-
15 12) at ¶¶ 1-2; Barry v. Ratelle, 985 F. Supp. 1235, 1237 (S.D. Cal. 1997) (citing 15 Cal. Code
16 Regs. § 3084.5). A final decision from the Director’s level of review satisfies the exhaustion
17 requirement. Id. at 1237-38. Since 2008, medical appeals have been processed at the third level
18 by the Office of Third Level Appeals for the California Correctional Health Care Services.

19 B. Standards Governing the Motion

20 In a motion to dismiss for failure to exhaust administrative remedies under non-
21 enumerated Rule 12(b) of the Federal Rules of Civil Procedure, defendants “have the burden of
22 raising and proving the absence of exhaustion.” Wyatt v. Terhune, 315 F.3d 1108, 1119 (9th
23

24 ¹ Since January 28, 2011, 15 Cal. Code Regs. § 3084.7 has modified the inmate
25 appeals procedure to limit it to three levels of review with provisions allowing the first level to
26 be bypassed under specific circumstances. It is unclear why declarant Lozano adheres to the old
regime inasmuch as the appeals at issue are inmate appeals dating from March 2011 and concern
incidents beginning from February 2011.

1 Cir.), cert. denied, 540 U.S. 810 (2003). The parties may go outside the pleadings, submitting
2 affidavits or declarations under penalty of perjury, and plaintiff must be provided with notice of
3 his opportunity to develop a record.² Id. at 1120 n.14. The court may decide disputed issues of
4 fact. If the court determines that plaintiff has failed to exhaust, dismissal without prejudice is the
5 appropriate remedy. Id. at 1120.

6 C. Evidentiary Record Regarding Exhaustion

7 Defendants have produced evidence that plaintiff submitted at least ten (10)
8 administrative appeals between November 11, 2010, when the alleged Eighth Amendment
9 violations began, and March 30, 2012, when the complaint initiating this action was filed. See
10 Zamora Decl, ECF No. 24-3; Cervantes Decl., ECF No. 24-7; Lozano Decl., ECF No. 24-12 (all
11 declarations submitted with attached exhibits). Five of these appeals were exhausted by denial at
12 the Third Level. ECF No. 24-3, Zamora Decl. at ¶ 5. Of these five, two involve parties and/or
13 claims involved in this action. See ECF No. 24-3 (Zamora Decl. at ¶¶ 5, 7).³ Plaintiff contends
14 that two other appeals, which were not denied at the third level, should nonetheless satisfy the
15 exhaustion requirement because full exhaustion was effectively unavailable.

16 1. Log No. SOL-HC-11006664/SOL-24-11-10898⁴

17 This grievance was filed on April 8, 2011 and concerned plaintiff's ongoing
18 shoulder pain and delayed medication. Plaintiff reported that he had seen one RN on February
19 14, 2011 and another on March 22, 2011, and told both about his shoulder pain and lack of
20

21 ² The court provided plaintiff with such notice by Order filed on November 6, 2012
22 (ECF No. 17), and defendants provided the contemporaneous Wyatt notice required by Woods v.
Carey, 684 F.3d 934, 940 (9th Cir. 2012). ECF No. 24-1.

23 ³ Defendants did not include the third level decisions for these two appeals, but
24 plaintiff supplied them with his First Amended Complaint.

25 ⁴ Declarant Zamora, who does not include a copy of the third level appeal response
26 for SOL-24-11-10898 misidentifies the third level tracking appeal number as SOL-HC-
11022574. Plaintiff has, however, included such a copy which shows the tracking number to be
SOL-HC-11006664. Exhibit to First Amended Complaint, ECF No. 13-1 at 52-53.

1 ibuprofen. He complained in an attachment that he had not been provided the prescribed
2 ibuprofen since February of 2011, that his shoulder pain had “gone ‘inappropriately unassisted’”
3 and that he continued “needlessly” to suffer “unbearable headaches” and persistent and
4 increasingly severe shoulder pain. ECF No. 13-1 at 41. Plaintiff received a first level appeal
5 response on June 2, 2011, partially granting plaintiff’s appeal. Plaintiff appealed to the second
6 level on June 18, 2011 and, on July 25, 2011, the appeal was granted in full. Plaintiff
7 nevertheless appealed to the third level on August 1, 2011. ECF No. 24-9, Ex. 4 to Cervantes
8 Decl; ECF No. 24-3, Zamora Decl. at ¶ 5. This appeal was denied at the third level (Office of
9 Third Level Appeals - Health Care) on January 26, 2012. ECF No. 13-1 at 52-53. Defendants
10 concede that this appeal exhausted the claims it addressed, but not those at issue on this motion.

