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UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

MARIO K. MOORE,
Plaintiff,
v.
MICHAEL McDONALD, et al.,
Defendants.

No. 2:12-cv-0997 KJN P

ORDER AND FINDINGS AND
RECOMMENDATIONS

I. Introduction

Plaintiff is a state prisoner, proceeding without counsel. This action proceeds on plaintiff's complaint against defendants D. Davey and E. Callison.¹ Defendants' motion for summary judgment on the issue of exhaustion of administrative remedies is before the court. As set forth more fully below, the undersigned finds that defendants' motion for summary judgment should be granted.

II. Plaintiff's Verified Complaint

Plaintiff alleges that defendant Davey falsely accused plaintiff in a rules violation report charging plaintiff with participating in a prison riot because plaintiff filed a civil rights complaint alleging deliberate indifference to his serious medical needs. Plaintiff claims he was unable to

¹ On June 4, 2014, defendant McDonald was dismissed without prejudice, and plaintiff's Eighth Amendment claims against defendants Davey and Callison were dismissed. (ECF No. 11 at 3, 4.)

1 participate in the riot because he does not have the ability to stand or walk without a walker and
2 was not involved. Plaintiff was placed in administrative segregation on November 4, 2011, where
3 he was allegedly forced to live “without functioning utilities, no electrical outlets, no lockers, no
4 table, [and] a clogged up facial sink, filled with black toxic scum water for 25 days before
5 repairing.” (ECF No. 1 at 5.) Plaintiff filed an administrative appeal regarding the living
6 conditions, and plaintiff alleges that in reprisal for such appeal, defendant Callison: (1) cut the
7 power to plaintiff’s cell for four hours, (2) threw plaintiff’s breakfast on the floor on November
8 24, 2011, and (3) threw a carton of milk inside plaintiff’s cell “as if the milk was a baseball” on
9 December 30, 2011. (ECF No. 1 at 6.) On December 16, 2011, plaintiff alleges that defendant
10 Davey and other unnamed officers told plaintiff to withdraw his appeal and when he refused,
11 Davey and these officers surrounded plaintiff “as if [he] would be assaulted if [he] would not
12 withdraw the appeal.” (ECF No. 1 at 7.) Plaintiff alleges he was forced against his will to
13 withdraw the appeal by intimidation and to prevent plaintiff from exhausting his administrative
14 remedies.

15 III. Defendants’ Motion for Summary Judgment

16 Defendants move for summary judgment on the grounds that plaintiff failed to exhaust his
17 administrative remedies prior to filing the instant action.

18 A. Exhaustion of Administrative Remedies

19 The Prison Litigation Reform Act (“PLRA”) provides that “[n]o action shall be
20 brought with respect to prison conditions under section 1983 . . . , or any other Federal law, by a
21 prisoner confined in any jail, prison, or other correctional facility until such administrative
22 remedies as are available are exhausted.” 42 U.S.C. § 1997e(a). “[T]he PLRA’s exhaustion
23 requirement applies to all inmate suits about prison life, whether they involve general
24 circumstances or particular episodes, and whether they allege excessive force or some other
25 wrong.” Porter v. Nussle, 534 U.S. 516, 532 (2002).

26 Proper exhaustion of available remedies is mandatory, Booth v. Churner, 532 U.S. 731,
27 741 (2001), and “[p]roper exhaustion demands compliance with an agency’s deadlines and other
28 critical procedural rules[.]” Woodford v. Ngo, 548 U.S. 81, 90 (2006). The Supreme Court has

1 also cautioned against reading futility or other exceptions into the statutory exhaustion
2 requirement. See Booth, 532 U.S. at 741 n.6. Moreover, because proper exhaustion is necessary,
3 a prisoner cannot satisfy the PLRA exhaustion requirement by filing an untimely or otherwise
4 procedurally defective administrative grievance or appeal. See Woodford, 548 U.S. at 90-93.
5 “[T]o properly exhaust administrative remedies prisoners ‘must complete the administrative
6 review process in accordance with the applicable procedural rules,’ [] - rules that are defined not
7 by the PLRA, but by the prison grievance process itself.” Jones v. Bock, 549 U.S. 199, 218
8 (2007) (quoting Woodford, 548 U.S. at 88). See also Marella v. Terhune, 568 F.3d 1024, 1027
9 (9th Cir. 2009) (“The California prison system’s requirements ‘define the boundaries of proper
10 exhaustion.’”) (quoting Jones, 549 U.S. at 218).

