



1 defendants. ECF No. 3. On January 17, 2013, the court dismissed the Doe defendants with the  
2 option to amend within twenty-eight days. ECF No. 10. On January 30, 2013, plaintiff filed an  
3 amended complaint containing only the allegations against defendant Miranda. ECF No. 12.

4 Service was ordered on defendant Miranda (ECF No. 15), and defendant filed a motion to  
5 dismiss for failure to state a claim (ECF No. 20). The motion to dismiss was partially granted and  
6 plaintiff's ADA claim was dismissed without leave to amend. ECF Nos. 29, 33. Defendant  
7 answered the Eighth Amendment claim (ECF No. 34) and after the close of discovery, both  
8 parties filed motions for summary judgment (ECF Nos. 47, 55).

## 9 II. Plaintiff's Allegations

10 Plaintiff alleges that he was transferred on November 1, 2012, to High Desert State Prison  
11 (HDSP), where defendant Miranda was employed as a physician's assistant. ECF No. 12 at 2.  
12 Upon plaintiff's arrival at HDSP, defendant changed plaintiff's disability status from DPH to  
13 DNH and discontinued his chronos for a lower tier/lower bunk and knee brace. Id. Plaintiff  
14 alleges that this was done "without performing any kind of medical examination to determine if  
15 such action was warranted." Id. Plaintiff further alleges that he suffers from many problems with  
16 his knee as the result of an old football injury that required reconstructive surgery, and that  
17 defendant's discontinuation of his chronos led to his assignment to an upper bunk and that he  
18 injured himself and aggravated his existing conditions when he fell trying to get up and down  
19 from the bunk. Id. at 2-3.

## 20 III. Legal Standards for Summary Judgment

21 Summary judgment is appropriate when the moving party "shows that there is no genuine  
22 dispute as to any material fact and the movant is entitled to judgment as a matter of law." Fed. R.  
23 Civ. P. 56(a).

24 Under summary judgment practice, "[t]he moving party initially bears the burden of  
25 proving the absence of a genuine issue of material fact." In re Oracle Corp. Sec. Litig., 627 F.3d  
26 376, 387 (9th Cir. 2010) (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The moving  
27 party may accomplish this by "citing to particular parts of materials in the record, including  
28 depositions, documents, electronically stored information, affidavits or declarations, stipulations

1 (including those made for purposes of the motion only), admission, interrogatory answers, or  
2 other materials” or by showing that such materials “do not establish the absence or presence of a  
3 genuine dispute, or that the adverse party cannot produce admissible evidence to support the  
4 fact.” Fed. R. Civ. P. 56(c)(1)(A), (B). “Where the non-moving party bears the burden of proof  
5 at trial, the moving party need only prove that there is an absence of evidence to support the non-  
6 moving party’s case.” Oracle Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325); see also  
7 Fed. R. Civ. P. 56(c)(1)(B). Indeed, summary judgment should be entered, “after adequate time  
8 for discovery and upon motion, against a party who fails to make a showing sufficient to establish  
9 the existence of an element essential to that party’s case, and on which that party will bear the  
10 burden of proof at trial.” See Celotex, 477 U.S. at 322. “[A] complete failure of proof  
11 concerning an essential element of the nonmoving party’s case necessarily renders all other facts  
12 immaterial.” Id. at 323. In such a circumstance, summary judgment should be granted, “so long  
13 as whatever is before the district court demonstrates that the standard for entry of summary  
14 judgment, as set forth in Rule 56(c), is satisfied.” Id.

15 If the moving party meets its initial responsibility, the burden then shifts to the opposing  
16 party to establish that a genuine issue as to any material fact actually does exist. See Matsushita  
17 Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586-87 (1986). In attempting to establish  
18 the existence of this factual dispute, the opposing party may not rely upon the allegations or  
19 denials of its pleadings but is required to tender evidence of specific facts in the form of  
20 affidavits, and/or admissible discovery material, in support of its contention that the dispute  
21 exists. See Fed. R. Civ. P. 56(c)(1); Matsushita, 475 U.S. at 586 n.11. The opposing party must  
22 demonstrate that the fact in contention is material, i.e., a fact “that might affect the outcome of the  
23 suit under the governing law,” Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986); T.W.  
24 Elec. Serv., Inc. v. Pac. Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir. 1987), and that the  
25 dispute is genuine, i.e., “the evidence is such that a reasonable jury could return a verdict for the  
26 nonmoving party,” Anderson, 477 U.S. at 248.