11 2. Log No. SOL- HC-11022512/SOL-24-11-11471⁵

12 On July 12, 2011, plaintiff initiated a staff misconduct complaint contending that
13 CSP-Sol appeal coordinators Fleischman and Davis (as well as the warden and “unknown”
14 others) engaged in an “inveterate” practice of rejecting and canceling plaintiff’s properly
15 submitted 602s. Plaintiff requested an “impartial investigation” of those who had “sabotaged”
16 his appeals. Specifically, plaintiff alleged that his medical 602 log no. SOL-24-11-10870
17 (concerning plaintiff’s shoulder pain, discussed below) had been improperly rejected. ECF No.
18 24-4, Ex. 2 to Zamora Decl.

19 Plaintiff was denied at the first level on August 9, 2011. Protesting that the
20 grievance had been inappropriately reviewed by one of the reviewer’s against whom he was
21 making complaints, plaintiff submitted a second level appeal on August 21, 2011. ECF No. 24-
22 4, Ex. 2 at 27 (Zamora Decl.). The appeal was submitted to the third level on October 27, 2011
23 and denied on February 13, 2012. ECF No. 13-1 at 33-35. Defendants concede that this appeal

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25 ⁵ In this instance, declarant Zamora appears to have misnumbered the lower level of
26 the appeal. See, e.g., ECF No. 24-4, which shows that the at the first and second level, this
appeal was numbered SOL-24-11-11471, but compare Zamora Decl. at ¶ 5.

1 exhausted the claims it addressed, but not those at issue on this motion.

2 3. Log No. SOL-24-11-10706

3 Plaintiff submitted this grievance on March 30, 2011, complaining that he had not
4 received his prescription allergy medication following a January 20, 2011 evaluation by his PCP
5 and despite repeated written requests. He complained of extreme shoulder pain and requested an
6 investigation and the names of employees responsible for not having provided him immediate
7 medical assistance. ECF No. 13 at 59; ECF No. 29; see also ECF No. 24-4, Ex. 1 to Zamora
8 Decl. Defendant Davis rejected this appeal at the first level because (1) it included too many
9 unrelated issues; (2) plaintiff had submitted two 602's in a 14-day period; and (3) plaintiff had
10 not submitted the grievance on an approved 602 form. ECF No. 13-1 at 27-28. Plaintiff
11 subsequently resubmitted Log No. SOL 24-11-10706 on April 19, 2011 and received a first level
12 response by defendant Villanueva (de la Vega). ECF No. 13 at 64-66. The response, indicating
13 that the appeal had been partially granted, noted that Villanueva (de la Vega) had requested a
14 refill on May 19, 2011 and that plaintiff had received a thirty-day supply of his medication on
15 March 25, 2011. The response also indicated plaintiff would be seeing his PCP for follow-up
16 within the week. As to plaintiff's request that an investigation be conducted to determine why
17 he had not received his medication on time, the response stated:

18 As this incident involved multiple disciplines it is not possible to
19 pinpoint that a single individual's actions led to your medication
20 delay. As we discussed, there are systems currently in place to
ensure that incidents such as this are avoided in the future.

21 ECF No. 13 at 66; ECF No. 24-4, Ex. 1 to Zamora Decl. at 15.

22 On June 8, 2011, plaintiff submitted SOL 24-11-10706 for second level review.
23 The response indicated that the appeal was "partially granted," based on plaintiff's having
24 acknowledged receipt of his medications and plaintiff's apparent acknowledgment that he was
25 now receiving his meds on time. The response also stated that plaintiff had received the
26 appropriate medical treatment, had not been subjected to deliberate indifference and had failed to

1 provide documentation to support his claim “of any form of staff misconduct.” ECF No. 13 at
2 67-69; ECF No. 24-4, Ex. 1 at 9 - 11.

3 On July 12, 2011, plaintiff filed a third level appeal stating that he did not receive
4 his medication for more than 65 days and protesting the finding at the second level that he had
5 received “appropriate medical treatment.” Plaintiff was notified by the Office of Third Level
6 Appeals-Health Care that his appeal package had been forwarded to the CSP-Sol Health Care
7 Appeals Coordinator “for further processing.” ECF No. 13 at 96. In plaintiff’s Health Care
8 Services Appeal History, there is an entry dated August 31, 2011, noting that the second level
9 appeal response needed to be amended because it did not address plaintiff’s request to be
10 provided with the names of all individual medical staff responsible for seeing that plaintiff
11 receives his medications timely. ECF No. 13 at 99. Defendants include as an exhibit an
12 unfinished note indicating that the amended response and appeal had been sent to plaintiff via
13 institutional mail on September 28, 2011. ECF No. 24-4 Ex. 1 to Zamora Decl. at 17. However,
14 CSP-Sol did not process an amended second level response for SOL 24-11-10706 until
15 November 29, 2011. ECF No. 24-4, Ex. 1 to Zamora Decl. at 2-4. The amended second level
16 response did not include the information for which amendment was directed. There is no
17 evidence that plaintiff submitted the amended second level decision for third level review.

18 4. Log No. SOL 24-11-10870

19 Plaintiff submitted this appeal on April 19, 2011, presenting the complaints that
20 defendant Davis had instructed him to sever from SOL 24-11-10706. Plaintiff complained that
21 unnamed RNs had failed to provide medical assistance on February 14, 2011 and March 22,
22 2011. He requested action in the form of a thorough investigation and an explanation of why he
23 had yet to be seen by a physician for his shoulder injury. He also requested all the names of staff
24 “responsible for this negligence.” ECF No. 13-1 at 15. On April 29, 2011, the appeal was
25 returned to plaintiff, on a form under defendant Fleischman’s name but evidently signed by
26 defendant Davis, because it concerned “an anticipated action or decision” and because it had not

1 been submitted on “the departmentally approved appeal forms.” ECF No. 13-1 at 23. A
2 separately dated screening form dated May 12, 2011, indicates that SOL 24-11-10870 was being
3 returned to plaintiff for the reasons previously stated and also because plaintiff had submitted
4 more than one appeal within fourteen days. ECF No. 13-1 at 20.

5 The appeal was re-submitted. On June 3, 2011, defendant Fleischman cancelled
6 the appeal at the first level as duplicative of SOL 24-11-10898. It was determined that the
7 appeal was not a staff complaint because “there is no evidence supporting your allegation of staff
8 misconduct or violation of policy.” ECF No. 13-1 at 16-17; ECF No. 29 at 23-24. On July 19,
9 2011, plaintiff attempted to resubmit the appeal with an explanation that that it had been rejected
10 in error and that his efforts at appeal were being “sabotaged.” ECF No. 29 at 25. On July 22,
11 2011, defendant Davis made a notation that SOL 24-11-10870 was a duplicate of SOL 24-11-
12 10898 because the two appeals “were about the same issue shoulder injury and pain, which is
13 being handled by your pharmacy care provider.”

14 D. Administrative Exhaustion as to Defendants Braunger and Kiesz

15 Defendants contend that plaintiff did not administratively exhaust his claims that
16 RN Braunger and RN Kiesz failed to provide him with timely access to a primary care physician
17 (PCP). Defendants are correct. Assuming that plaintiff’s inmate appeals regarding inadequate
18 assistance from the nursing line were directed at defendants Braunger and Kiesz,⁶ and
19 disregarding the discrepancy in dates between the appeals and the complaint,⁷ the appeals did not
20

21 ⁶ The appeals did not name the nurses. See ECF No. 13-1 at 15 (Log No. SOL 24-11-
22 10870); *id.* at 41 (Log No. SOL 24-11-10898). This is not fatal to exhaustion. See *Sapp*, 623
F.3d at 824.

23 ⁷ The appeals specifically complained about unsatisfactory visits with two unnamed
24 nurses on February 14 and March 22, 2011. See ECF No. 13-1 at 15 (Log No. SOL 24-11-
25 10870); *id.* at 41 (Log No. SOL 24-11-10898). The allegations of the complaint regarding
26 defendants Braunger and Kiesz involve interviews on December 10, 2010 and January 6, 2011.
See ECF No 13 at 7. Given the liberality with which the court must construe pro per prisoner
pleadings, and petitioner’s attachment of his grievances to his complaint, the undersigned
assumes for present purposes that the claims encompass all contacts with Braunger and Kiesz.

1 raise the issue of access to a PCP. The appeals complained of the nurses' failure to themselves
2 provide adequate treatment, not their failure to ensure treatment by a physician. See ECF No.
3 13-1 at 15 (Log No. SOL 24-11-10870); id. at 41 (Log No. SOL 24-11-10898). Accordingly, the
4 motion to dismiss for non-exhaustion should be granted as to defendants Braunger and Kiesz.
5 The claim that these defendants failed to provide plaintiff timely access to a primary care
6 physician should be dismissed.⁸

7
8 E. Administrative Exhaustion as to Defendants Austin, Morgan, Mefford, McAlpine,
Trujillo, Davis, Fleischman and Villanueva (de la Vega)

9 Defendants contend that plaintiff failed to administratively exhaust his claim that
10 the moving supervisory defendants permitted or ratified a policy or practice of delays in the
11 prison pharmacy's distribution of prescribed medication. Defendants are correct. None of the
12 inmate appeals described above complains that any of the moving defendants promulgated,
13 enforced or ratified a policy that would have been the "moving force" behind the medication
14 delays that plaintiff experienced.⁹

15 Plaintiff did exhaust, or attempt to exhaust, his vigorous objections to various
16 supervisors' responses to his individual complaints and medical needs, and to their handling of
17 his appeals. After Log No. SOL-24-11-10706 was rejected at the first level first by Davis and
18 then by Villanueva (de la Vega), and after defendant Trujillo signed off on the second level
19 response, plaintiff complained at the third level about the handling of the matter below. In Log
20 No. SOL- HC-11022512/SOL-24-11-11471, plaintiff complained specifically that defendants
21 Fleischman and Davis had "engaged in an inveterate practice" of "artificially" rejecting or

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23 ⁸ The court notes that defendants Braunger and Kiesz have moved for dismissal of
24 this claim only. The claim that these defendants were deliberately indifferent to plaintiff's
serious medical condition by failing to ensure the provision of prescribed medication is
unaffected by disposition of the motion.

25 ⁹ Ratification of policy will support § 1983 liability only if the policy is the "moving
26 force" behind the denial of the plaintiff's constitutional rights. See Williams v. Ellington, 936
F.2d 881, 885 (9th Cir. 1991).

1 cancelling his appeals. Defendant Mefford denied this appeal at the first level and defendant
2 Austin partially granted it at the second level. ECF No. 29 at 41-46. The third level denial at
3 ECF No. 13-1 at 33-35, shows plainly that the focus of this appeal was plaintiff's frustration with
4 the inmate grievance process:

5 Your CDCR 602-HC appeal submitted on July 12, 2011, indicated
6 you were filing a staff complaints [sic] against California State
7 Prison-Solano (SOL) Health Care Appeals Coordinators (HCAC),
8 the Chief Physician & Surgeon, and the Warden, as they engaged
9 in a practice of rejecting and cancelling your CDCR 602 appeals;
10 you provided a history of appeal log number SOL-24-11-10870,
11 initially submitted on April 19, 2011; this appeal was rejected as
12 you had submitted two appeals within 14 days; and the HCAC
13 neglected to provide you the log number of the other appeal you
14 submitted within the 14 days; in May of 2011, you resubmitted
15 appeal SOL-24-11-10870; you were then interviewed on June 1,
16 2011, regarding this appeal and its contents; on June 3, 2011, you
17 were advised appeal SOL-24-11-10870 was being cancelled as a
18 duplicate appeal.

19 You requested the following: that an impartial investigation into
20 this matter be conducted in regards to who sabotaged your appeals'
21 and that it be explained exactly how the facts are duplicated.

22 ECF No. 13-1 at 33.

23 Plaintiff clearly alerted the various supervisory defendants that he objected to
24 their handling of his complaints – but that is not the same thing as alerting them to the claim that
25 they had a general policy of delaying medications that had caused the delays plaintiff
26 experienced. That claim was not exhausted.

27 Plaintiff contends that his appeals complaining about the grievance process and
28 seeking an investigation were sufficient to exhaust, because the requested investigation would
29 have revealed the relevant facts. The cases that he cites are inapposite. For example, the district
30 court in Irvin v Zamora, 161 F. Supp.2d 1125, 1133-35 (S.D. Cal. 2001), found that where
31 plaintiff had exhausted two separate grievances concerning his exposure to pesticide during an
32 incident of spraying, his claims were exhausted as to the individuals directly responsible for
33 spraying the pesticide even though they were not all named in the appeal process. Unlike the

1 situation here, the wrong of which the plaintiff in Irvin complained – improper pesticide
2 spraying – was directly at issue in the inmate appeals. The exhaustion issue in that case had to
3 do with the plaintiff’s failure to name individuals whose identity he did not know prior to an
4 investigation. Here, the fatal exhaustion defect is not with the naming of individuals but with the
5 failure to raise in the inmate appeals process the specific wrong alleged to have violated
6 plaintiff’s constitutional rights: ratification of a policy to delay medication. The ratification
7 claims against the supervisory defendants are unexhausted and should be dismissed.

8
9 II. Failure to State a Claim Against Defendants Austin, Morgan, Mefford, McAlpine,
Trujillo, Davis, Fleischman and (de la Vega)

10 A. Standards Under Fed. R. Civ. P. 12(b)(6)

11 In order to survive dismissal for failure to state a claim pursuant to Rule 12(b)(6),
12 a complaint must contain more than a “formulaic recitation of the elements of a cause of action;”
13 it must contain factual allegations sufficient to “raise a right to relief above the speculative
14 level.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555 (2007). “The pleading must contain
15 something more . . . than . . . a statement of facts that merely creates a suspicion [of] a legally
16 cognizable right of action.” Id., quoting 5 C. Wright & A. Miller, Federal Practice and
17 Procedure § 1216, pp. 235-236 (3d ed. 2004). “[A] complaint must contain sufficient factual
18 matter, accepted as true, to ‘state a claim to relief that is plausible on its face.’” Ashcroft v. Iqbal,
19 556 U.S. 662, 678 (2009) (quoting Twombly, 550 U.S. at 570). “A claim has facial plausibility
20 when the plaintiff pleads factual content that allows the court to draw the reasonable inference
21 that the defendant is liable for the misconduct alleged.” Id.

22 In considering a motion to dismiss, the court must accept as true the allegations of
23 the complaint in question, Hospital Bldg. Co. v. Rex Hospital Trustees, 425 U.S. 738, 740
24 (1976), construe the pleading in the light most favorable to the party opposing the motion and
25 resolve all doubts in the pleader’s favor. Jenkins v. McKeithen, 395 U.S. 411, 421, reh’g denied,
26 396 U.S. 869 (1969). The court will “‘presume that general allegations embrace those specific

1 facts that are necessary to support the claim.”” National Organization for Women, Inc. v.
2 Scheidler, 510 U.S. 249, 256 (1994), quoting Lujan v. Defenders of Wildlife, 504 U.S. 555, 561
3 (1992). Moreover, pro se pleadings are held to a less stringent standard than those drafted by
4 lawyers. Haines v. Kerner, 404 U.S. 519, 520 (1972).

5 The court may consider facts established by exhibits attached to the complaint.
6 Durning v. First Boston Corp., 815 F.2d 1265, 1267 (9th Cir. 1987). The court may also
7 consider facts which may be judicially noticed, Mullis v. United States Bankruptcy Ct., 828 F.2d
8 1385, 1388 (9th Cir. 1987); and matters of public record, including pleadings, orders, and other
9 papers filed with the court, Mack v. South Bay Beer Distributors, 798 F.2d 1279, 1282 (9th Cir.
10 1986). The court need not accept legal conclusions “cast in the form of factual allegations.”
11 Western Mining Council v. Watt, 643 F.2d 618, 624 (9th Cir. 1981).

12 B. Supervisory Liability Under 42 U.S.C. § 1983

13 Liability under § 1983 arises only upon a showing of personal participation by the
14 defendant. Taylor v. List, 880 F.2d 1040, 1045 (9th Cir. 1989). Because there is no supervisory
15 responsibility in a § 1983 case, the plaintiff must plead facts showing that each official defendant
16 violated the constitution through his own individual actions. Iqbal, 129 S.Ct. at 1948; see also
17 Hansen v. Black, 885 F.2d 642, 646 (9th Cir. 1989) (plaintiff must establish that supervisory
18 defendant caused or was personally involved in the alleged constitutional deprivation).
19 Accordingly, a supervisor must be alleged to have personally participated in the alleged
20 deprivation of constitutional rights; known of the violations and failed to act to prevent them; or
21 implemented a policy that repudiates constitutional rights and was the “moving force” behind the
22 alleged violations. Larez v. City of Los Angeles, 946 F.2d 630, 646 (9th Cir. 1991).

23 C. Sufficiency Of Plaintiff’s Claims Against Supervisory Defendants

24 The court has found that plaintiff failed to exhaust his administrative remedies as
25 to defendants Austin, Morgan, Mefford, McAlpine, Trujillo, Davis, Fleischman and Villanueva
26 (de la Vega) on the claim that they ratified a policy or practice of delays in the pharmacy

1 department's distribution of prescribed medication. Accordingly, the claim that remains for
2 review under Rule 12(b)(6) is that these defendants violated plaintiff's Eighth Amendment rights
3 by participating in the delay of medical treatment and pain medication.

4 Defendant Trujillo, as one of the pharmacists-in-charge, had the most direct
5 relationship of any of the moving defendants to the issue of medication distribution. However,
6 the allegations as to Trujillo are merely that he failed to fulfill his oversight responsibilities
7 regarding the timely provision of prescription medication. ECF No. 13 at 10-11. This is
8 insufficient to support liability. Taylor, 880 F.2d at 1045. To state a claim against Trujillo,
9 petitioner must present facts demonstrating that he was personally aware of petitioner's serious
10 medical need, was aware that failing to provide the medications in a timely fashion would cause
11 plaintiff substantial suffering or further injury, and withheld the medication with the culpable
12 state of mind known as deliberate indifference. See Toguchi v. Chung, 391 F.3d 1051, 1057
13 (9th Cir. 2004). Without such allegations of fact, Trujillo cannot have unnecessarily and
14 wantonly inflicted pain, and therefore cannot be liable for an Eighth Amendment violation. See
15 Estelle v. Gamble, 429 U.S. 97, 106 (1976).

16 The allegations against the other moving defendants are similarly defective. The
17 medical supervisors (Austin, Morgan, Mefford and McAlpine) are not alleged to have had any
18 direct role in denying plaintiff treatment or medication. Nor are there specific facts supporting a
19 conclusion that any of them were aware of plaintiff's serious medical needs and that they failed
20 to take action with full knowledge that continued delay would cause plaintiff further substantial
21 suffering. The facts that they were responsible for medical care overall and allegedly knew
22 about systemic problems with medication and access to PCPs, ECF No. 13 at 11, do not support
23 liability for the alleged violation of plaintiff's Eighth Amendment rights. See Taylor, 880 F.2d
24 at 1045.

25 Finally, the involvement of various defendants (Davis, Fleischman and
26 Villanueva (de la Vega), as well as some of the medical supervisors) in reviewing plaintiff's

1 inmate appeals does not support liability under § 1983. See ECF No. 13 at 12-22. Prisoners do
2 not have a “separate constitutional entitlement to a specific prison grievance procedure.”
3 Ramirez v. Galaza, 334 F.3d 850, 860 (9th Cir. 2003), citing Mann v. Adams, 855 F.2d 639, 640
4 (9th Cir. 1988). Even the non-existence of, or the failure of prison officials to properly
5 implement, an administrative appeals process within the prison system does not raise
6 constitutional concerns. Mann v. Adams, 855 F.2d 639, 640 (9th Cir. 1988); see also, Buckley v.
7 Barlow, 997 F.2d 494, 495 (8th Cir. 1993); Flick v. Alba, 932 F.2d 728 (8th Cir. 1991).
8 Specifically, a failure to process a grievance does not state a constitutional violation. Buckley,
9 997 F.2d at 495. Accordingly, plaintiff’s allegations that various defendants “sabotaged” his
10 inmate appeals fail to state a claim.

11 For all these reasons, the motion to dismiss pursuant to Rule 12(b)(6) should be
12 granted.

13 PLAINTIFF’S MOTION TO CONFIRM SERVICE AND TO STAY

14 Also before the court is plaintiff’s motion for confirmation of service and for
15 default. ECF No. 32. Plaintiff indicates that he has not received notice from the U.S. Marshal as
16 to which defendants have been served, although he has learned from opposing counsel that all
17 defendants except Boughn, Fontillas and Freland have been served.¹⁰ ECF No. 32 at 2-3.
18 Plaintiff asks this action be continued or stayed until such time as all named defendants have
19 been served. Id. at 3.

20 The docket indicates that defendants Freland (Froland) and Fontillas have
21 returned executed waivers of service as of February 19, 2013 (ECF No. 25) and March 13, 2013

22
23 ¹⁰ Executed waivers of service (ECF No. 20) were filed on January 10, 2013 for
24 defendants Trujillo, Villanueva (de la Vega), Mefford, Kiesz, Braunger, McAlpine, Morgan,
25 Fleischman, Austin, Davis. According to the U.S. Marshal’s Office, these waivers of service
26 were filed directly into the case docket without having first been returned to the marshal’s office.
On June 24, 2013, the marshal’s office, therefore, filed duplicates of those executed waivers into
the case docket, noting that the waivers had previously been filed directly with the court (ECF
No. 35)).

1 (ECF No. 28), respectively. Moreover, defendant Fontillas filed a motion to dismiss on July 10,
2 2013, after receiving an extension of time to do so. See ECF Nos. 34, 36. Although plaintiff
3 was evidently unaware of it, both Freland and Fontillas were served months before he brought
4 the instant motion. The only defendant for whom no waiver of service has been filed is
5 defendant Boughn.

6 The time for defendant Freland (Froland) to respond to the complaint expired on
7 April 22, 2013, and no response has been filed. Accordingly, this defendant will be ordered to
8 show cause why he or she should not be found to be in default.

9 Plaintiff's request for a stay lacks an appropriate foundation. All defendants but
10 one have been served. Only one served defendant has failed to respond. Although it would
11 certainly promote the interests of efficiency and economy for all defendants to be served
12 simultaneously, and to file responsive pleadings close in time, this is often not possible in actions
13 such as these with a large number of defendants. In addition, the court has herein adjudicated a
14 motion filed on behalf of the majority of the defendants. Although the district court does have
15 the inherent power to impose a stay in order to control its own docket, Mediterranean
16 Enterprises, Inc. v. Ssangyong Corp., 708 F.2d 1458, 1465 (9th Cir. 1983), the present
17 circumstances do not warrant such action. Accordingly, the undersigned will recommend denial
18 of plaintiff's request for a stay.

19 Accordingly, IT IS ORDERED that:

20 1. The Clerk of the Court make a random district judge assignment to this case;

21 and

22 2. Defendant Freland (Froland) show cause within fourteen days why he/she
23 should not be found in default and judgment entered against him/her.

24 IT IS RECOMMENDED that:

25 1. Defendants' February 13, 2013 motion to dismiss (ECF No. 24) be granted,
26 and the following claims dismissed without prejudice:

1 (a) the claim against defendants Braunger and Kiesz regarding their alleged
2 failure to provided timely access to a primary care physician; and

3 (b) all claims against defendants Austin, Morgan, Mefford, McAlpine, Trujillo,
4 Davis, Fleischman and Villanueva (de la Vega);

5 2. Plaintiff's May 31, 2013 motion for a stay (ECF No. 32) be denied;

6 3. This action proceed on plaintiff's Eighth Amendment claims against
7 defendants Fontillas, Froland and Broughn, as well as on plaintiff's claim that defendants
8 Braunger and Kiesz were deliberately indifferent to his medical needs in relation to the delay in
9 plaintiff's receiving his prescribed medication.

10 These findings and recommendations are submitted to the United States District
11 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-
12 one days after being served with these findings and recommendations, any party may file written
13 objections with the court and serve a copy on all parties. Such a document should be captioned
14 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections
15 shall be served and filed within fourteen days after service of the objections. The parties are
16 advised that failure to file objections within the specified time may waive the right to appeal the
17 District Courts order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

18 DATED: July 23, 2013

19
20
21 
22 ALLISON CLAIRE
23 UNITED STATES MAGISTRATE JUDGE

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