11 In California, prisoners may appeal “any policy, decision, action, condition, or omission
12 by the department or its staff that the inmate or parolee can demonstrate as having a material
13 adverse effect upon his or her health, safety, or welfare.” Cal. Code Regs. tit. 15, § 3084.1(a).
14 On January 28, 2011, California prison regulations governing inmate grievances were revised.
15 Cal. Code Regs. tit. 15, § 3084.7. Now, inmates in California proceed through three levels of
16 appeal to exhaust the appeal process: (1) formal written appeal on a CDC 602 inmate appeal
17 form, (2) second level appeal to the institution head or designee, and (3) third level appeal to the
18 Director of the California Department of Corrections and Rehabilitation (“CDCR”). Cal. Code
19 Regs. tit. 15, § 3084.7. Under specific circumstances, the first level review may be bypassed. Id.
20 The third level of review constitutes the decision of the Secretary of the CDCR and exhausts a
21 prisoner’s administrative remedies. See id. § 3084.7(d)(3). Since 2008, medical appeals have
22 been processed at the third level by the Office of Third Level Appeals for the California
23 Correctional Health Care Services. A California prisoner is required to submit an inmate appeal
24 at the appropriate level and proceed to the highest level of review available to him. Butler v.
25 Adams, 397 F.3d 1181, 1183 (9th Cir. 2005); Bennett v. King, 293 F.3d 1096, 1098 (9th Cir.
26 2002). Since the 2011 revision, in submitting a grievance, an inmate is required to “list all staff
27 members involved and shall describe their involvement in the issue.” Cal. Code Regs. tit. 15,
28 § 3084.2(3). Further, the inmate must “state all facts known and available to him/her regarding

1 the issue being appealed at the time,” and he or she must “describe the specific issue under appeal
2 and the relief requested.” Cal. Code Regs. tit. 15, §§ 3084.2(a)(4). An inmate now has thirty
3 calendar days to submit his or her appeal from the occurrence of the event or decision being
4 appealed, or “upon first having knowledge of the action or decision being appealed.” Cal. Code
5 Regs. tit. 15, § 3084.8(b).

6 Failure to exhaust is “an affirmative defense the defendant must plead and prove.” Jones,
7 549 U.S. at 204, 216. In Albino, the Ninth Circuit agreed with the underlying panel’s decision²
8 “that the burdens outlined in Hilao [v. Estate of Marcos], 103 F.3d 767, 778 n.5 (9th Cir. 1996),]
9 should provide the template for the burdens here.” Albino v. Baca, 747 F.3d 1162, 1172 (9th Cir.
10 2014) (en banc). A defendant need only show “that there was an available administrative remedy,
11 and that the prisoner did not exhaust that available remedy.” Albino, 747 F.3d at 1172. Once the
12 defense meets its burden, the burden shifts to the plaintiff to show that the administrative
13 remedies were unavailable. See Albino, 697 F.3d at 1030-31.

14 A prisoner may be excused from complying with the PLRA’s exhaustion requirement if
15 he establishes that the existing administrative remedies were effectively unavailable to him. See
16 Albino, 747 F.3d at 1172-73. When an inmate’s administrative grievance is improperly rejected
17 on procedural grounds, exhaustion may be excused as effectively unavailable. Sapp v. Kimbrell,
18 623 F.3d 813, 823 (9th Cir. 2010); see also Nunez v. Duncan, 591 F.3d 1217, 1224-26 (9th Cir.
19 2010) (warden’s mistake rendered prisoner’s administrative remedies “effectively unavailable”);
20 Brown v. Valoff, 422 F.3d 926, 940 (9th Cir. 2005) (plaintiff not required to proceed to third
21 level where appeal granted at second level and no further relief was available).

22 Where a prison system’s grievance procedures do not specify the requisite level of detail
23 for inmate appeals, Sapp, 623 F.3d at 824, a grievance satisfies the administrative exhaustion
24 requirement if it “alerts the prison to the nature of the wrong for which redress is sought.” Griffin
25 v. Arpaio, 557 F.3d 1117, 1120 (9th Cir. 2009). “A grievance need not include legal terminology

26 ² See Albino v. Baca, 697 F.3d 1023, 1031 (9th Cir. 2012). The three judge panel noted that “[a]
27 defendant’s burden of establishing an inmate’s failure to exhaust is very low.” Id. at 1031.
28 Relevant evidence includes statutes, regulations, and other official directives that explain the
scope of the administrative review process. Id. at 1032.

1 or legal theories unless they are in some way needed to provide notice of the harm being grieved.
2 A grievance also need not contain every fact necessary to prove each element of an eventual legal
3 claim. The primary purpose of a grievance is to alert the prison to a problem and facilitate its
4 resolution, not to lay groundwork for litigation.” Griffin, 557 F.3d at 1120.

5 If under the Rule 56 summary judgment standard, the court concludes that plaintiff has
6 failed to exhaust administrative remedies, the proper remedy is dismissal without prejudice.
7 Wyatt v. Terhune, 315 F.3d 1108, 1120, overruled on other grounds by Albino, 747 F.3d 1162.

8 B. Legal Standard for Summary Judgment

9 Summary judgment is appropriate when it is demonstrated that the standard set forth in
10 Federal Rule of Civil procedure 56 is met. “The court shall grant summary judgment if the
11 movant shows that there is no genuine dispute as to any material fact and the movant is entitled to
12 judgment as a matter of law.” Fed. R. Civ. P. 56(a).

13 Under summary judgment practice, the moving party always
14 bears the initial responsibility of informing the district court of the
15 basis for its motion, and identifying those portions of “the
16 pleadings, depositions, answers to interrogatories, and admissions
on file, together with the affidavits, if any,” which it believes
demonstrate the absence of a genuine issue of material fact.

17 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986) (quoting then-numbered Fed. R. Civ. P.
18 56(c)). “Where the nonmoving party bears the burden of proof at trial, the moving party need
19 only prove that there is an absence of evidence to support the non-moving party’s case.” Nursing
20 Home Pension Fund, Local 144 v. Oracle Corp. (In re Oracle Corp. Sec. Litig.), 627 F.3d 376,
21 387 (9th Cir. 2010) (citing Celotex Corp., 477 U.S. at 325); see also Fed. R. Civ. P. 56 advisory
22 committee’s notes to 2010 amendments (recognizing that “a party who does not have the trial
23 burden of production may rely on a showing that a party who does have the trial burden cannot
24 produce admissible evidence to carry its burden as to the fact”). Indeed, summary judgment
25 should be entered, after adequate time for discovery and upon motion, against a party who fails to
26 make a showing sufficient to establish the existence of an element essential to that party’s case,
27 and on which that party will bear the burden of proof at trial. Celotex Corp., 477 U.S. at 322.
28 “[A] complete failure of proof concerning an essential element of the nonmoving party’s case

1 necessarily renders all other facts immaterial.” Id. at 323.

2 Consequently, if the moving party meets its initial responsibility, the burden then shifts to
3 the opposing party to establish that a genuine issue as to any material fact actually exists. See
4 Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to
5 establish the existence of such a factual dispute, the opposing party may not rely upon the
6 allegations or denials of its pleadings, but is required to tender evidence of specific facts in the
7 form of affidavits, and/or admissible discovery material in support of its contention that such a
8 dispute exists. See Fed. R. Civ. P. 56(c); Matsushita, 475 U.S. at 586 n.11. The opposing party
9 must demonstrate that the fact in contention is material, i.e., a fact that might affect the outcome
10 of the suit under the governing law, see Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248
11 (1986); T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir.
12 1987), and that the dispute is genuine, i.e., the evidence is such that a reasonable jury could return
13 a verdict for the nonmoving party, see Wool v. Tandem Computers, Inc., 818 F.2d 1433, 1436
14 (9th Cir. 1987), overruled in part on other grounds, Hollinger v. Titan Capital Corp., 914 F.2d
15 1564, 1575 (9th Cir. 1990).

16 In the endeavor to establish the existence of a factual dispute, the opposing party need not
17 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed factual
18 dispute be shown to require a jury or judge to resolve the parties’ differing versions of the truth at
19 trial.” T.W. Elec. Serv., 809 F.2d at 630. Thus, the “purpose of summary judgment is to ‘pierce
20 the pleadings and to assess the proof in order to see whether there is a genuine need for trial.’”
21 Matsushita, 475 U.S. at 587 (quoting Fed. R. Civ. P. 56(e) advisory committee’s note on 1963
22 amendments).