27 In the endeavor to establish the existence of a factual dispute, the opposing party need not  
28 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed

1 factual dispute be shown to require a jury or judge to resolve the parties' differing versions of the  
2 truth at trial.” T.W. Elec. Serv., 809 F.2d at 630 (quoting First Nat'l Bank of Ariz. V. Cities  
3 Serv. Co., 391 U.S. 253, 288-89 (1968). Thus, the “purpose of summary judgment is to pierce the  
4 pleadings and to assess the proof in order to see whether there is a genuine need for trial.”  
5 Matsushita, 475 U.S. at 587 (citation and internal quotation marks omitted).

6 “In evaluating the evidence to determine whether there is a genuine issue of fact,” the  
7 court draws “all inferences supported by the evidence in favor of the non-moving party.” Walls  
8 v. Cent. Costa Cnty. Transit Auth., 653 F.3d 963, 966 (9th Cir. 2011). It is the opposing party's  
9 obligation to produce a factual predicate from which the inference may be drawn. See Richards  
10 v. Nielsen Freight Lines, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to demonstrate a genuine  
11 issue, the opposing party “must do more than simply show that there is some metaphysical doubt  
12 as to the material facts.” Matsushita, 475 U.S. at 586 (citations omitted). “Where the record  
13 taken as a whole could not lead a rational trier of fact to find for the non-moving party, there is no  
14 ‘genuine issue for trial.’” Id. at 587 (quoting First Nat'l Bank, 391 U.S. at 289).

15 On February 3, 2014, the defendant served plaintiff with notice of the requirements for  
16 opposing a motion pursuant to Rule 56 of the Federal Rules of Civil Procedure. ECF No. 55. See  
17 Klinge v. Eikenberry, 849 F.2d 409, 411 (9th Cir. 1988); Rand v. Rowland, 154 F.3d 952, 960  
18 (9th Cir. 1998) (movant may provide notice) (en banc), cert. denied, 527 U.S. 1035 (1999).

#### 19 IV. Defendant's Motion for Summary Judgment

20 Defendant moves for summary judgment on the grounds that plaintiff failed to exhaust his  
21 administrative remedies prior to bringing suit as required by the Prison Litigation Reform Act, 42  
22 U.S.C. § 1997e(a). ECF No. 55. Plaintiff responds by arguing that he was threatened by  
23 correctional staff and prevented from exhausting his administrative remedies and alternatively  
24 that his remedies were exhausted as to some claims. ECF No. 57.

##### 25 A. Legal Standards for Exhaustion

##### 26 1. Prison Litigation Reform Act

27 Because plaintiff is a prisoner suing over the conditions of his confinement, his claims are  
28 subject to the Prison Litigation Reform Act (“PLRA”), 42 U.S.C. § 1997e(a). Under the PLRA,

1 “[n]o action shall be brought with respect to prison conditions under section 1983 of this title, or  
2 any other Federal law, by a prisoner confined in any jail, prison, or other correctional facility until  
3 such administrative remedies as are available are exhausted.” 42 U.S.C. § 1997e(a); Porter v.  
4 Nussle, 534 U.S. 516, 520 (2002) (“§ 1997e(a)’s exhaustion requirement applies to all prisoners  
5 seeking redress for prison circumstances or occurrences”). “The PLRA mandates that inmates  
6 exhaust all available administrative remedies before filing ‘any suit challenging prison  
7 conditions,’ including, but not limited to, suits under § 1983.” Albino v. Baca, 747 F.3d 1162,  
8 1171 (9th Cir. 2014) (quoting Woodford v. Ngo, 548 U.S. 81, 85 (2006)).

9 Failure to exhaust is “an affirmative defense the defendant must plead and prove.” Jones  
10 v. Bock, 549 U.S. 199, 204, 216 (2007). “[T]he defendant’s burden is to prove that there was an  
11 available administrative remedy, and that the prisoner did not exhaust that available remedy.”  
12 Albino, 747 F.3d at 1172 (citing Hilao v. Estate of Marcos, 103 F.3d 767, 778 n.5 (9th Cir.  
13 1996)). “[T]here can be no ‘absence of exhaustion’ unless *some* relief remains ‘available.’”  
14 Brown v. Valoff, 422 F.3d 926, 936 (9th Cir. 2005) (emphasis in original). Therefore, the  
15 defendant must produce evidence showing that a remedy is available “as a practical matter,” that  
16 is, it must be “capable of use; at hand.” Albino, 747 F.3d at 1171 (citations and internal  
17 quotations marks omitted).

18 In reviewing the evidence, the court will consider, among other things, “information  
19 provided to the prisoner concerning the operation of the grievance procedure.” Brown, 422 F.3d  
20 at 937. Such evidence “informs our determination of whether relief was, as a practical matter,  
21 ‘available.’” Id. Thus, misleading—or blatantly incorrect—instructions from prison officials on  
22 how to exhaust the appeal, especially when the instructions prevent exhaustion, can also excuse  
23 the prisoner’s exhaustion:

24 We have considered in several PLRA cases whether an  
25 administrative remedy was “available.” In Nunez v. Duncan, 591  
26 F.3d 1217 (9th Cir. 2010), we held that where a prison warden  
27 incorrectly implied that an inmate needed access to a nearly  
28 unobtainable prison policy in order to bring a timely administrative  
appeal, “the Warden’s mistake rendered Nunez’s administrative  
remedies effectively unavailable.” Id. at 1226. In Sapp v.  
Kimbrell, 623 F.3d 813 (9th Cir. 2010), we held that where prison  
officials declined to reach the merits of a particular grievance “for

1 reasons inconsistent with or unsupported by applicable  
2 regulations,” administrative remedies were “effectively  
3 unavailable.” Id. at 823-24. In Marella v. Terhune, 568 F.3d 1024  
4 (9th Cir. 2009) (per curiam), we reversed a district court’s dismissal  
5 of a PLRA case for failure to exhaust because the inmate did not  
6 have access to the necessary grievance forms within the prison’s  
7 time limits for filing a grievance. Id. at 1027-28. We also noted  
8 that Marella was not required to exhaust a remedy that he had been  
9 reliably informed was not available to him. Id. at 1027.

10 Albino, 747 F.3d at 1173. When the district court concludes that the prisoner has not exhausted  
11 administrative remedies on a claim, “the proper remedy is dismissal of the claim without  
12 prejudice.” Wyatt v. Terhune, 315 F.3d 1108, 1120 (9th Cir. 2003), overruled on other grounds  
13 by Albino, 747 F.3d at 1168.

14 A prisoner must exhaust his administrative remedies for constitutional claims prior to  
15 asserting them in a civil rights complaint. 42 U.S.C. § 1997e(a); McKinney v. Carey, 311 F.3d  
16 1198, 1199 (9th Cir. 2002). A complaint may be amended to add new claims so long as the  
17 administrative remedies for the new claims are exhausted prior to amendment. Cano v. Taylor,  
18 739 F.3d 1214, 1220-21 (9th Cir. 2014) (new claims added to a lawsuit via amendment that are  
19 exhausted prior to the amendment comply with the exhaustion requirement); Rhodes v. Robinson,  
20 621 F.3d 1002, 1007 (9th Cir. 2010) (new claims asserted in an amended complaint are to be  
21 considered by the court so long as administrative remedies with respect to those new claims are  
22 exhausted before the amended complaint is tendered to the court for filing). However, if a  
23 prisoner exhausts a claim after bringing it before the court, his subsequent exhaustion cannot  
24 excuse his earlier failure to exhaust. Vaden v. Summerhill, 449 F.3d 1047, 1051 (9th Cir. 2006)  
25 (“[A prisoner] may initiate litigation in federal court only after the administrative process ends  
26 and leaves his grievances unredressed. It would be inconsistent with the objectives of the statute  
27 to let him submit his complaint any earlier than that.”); McKinney, 311 F.3d at 1199 (a prisoner  
28 does not comply with exhaustion requirement by exhausting available remedies during the course  
of litigation).

## 26 2. California Regulations Governing “Exhaustion” of Administrative Remedies

27 Exhaustion requires that the prisoner complete the administrative review process in  
28 accordance with all applicable procedural rules. Woodford, 548 U.S. at 90. This review process

1 is set forth in California regulations. Those regulations allow a prisoner to “appeal” any action or  
2 inaction by prison staff that has “a material adverse effect upon his or her health, safety, or  
3 welfare.” Cal. Code Regs. tit. 15, § 3084.1(a). An inmate must file the initial appeal within 30  
4 calendar days of the action being appealed, and he must file each administrative appeal within 30  
5 calendar days of receiving an adverse decision at a lower level. Id., § 3084.8(b). The appeal  
6 process is initiated by the inmate’s filing a “Form 602,” the “Inmate/Parolee Appeal Form,” “to  
7 describe the specific issue under appeal and the relief requested.” Id., § 3084.2(a). Each prison is  
8 required to have an “appeals coordinator” whose job is to “screen all appeals prior to acceptance  
9 and assignment for review.” Id. § 3084.5(a), (b). Except under circumstances that do not apply  
10 in this case, first and second level appeals are to be responded to within thirty working days from  
11 the date they are received by the appeals coordinator and third level appeals are to be responded  
12 to within sixty working days from the date they are received by the third level appeals chief. Id.,  
13 § 3084.8(c).

14 If the appeals coordinator allows an appeal to go forward, the inmate must pursue it  
15 through the third level of review before it is deemed “exhausted.” Id., § 3084.1(b) (“all appeals  
16 are subject to a third level of review, as described in section 3084.7, before administrative  
17 remedies are deemed exhausted”).

18 B. Arguments of the Parties

19 1. Defendant

20 Defendant has submitted evidence which he argues shows that plaintiff did not exhaust his  
21 administrative remedies. ECF No. 55-2 at 5-6. Defendant also argues that to the extent that any  
22 issues were exhausted by the partial grant of the appeal which plaintiff did submit, such  
23 exhaustion did not precede this lawsuit as required. Id. 7-8; ECF No. 59 at 1-2. In response to  
24 plaintiff’s allegation that he was prevented from exhausting because he was threatened by  
25 correctional staff, defendant argues that plaintiff was not actually deterred from utilizing the  
26 grievance process and should therefore not be excused from exhausting. Id. at 2-3.

27 2. Plaintiff

28 It is well-established that the pleadings of pro se litigants are held to “less stringent

1 standards than formal pleadings drafted by lawyers.” Haines v. Kerner, 404 U.S. 519, 520 (1972)  
2 (per curiam). Nevertheless, “[p]ro se litigants must follow the same rules of procedure that  
3 govern other litigants.” King v. Atiyeh, 814 F.2d 565, 567 (9th Cir. 1987) (citation omitted),  
4 overruled on other grounds, Lacey v. Maricopa County, 693 F.3d 896 (9th Cir. 2012) (en banc).  
5 However, the unrepresented prisoners’ choice to proceed without counsel “is less than voluntary”  
6 and they are subject to the “handicaps . . . detention necessarily imposes upon a litigant,” such as  
7 “limited access to legal materials” as well as “sources of proof.” Jacobsen v. Filler, 790 F.2d  
8 1362, 1364 n.4 (9th Cir. 1986). Inmate litigants, therefore, should not be held to a standard of  
9 “strict literalness” with respect to the requirements of the summary judgment rule. Id.

10 The court is mindful of the Ninth Circuit’s more overarching caution in this context, as  
11 noted above, that district courts are to “construe liberally motion papers and pleadings filed by  
12 pro se inmates and should avoid applying summary judgment rules strictly.” Thomas v. Ponder,  
13 611 F.3d 1144, 1150 (9th Cir. 2010). Accordingly, while plaintiff has largely complied with the  
14 rules of procedure, the court will consider the record before it in its entirety. However, only those  
15 assertions in the opposition which have evidentiary support will be considered.

16 Plaintiff largely agrees with defendant’s statement of facts regarding the grievance process  
17 and the grievance he submitted. ECF No. 58. However, he argues that he was prevented from  
18 exhausting his administrative remedies because he was threatened by correctional staff (ECF No.  
19 57 at 7-9) and that the appeal that he submitted prior to the threats was partially granted, thus  
20 exhausting his remedies with respect to his claim that defendant discontinued his low bunk  
21 chrono (*id.* at 10, 13). He also argues that the discontinuation of his knee brace chrono was  
22 incidental and asks that if the court is inclined to dismiss the complaint on the grounds that he did  
23 not exhaust his remedies with respect to his knee brace, that he be permitted to submit an  
24 amended complaint excluding that claim. Id. at 13.

25 C. Undisputed Facts

26 Plaintiff submitted a health care appeal that was dated November 5, 2013, and accepted  
27 for review on November 7, 2013, related to his November 1, 2013 interactions with defendant  
28



1 Miranda.<sup>2</sup> Defendant's Undisputed Statement of Facts (DSUF) [ECF No. 55-3] ¶¶ 14, 17;  
2 Response to DSUF [ECF No. 58] ¶¶ 14, 17. The appeal addressed plaintiff's allegations that  
3 defendant arbitrarily discontinued his lower tier/lower bunk chrono and DPH status. DSUF ¶ 15;  
4 Response to DSUF ¶ 15. The appeal does not address the discontinuance of plaintiff's chrono for  
5 a knee brace. DSUF ¶ 16; Response to DSUF ