1 2 3 4 5 6 7 8 UNITED STATES DISTRICT COURT 9 FOR THE EASTERN DISTRICT OF CALIFORNIA 10 11 DAVID G. LEONARD, No. 2:12-cv-00915 TLN AC P 12 Plaintiff. 13 ORDER and FINDINGS & RECOMMENDATIONS v. 14 JIM DENNY, et al., 15 Defendants. 16 17 Plaintiff is a former county and current state prisoner proceeding pro se with a civil action 18 pursuant to 42 U.S.C. § 1983; Title II of the Americans with Disabilities Act (ADA), 42 U.S.C. 19 §§ 12131-12134; Section 504 of the Rehabilitation Act (RA) of 1973; and state tort law. 20 Currently before the court is defendants' motion for summary judgment. ECF No. 164. 21 I. Procedural History 22 This case proceeds on plaintiff's second amended complaint (ECF No. 66-2), which was screened and found to state claims for relief against defendants Jim Denny, Paul Parker, Samuel 23 24 Sanders, Norman Bidwell, Dorris Brown, Sutter County Sheriff's Department, Sutter County Jail, 25 and Sutter County, as well as against a Doe defendant (ECF Nos. 76, 78). 26 Plaintiff included in his second amended complaint five separate counts for Eighth 27 Amendment deliberate indifference and failure to protect, a Fourteenth Amendment equal 28 protection claim, state tort claims, and claims under the ADA and RA. ECF No. 66-2 at 25-45. 1

Following defendants' motion to dismiss (ECF No. 67), the undersigned recommended that Counts I, II, IV, and V be dismissed in part, and further recommended that defendants Denny, Parker, Sanders, Brown, Sutter County Sheriff's Department, Sutter County Jail, and Sutter County be required to respond to Count I; defendants Parker, Bidwell, Sutter County Sheriff's Department, Sutter County Jail, and Sutter County be required to respond to Count II; defendants Saunders and Brown be required to respond to Count III; defendants Parker and Bidwell be required to respond to Count IV; and defendants Sutter County Sheriff's Department, Sutter County Jail, and Sutter County be required to respond to Count V. ECF No. 76 at 26. The undersigned's recommendations were adopted (ECF No. 78), and defendants answered the complaint (ECF No. 79).

After the close of discovery, defendants filed the instant motion for summary judgment (ECF No. 164), which plaintiff opposes (ECF Nos. 185-87, 194). Plaintiff has also filed two motions for sanctions (ECF Nos. 188, 191) and a motion to strike a declaration submitted by defendants (ECF No. 190).

II. Doe Defendant

On screening the second amended complaint, the undersigned found that plaintiff had stated a claim for relief against a Doe defendant who was not served due to the impossibility of serving an unknown individual. ECF No. 76 at 6-7. Plaintiff was warned that failure to identify and serve the Doe defendant prior to the close of discovery would result in a recommendation that the claims against the Doe defendant be dismissed. <u>Id.</u> at 7. Since discovery is now closed and plaintiff has not moved to substitute defendant Doe, it will be recommended that defendant Doe be dismissed.

III. Plaintiff's Allegations

The second amended complaint alleges that defendants violated plaintiff's rights under the Eighth Amendment, ADA, RA, and state tort law. ECF No. 66.

In Count I, plaintiff alleges that Denny, Parker, Bidwell, Sanders, Brown, the Sheriff's Department, the Jail, and the County violated his Eighth Amendment rights when they created and perpetuated a policy or practice that encouraged delaying and denying necessary medical care

until an inmate was transferred to the California Department of Corrections and Rehabilitation (CDCR) in order to cut costs associated with medical care. ECF No. 66-2 at 3, 25-27, 31-32, ¶¶ 1-4, 118-19, 122, 128-29, 145, 147. These policies allegedly resulted in the denial of necessary medical care to plaintiff, including diagnostic testing and referrals to specialist; increased pain; and the worsening of his medical conditions. Id. at 3-7, 10-11, ¶¶ 5, 9-10, 17-19, 22, 26, 43, 49. Plaintiff further alleges that Sanders and Brown were medical providers at the Jail who he saw about his various medical conditions and who were both deliberately indifferent when they failed to provide appropriate treatment for his lower back pain, asthma, eye infection, and respiratory problems. Id. at 8-13, 29, 33, ¶¶ 28-58, 134, 150.

Count II alleges that Parker, Bidwell, the Sheriff's Department, the Jail, and Sutter County failed to protect him when they failed to create, implement, or carry out policies for maintenance of the ventilation system, leading to the circulation of mold and other contaminants that exacerbated his respiratory conditions. Id. at 16-17, 32-33, ¶¶ 74-79, 148-50.

In Count III, plaintiff alleges that defendants Brown and Sanders committed professional negligence when they failed to properly treat his lower back pain, eye infection, and respiratory conditions by failing to evaluate his condition, obtain his medical history, make appropriate referrals to specialists, conduct diagnostic testing, and properly prescribe medication. <u>Id.</u> at 8-13, 34-38, ¶ 28-58, 156-76.

Count IV alleges that Parker and Bidwell were negligent in their maintenance of the ventilation system, which aggravated his respiratory conditions leading to a number of problems, including difficulty breathing, dizziness, severe headaches, and an inability to perform daily tasks. Id. at 17, 38-41, ¶¶ 78-79, 177-83.

Finally, in Count V, plaintiff alleges that the Sheriff's Department, the Jail, and the County violated the ADA and RA when they refused to provide him with accommodations for his respiratory condition and back pain, which left him unable to physically access various services and programs. <u>Id.</u> at 42-43, ¶¶ 189, 191, 193, 195.

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IV. Motion for Sanctions: Spoliation

Plaintiff has filed a motion for sanctions in which he alleges that defendants failed to preserve discoverable evidence. ECF No. 188.

Discovery in this case was initially scheduled to close on August 26, 2016. ECF No. 80 at 5. The deadline was extended a number of times, first to allow plaintiff to file motions to compel, and then to allow the parties to telephonically meet and confer in an effort to resolve the discovery issues raised. ECF Nos. 86, 103, 107, 120, 128. Because the parties were attempting to resolve the discovery disputes without court intervention, plaintiff's motions to compel were vacated with leave to re-file as to any issues that remained upon completion of the parties' discussions. ECF Nos. 107, 116, 118, 128. Plaintiff was notified on multiple occasions that he would have forty-five days from the completion of discovery discussions to file a motion to compel on any outstanding discovery issues. ECF Nos. 107, 116, 118, 120, 128. On August 18, 2017, defendants filed a notice advising the court that the parties had conducted their last telephonic conference that day (ECF No. 131), thus triggering plaintiff's time to file a motion to compel, which expired on October 2, 2017. The motion for sanctions was not filed until December 26, 2018 (ECF No. 188 at 15), over a year later.

According to plaintiff's motion, he was aware of the allegedly destroyed documents as early as September 23, 2016, when he initially filed a motion to compel the documents he now claims were wrongfully destroyed. ECF No. 188 at 2 (referencing defendants' response (ECF No. 105) to his motion to compel documents (ECF No. 99)). Although there is no set timeline for bringing a spoliation motion, "there is a particular need for these motions to be filed as soon as reasonably possible after discovery of the facts that underlie the motion." Goodman v. Praxair Servs., Inc., 632 F. Supp. 2d 494, 508 (D. Md. 2009); Sherwin-Williams Co. v. JB Collision Servs., Inc., 2015 WL 4077732, at *2, 2015 U.S. Dist. LEXIS 87439, at *4 (S.D. Cal. July 6, 2015) (citation omitted). Plaintiff offers no explanation as to why he did not file his motion during the time permitted after the parties completed their discovery discussions, at which point he had been aware that the documents had not been produced for a significant period of time, and the close of discovery. Nor does he explain the lengthy delay between the close of discovery and

his filing of the spoliation motion. The motion is clearly untimely and will therefore be denied.

V. Motions for Sanctions and to Strike

Plaintiff has also filed objections to and a motion to strike the second-filed declaration of Dr. Robert Kersten, submitted in support of defendants' motion for summary judgment (ECF No. 190) and a motion for sanctions and to strike the declaration (ECF No. 191). Both motions will be denied.

First, plaintiff contends that defendants failed to comply with Local Rule 131(f) because Dr. Kersten's second-filed declaration was not an original copy of the electronically signed declaration. ECF No. 190 at 2-3. In particular, he cites the typographical errors that were fixed between the "blue original" copy of the declaration and the second-filed copy. Id. at 1-8; ECF No. 191 at 1-7. The court notes that though these changes certainly could have been acknowledged, both declarations are substantively the same. For instance, the correction from "[p]rescriptions were reviewed by Nurse Brown and myself" to "[p]rescriptions were reviewed by Nurse Brown and Dr. Sanders" is not a substantive change that requires the court to disregard the contents of Dr. Kersten's declaration. ECF No. 190 at 5. Further, the court previously addressed the issue of the signature error in the "blue original" copy. The court ordered counsel for defendants to file a properly signed version of the declaration, and after defendants filed the corrected declaration, found that counsel sufficiently demonstrated why they should not be sanctioned. ECF No. 179 at 1. Thus, the court will not strike Dr. Kersten's second-filed declaration due to corrections made to typographical or signature errors.

Second, plaintiff argues based on Federal Rule of Civil Procedure 56(c)(4), Dr. Kersten made the declaration without personal knowledge and lacked the foundation to opine about plaintiff's diagnosis, medical care received while held at the Sutter County Jail, or any inaccuracies in the medical record. ECF No. 190 at 11. For example, he argues that Dr. Kersten cannot make a declaration with personal knowledge given that he never examined or treated the plaintiff, nor reviewed his medical records before his incarceration at the Sutter County Jail or after his transfer to CDCR. <u>Id.</u>

"Expert witnesses may offer opinions on matters of which they do not have firsthand

knowledge so long as it is permissible in their discipline." Trujillo v. Cty. of Los Angeles, 751 2 F.App'x 968, 970-971 (9th Cir. 2018) (citing Daubert v. Merrell Dow Pharm., Inc., 509 U.S. 579, 3 592 (1993) ("[A]n expert is permitted wide latitude to offer opinions, including those that are not 4 based on firsthand knowledge or observation.")). It is completely acceptable for Dr. Kersten to 5 render an opinion based on upon his review of medical records, as medical experts may rely on 6 treatment records to form their opinions because experts often receive a wider latitude with which to base their testimony. Regarding plaintiff's objection that portions of Dr. Kersten's declaration mirror that of Dr. Sanders', ECF No. 190 at 12-14, this overlap is expected because Dr. Kersten is 9 opining on Dr. Sanders' treatment. 10

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To the extent that plaintiff argues that Dr. Kersten improperly authenticated plaintiff's medical records (Id. at 12) or that he did not have access to the necessary medical records in order to make the declaration (Id. at 14-19), the court agrees with defendants' position. The record shows that his medical records were authenticated by defendants Sanders and Brown according to Federal Rule of Evidence 901(b)(1). See ECF No. 164-8, 164-9. As for plaintiff's allegation that Dr. Kersten was not provided from before his detainment, he was provided and reviewed the record from plaintiff's stay at Sutter County Jail. ECF No. 164-5, ¶ 3 (Kersten Dec.). Again, "medical experts regularly rely on a person's treatment records to form their opinions." Trujillo, 751 F.App'x at 971. Plaintiff has not submitted evidence, such as a declaration from a medical expert, to support the claim that his older records dated before his detainment were necessary to an evaluation of the treatment he received while detained. His own layperson's understanding is insufficient support for such a conclusion.

Third, plaintiff argues that defendants violated Federal Rule of Civil Procedure 56(h) by submitting the declaration in bad faith or solely for the purpose of delay and that the court should order the defendants to pay the plaintiff reasonable expenses, including attorney's fees. ECF No. 190 at 25-26. Specifically, he contends that defendants' counsel assisted in the preparation of Dr. Kersten's declaration and fabricated the document. ECF No. 190 at 28-30. The court agrees with the defendant's position. While Federal Rule of Civil Procedure 56 involves motions for summary judgment or partial summary judgment, 56(h) reads as follows:

If satisfied that an affidavit or declaration under this rule is submitted in bad faith or solely for delay, the court—after notice and a reasonable time to respond—may order the submitting party to pay the other party the reasonable expenses, including attorney's fees, it incurred as a result. An offending party or attorney may also be held in contempt or subjected to other appropriate sanctions.

Fed. R. Civ. P. 56(h). "Bad faith in the context of Rule 56(h) requires a deliberate or knowing act for an improper purpose." Raher v. Federal Bureau of Prisons, No. 03:09–cv–00526 ST, 2011 WL 4832574, at *8 (D. Or. Oct. 12, 2011). See also Caron v. QuicKutz, Inc., No. CV–09–02600–PHX–NVW, 2012 WL 5497869, at *20 (D. Ariz. Nov. 13, 2012), aff'd sub nom. Caron v. Lifestyle Crafts, LLC, 528 Fed.Appx. 993 (Fed. Cir. 2013) (striking declaration under Rule 56(h) for containing statements not based upon personal knowledge and statements intended to mislead the court but denying request for attorney's fees.). To be sanctionable under the court's inherent power, the conduct must have constituted, or been tantamount to, bad faith. Roadway Express, Inc. v. Piper, 447 U.S. 752, 767 (1980); Fink v. Gomez, 239 F.3d 989, 993–94 (9th Cir. 2001). Recklessness, when combined with an additional factor such as frivolousness, harassment, or an improper purpose, may support sanctions, Fink, 239 F.3d at 994, but mere negligence or recklessness will not suffice, In re Lehtinen, 564 F.3d 1052, 1058 (9th Cir. 2009).

Here, the court does not find that bad faith is established by the evidence presented. Plaintiff alleges that defendant's counsel fabricated Dr. Kersten's declaration because "the newly filed 'original' Blue Copy of the Declaration is not properly dated (executed), and defendants provide no explanation as to why it is signed and dated in two (2) colors of ink." ECF No. 190 at 28. The court has already addressed plaintiff's contentions regarding typographical errors and signature issues with the declaration, and he has not introduced evidence to support a claim that defendant's counsel fabricated the declaration or affixed Dr. Kersten's signature without receiving his consent to do so. Plaintiff also alleges that Dr. Kersten did not prepare and sign his declaration but does not submit any evidence to support this conclusion beyond reference to the typographical errors and Dr. Kersten's alleged lack of personal knowledge. Id. at 25.

Plaintiff has failed to show that defendants exhibited mere negligence or recklessness, let alone willful misconduct. As a result, plaintiff's renewed motion for sanctions will be denied.

For the aforementioned reasons, the court will deny plaintiff's motion to strike and motion for sanctions. In addition, the court will rely on the amended declaration submitted at ECF No. 177-1 at 4-8, as it cures the signature error and any changes made to the previous copies are not substantive.

VI. <u>Motion for Summary Judgment</u>

A. Defendants' Arguments

Defendants argue that plaintiff cannot establish that defendants Brown and Sanders were deliberately indifferent to his medical needs or committed medical malpractice. ECF No. 164-2 at 16-24, 29-31. They also argue that they are entitled to qualified immunity and that plaintiff did not properly comply with the state law notice requirements. Id. at 24-29. Defendants similarly argue that plaintiff cannot show that defendants Denny, Parker, Sutter County Sheriff's Department, Sutter County Jail, and Sutter County were deliberately indifferent based on their alleged policy regarding the provision of medical care, that they failed to protect him by failing to properly maintain the ventilation system. Id. at 32-38. They further argue that Parker and Bidwell were not negligent and that plaintiff failed to comply with state law notice requirements. Id. at 43-49. Alternatively, they assert that they are entitled to qualified immunity. Id. at 39. Finally, defendants argue that plaintiff's ADA and RA claims must fail because he is not a qualified individual with a disability and was not denied access to any programs. Id. at 39-42.

B. Plaintiff's Response

It is well-established that the pleadings of pro se litigants are held to "less stringent standards than formal pleadings drafted by lawyers." Haines v. Kerner, 404 U.S. 519, 520 (1972) (per curiam). Nevertheless, "[p]ro se litigants must follow the same rules of procedure that govern other litigants." King v. Atiyeh, 814 F.2d 565, 567 (9th Cir. 1987) (citations omitted), overruled on other grounds, Lacey v. Maricopa County, 693 F.3d 896, 928 (9th Cir. 2012) (en banc). However, the unrepresented prisoners' choice to proceed without counsel "is less than voluntary" and they are subject to "the handicaps . . . detention necessarily imposes upon a litigant," such as "limited access to legal materials" as well as "sources of proof." Jacobsen v. Filler, 790 F.2d 1362, 1364-65 & n.4 (9th Cir. 1986) (alteration in original) (citations and internal

quotation marks omitted). Inmate litigants, therefore, should not be held to a standard of "strict literalness" with respect to the requirements of the summary judgment rule. <u>Id.</u> at 1364 n.4 (citation omitted).

The court is mindful of the Ninth Circuit's more overarching caution in this context, as noted above, that district courts are to "construe liberally motion papers and pleadings filed by *pro se* inmates and should avoid applying summary judgment rules strictly." Thomas v. Ponder, 611 F.3d 1144, 1150 (9th Cir. 2010). Accordingly, though plaintiff has largely complied with the rules of procedure, the court will consider the record before it in its entirety. However, only those assertions in the opposition which have evidentiary support in the record will be considered.

VII. Legal Standards for Summary Judgment

Summary judgment is appropriate when the moving party "shows that there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a). Under summary judgment practice, "[t]he moving party initially bears the burden of proving the absence of a genuine issue of material fact." In re Oracle Corp. Sec. Litig., 627 F.3d 376, 387 (9th Cir. 2010) (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The moving party may accomplish this by "citing to particular parts of materials in the record, including depositions, documents, electronically stored information, affidavits or declarations, stipulations (including those made for purposes of the motion only), admissions, interrogatory answers, or other materials" or by showing that such materials "do not establish the absence or presence of a genuine dispute, or that an adverse party cannot produce admissible evidence to support the fact." Fed. R. Civ. P. 56(c)(1).

"Where the non-moving party bears the burden of proof at trial, the moving party need only prove that there is an absence of evidence to support the non-moving party's case." Oracle Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325); see also Fed. R. Civ. P. 56(c)(1)(B). Indeed, summary judgment should be entered, "after adequate time for discovery and upon motion, against a party who fails to make a showing sufficient to establish the existence of an element essential to that party's case, and on which that party will bear the burden of proof at trial." Celotex, 477 U.S. at 322. "[A] complete failure of proof concerning an essential element

of the nonmoving party's case necessarily renders all other facts immaterial." <u>Id.</u> at 323. In such a circumstance, summary judgment should "be granted so long as whatever is before the district court demonstrates that the standard for the entry of summary judgment, as set forth in Rule 56(c), is satisfied." Id.

If the moving party meets its initial responsibility, the burden then shifts to the opposing party to establish that a genuine issue as to any material fact actually does exist. Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586-87 (1986). In attempting to establish the existence of this factual dispute, the opposing party may not rely upon the allegations or denials of its pleadings but is required to tender evidence of specific facts in the form of affidavits, and/or admissible discovery material, in support of its contention that the dispute exists. See Fed. R. Civ. P. 56(c). The opposing party must demonstrate that the fact in contention is material, i.e., a fact "that might affect the outcome of the suit under the governing law," Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986); T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass'n, 809 F.2d 626, 630 (9th Cir. 1987), and that the dispute is genuine, i.e., "the evidence is such that a reasonable jury could return a verdict for the nonmoving party," Anderson, 447 U.S. at 248.

In the endeavor to establish the existence of a factual dispute, the opposing party need not establish a material issue of fact conclusively in its favor. It is sufficient that "the claimed factual dispute be shown to require a jury or judge to resolve the parties' differing versions of the truth at trial." T.W. Elec. Serv., 809 F.2d at 630 (quoting First Nat'l Bank of Ariz. V. Cities Serv. Co., 391 U.S. 253, 288-89 (1968). Thus, the "purpose of summary judgment is to pierce the pleadings and to assess the proof in order to see whether there is a genuine need for trial." Matsushita, 475 U.S. at 587 (citation and internal quotation marks omitted).

"In evaluating the evidence to determine whether there is a genuine issue of fact, [the court] draw[s] all inferences supported by the evidence in favor of the non-moving party." Walls v. Central Costa Cnty. Transit Auth., 653 F.3d 963, 966 (9th Cir. 2011) (citation omitted). It is the opposing party's obligation to produce a factual predicate from which the inference may be drawn. See Richards v. Nielsen Freight Lines, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to demonstrate a genuine issue, the opposing party "must do more than simply show that there is

some metaphysical doubt as to the material facts." Matsushita, 475 U.S. at 586 (citations omitted). "Where the record taken as a whole could not lead a rational trier of fact to find for the non-moving party, there is no 'genuine issue for trial." Id. at 587 (quoting First Nat'l Bank, 391 U.S. at 289).

Defendants simultaneously served plaintiff with notice of the requirements for opposing a motion pursuant to Rule 56 of the Federal Rules of Civil Procedure along with their motion for summary judgment. ECF No. 164-1; see Klingele v. Eikenberry, 849 F.2d 409, 411 (9th Cir. 1988); Rand v. Rowland, 154 F.3d 952, 960 (9th Cir. 1998) (en banc) (movant may provide notice).

VIII. Facts

The court views the facts and draws inferences in the manner most favorable to plaintiff as the non-moving party. Unless otherwise noted, the following facts are expressly undisputed by the parties or the court has determined them to be undisputed based on thorough review of the record. These facts are taken from the Defendants' Statement of Undisputed Facts (DSUF), ECF No. 164-3, Plaintiff's Opposition to Motion for Summary Judgment, ECF No. 185, 194, and Defendant's Reply to Plaintiff's Opposition to Defendant's Motion for Summary Judgment, ECF No. 201.

At the time relevant to the complaint, the Sutter County Jail's Jail Medical Services (JMS) were governed by the Jail Medical Services Policy & Procedure Manual. In particular, JMS Policy #16-102,¹ which details the roles and responsibilities of the Medical Director, required that the medical director be present once a week and that a Nurse Practitioner be permitted to provide healthcare services under a physician's supervision. DSUF ¶ 1. JMS #16-108 requires that

¹ Plaintiff alleges that the JMS policies were not in place during his incarceration at Sutter County Jail and that defendants knew that their policies were non-existent, outdated, or non-

compliant. ECF No. 194 at 26. He indicates that the revised date on the policy was after his transfer from Sutter County Jail to CDCR. <u>Id.</u> at 29. However, even if the revised date of policies post-date plaintiff's incarceration, the substance of the policies may still be in effect. Further, defendants demonstrate that all JMS policies had an operable date of November 1, 2001. ECF No. 201-1 at 2. Thus, the fact that JMS were governed by the Jail Medical Services Policy & Procedure Manual remains undisputed.

inmates have timely access to healthcare in order to meet their serious medical, dental, and mental health needs as medically necessary and prescribed by law, while JMS #16-519 provides that JMS will provide medically required and appropriate outpatient clinical services to inmates.² DSUF ¶ 2-3. Arrangements to see private physicians are subject to financial and security requirements, and all treatment and medication recommended by private physicians are subject to review by JMS's healthcare provider for medical necessity and appropriateness in the jail setting. DSUF ¶ 3.

Plaintiff arrived at Sutter County Jail on June 18, 2009, and he was examined by Brown on June 24, 2009. DSUF ¶ 4. He indicated to Brown that he had a history of lower back pain (LBP), a torn labrum in his right shoulder, "borderline" diabetes, and hay fever or asthma. He also indicated that he had allergies to peas, beets, and "doxy." Id. On the same day, through agreement to the Classification Contract, plaintiff acknowledged his classification is based on information that he provided, as well as other considerations, such as criminal history, current charges, and extenuating circumstances. DSUF ¶ 5. During his intake on June 18, 2009, he was assigned to housing A-10, was informed of his classification, and signed a contract attesting to his housing assignment. DSUF ¶ 13. He was also provided a copy of the Sutter County Jail's Inmate Handbook, which stated that it is the responsibility of the inmate to clean the area surrounding their bunk. DSUF ¶ 19. Due to violence, plaintiff had an authorized strip search. DSUF ¶ 13.

Plaintiff was seen by JMS staff on June 21, 2009, June 24, 2009, and July 15, 2009.

DSUF ¶ 6. The parties dispute whether plaintiff was seen on June 22, 2009. ECF No. 194 at 31;

DSUF ¶ 6. During these visits, plaintiff indicated that he had a history of LBP, asthma, and a

² Plaintiff argues that "[i]n practice no such policy existed either," pointing out that he requested to see outside providers for both his right eye viral infection and his lower back condition and that JMS took no action to provide that access, even though plaintiff had the medical insurance and funds. ECF No. 194 at 29-30. However, he has previously admitted that he was seen by Dr. Pranav Amin on August 13, 2009 for his eye infection. ECF No. 185 at 17. Thus, this fact is deemed undisputed.

³ Plaintiff claims that he did not receive the deposition transcript and attached exhibits, and on that basis denies DSUF ¶ 5. ECF No. 194 at 31. However, defendants' cited Exhibit 3 appears to be the same copy as plaintiff's Exhibit T attached to his declaration. See ECF No. 185 at 309. Further, plaintiff's Exhibit T, a copy of his classification contract, shows his acknowledgment of what considerations his classification is based on. Id. Thus, this fact is deemed undisputed.

previous viral infection (herpes simplex keratitis or HSK) in his right eye from 2003. DSUF ¶ 6. He began a treatment to alleviate his back pain, starting with Tylenol and muscle rub and increasing to Ibuprofen. Id.

Plaintiff was later seen by JMS staff on August 12, 2009, but the parties dispute whether plaintiff was seen on August 13, 2009, August 14, 2009, and on August 17, 2009. DSUF ¶ 7; ECF No. 194 at 34. When he visited JMS staff, plaintiff complained of blurred vision. The parties dispute whether plaintiff's vision was checked upon entrance. Plaintiff contends that at the time of his arrival at Sutter County Jail, he did not have his vision checked, but rather had his vision checked for the first time on August 12, 2009. ECF No. 194 at 35.

JMS staff referred plaintiff to Dr. Amin, an ophthalmologist. <u>Id.</u> On August 13, 2009, Dr. Amin examined plaintiff, diagnosed him with stromal keratitis in his right eye, and discovered an "old" corneal scar. DSUF ¶ 8. He prescribed plaintiff Viroptic, Pred Forte, and Xibrom topical eye drops to treat his eye condition. <u>Id.</u> JMS followed up with Dr. Amin and was informed that plaintiff should continue to use eye drops until the infection was cured and not refill his prescription. DSUF ¶ 7. Within a week or two, plaintiff's eye infection was resolved. DSUF ¶ 8.

Plaintiff was then seen by JMS on September 2, 2009, October 28, 2009, November 4, 2009, November 8, 2009, November 10, 2009, and December 5, 2009. DSUF ¶ 9. The parties dispute whether plaintiff was seen on November 17, 2009, November 18, 2009, and December 18, 2009. ECF No. 194 at 23. During this period, Brown and Sanders escalated plaintiff's treatment and prescribed Baclofen for his LBP, Brown recommended that he be moved to a bottom bunk if one was available, and plaintiff was removed from his work position. DSUF ¶ 9. During his visits, plaintiff specifically requested not to be prescribed medication that might sedate him. <u>Id.</u>

The parties dispute whether plaintiff informed Brown that the pain in his neck, shoulder, and back pain had lessened following the Baclofen prescription, and whether plaintiff continued to refill the prescription. <u>Id.</u>; ECF No. 194 at 39-40. Brown requested and received plaintiff's ////

2007 MRI, which she then reviewed with him. <u>Id.</u> Plaintiff requested an updated MRI at this time. Id.

The parties dispute whether plaintiff was seen on January 1, 2010, January 7, 2010, January 8, 2010, January 13, 2010, and January 21, 2010. DSUF ¶ 10; ECF No. 194 at 45. Also, the parties dispute whether plaintiff requested a reduction in his Baclofen prescription from 20 mg to 10 mg even though he was still experiencing LBP. DSUF ¶ 10; ECF No. 194 at 40. As a result, Brown attempted to contact Dr. Aisle, an orthopedic surgeon, and plaintiff's request for an MRI was granted. Id.

The parties dispute whether plaintiff was seen by JMS staff on March 3, 2010 or April 2, 2010. On March 4, 2010 and March 8, 2020, plaintiff undisputedly was seen by JMS staff. Brown prescribed Viroptic, Pred Forte, Valtrex, and Xibrom topical eye drops after plaintiff suffered from another HSK outbreak. DSUF ¶ 11. Plaintiff reported feeling "much better." On his last visit to Brown before transferring to CDCR, his vision was 20/50 in his right eye, the same as when he entered Sutter County Jail.⁴ Id.

On March 12, 2010, plaintiff had his requested MRI. DSUF ¶ 12. Dr. Tejpal Singh diagnosed him with a mild degenerative disk disease, no significant spinal canal or neural foraminal stenosis, Grade 1 anterolistesis of L5 on S1 with bilateral pars intra-articularis defect and moderately severe bilateral neural forminal stenosis. <u>Id.</u> On March 16, 2010, defendant Sanders reviewed Dr. Singh's findings with plaintiff and proposed surgical injections. <u>Id.</u> The following day, he referred plaintiff to the Feather River Surgery & Pain Management Center to assist him with his pain control. <u>Id.</u>

Plaintiff made requests to be moved to a different cell block on June 28, 2009, July 10, 2009, and July 21, 2009 in order to have easier access to medical, as he would not have to be shackled. DSUF ¶ 14. He also wanted easier access to shower, more space, all day access to the recreation room, and requested the transfer because of an identity breach. <u>Id.</u> Plaintiff was

⁴ Plaintiff disputes that his eyesight was 20/50 upon admittance to Sutter County Jail. ECF No. 194 at 35. However, the medical records he produced to show his vision acuity before (continued) admittance dates more than five years before his detainment. ECF No. 185 at 273-75. Thus, this fact is deemed undisputed.

⁵ Plaintiff claims that defendants misstate the contents or extent of his letter to Bidwell. ECF No. 194 at 55. However, plaintiff read the letter into the record. <u>See</u> ECF No. 164-6 at 27-32. Thus, these facts regarding the contents of the letter are deemed undisputed.

informed that no rooms were available and that he was housed appropriately according to his charges and classification. <u>Id.</u> In order to access the medical cell, law library, recreation yard, canteen, and the visitor area, plaintiff was required to navigate stairs. DSUF ¶ 22. Plaintiff estimates that he accessed the medical cell over twenty times, the library over ten times, the recreation yard over fifty times, and the canteen once a week during his detainment. <u>Id.</u> He also accessed the visitor area weekly. <u>Id.</u> None of the submitted requests for cell change cite any difficult navigating the stairs. DSUF ¶ 14.

On July 21, 2009, plaintiff reminded officers that he has allergies, especially to a specific type of mold. DSUF ¶ 15. The parties dispute whether plaintiff ever informed custody staff of mold issues. According to plaintiff, he informed custody staff verbally and submitted a grievance form regarding environmental issues. DSUF ¶ 15; ECF No. 194 at 54-55. Plaintiff requested a mattress exchange, claiming that he had a doctor's recommendation, which allowed for such, but was not provided a second mattress. DSUF ¶ 15. The same day, officers responded to his request, noted mold allergies on his medical chart, and informed Custody of the mattress accommodation request. Id.

On August 21, 2009, plaintiff requested a copy of Dr. Amin's notes from his August 13, 2009 visit to Sutter North Medical to evaluate his right eye infection. DSUF ¶ 16. The same day, he was informed that Dr. Amin had not provided notes and was recommended to follow up with the University of California, Davis Ophthalmology Department when he was released. Id. The parties dispute whether Dr. Amin recommended waiting until plaintiff was released to establish care with the UC Davis Cornea Department. DSUF ¶ 16; ECF No. 194 at 38-39.

On August 11, 2009, in a letter to defendant Bidwell,⁵ plaintiff thanked Bidwell for his prompt follow-up regarding the law library repairs. DSUF ¶ 17. He also noted that his HSK infection had become active and was causing a distortion in his vision and increased pressure in his eye. <u>Id.</u> Plaintiff further voiced his concerns that his HSK, if left untreated, would result in

irreversible damage and "searing" to his eye. <u>Id.</u> Plaintiff claimed to have sent "med slips" on July 15, 2009, July 30, 2009, and August 5, 2009, and made other suggestions that he believed would help with his LBP. <u>Id.</u>

Plaintiff claimed he observed what he believed to be black mold surrounding the ventilation duct in his cell during the last months of his detainment at Sutter County Jail. DSUF ¶ 20. The parties dispute whether plaintiff complained or notified custody staff. DSUF ¶ 20; ECF No. 194 at 54-55.

However, Sutter County Jail underwent an environmental health inspection on December 29, 2008, December 23, 2009, and December 7, 2010 conducted by the Sutter County Community Services Department,⁶ in which inspectors found that Sutter County Jail was clean and in good repair. DSUF ¶ 18. Inspectors also found that the policies and procedures were in place for an acceptable level of cleanliness, repair, and safety. <u>Id.</u>

In addition, on November 23, 2010, Sutter County Jail received notice from the Department of Industrial Relations Division of Occupational Safety and Health regarding alleged water leaks in the jail that had created mold growth. DSUF ¶ 21. On December 2, 2010, Sutter County Jail commenced a building inspection to identify any potential mold growth, and while Sutter County Jail found evidence of past water intrusion, no mold was present. Id. The inspection included use of a building plan to identify past areas of water intrusion, and visual inspection of the roof, parapet walls and flashing for any openings.

IX. Discussion

A. Deliberate Indifference

To maintain an Eighth Amendment⁷ claim based on inadequate medical treatment,

⁶ Plaintiff contends that the inspection date was modified. ECF No. 164-7 at 20. However, the signed correspondence dated January 15, 2009, that enclosed the environmental health evaluation indicates that the environmental inspection occurred a few months before plaintiff was transferred. See ECF No. 164-7 at 18. As such, this fact is deemed undisputed.

⁷ Defendants' motion for summary judgment refers to plaintiff as a pretrial detainee from June 18, 2009, until April 5, 2010, and discuss his deliberate indifference and failure to protect claims as accordingly governed by the Fourteenth Amendment rather than the Eighth Amendment. ECF No. 164-2 at 9, 17, 32, 36-37. However, plaintiff asserts that his claims arise under the Eighth Amendment. ECF No. 194 at 92-94. Review of the docket in plaintiff's criminal case, Case No.

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plaintiff must show "'deliberate indifference to serious medical needs." Jett v. Penner, 439 F.3d 1091, 1096 (9th Cir. 2006) (quoting Estelle v. Gamble, 429 U.S. 97, 104 (1976)). This requires plaintiff to show (1) "a 'serious medical need' by demonstrating that 'failure to treat a prisoner's condition could result in further significant injury or the unnecessary and wanton infliction of pain," and (2) "the defendant's response to the need was deliberately indifferent." Id. (some internal quotation marks omitted) (quoting McGuckin v. Smith, 974 F.2d 1050, 1059-60 (9th Cir. 1992)).

Deliberate indifference is a very strict standard. It is more than "mere negligence." Farmer v. Brennan, 511 U.S. 825, 835, (1994). Even civil recklessness—failure "to act in the face of an unjustifiably high risk of harm that is either known or so obvious that it should be known"—is insufficient to establish an Eighth Amendment claim. Id. at 836-37 & n.5 (citation omitted). A prison official will be found liable under the Eighth Amendment when "the official knows of and disregards an excessive risk to inmate health or safety; the official must both be aware of facts from which the inference could be drawn that a substantial risk of serious harm exists, and he must also draw the inference." Id. at 837. A plaintiff can establish deliberate indifference "by showing (a) a purposeful act or failure to respond to a prisoner's pain or possible medical need and (b) harm caused by the indifference." Jett, 439 F.3d at 1096 (citing McGuckin, 974 F.2d at 1060).

Deliberate indifference "may appear when prison officials deny, delay or intentionally interfere with medical treatment, or it may be shown by the way in which prison physicians provide medical care." Hutchinson v. United States, 838 F.2d 390, 394 (9th Cir. 1988) (citing Estelle, 429 U.S. at 104-05). A difference of opinion between an inmate and prison medical

CRF08-0002437, in the Sutter County Superior Court, shows that plaintiff's jury trial concluded on June 18, 2009, and the records in a prior habeas petition brought by plaintiff in this court confirms that he was convicted on June 18, 2009. Leonard v. Hartley, No. 2:12-cv-2161 JKS (E.D. Cal.), ECF No. 66 at 5. Because plaintiff had been convicted at the time he alleges the violations occurred, he was no longer entitled to the same rights as a pretrial detainee. Resnick v. Hayes, 213 F.3d 443, 448 (9th Cir. 2000) (inmate that has been convicted but not sentenced is not entitled to same rights as pretrial detainees). Plaintiff's claims are therefore based upon his rights under the Eighth Amendment.

personnel—or between medical professionals—regarding the appropriate course of treatment does not by itself amount to deliberate indifference to serious medical needs. <u>Toguchi v. Chung</u>, 391 F.3d 1051, 1058 (9th Cir. 2004); <u>Sanchez v. Vild</u>, 891 F.2d 240, 242 (9th Cir. 1989). To establish a difference of opinion rises to the level of deliberate indifference, plaintiff "must show that the course of treatment the doctors chose was medically unacceptable under the circumstances." <u>Jackson v. McIntosh</u>, 90 F.3d 330, 332 (9th Cir. 1996) (citation omitted).

1. Defendants Sanders and Brown

In his Second Amended Complaint, plaintiff alleges that defendants Sanders and Brown, medical providers within JMS, failed to provide appropriate treatment for his lower back pain, asthma, and eye infection. ECF 66-2 at 8-13, 29, 33, 35-27, ¶¶ 28-34, 39-41, 45-48, 52, 57, 134, 150, 160-169. Plaintiff specifically alleged that Sanders and Brown failed to obtain or review his previous medical records prior to treating him, failed to refer him to appropriate specialists, provided deficient and incompetent treatment by refusing to prescribe proper pain medication, prescribed medication without providing proper instructions for use, refused to allow plaintiff to use his medical appliances, and failed to properly examine or diagnose him. Id. Also, plaintiff alleges that defendants' failure to provide a proper examination to determine the cause of or to treat his respiratory problems, as well as failing to order appropriate housing accommodations amounted to deliberate indifference. Id. at 33, 37, 43, ¶¶ 150, 169, 193. Defendants counter that plaintiff has failed to provide evidence showing that defendants Sanders and Brown knew of and disregarded an excessive risk to his health and safety in regard to his HSK, lower back pain, and allergies. ECF No. 164-2 at 12-16.

In regard to plaintiff's eye condition, though the parties dispute whether plaintiff was seen on August 13, 2009, August 14, 2009, and August 17, 2009, it is undisputed that plaintiff was seen by JMS staff on August 12, 2009 and visited ophthalmologist Dr. Amin the next day. Though plaintiff contends that Sanders and Brown delayed in providing treatment or referring him to a specialist, a day-long wait cannot reasonably be construed as a delay in medical care or treatment of his eye, especially considering that the JMS healthcare provider must approve an inmate's referral to a private physician in light of security and financial concerns.