23 In resolving a summary judgment motion, the court examines the pleadings, depositions,
24 answers to interrogatories, and admissions on file, together with the affidavits, if any. Fed. R.
25 Civ. P. 56(c). The evidence of the opposing party is to be believed. See Anderson, 477 U.S. at
26 255. All reasonable inferences that may be drawn from the facts placed before the court must be
27 drawn in favor of the opposing party. See Matsushita, 475 U.S. at 587. Nevertheless, inferences
28 are not drawn out of the air, and it is the opposing party’s obligation to produce a factual

1 predicate from which the inference may be drawn. See Richards v. Nielsen Freight Lines, 602 F.
2 Supp. 1224, 1244-45 (E.D. Cal. 1985), aff'd, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to
3 demonstrate a genuine issue, the opposing party “must do more than simply show that there is
4 some metaphysical doubt as to the material facts. . . . Where the record taken as a whole could
5 not lead a rational trier of fact to find for the nonmoving party, there is no ‘genuine issue for
6 trial.’” Matsushita, 475 U.S. at 586 (citation omitted).

7 By contemporaneous notice provided on September 22, 2014, (ECF No. 22-4), plaintiff
8 was advised of the requirements for opposing a motion brought pursuant to Rule 56 of the Federal
9 Rules of Civil Procedure. See Rand v. Rowland, 154 F.3d 952, 957 (9th Cir. 1998) (*en banc*);
10 Klinge v. Eikenberry, 849 F.2d 409 (9th Cir. 1988).

11 C. Facts³

12 1. Plaintiff is an inmate in the custody of the California Department of Corrections and
13 Rehabilitation (“CDCR”).

14 2. At times relevant to the lawsuit, plaintiff was incarcerated at High Desert State Prison
15 (“HDSP”) in Susanville. (ECF No. 1.)

16 3. Defendants Davey and Callison were correctional officers at HDSP at all relevant
17 times herein.

18 4. On November 28, 2011, plaintiff filed an inmate appeal alleging he was placed in a cell
19 with no table, desk, or electrical outlet for his television, and no functioning sink. (ECF No. 22-3
20 at 3.)

21 5. On November 28, 2011, the appeal was accepted at the first level of review, and was
22 assigned Appeal Log No. HDSP-D-11-01608. (ECF No. 22-3 at 3.)

23 6. Plaintiff was moved to another cell with a shelf for his television, functioning outlets,
24 and a faucet. (ECF No. 22-3 at 3.)

25 7. On December 16, 2011, plaintiff withdrew his appeal. (ECF No. 22-3 at 3.)

26 _____
27 ³ For purposes of the instant motion for summary judgment, the court finds the following facts
28 undisputed. Documents submitted as exhibits are considered to the extent they are relevant, and
despite the fact that they are not authenticated because such documents could be admissible at
trial if authenticated.

1 8. There is no record that plaintiff filed an inmate appeal alleging that he was forced to
2 withdraw Appeal Log No. HDSP-D-11-01608 in retaliation. (ECF No. 22-3 at 3-7.)

3 9. On November 9, 2011, plaintiff filed an appeal alleging that he was falsely accused of
4 participating in a riot. He requested to resume his program and be released from the ASU. (ECF
5 No. 22-3 at 5.)

6 10. On November 9, 2011, the appeal was screened out, rejected, and was returned to
7 plaintiff under California Code of Regulations, title 15, section 3084.6(b)(1), because the appeal
8 concerned an anticipated action or decision, and the issues were not appealable until the
9 underlying event occurred. (ECF No. 22-3 at 5.)

10 11. Subsequently, plaintiff did not resubmit an appeal alleging that he was falsely accused
11 of participating in a riot that was accepted for filing and exhausted through all levels at HDSP.
12 (ECF No. 22-3 at 3-7.)

13 12. No third level review issued for any of the claims raised in plaintiff's complaint.
14 (ECF No. 22-2 at 3-4.)

15 13. Between October 31, 2011, and October 10, 2012, only one appeal of plaintiff's was
16 processed to the third level of review, Appeal Log No. HDSP-12-01367 (TLR No. 1202482), on
17 August 27, 2012, regarding an alleged misuse of force by Correctional Officer J. Barron. (ECF
18 No. 22-2 at 4.) That Appeal Log No. HDSP-12-01367 was denied on October 24, 2012. (ECF
19 No. 22-2 at 4.)

20 14. Moreover, none of plaintiff's five appeals that were accepted and processed after
21 November 28, 2011, and accepted by October 10, 2012, named defendants Davey and Callison,
22 or alleged facts contained in plaintiff's complaint. (ECF No. 22-3 at 3-4.)

23 15. None of plaintiff's nine appeals that were received and screened out after November
24 9, 2011, and received by September 19, 2012, named defendants Davey and Callison, or alleged
25 facts contained in plaintiff's complaint. (ECF No. 22-3 at 5-7.)

26 D. The Parties' Contentions

27 Defendants contend that they are entitled to summary judgment because plaintiff failed to
28 exhaust his retaliation claims against defendants Davey and Callison. Defendants contend that

1 the prison appeal system was available to plaintiff, who was aware of, and made extensive use of,
2 the prison appeal system. Defendants argue that plaintiff filed multiple appeals and processed at
3 least one of them through the third level of review, yet failed to properly file an appeal
4 concerning his retaliation claims against defendants through the third level of review.

5 In his unverified opposition, plaintiff claims that

6 every time an appeal was submitted with [his] name on it on D.
7 Davey or Callison it was intercepted and not processed. All in
8 retaliation [for] plaintiff filing a civil rights complaint against the
warden . . . for deliberate indifference to [plaintiff's] serious
medical needs.

9 (ECF No. 24 at 3.) Plaintiff claims he presented an appeal alleging that Callison cut off the
10 power to plaintiff's cell for four hours, but alleges that Callison "balled up the 602 and stated
11 [plaintiff] could not 602 him." (ECF No. 24 at 3.) Plaintiff claims he tried sending it through the
12 U-Save envelope through the mail to the appeals coordinator, but the mail never made it to the
13 appeals office. (ECF No. 24 at 3.) Plaintiff states his "appeals [were] not [accepted] for D.
14 Davey or C/O Callison on several occasions." (ECF No. 24 at 4.) Plaintiff claims he has
15 witnesses to defendant Callison's actions, but plaintiff did not provide any declarations from
16 witnesses addressing Callison's actions in connection with plaintiff's appeals concerning the
17 instant retaliation claims.⁴

18 No reply was filed.

19 E. Discussion

20 Proper exhaustion of available remedies is mandatory, Booth, 532 U.S. at 741, and
21 "[p]roper exhaustion demands compliance with an agency's deadlines and other critical
22 procedural rules[.]" Woodford, 548 U.S. at 90. As set forth above, 2011 regulations now require
23 inmates to name the staff person involved, and to provide all facts regarding the issue being

24
25 ⁴ Plaintiff provided eight declarations from inmates, but the declarations concern Correctional
26 Officers Barron, Russell, Tuffin, Eldrige, Davis, and Blausner, and address incidents from April
27 13, 2012, through June 18, 2012, none of which are alleged in the instant complaint. (ECF No.
28 24 at 37-44.) Similarly, plaintiff's declaration submitted in Moore v. McDonald, Case No. 2:10-
cv-3457 KJM KJN, did not address the actions of defendants Davey or Callison in connection
with accepting or filing plaintiff's appeals concerning his retaliation claims herein. (ECF No. 24
at 23-25.)

1 appealed, as well as the relief requested. Cal. Code Regs. tit. 15, §§ 3084.2(3), 3084.2(a)(4). The
2 Supreme Court has stated that to properly exhaust administrative remedies, inmates must comply
3 with the applicable procedural rules because administrative exhaustion is governed by the prison
4 grievance process itself, not by the PLRA. Jones, 549 U.S. at 218; Woodford, 548 U.S. at 88.

5 Defendants have adduced evidence that plaintiff failed to file, or to exhaust through the
6 third level of review, any 602 appeal alleging retaliation by defendants Davey or Callison. None
7 of the appeals filed by plaintiff included allegations alleging that defendants Davey or Callison
8 retaliated against plaintiff for filing a civil rights complaint against the warden alleging
9 inadequate medical care. Thus, the burden shifts to plaintiff to demonstrate that he did exhaust
10 such claims or that he is entitled to be excused from exhausting such claims.

11 As a preliminary matter, the court notes that when a complaint is verified under penalty of
12 perjury, it has the effect of an affidavit to oppose summary judgment “to the extent it is ‘based on
13 personal knowledge’ and ‘sets forth specific facts admissible in evidence.’” Keenan v. Hall, 83
14 F.3d 1083, 1090 n.1 (9th Cir. 1996). Here, however, the facts alleged in plaintiff’s verified
15 complaint do not address the alleged acts of defendant Callison in handling plaintiff’s 602 appeals
16 regarding retaliation, and do not set forth any acts by defendant Davey in connection with
17 plaintiff’s alleged efforts to exhaust the instant retaliation claims. In addition, plaintiff’s
18 opposition is not signed under penalty of perjury, and was not accompanied by plaintiff’s
19 declaration or the declarations of inmates confirming defendant Callison’s alleged actions in
20 connection with plaintiff’s efforts to file an appeal concerning his retaliation claims. (ECF No. 24
21 at 23-25; 37-44.) Therefore, despite plaintiff’s arguments in his unverified opposition that
22 defendant Callison allegedly destroyed or intercepted every appeal that plaintiff submitted that
23 named defendant Callison or Davey, plaintiff adduced no evidence in support thereof.

24 Moreover, plaintiff submitted copies of appeals he alleged that defendant Callison
25 destroyed or intercepted, none of which bear received stamps from the appeals office. (ECF No.
26 24 at 8-16.) However, these appeals simply alleged wrongful actions by defendant Callison, i.e.
27 Callison allegedly threw plaintiff’s food on the floor (plaintiff alleges Callison destroyed this
28 appeal), and allegedly threw plaintiff’s carton of milk through the food port (plaintiff alleges this

1 appeal was not accepted). (ECF No. 24 at 8-16.) Plaintiff objected to defendant Callison's
2 disrespectful and inappropriate conduct, and asked that Callison be reprimanded, and refrain from
3 such cruel punishment. (Id.) However, plaintiff included no facts alleging that Callison's alleged
4 wrongful acts were taken in reprisal or retaliation for plaintiff's conduct protected by the First
5 Amendment. Thus, even if plaintiff were able to demonstrate that defendant Callison destroyed
6 or intercepted such appeals, such appeals did not include sufficient factual allegations to put
7 prison officials on notice of the retaliation claims alleged in the instant complaint. As set forth
8 above, plaintiff was required to include all facts supporting his claims in order to properly exhaust
9 his administrative remedies.

10 In addition, plaintiff's unverified claims are belied by the fact that he was aware of the
11 appeal process, and availed himself of the appeal process while housed at HDSP. Indeed,
12 defendants adduced evidence that plaintiff filed numerous appeals, at least one of which was
13 exhausted through the third level of review.

14 Finally, plaintiff provided a copy of his appeals contained in appeal Log No. HDSP-12-
15 01367. (ECF No. 24 at 27-36.) Plaintiff does not address this appeal in his opposition, but the
16 cover sheet for this exhibit states, "Appeal on the complete crew of correctional officers working
17 with C/O Callison and Associate Warden D. Davey constant retaliation, intimidation & threats."
18 (ECF No. 24 at 26.) On April 30, 2012, plaintiff submitted appeal Log No. HDSP-12-01367, in
19 which he claimed that C/O Barron aimed his mini 14 rifle at plaintiff in the chow hall, yelling at
20 plaintiff to get his "ass back to the table." (ECF No. 24 at 29.) Within this appeal, plaintiff also
21 recounted that he was threatened by defendant Callison in January 2012, and that defendant
22 Callison was the officer who threw plaintiff's breakfast on the living quarters floor on November
23 24, 2011, and turned off plaintiff's power to his cell on December 9, 2011. (ECF No. 24 at 29.)
24 However, fairly read, the focus of plaintiff's initial allegations was his concern that his life was
25 being threatened by various correctional officers, including defendant Callison. (ECF No. 24 at
26 29-30.) In his request for third level review, dated August 23, 2012, plaintiff broadly alleged that
27 "all threats and incidents of harassment was ... due to [plaintiff] filing a civil rights complaint ...
28 on the HDSP Warden in the Eastern district Court for improper medical care for denying

1 [plaintiff] insulin injections on 11 different occasions.” (ECF No. 24 at 30.)

2 Despite plaintiff’s cover sheet for this exhibit, defendant Davey is not mentioned in any of
3 plaintiff’s appeals contained in appeal Log No. HDSP-12-01367. As set forth above, inmates are
4 now required to name the staff person involved, and to provide all facts regarding the issue being
5 appealed, as well as the relief requested. Cal. Code Regs. tit. 15, §§ 3084.2(3), 3084.2(a)(4).
6 Also, inmates must comply with the applicable procedural rules because administrative
7 exhaustion is governed by the prison grievance process itself, not by the PLRA. Jones, 549 U.S.
8 at 218; Woodford, 548 U.S. at 88. Because plaintiff failed to name defendant Davey and to
9 recount any factual allegations in connection to defendant Davey, appeal Log No. HDSP-12-
10 01367 cannot serve to exhaust plaintiff’s retaliation claims against defendant Davey. Similarly,
11 plaintiff’s allegations that defendant Davey allegedly intimidated and forced plaintiff to withdraw
12 appeal Log No. HDSP-D-11-1608 cannot serve to exhaust plaintiff’s claims that defendant Davey
13 retaliated against plaintiff because the appeal does not allege retaliation on the part of defendant
14 Davey, and, in any event, defendant Davey’s actions were taken after the filing of such appeal.

15 In addition, at the third level of review, plaintiff’s appeal Log No. HDSP-12-01367 was
16 cancelled. Plaintiff’s third level appeal was defined as his claim that on April 13, 2012, Officer
17 Barron aimed his mini 14 rifle at plaintiff in the dining hall and yelled profanities at plaintiff
18 while directing him to go back to his table. (ECF No. 24 at 35.) The third level examiner noted
19 that plaintiff had raised “numerous other allegations of staff misconduct” in section A of the 602
20 appeal form, but that such allegations would not be addressed because they were not submitted
21 within thirty days of the event or decision being appealed. (ECF No. 24 at 35.) In addition, the
22 examiner noted that plaintiff had added new issues and requests to his appeal, which was
23 inappropriate. (Id.)

24 Thus, to the extent that plaintiff contends that appeal Log No. HDSP-12-01367 exhausts
25 the instant retaliation claims against defendant Callison, such contention is unavailing. An inmate
26 now has thirty calendar days to submit his or her appeal from the occurrence of the event or
27 decision being appealed, or “upon first having knowledge of the action or decision being
28 appealed.” Cal. Code Regs. tit. 15, § 3084.8(b). Plaintiff’s appeal Log No. HDSP-12-01367 was

1 signed on April 30, 2012, more than thirty days after defendant Callison's alleged conduct in
2 November and December, 2011. Similarly, plaintiff's effort to include his retaliation claim by
3 raising it in his request for third level review, is unavailing. "Administrative remedies shall not
4 be considered exhausted relative to any new issue, information, or person later named by the
5 appellant that was not included in the originally submitted [appeal] and addressed through all
6 required levels of administrative review up to and including the third level." Cal. Code Regs. tit.
7 15, § 3084.1(b). In other words, the addition of plaintiff's retaliation allegation in his request for
8 third level review in appeal Log No. HDSP-12-01367 does not exhaust such retaliation claim
9 because new claims are not permitted as the appeal moves through the levels of review. A
10 prisoner does not exhaust administrative remedies when he includes new issues from one level of
11 review to another. See Sapp, 623 F.3d at 825 (concluding that it was proper for prison officials to
12 "decline[] to consider a complaint about [prisoner's] eye condition that he raised for the first time
13 in a second-level appeal about medical care for a skin condition."); Dawkins v. Butler, 2013 WL
14 2475870, *8 (S.D. Cal. 2013) (a claim made for the first time in plaintiff's request for Third Level
15 review was insufficient to exhaust the issue where it was not included in the original appeal).

16 For all of these reasons, defendants Davey and Callison are entitled to summary judgment
17 based on plaintiff's failure to first exhaust his administrative remedies.

18 F. Conclusion

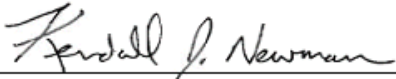
19 In accordance with the above, IT IS HEREBY ORDERED that the Clerk of the Court is
20 directed to assign a district judge to this case; and

21 IT IS RECOMMENDED that defendants' motion for summary judgment (ECF No. 22) be
22 granted; and this action be dismissed in its entirety, without prejudice, based on plaintiff's failure
23 to exhaust administrative remedies.

24 These findings and recommendations are submitted to the United States District Judge
25 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days
26 after being served with these findings and recommendations, any party may file written
27 objections with the court and serve a copy on all parties. Such a document should be captioned
28 "Objections to Magistrate Judge's Findings and Recommendations." Any response to the

1 objections shall be served and filed within fourteen days after service of the objections. The
2 parties are advised that failure to file objections within the specified time may waive the right to
3 appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

4 Dated: March 10, 2015

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6 _____
7 KENDALL J. NEWMAN
8 UNITED STATES MAGISTRATE JUDGE

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