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IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

RUTH D. COOKSON,
Plaintiff,

No. 2:12-cv-2542-CMK

vs.

MEMORANDUM OPINION AND ORDER

COMMISSIONER OF SOCIAL
SECURITY,
Defendant.

_____ /

Plaintiff, who is proceeding with retained counsel, brings this action for judicial review of a final decision of the Commissioner of Social Security under 42 U.S.C. § 405(g). Pursuant to the written consent of all parties, this case is before the undersigned as the presiding judge for all purposes, including entry of final judgment. See 28 U.S.C. § 636(c). Pending before the court are plaintiff’s motion for summary judgment (Doc. 13) and defendant’s cross-motion for summary judgment (Doc. 16). For the reasons discussed below, the court will deny plaintiff’s motion for summary judgment or remand and grant the Commissioner’s cross-motion for summary judgment.

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1 **I. PROCEDURAL HISTORY¹**

2 Plaintiff applied for social security benefits with a protective filing date of March
3 6, 2009, alleging an onset of disability on October 12, 2006, due to arthritis in knees, back and
4 hands, stress, anxiety, panic attacks, and high blood pressure (Certified administrative record
5 (“CAR”) 120-26, 133-36, 137-43, 172-80). Plaintiff’s claim was denied initially and upon
6 reconsideration. Plaintiff requested an administrative hearing, which was held on March 9, 2011,
7 before Administrative Law Judge (“ALJ”) Laura Speck Havens. In a June 29, 2011, decision, the
8 ALJ concluded that plaintiff is not disabled² based on the following findings:

9 _____
10 ¹ Because the parties are familiar with the factual background of this case, including
11 plaintiff’s medical history, the undersigned does not exhaustively relate those facts here. The
12 facts related to plaintiff’s impairments and medical history will be addressed insofar as they are
13 relevant to the issues presented by the parties’ respective motions.

14 ² Disability Insurance Benefits are paid to disabled persons who have contributed to
15 the Social Security program, 42 U.S.C. § 401 *et seq.* Supplemental Security Income (“SSI”) is
16 paid to disabled persons with low income. 42 U.S.C. § 1382 *et seq.* Under both provisions,
17 disability is defined, in part, as an “inability to engage in any substantial gainful activity” due to
18 “a medically determinable physical or mental impairment.” 42 U.S.C. §§ 423(d)(1)(a) &
19 1382c(a)(3)(A). A five-step sequential evaluation governs eligibility for benefits. See 20 C.F.R.
20 §§ 423(d)(1)(a), 416.920 & 416.971-76; Bowen v. Yuckert, 482 U.S. 137, 140-42 (1987). The
21 following summarizes the sequential evaluation:

22 Step one: Is the claimant engaging in substantial gainful
23 activity? If so, the claimant is found not disabled. If not, proceed
24 to step two.

25 Step two: Does the claimant have a “severe” impairment?
26 If so, proceed to step three. If not, then a finding of not disabled is
appropriate.

Step three: Does the claimant’s impairment or combination
of impairments meet or equal an impairment listed in 20 C.F.R., Pt.
404, Subpt. P, App.1? If so, the claimant is automatically
determined disabled. If not, proceed to step four.

Step four: Is the claimant capable of performing his past
work? If so, the claimant is not disabled. If not, proceed to step
five.

Step five: Does the claimant have the residual functional
capacity to perform any other work? If so, the claimant is not
disabled. If not, the claimant is disabled.

Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995).

- 1 1. The claimant meets the insured status requirements of the Social
2 Security Act through December 31, 2011.
- 3 2. The claimant has not engaged in substantial gainful activity since
4 October 12, 2006, the alleged onset date (20 CFR 404.1571 *et seq*).
- 5 3. The claimant had the following severe impairments: low back pain,
6 neck pain, bilateral knee pain, and depressive disorder (20 CFR
7 404.1520(c)).
- 8 4. The claimant does not have an impairment or combination of
9 impairments that meets or medically equals one of the listed
10 impairments in 20 CFR Part 404, Subpart P, Appendix 1 (20 CFR
11 404.1520(d), 404.1525 and 404.1526).
- 12 5. After careful consideration of the entire record, the undersigned
13 finds that the claimant has the residual functional capacity to
14 perform medium work as defined in 20 CFR 404.1567(c) except
15 she is limited to only performing simple repetitive tasks.
- 16 6. The claimant is unable to perform any past relevant work (20 CFR
17 404.1565).
- 18 7. The claimant was born on September 18, 1959 and was 47 years
19 old, which is defined as a younger individual age 18-49, on the
20 alleged disability onset date. The claimant subsequently changed
21 age category to closely approaching advanced age (20 CFR
22 404.1563).
- 23 8. The claimant has at least a high school education and is able to
24 communicate in English (20 CFR 404.1564).
- 25 9. Transferability of job skills is not material to the determination of
26 disability because using the Medical-Vocational Rules as a
framework supports a finding that the claimant is “not disabled,”
whether or not the claimant has transferable job skills (See SSR
82-41 and 20 CFR Part 404, Subpart P, Appendix 2).
10. Considering the claimant’s age, education, work experience, and
residual functional capacity, there are jobs that exist in significant
numbers in the national economy that the claimant can perform (20
CFR 404.1569 and 404.1569(a)).
11. The claimant has not been under a disability, as defined in the
Social Security Act, through the date of this decision (20 CFR
404.1520(g)).

The claimant bears the burden of proof in the first four steps of the sequential evaluation process. Bowen, 482 U.S. at 146 n.5. The Commissioner bears the burden if the sequential evaluation process proceeds to step five. Id.

1 (CAR 11-25). After the Appeals Council declined review on August 9, 2012, this appeal
2 followed.

3 **II. STANDARD OF REVIEW**

4 The court reviews the Commissioner's final decision to determine whether it is:
5 (1) based on proper legal standards; and (2) supported by substantial evidence in the record as a
6 whole. See Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999). "Substantial evidence" is
7 more than a mere scintilla, but less than a preponderance. See Saelee v. Chater, 94 F.3d 520, 521
8 (9th Cir. 1996). It is "such evidence as a reasonable mind might accept as adequate to support a
9 conclusion." Richardson v. Perales, 402 U.S. 389, 402 (1971). The record as a whole, including
10 both the evidence that supports and detracts from the Commissioner's conclusion, must be
11 considered and weighed. See Howard v. Heckler, 782 F.2d 1484, 1487 (9th Cir. 1986); Jones v.
12 Heckler, 760 F.2d 993, 995 (9th Cir. 1985). The court may not affirm the Commissioner's
13 decision simply by isolating a specific quantum of supporting evidence. See Hammock v.
14 Bowen, 879 F.2d 498, 501 (9th Cir. 1989). If substantial evidence supports the administrative
15 findings, or if there is conflicting evidence supporting a particular finding, the finding of the
16 Commissioner is conclusive. See Sprague v. Bowen, 812 F.2d 1226, 1229-30 (9th Cir. 1987).
17 Therefore, where the evidence is susceptible to more than one rational interpretation, one of
18 which supports the Commissioner's decision, the decision must be affirmed, see Thomas v.
19 Barnhart, 278 F.3d 947, 954 (9th Cir. 2002), and may be set aside only if an improper legal
20 standard was applied in weighing the evidence, see Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th
21 Cir. 1988).

22 **III. DISCUSSION**

23 Plaintiff argues the ALJ erred in several ways: (1) the ALJ improperly evaluated
24 her mental impairments (2) the ALJ improperly rejected medical opinions; and (3) the ALJ failed
25 to properly credit plaintiff's testimony as well as third party statements.

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1 **A. MENTAL IMPAIRMENTS**

2 In order to be entitled to benefits, the plaintiff must have an impairment severe
3 enough to significantly limit the physical or mental ability to do basic work activities. See 20
4 C.F.R. §§ 404.1520(c), 416.920(c).³ In determining whether a claimant’s alleged impairment is
5 sufficiently severe to limit the ability to work, the Commissioner must consider the combined
6 effect of all impairments on the ability to function, without regard to whether each impairment
7 alone would be sufficiently severe. See Smolen v. Chater, 80 F.3d 1273, 1289-90 (9th Cir.
8 1996); see also 42 U.S.C. § 423(d)(2)(B); 20 C.F.R. §§ 404.1523 and 416.923. An impairment,
9 or combination of impairments, can only be found to be non-severe if the evidence establishes a
10 slight abnormality that has no more than a minimal effect on an individual’s ability to work. See
11 Social Security Ruling (“SSR”) 85-28; see also Yuckert v. Bowen, 841 F.2d 303, 306 (9th Cir.
12 1988) (adopting SSR 85-28). The plaintiff has the burden of establishing the severity of the
13 impairment by providing medical evidence consisting of signs, symptoms, and laboratory
14 findings. See 20 C.F.R. §§ 404.1508, 416.908. The plaintiff’s own statement of symptoms alone
15 is insufficient. See id.

16 Here, the ALJ found plaintiff’s severe impairments consisted of low back pain,
17 neck pain, bilateral knee pain, and depressive disorder. She found plaintiff’s complaints of
18 bilateral hand pain non-severe, a determination she then explained.

19 Plaintiff, however, argues the ALJ erred by failing to consider her anxiety disorder
20 a severe disorder at step two. She contends the ALJ failed to give adequate reasons for rejecting
21 the opinions of Dr. Morgan and Dr. Cushman, and giving great weight to a non-examining state
22 agency psychiatrist.

23 _____
24 ³ Basic work activities include: (1) walking, standing, sitting, lifting, pushing,
25 pulling, reaching, carrying, or handling; (2) seeing, hearing, and speaking; (3) understanding,
26 carrying out, and remembering simple instructions; (4) use of judgment; (5) responding
appropriately to supervision, co-workers, and usual work situations; and (6) dealing with changes
in a routine work setting. See 20 C.F.R. §§ 404.1521, 416.921.

1 The weight given to medical opinions depends in part on whether they are
2 proffered by treating, examining, or non-examining professionals. See Lester v. Chater, 81 F.3d
3 821, 830-31 (9th Cir. 1995). Ordinarily, more weight is given to the opinion of a treating
4 professional, who has a greater opportunity to know and observe the patient as an individual,
5 than the opinion of a non-treating professional. See id.; Smolen v. Chater, 80 F.3d 1273, 1285
6 (9th Cir. 1996); Winans v. Bowen, 853 F.2d 643, 647 (9th Cir. 1987). The least weight is given
7 to the opinion of a non-examining professional. See Pitzer v. Sullivan, 908 F.2d 502, 506 & n.4
8 (9th Cir. 1990).

9 In addition to considering its source, to evaluate whether the Commissioner
10 properly rejected a medical opinion the court considers whether: (1) contradictory opinions are
11 in the record; and (2) clinical findings support the opinions. The Commissioner may reject an
12 uncontradicted opinion of a treating or examining medical professional only for “clear and
13 convincing” reasons supported by substantial evidence in the record. See Lester, 81 F.3d at 831.
14 While a treating professional’s opinion generally is accorded superior weight, if it is contradicted
15 by an examining professional’s opinion which is supported by different independent clinical
16 findings, the Commissioner may resolve the conflict. See Andrews v. Shalala, 53 F.3d 1035,
17 1041 (9th Cir. 1995). A contradicted opinion of a treating or examining professional may be
18 rejected only for “specific and legitimate” reasons supported by substantial evidence. See Lester,
19 81 F.3d at 830. This test is met if the Commissioner sets out a detailed and thorough summary of
20 the facts and conflicting clinical evidence, states her interpretation of the evidence, and makes a
21 finding. See Magallanes v. Bowen, 881 F.2d 747, 751-55 (9th Cir. 1989). Absent specific and
22 legitimate reasons, the Commissioner must defer to the opinion of a treating or examining
23 professional. See Lester, 81 F.3d at 830-31. The opinion of a non-examining professional,
24 without other evidence, is insufficient to reject the opinion of a treating or examining
25 professional. See id. at 831. In any event, the Commissioner need not give weight to any
26 conclusory opinion supported by minimal clinical findings. See Meanel v. Apfel, 172 F.3d 1111,

1 1113 (9th Cir. 1999) (rejecting treating physician’s conclusory, minimally supported opinion);
2 see also Magallanes, 881 F.2d at 751.

3 Dr. Morgan

4 Plaintiff first contends the ALJ failed to provide legally sufficient reasons for
5 rejecting Dr. Morgan’s opinion. Dr. Morgan conducted a psychological evaluation of plaintiff on
6 March 3, 2011. (CAR 365-71). Dr. Morgan opined that plaintiff met the criteria for 12.06, an
7 Anxiety related disorder. He found “[h]er anxiety is characterized by recurrent, severe panic
8 attacks manifested by a sudden unpredictable onset of intense apprehension, fear, terror and a
9 sense of impending doom occurring on the average of at least once a week.” (CAR 370).

10 As to Dr. Morgan’s opinion, the ALJ stated:

11 Robert L. Morgan, Ph.D., evaluated the claimant on March 2, 2011
12 and also filled out a Psychiatric Review Technique form. Dr.
13 Morgan opined that the claimant met listing 12.06 for Anxiety-
14 Related Disorder. The doctor found that the claimant’s degree of
15 limitation in activities of daily living and maintenance of social
16 functioning was marked. Dr. Morgan found that the claimant had a
17 moderate degree of limitation in maintaining concentration,
18 persistence, or pace and no episodes of decompensation [Exhibit
19 14F3-5]. Dr. Morgan’s opinion is given reduced weight because it
20 is not consistent with the opinions of the other evaluating doctors.
21 While the claimant has more than a minimal limitation in mental
22 functioning, the medical evidence does not support the degree of
23 severity opined by Mr. Morgan.

24 (CAR 23).

19 As well as reviewing other medical opinions in the record, the ALJ further stated:

20 Dr. Morgan observed that the claimant had a moderately depressed
21 mood with a moderate restriction of affect. Her thought processes
22 were coherent, organized, logical, and goal directed with no
23 evidence of inappropriate thought content. Dr. Morgan noted the
24 claimant’s intellectual functioning to be average and her memory
25 and concentration to be intact. The claimant’s results on the Beck
26 Anxiety Inventory suggested a severe anxiety disorder. Dr. Morgan
diagnosed panic disorder with agoraphobia and depressive
disorder, not otherwise specified [Exhibit 14F6-12].

(CAR 26).

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1 Finally, evaluating the medical opinions in the record, the ALJ determined:

2 Dr. Morgan opined that the claimant had been disabled since the
3 time she initially applied for disability. The doctor found that the
4 claimant would not likely exhibit a significant change in
5 functioning over the course of the next twelve months. However,
6 Dr. Morgan felt that the claimant presented with a satisfactory
7 ability to manage funds in her own best interest should an award be
8 made [Exhibit 14F12]. Dr. Morgan's opinion is given reduced
9 weight because the medical evidence as a whole as well as the
10 claimants reported daily activities does not support such severe
11 limitations in relation to the claimant's mental impairments.

12 (CAR 29).

13 Plaintiff argues that the reasons given for rejecting Dr. Morgan's opinion are not
14 specific and legitimate. However, contrary to plaintiff's argument, the ALJ specifically found
15 Dr. Morgan's opinion to be inconsistent with the opinion of other examining physicians, and the
16 medical evidence in the record as well as plaintiff's reported daily activities do not support the
17 severe limitations. The undersigned finds these reasons to be specific and legitimate. Indeed, as
18 the ALJ noted, Dr. Morgan's opinion is not consistent with that of other examining physicians.
19 Two other examining physicians, Dr. Cushman and Dr. Scaramozzino, opined plaintiff had
20 limited to no significant mental impairments. Where there are contradicting opinions, such as is
21 the case here, the ALJ is charged with resolving the conflict which she did. To the extent the
22 ALJ was required to set forth specific and legitimate reasons for not accepting Dr. Morgan's
23 opinion, the undersigned finds the reasons set forth to be legally sufficient, especially given that
24 all three opinions evaluated were examining physicians not treating physician. This
25 determination is further supported by the non-examining state agency physician's opinion, which
26 supports the ALJ's determination.

As the undersigned finds no error in the ALJ's determination that Dr. Morgan's
opinion was entitled reduced weight, and as Dr. Morgan is the only medical opinion to support a
finding that plaintiff's anxiety is a serious limitation, the undersigned finds the ALJ did not error
in not including plaintiff's anxiety as a severe impairment at step two. Further, even though not
specifically determined to be a severe impairment at step two, the ALJ did not ignore this

1 condition. Rather, she specifically addressed plaintiff's anxiety in combination with her other
2 limitations. As discussed in more detail below, the ALJ considered plaintiff's anxiety at step
3 three, in evaluating the listed impairments, as well as in determining plaintiff's RFC at step four.

4 The undersigned finds no reversible error either as to the ALJ's step two analysis
5 or in her treatment of Dr. Morgan's opinion.

6 Dr. Cushman

7 Next, plaintiff contends the ALJ erred in failing to give any reason for rejecting a
8 portion of Dr. Cushman's opinion, specifically that plaintiff's would have difficulty with regular
9 attendance and consistent participation in a work setting.

10 As to Dr. Cushman, the ALJ stated:

11 Philip M. Cushman, Ph.D., State agency psychological consultant,
12 evaluated the claimant on May 4, 2011. Dr. Cushman observed
13 that the claimant had difficulties facing him since she sat sideways
14 in her chair and she tended to lean away from him. She appeared
15 mildly anxious and mildly irritable. The doctor noted that the
16 claimant was, at times, rather cynical in her answers to his
17 questions. The doctor's evaluation results were unremarkable. Dr.
18 Cushman diagnosed recurrent major depressive disorder, mild in
19 severity, with anxiety and panic attacks; amphetamine abuse by
20 history, reportedly in remission; physical abuse by history;
21 anxiolytic abuse by history, under physician's care; and opioid
22 abuse, by history, under physician's care. Dr. Cushman
23 recommended treatment in the form of antidepressant medications
24 and supportive counseling since long-term treatment with
25 benzodiazepine and opioids appeared to not be helping the claimant
26 [Exhibit 16F].

(CAR 26-27).

21 In weighing the medical opinions, the ALJ stated:

22 Dr. Cushman opined that the claimant is capable of performing
23 some detailed, complex, simple, and repetitive tasks in a work
24 setting. The doctor found that she would have difficulties currently
25 with regular attendance and consistent participation and working a
26 normal workday or workweek. Dr. Cushman found that the
claimant appears capable of following simple verbal instructions
from supervisors, but not complex instructions. She is capable of
getting along with supervisors, coworkers, and the general public
when she wants to. The doctor also felt that she is capable of
dealing with the usual stressors encountered in a competitive work
environment when she wants to. She appears cognitively capable

1 of managing her own funds [Exhibit 16F]. Dr. Cushman’s opinion
2 is given some weight because it is supported by the findings during
3 the doctor’s examination and it is primarily consistent with the
4 medical evidence as a whole. The doctor examined the claimant
5 in-person and wrote a thorough report of his findings. In addition,
6 Dr. Cushman is a State agency expert well versed in the assessment
7 of functionality as it pertains to the disability provisions of the
8 Social Security Act and Regulations.
9 (CAR 28).

6 The undersigned finds the ALJ’s treatment of Dr. Cushman’s opinion to be legally
7 sufficient. As the ALJ discussed, and as set forth above, there are inconsistent examining
8 physician’s opinions in the record. The ALJ appropriately resolved the conflict between the
9 opinions. While Dr. Cushman’s opinion was generally accepted, and given some weight, the ALJ
10 was not required to adopt the entire opinion. Especially where, as here, the ALJ had three
11 examining physician opinions which were contradictory, and a non-examining physician
12 evaluating the three widely differing opinions. In addition, Dr. Cushman’s opinion does not
13 specifically support plaintiff’s contention that her anxiety should have been found a severe
14 impairment at step two. Indeed, Dr. Cushman did not diagnose plaintiff specifically with anxiety
15 disorder. Rather, Dr. Cushman diagnosed plaintiff with “Major Depressive Disorder, recurrent,
16 mild severity (with anxiety and panic attacks).” (CAR 409). As the ALJ found plaintiff’s
17 depression to be a severe impairment, her argument that the ALJ erred in not finding her anxiety
18 to be a separate severe impairment is not supported by Dr. Cushman’s diagnosis or opinion.

19 Mental Impairments

20 Plaintiff contends the ALJ erred in failing to take into consideration her anxiety in
21 evaluating her mental impairments. She argues the ALJ ignored her anxiety completely. The
22 undersigned disagrees.

23 “Where the ALJ has found a severe medically determinable impairment at step
24 two of the sequential analysis, ‘all medically determinable impairments must be considered in the
25 remaining steps of the sequential analysis.’” Hill v. Astrue, 698 F.3d 1153, 1161 (9th Cir. 2012)
26 (quoting Orn v. Astrue, 495 F.3d 625, 630 (9th Cir. 2007)).

1 Here, the ALJ did consider plaintiff's impairments. At step three, the ALJ
2 evaluated plaintiff's impairments to determine whether her impairment or combination of
3 impairments meets or equals one of the listed impairments. In so doing, the ALJ considered and
4 discussed plaintiff's mental impairments, including her anxiety disorder, and determined the
5 listing criteria was not met. In so doing, she discounted Dr. Morgan's opinion, which as
6 discussed above was not erroneous. She then further evaluated plaintiff's mental impairments,
7 again including her anxiety disorder, at step four to determine her residual functional capacity.
8 Throughout the ALJ's analysis, plaintiff's anxiety was considered and discussed, and all of the
9 medical opinions were evaluated and weighed. Again, the undersigned has found no reversible
10 error in the ALJ's review and treatment of the medical opinions. The ALJ ultimately determined
11 that plaintiff's mental impairments minimally impacted her abilities, only finding she had
12 moderate difficulties with regard to concentration, persistence or pace. The ALJ did not ignore
13 plaintiff's anxiety disorder, as she argues. To the contrary, the ALJ included her anxiety in the
14 consideration of her abilities and limitations.

15 Plaintiff further argues that the ALJ erred in relying on the state agency
16 psychiatrist. She contends that a non-examining, non-treating physician cannot by itself
17 constitute substantial evidence. The undersigned agrees with the statement of law, but contrary
18 to plaintiff's arguments, the ALJ did not rely solely on the non-examining state agency physician.
19 There is substantial evidence in the record to support the ALJ's treatment of the medical
20 opinions, as discussed above. Using Dr. Hood's reviewing opinion to summarize plaintiff's
21 abilities and limitations was not erroneous, especially considering the widely varying examining
22 physician opinions in the record. The undersigned finds no reversible error.

23 **B. TREATING PHYSICIAN OPINION**

24 Plaintiff also contends the ALJ erred in discounting her treating physician's
25 opinion. She argues the ALJ failed to provide specific and legitimate reasons for not accepting
26 Dr. Hufford opinion with controlling weight.

1 As to Dr. Hufford, the ALJ stated:

2 Dr. Hufford, the claimant's treating physician, filled out a
3 Questionnaire, dated February 22, 2011, in which the doctor
4 opined that the claimant's degenerative arthritis precludes her from
5 performing any full time work at any exertion level. In an eight-
6 hour workday, Dr. Hufford found that the claimant was able to sit
7 for twenty minutes at a time and up to two hours total and she was
8 able to stand and walk for twenty minutes at a time and up to two
9 hours total. In addition, Dr. Hufford opined that the claimant could
10 lift and carry only five pounds frequently and only ten pounds
11 occasionally. The doctor found that the claimant could preform the
12 following activities for the following percentages of time in an
13 eight-hour workday: reaching for five to ten percent of an eight-
14 hour workday, handle for ten to fifteen percent, feeling for sixty to
15 seventy percent, pushing and pulling for five percent, and grasping
16 for five percent [Exhibit 13F]. Dr. Hufford's opinion is given
17 reduced weight because the objective medical evidence does not
18 support such severe functional limitations on the part of the
19 claimant. In addition, Dr. Hufford is not a specialist and never
20 referred the claimant to a specialist for her supposedly disabling
21 impairment.

22 (CAR 28).

23 The ALJ's discussion of Dr. Hufford's opinion follows her discussion of Dr.
24 Wang's opinion, who was a consulting examining physician. Dr. Wang's opinion is contrary to
25 that of Dr. Hufford, in that Dr. Wang opined, following an examination of plaintiff, that plaintiff
26 had minimal if any restrictions. As these two opinions conflicted with each other, the ALJ was
required to resolve the conflict, which she did. The reasons stated for reducing the weight of Dr.
Hufford's opinion, including the lack of objective medical evidence supporting the severe
functional limitations, is a specific and legitimate reason. The undersigned finds no reversible
error.

21 C. PLAINTIFF'S CREDIBILITY

22 The Commissioner determines whether a disability applicant is credible, and the
23 court defers to the Commissioner's discretion if the Commissioner used the proper process and
24 provided proper reasons. See Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1996). An explicit
25 credibility finding must be supported by specific, cogent reasons. See Rashad v. Sullivan, 903
26 F.2d 1229, 1231 (9th Cir. 1990). General findings are insufficient. See Lester v. Chater, 81 F.3d

1 821, 834 (9th Cir. 1995). Rather, the Commissioner must identify what testimony is not credible
2 and what evidence undermines the testimony. See id. Moreover, unless there is affirmative
3 evidence in the record of malingering, the Commissioner’s reasons for rejecting testimony as not
4 credible must be “clear and convincing.” See id.; see also Carmickle v. Commissioner, 533 F.3d
5 1155, 1160 (9th Cir. 2008) (citing Lingenfelter v Astrue, 504 F.3d 1028, 1936 (9th Cir. 2007),
6 and Gregor v. Barnhart, 464 F.3d 968, 972 (9th Cir. 2006)).

7 If there is objective medical evidence of an underlying impairment, the
8 Commissioner may not discredit a claimant’s testimony as to the severity of symptoms merely
9 because they are unsupported by objective medical evidence. See Bunnell v. Sullivan, 947 F.2d
10 341, 347-48 (9th Cir. 1991) (en banc). As the Ninth Circuit explained in Smolen v. Chater:

11 The claimant need not produce objective medical evidence of the
12 [symptom] itself, or the severity thereof. Nor must the claimant produce
13 objective medical evidence of the causal relationship between the
14 medically determinable impairment and the symptom. By requiring that
the medical impairment “could reasonably be expected to produce” pain or
another symptom, the Cotton test requires only that the causal relationship
be a reasonable inference, not a medically proven phenomenon.

15 80 F.3d 1273, 1282 (9th Cir. 1996) (referring to the test established in Cotton v. Bowen, 799
16 F.2d 1403 (9th Cir. 1986)).

17 The Commissioner may, however, consider the nature of the symptoms alleged,
18 including aggravating factors, medication, treatment, and functional restrictions. See Bunnell,
19 947 F.2d at 345-47. In weighing credibility, the Commissioner may also consider: (1) the
20 claimant’s reputation for truthfulness, prior inconsistent statements, or other inconsistent
21 testimony; (2) unexplained or inadequately explained failure to seek treatment or to follow a
22 prescribed course of treatment; (3) the claimant’s daily activities; (4) work records; and (5)
23 physician and third-party testimony about the nature, severity, and effect of symptoms. See
24 Smolen, 80 F.3d at 1284 (citations omitted). It is also appropriate to consider whether the
25 claimant cooperated during physical examinations or provided conflicting statements concerning
26 drug and/or alcohol use. See Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002). If the

1 claimant testifies as to symptoms greater than would normally be produced by a given
2 impairment, the ALJ may disbelieve that testimony provided specific findings are made. See
3 Carmickle, 533 F.3d at 1161 (citing Swenson v. Sullivan, 876 F.2d 683, 687 (9th Cir. 1989)).

4 Here, the ALJ determined that plaintiff's "statements concerning the intensity,
5 persistence and limiting effects of [her] symptoms are not credible to the extent they are
6 inconsistent with the above residual functional capacity assessment." (CAR 27). In support
7 thereof, the ALJ stated:

8 The claimant has described daily activities, which are not limited
9 to the extent one would expect given the complaints of disabling
10 symptoms and limitations. During the day, the claimant alleges
11 that she attends to her personal grooming needs, does some
12 housework and errands, cleans up the kitchen after dinner, and
13 relaxes as much as she can. She claims that she will sometimes
14 visit friends. The claimant has two dogs that she feeds and cleans
15 up after on a daily basis [Exhibit 6E]. The claimant informed Dr.
16 Scaramozzino on June 6, 2009 that she enjoyed volunteering at the
17 Veterans of Foreign Wars (VFW) organization [Exhibit 5F]. The
18 claimant testified that she is able to cook, wash dishes, do laundry,
19 go shopping for groceries, and do some yard work or gardening.
20 The claimant's varied activities of daily living are inconsistent with
21 a complete inability to perform substantial gainful activity.

22 There is evidence that the claimant stopped working for reasons
23 not related to the allegedly disabling impairments. The claimant
24 stopped working on January 26, 2006 when she was laid off and
25 given a severance package [Exhibit 3E].

26 The claimant provided inconsistent information regarding daily
activities. The claimant reported to Dr. Morgan on March 3, 2011
that her boyfriend was diagnosed with a brain tumor and seizure
disorder; though, she also indicated that he did the domestic
activities such as vacuuming or dusting in the home while she
primarily stayed in her bedroom all day [Exhibit 14F6-12].
However, she told Dr. Cushman on May 4, 2011 that she
performed all types of chores such as washing the dishes and
shopping for food and some light cooking [Exhibit 16F]. In
addition, the treatment notes from Dr. Hufford's office, dated
January 4, 2011 and February 22, 2011, indicate that the claimant
was unemployed and that she worked as a housekeeper [Exhibit
15F].

The record reflects no actual mental health treatment for the
claimant's alleged mental impairments. In fact, the claimant told
David Fall, PA-E, on December 19, 2008 that she felt depressed,

1 though she refused to start antidepressants due to possible weight
2 gain [Exhibit 3F2-6]. She informed Dr. Morgan that she consulted
3 with a marriage and family therapist a number of years ago, but she
4 had no other treatment with mental health professionals [Exhibit
5 14F6-12]. The claimant informed Dr. Cushman on May 4, 2011
6 that the last time she received supportive counseling was twelve
7 years prior [Exhibit 16F]. The claimant's reluctance to seek out
8 mental health treatment and refusal to take prescribed
9 antidepressants for supposedly debilitating mental health issues
10 belies her allegation of complete disability.

11 (CAR 27).

12 Plaintiff contends the ALJ's reasons for discrediting plaintiff's testimony are not
13 supported by the record. She contends they were taken out of context, misstated, or simply do not
14 support her decision. She argues her limited daily activities were consistent with her disabling
15 symptoms, that she continued to work through her disabling condition but her condition
16 deteriorated to the point she could not go back to work, and she did receive mental health
17 treatment.

18 Contrary to plaintiff's argument, the ALJ's reasons set forth above are sufficiently
19 clear and convincing reasons for discrediting her testimony. The ALJ analyzed her statements,
20 using appropriate considerations, and specifically found her daily activities inconsistent with her
21 allegations of limitations, inconsistent statements, and questionable mental health treatment.

22 While the court may have reached a different conclusion, this court cannot substitute its own
23 interpretation over the ALJ's. If substantial evidence supports the administrative findings, or if
24 there is conflicting evidence supporting a particular finding, the finding of the Commissioner is
25 conclusive. See Sprague v. Bowen, 812 F.2d 1226, 1229-30 (9th Cir. 1987). Therefore, where
26 the evidence is susceptible to more than one rational interpretation, one of which supports the
Commissioner's decision, the decision must be affirmed, see Thomas v. Barnhart, 278 F.3d 947,
954 (9th Cir. 2002), and may be set aside only if an improper legal standard was applied in
weighing the evidence, see Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th Cir. 1988).

 The reasons the ALJ set forth for discrediting plaintiff are supported by the record.
While plaintiff does not agree with the reasons, the record as a whole does support such findings.

1 As the ALJ states, plaintiff's statements could be construed as inconsistent, her daily activities
2 are more extensive than would be expected from someone with her alleged symptoms, and while
3 she did have some history of anti-anxiety medication, during the relevant time period she did not
4 seek mental health treatment and chose not to try alternative antidepressants.

5 The court finds the reasons the ALJ set forth for discrediting plaintiff's testimony
6 are sufficiently clear and convincing, and are supported by the record as a whole. Giving the
7 ALJ's opinion the proper deference it is entitled, the undersigned cannot find the determination
8 was erroneous. See Fair, 885 F.2d at 604.

9 **D. THIRD PARTY STATEMENTS**

10 Similarly, plaintiff contends the ALJ improperly discredited the statements of her
11 friend regarding her limitations. In determining whether a claimant is disabled, an ALJ generally
12 must consider lay witness testimony concerning a claimant's ability to work. See Dodrill v.
13 Shalala, 12 F.3d 915, 919 (9th Cir. 1993); 20 C.F.R. §§ 404.1513(d)(4) & (e), 416.913(d)(4) &
14 (e). Indeed, "lay testimony as to a claimant's symptoms or how an impairment affects ability to
15 work is competent evidence . . . and therefore cannot be disregarded without comment." See
16 Nguyen v. Chater, 100 F.3d 1462, 1467 (9th Cir. 1996). Consequently, "[i]f the ALJ wishes to
17 discount the testimony of lay witnesses, he must give reasons that are germane to each witness."
18 Dodrill, 12 F.3d at 919. The ALJ may cite same reasons for rejecting plaintiff's statements to
19 reject third-party statements where the statements are similar. See Valentine v. Commissioner
20 Soc. Sec. Admin., 574 F.3d 685, 694 (9th Cir. 2009) (approving rejection of a third-party family
21 member's testimony, which was similar to the claimant's, for the same reasons given for
22 rejection of the claimant's complaints).

23 In regards to the lay witness statements, the ALJ found that:

24 James O. Nakama, the claimant's fiancé, filled out a Third Party
25 Function Report about the claimant on July 8, 2009. Mr. Nakama
26 indicated that he had known the claimant for five years and they
spent most of their time together. He claimed that he cooked most
meals. Mr. Nakama alleged that the claimant used to be more

1 active, but she stopped doing a lot of things because of her pain.
2 Mr. Nakama primarily corroborated the statements of the claimant
3 [Exhibit 4E]. The statements of Mr. Nakama are not well
4 supported by the treatment records, especially in the form of the
5 minimal objective findings relating to the claimant's impairments,
6 and thus, the undersigned finds his statements to be unpersuasive.
7 (CAR 25).

8 Plaintiff contends the ALJ failed to provide proper reasons for rejecting the lay
9 witness statements. Specifically she argues the ALJ may not discredit the testimony as
10 unsupported by the medical evidence. However, in addition to finding Mr. Nakama's statements
11 unsupported by the treatment records, the ALJ also determined that his statements primarily
12 corroborated those of the plaintiff which, as addressed above, were discredited. As such, the ALJ
13 did not err in similarly discounting Mr. Nakama's statements.

14 **IV. CONCLUSION**

15 Based on the foregoing, the court concludes that the Commissioner's final
16 decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY
17 ORDERED that:

- 18 1. Plaintiff's motion for summary judgment (Doc. 13) is denied;
- 19 2. Defendant's cross-motion for summary judgment (Doc. 17) is granted; and
- 20 3. The Clerk of the Court is directed to enter judgment and close this file.

21 DATED: September 24, 2014

22 
23 **CRAIG M. KELLISON**
24 UNITED STATES MAGISTRATE JUDGE
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