As to plaintiff's dispute over the other JMS visits in August, he has not submitted additional evidence to support a conclusion that these visits did not occur, such as declarations from other inmates or prison officials attesting to his whereabouts those times. Even if the court takes plaintiff's version of the facts to be true, he has not submitted testimony or evidence to demonstrate that not being seen on the other three August dates would violate any applicable standard of care, let alone constitute deliberate indifference. Indeed, the record shows that plaintiff was seen by Dr. Amin, treated, and that within one to two weeks his HSK abated. Plaintiff has not submitted evidence to dispute that defendants Sanders and Brown properly continued to provide him with medicated drops until he completed his prescription, or that JMS medical staff followed up with Dr. Amin's office and were instructed to finish the prescription, but not refill it. It is also undisputed that when plaintiff's virus became active again, defendants provided him with antiviral medication according to his previous treatment plan.

Further, though plaintiff disputes whether his vision was checked upon his admittance to Sutter County Jail, it is undisputed that his vision was 20/50 in his right eye by the time he was transferred to CDCR. Assuming that plaintiff's allegation is true, and his vision was not checked, he has failed to submit evidence, such as medical records from close in time to his admission in Sutter County Jail or declarations from former physicians, that support his assertion that his vision was 20/20 upon his entrance. The medical record he does offer predates his admission by five years. This evidence does not support a reasonable inference that plaintiff's eyesight worsened during his stay at Sutter County Jail, let alone that the harm was a result of Sanders and Brown's deliberate indifference.

Plaintiff's motion to strike Dr. Kersten's declaration has been denied above, and he offers no medical expert testimony to counter or discredit Dr. Kersten's opinion. Rather, plaintiff's voluminous opposition continually opines on the validity of medical professionals' suggested treatments, diagnoses, and prescriptions without reference to any medical or expert source. For instance, plaintiff challenges the adequacy of Sanders and Brown's treatment based on an alleged four-week delay between when he believed he was first experiencing an eye infection and when he was seen by Dr. Amin. ECF No. 194 at 102-03. Plaintiff also draws medical conclusions

without sufficient basis. For example, he attests that he wrote to defendant Bidwell, claiming that there was a substantial risk of irreparable damage to his eye and alleges that he informed Bidwell that he based this claim on what he was told by a specialist at UC Davis Ophthalmology. ECF No. 194 at 60. However, this was not indicated in his letter, which he read into the record during his deposition, nor does he show that he provided Bidwell with a medical note from this specialist or include it as an exhibit.

Because plaintiff lacks the foundation or qualifications to draw such conclusions based on medical notes or observations during his visits to these physicians, his claims that a medical treatment was improper is at best speculation. The record does not support an inference that the course of treatment the doctors chose was medically unacceptable under the circumstances and amounted to deliberate indifference.

According to Dr. Kersten, a professor of ophthalmology at University of California San Francisco, Sanders and Brown's treatment of plaintiff's HSK during his term at Sutter County Jail met the applicable standard of care. ECF No. 171-1, ¶¶ 1-3, 20 (Kersten Dec.). Dr. Kersten adds that because treatment of HSK depends on the frequency of episodes a patient experience, based on the information plaintiff provided to Sanders and Brown, their decision to rely on Dr. Amin's prescription and their treatment of the second outbreak met the applicable standard of care. Id. Finally, according to this declaration, the four-week period plaintiff characterizes as delay was medically inconsequential. Id. at ¶ 18. For all these reasons, plaintiff's deliberate indifference claim in regard to his HSK fails.

In regard to plaintiff's LBP, it is undisputed that Sanders and Brown monitored plaintiff's medical record and approved pain medication to alleviate his LBP. DSUF ¶¶ 4-12. Plaintiff does not contest that Sanders and Brown prescribed various different medications and treatments, that they ordered the MRI he requested, or that he was sent to Feather River Surgery & Pain Management Center during his term at Sutter County Jail. DSUF ¶¶ 9, 12. There is a dispute as to whether plaintiff notified defendant Brown that his LBP reduced through the use of baclofen and whether he continued to refill his prescription. Even assuming that plaintiff's version of the facts is true, there is no genuine dispute because he has not indicated that Sanders and Brown

continued to prescribe baclofen without his consent or that the prescription caused him harm. To the extent that plaintiff denies that baclofen was an appropriate prescription for his illness, he fails to submit expert testimony to support these conclusions. Plaintiff's disagreement with the medical providers cannot establish and Eighth Amendment violation.

To the extend plaintiff relies on denial of cortisone injections, a TENS unit, and other treatments he deems necessary, ECF No. 194 at 100, he has failed to submit evidence showing that his treatment was medically unacceptable under the circumstances. Plaintiff's own interpretation of the medical record is insufficient to defeat summary judgment. This claim thus fails for the same reasons as plaintiff's claim regarding his HSK—plaintiff is not a medical expert who can opine as to whether defendant Sanders and Brown's treatment violated the applicable standard of care. The difference between Sanders and Brown's prescribed treatment and plaintiff's preferred treatment plan—"a difference of opinion between an inmate and prison medical personnel"—does not constitute deliberate indifference as a matter of law. Toguchi, 391 F.3d at 1058. Accordingly, plaintiff's deliberate indifference claim in regard to his LBP fails.

Regarding Sanders and Brown's treatment of plaintiff's allergies, asthma, and vertigo, plaintiff fails to show evidence of deliberate indifference. Firstly, plaintiff fails to show that there was a serious medical need. Though plaintiff argues that he identified mold in his cell, he has not shown any evidence demonstrating his certification or expertise in this subject, despite his claims that he worked in construction and mold removal. ECF No. 185 at 55-56. In addition, even if plaintiff did correctly identify mold in his room, he does not show evidence that that type of mold was specifically harmful to him. His own efforts to draw these conclusions are insufficient.

Second, plaintiff fails to show that Sanders and Brown's reaction was deliberately indifferent or that Sanders and Brown acted or failed to act purposefully. Though plaintiff insists that he told custody staff about the alleged mold, he does not claim that he informed JMS that there was mold in Sutter County Jail or that mold might be the cause of his symptoms. It is undisputed that plaintiff was seen and treated for his asthma—he was provided an inhaler and allergy medication. Insofar as plaintiff alleges that his vertigo was related to his allergies, he has not submitted evidence supporting this contention. Thus, there is no triable issue whether

Sanders and Brown were aware of a substantial risk to plaintiff's health or whether they purposefully failed to treat his health issue.

Even assuming that plaintiff's version of the facts is true and that he correctly identified the mold, he has not submitted any competent medical evidence that a reaction to mold would have required treatment different than the treatment that was provided for his asthma, allergies, and vertigo. Accordingly, there is no evidence from a which a trier of fact could conclude that Sanders and Brown not only purposefully failed to treat plaintiff, but also that he was harmed by their indifference.

For all these reasons, the court should grant summary judgement to defendants on this issue.⁸

Defendants Denny, Parker, Sutter County Sheriff's Department, Sutter County Jail, and Sutter County

Second, plaintiff contends that defendants Denny and Parker, respectively the former and current sheriffs of Sutter County, created and perpetuated a policy or practice that encouraged the delay and denial of necessary medical care until an inmate was transferred to the custody of the CDCR, in order to cut costs to the County associated with medical care. ECF No. 66-2 at 26, 31-32 ¶ 122, 145, 147. Plaintiff alleges that because of these policies, he was denied necessary medical care (i.e. diagnostic testing and referrals to specialists) that resulted in increased pain and worsened medical conditions. Id. at 3-7, 10-11, ¶ 5, 9-10, 17-19, 22, 26, 43, 49. Based on Denny and Parker's positions as decision makers, plaintiff's claims against the Sutter County Sheriff's Department, Sutter County Jail, and Sutter County proceed under Monell v. Department of Soc. Servs., 436 U.S. 658 (1978).

Under Monell, "municipalities and other local government units . . . [are] among those persons to whom § 1983 applies." Monell, 436 U.S. at 690. However, "a municipality can be liable under § 1983 only where its policies are the 'moving force [behind] the constitutional violation." City of Canton v. Harris, 489 U.S. 378, 389 (1983) (alteration in original) (quoting

⁸ Because the court finds no violation of plaintiff's Eighth Amendment rights, it need not address defendant Brown and Sanders' argument that they are entitled to qualified immunity.

Monell, 436 U.S. at 694 and Polk Cnty. v. Dodson, 454 U.S. 312, 10 326 (1981)). There must be "a direct causal link between a municipal policy or custom and the alleged constitutional deprivation." Id. at 385.

"[A]n act performed pursuant to a 'custom' that has not been formally approved by an appropriate decisionmaker may fairly subject a municipality to liability on the theory that the relevant practice is so widespread as to have the force of law." Board of Cnty. Comm'rs. v. Brown, 520 U.S. 397, 404 (1997) (citations omitted); see also Monell, 436 U.S. at 690-91. "[A] local governmental body may [also] be liable if it has a policy of inaction and such inaction amounts to a failure to protect constitutional rights." Oviatt v. Pearce, 954 F.2d 1470, 1474 (9th Cir. 1992) (citing City of Canton, 489 U.S. at 388. "[A] policy is 'a deliberate choice to follow a course of action . . . made from among various alternatives by the official or officials responsible for establishing final policy with respect to the subject matter in question." Id. at 1477 (quoting Pembaur v. City of Cincinnati, 475 U.S. 469, 483-84 (1986) (plurality opinion)). "[A] municipality cannot be held liable solely because it employs a tortfeasor—or, in other words, a municipality cannot be held liable under § 1983 on a respondeat superior theory." Monell, 436 U.S. at 691 (emphasis in original).

In this case, plaintiff has not shown a genuine issue of material fact as to his deliberate indifference and Monell claims against defendants Denny, Parker, Sutter County Sheriff's Department, Sutter County Jail, and Sutter County. First, as discussed in the previous section, plaintiff has failed to produce sufficient evidence to support his deliberate indifference claim against defendants Sanders and Brown. As a result, plaintiff cannot prove that he was deprived of a constitutional right, let alone that the "implementation of [the local government's] official policies or established customs inflict[ed] the constitutional injury." Monell, 436 U.S. at 708.

Second, plaintiff has not identified evidence of a "policy" or "custom" that was the driving force behind the alleged constitutional injury. It is undisputed that Sutter County Jail's Jail Medical Services were governed by the JMS Policy & Procedure Manual, which includes provisions on the duties of the medical director and nurse practitioner and requirements for inmates to have timely access to healthcare and to be referred to outpatient clinical services as

medically required and appropriate. DSUF ¶ 1-3. Beyond his conclusory statements, plaintiff fails to show that these policies were not substantively in place at the time of his detainment, and the record shows that plaintiff was seen at least once a month by a medical professional and referred to outside specialists as medically necessary. ECF No. 194 at 15, 16, 19, 23, 27, 28-29.

To the extent plaintiff claims that defendants established an express policy of delay or denial of medical treatment because of budgetary constraints, ECF No. 194-1 at 161-163, he fails to support this claim, such as through evidence showing a routine failure to follow the JMS Policy & Procedure Manual. Again, plaintiff was referred to an independent specialist for his eye condition and LBP and has admitted to seeing the JMS staff at least once a month. ECF No. 194 at 15, 16, 19, 23, 27, 28-29. The court also cannot find evidentiary support for plaintiff's Monell claims through the declarations of his former cellmates, which he argues demonstrates that there was a practice that "delayed or failed to respond to the serious medical needs of the inmates." ECF No. 194-1 at 32. Even if these declarations were admissible, neither former cellmate properly attests to necessary information such as the time period, parties involved, and health issues. In sum, plaintiff's evidence is insufficient to support a finding that there was a routine failure to follow the JMS policies.

Plaintiff's citations to findings by grand juries and the National Commission on Correction Health Care and Corrections Standard Authority do not support an inference that there was a custom or practice to delay or deny medical care for budgetary reasons. Plaintiff cites these findings to argue that the policies were outdated and non-compliant, but fails to demonstrate how these findings relate to the alleged inadequate medical care he received at Sutter County Jail. ECF No. 194 at 13. For example, none of the grand jury findings identified a policy that existed to delay or deny inmates' medical treatment or that such policy led to the denial of plaintiff's medical care before he was transferred to CDCR. ECF No. 185 at 1702-1779. In addition, the cited reports do not make any findings on referrals to outpatient care. To the extent that plaintiff claims that Sutter County Jail's request for NCCHC to assess its health care management is evidence of a policy to deny or delay medical care for budgetary reasons, his claim is unsupported. ECF No. 194-1 at 172-74. Sutter County Jail's efforts to identify means to improve

health care and costs at its facility does not support an inference of deliberate indifference.⁹

B. Failure to Protect

"The Constitution does not mandate comfortable prisons, but neither does it permit inhumane ones." Farmer v. Brennan, 511 U.S. 825, 832 (1994) (internal quotation marks and citation omitted). "[A] prison official violates the Eighth Amendment only when two requirements are met. First, the deprivation alleged must be, objectively, sufficiently serious, a prison official's act or omission must result in the denial of the minimal civilized measure of life's necessities." Id. at 834 (internal quotation marks and citations omitted). Second, the prison official must subjectively have a sufficiently culpable state of mind, "one of deliberate indifference to inmate health or safety." Id. (internal quotation marks and citations omitted). The official is not liable under the Eighth Amendment unless he "knows of and disregards an excessive risk to inmate health or safety; the official must both be aware of facts from which the inference could be drawn that a substantial risk of serious harm exists, and he must also draw the inference." Id. at 837. Then he must fail to take reasonable measures to abate the substantial risk of serious harm. Id. at 847. Mere negligent failure to protect an inmate from harm is not actionable under § 1983. Id. at 835.

In this case, plaintiff claims that Parker and Bidwell violated his rights by failing to establish written policies and procedures for maintaining an acceptable level of cleanliness, repair, and safety at the facility, given that as the sheriff and jail commander, Parker and Bidwell were responsible for the administration and operation of the jail. As the facility administrator, Parker was required to "develop written policies and procedures for the maintenance of an acceptable level of cleanliness, repair, and safety throughout the facility." Cal. Code Regs., tit. 15, § 1280. These policies must include "a regular schedule of housekeeping tasks and inspections to identify and correct unsanitary or unsafe conditions or work practices." Id. Specifically, plaintiff claims that defendant Parker failed to establish such appropriate policies or

⁹ Because the court finds no violation of plaintiff's constitutional rights, it need not address defendants Denny, Parker, Sutter County Sheriff's Department, Sutter County Jail, and Sutter County's argument that they are entitled to qualified immunity.

ensure its implementation, which led to the growth of mold and other contaminants in the ventilation system and to the plaintiff's respiratory health complications. ECF No. 66-2 at 16, ¶ 74. Because of Parker and Bidwell's positions as sheriff and prison commander, the Sheriff's Department, the Jail, and the County may be liable for failure to protect under Monell.

Defendants argue that because plaintiff has only alleged violation of a California regulation, he cannot support a § 1983 claim. ECF No. 164-2 at 37-38. Though it is true that violation of a state law does not *by itself* amount to the deprivation of a constitutional right, California Code Section 1280 establishes that Parker and Bidwell were responsible for the conditions of safety of the jail and were thus were in a position of power to enact policies that deny inmates the minimal civilized measure of life's necessities. That is, though not every violation of a state regulation rises to the level of a constitutional violation, if such violation satisfies the two-prong Farmer test, Eighth Amendment rights would be implicated.

With regard to the first prong of the Farmer test, plaintiff has not submitted evidence showing that Parker and Bidwell failed to establish policies to ensure the safety and cleanliness of the facility and that these omissions resulted in conditions sufficiently serious to result in an Eighth Amendment violation. It is undisputed that Sutter County Jail underwent an environmental health inspection a few months before plaintiff's departure from the facility and was found to be clean and in good repair. It is further undisputed that that Sutter County Jail was notified of water leaks in the jail, conducted a building inspection by its internal staff, and found no mold. Plaintiff does not present evidence to contest the results of the inspections, but rather speculates that the facility was non-compliant based on his own observations and his review of the maintenance records.

Plaintiff is not qualified to identify mold or to opine about its attendant health risks, and his own conclusory assertions are insufficient to establish the factual predicate for this claim. See ECF No. 185 at 55-56. Further, plaintiff does not submit any evidence showing that Sutter County Jail failed to implement a policy to maintain health and safety standards, nor competent evidence of any inadequacies in the inspections. To the contrary, the record demonstrates that both internal and independent inspections were completed and that Sutter County Jail had passed

their health inspections during the relevant time period.

Plaintiff also fails to identify evidence sufficient to satisfy the second prong of the Farmer test, by showing that Parker and Bidwell had a sufficiently culpable state of mind rising to the level of deliberate indifference to plaintiff's health or safety. Though it is disputed whether plaintiff raised his concerns regarding the growth of mold in his cell, either verbally or through a grievance procedure, plaintiff has produced no evidence of such complaints. Even if the court accepts plaintiff's version of the facts, he has not indicated which staff members he made his complaint to, when, and how many times. More fundamentally, he has not provided evidence that what he saw and complained about was in fact mold and was specifically a type that would be harmful to him. Even construed in the most favorable light to the plaintiff, the record does not support a conclusion that Parker and Bidwell knew of and disregarded an excessive risk to plaintiff's safety.

Accordingly, summary judgment should be granted to the defendants on this claim.

C. <u>ADA and RA</u>

Plaintiff claims that Sutter County Jail, Sutter County, and Sutter County Sheriff's Department violated Title II of the ADA and § 504 of the RA. To state a claim under Title II of the ADA, the plaintiff must allege that:

(1) he "is an individual with a disability;" (2) he "is otherwise qualified to participate in or receive the benefit of some public entity's services, programs, or activities;" (3) he "was either excluded from participation in or denied the benefits of the public entity's services, programs, or activities, or was otherwise discriminated against by the public entity;" and (4) "such exclusion, denial of benefits, or discrimination was by reason of [his] disability."

McGary v. City of Portland, 386 F.3d 1259, 1265 (9th Cir. 2004) (alteration in original) (quoting Thompson v. Davis, 295 F.3d 890, 895 (9th Cir. 2002)); Weinreich v. Los Angeles Cnty. Metro. Transp. Auth., 114 F.3d 976, 978 (9th Cir. 1997) (citations omitted). "[I]nsofar as Title II [of the ADA] creates a private cause of action for damages against the States for conduct that *actually* violates the Fourteenth Amendment, Title II validly abrogates state sovereign immunity." <u>United States v. Georgia</u>, 546 U.S. 151, 159 (2006) (emphasis in original). The Supreme Court has held

that Title II of the ADA applies to state prisons. <u>Pa. Dep't of Corr. v. Yeskey</u>, 524 U.S. 206, 209-10 (1998).

While the RA has the additional requirement that the program or activity receive federal funds, 29 U.S.C. § 794, "[t]here is no significant difference in analysis of the rights and obligations created by the ADA and the Rehabilitation Act. Thus, courts have applied the same analysis to claims brought under both statutes," <u>Zukle v. Regents of the Univ. of Cal.</u>, 166 F.3d 1041, 1045 n.11 (9th Cir. 1999) (internal citations omitted).

Plaintiff argues that he qualifies as disabled under the ADA because of a respiratory condition and his LBP, and that as a result of these disabilities, he was denied access to programs and services because, among other things, his upper tier cell required him to navigate stairs, which presented a significant risk of harm. ECF No. 66-2 at 17, 31, 42-43. He also contends that he gave defendants adequate notice of his disabilities and needs. ECF No. 194-1 at 221.

Though plaintiff provides documentation of his LBP, allergies, and eye condition, he has not submitted evidence that supports a conclusion he is disabled under the ADA. A disabled individual is a person with a "physical or mental impairment that substantially limits one or more major life activities of such individual," "a record of such impairment," or "being regarded as having such an impairment." 42 U.S.C.A. § 12102. Plaintiff must present sufficient evidence to demonstrate his disability. Wong v. Regents of Univ. of California, 410 F.3d 1052, 1065 (9th Cir. 2005). Nothing in plaintiff's documentation would suggest that plaintiff was substantially limited in a major life activity. Moreover, plaintiff has not submitted any evidence that he was excluded from or denied benefits provided by Sutter County Jail's services, programs, or activities. He acknowledged that he accessed the medical cell, law library, recreation yard, canteen, and visitor area.

Plaintiff further fails to present evidence demonstrating that he was denied a reasonable accommodation. Plaintiff admits to never requesting accommodations other than a request to be moved for his general comfort, unrelated to any alleged disabling condition. Defendants are entitled to summary judgment on this claim.

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D. State Tort Claims

Subject to the conditions set forth in 28 U.S.C. § 1367(c), district courts may decline to exercise supplemental jurisdiction over state law claims. Acri v. Varian Assocs., Inc., 114 F.3d 999, 1000 (9th Cir. 1997) (en banc). The court's decision whether to exercise supplemental jurisdiction should be informed by "values of economy, convenience, fairness, and comity." Id. at 1001 (citations and internal quotation marks omitted). Further, primary responsibility for developing and applying state law rests with the state courts. Therefore, when federal claims are eliminated before trial, district courts should usually decline to exercise supplemental jurisdiction. Carnegie-Mellon Univ. v. Cohill, 484 U.S. 343, 350 & n.7 (1988) (citation omitted); Gini v. Las Vegas Metro. Police Dep't, 40 F.3d 1041, 1046 (9th Cir. 1994) (""[I]n the usual case in which federal-law claims are eliminated before trial, the balance of factors . . . will point toward declining to exercise jurisdiction over the remaining state law claims." (emphasis and alteration in original) (quoting Schneider v. TRW, Inc., 938 F.2d 986, 993 (9th Cir. 1991))).

As outlined above, it is recommended that defendants' motion for summary judgment be granted as to the federal law claims. Plaintiff has not identified any reason why his case is not a usual case and the undersigned therefore recommends that the court decline to exercise supplemental jurisdiction over plaintiff's state law claims.

X. Plain Language Summary of this Order for a Pro Se Litigant

First, your motions for sanctions and motion to strike are denied. Your first motion for sanctions (ECF No. 188), is being denied because the motion is untimely. Your motion to strike Dr. Kersten's declaration (ECF No. 190) is being denied because you failed to provide evidence of any bad faith on the part of defendants. Your second motion for sanctions (ECF No. 191), will also be denied because you did not show that defendants engaged in willful misconduct.

The undersigned is further recommending defendants' motion for summary judgment be granted because you did not present enough admissible evidence to create a triable dispute of fact on your federal claims. Because the undersigned recommends dismissal of your federal claims, the undersigned further recommends that your state law claims be dismissed.

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1 Accordingly, **IT IS HEREBY ORDERED** that: 2 1. Plaintiff's motion for sanctions based on the destruction of evidence (ECF No. 188) is 3 denied; 4 2. Plaintiff's motion to strike the declaration of Robert Kersten (ECF No. 190) is denied; 5 3. Plaintiff's motion for sanctions (ECF No. 191), is denied. **IT IS FURTHER RECOMMENDED** that: 6 7 1. The Doe defendant be dismissed; 8 2. Defendants' motion for summary judgment (ECF No. 164) be granted; 9 3. Judgment be entered for defendants Denny, Parker, Bidwell, Sanders, Brown, Sutter 10 County Jail, Sutter County Sheriff, and Sutter County. 11 These findings and recommendations are submitted to the United States District Judge 12 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days 13 after being served with these findings and recommendations, any party may file written 14 objections with the court and serve a copy on all parties. Such a document should be captioned 15 "Objections to Magistrate Judge's Findings and Recommendations." Any response to the 16 objections shall be served and filed within seven days after service of the objections. Due to 17 exigencies in the court's calendar, there will be no extensions of time granted. The parties 18 are advised that failure to file objections within the specified time may waive the right to appeal 19 the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991). 20 DATED: August 25, 2020 21 22 UNITED STATES MAGISTRATE JUDGE 23

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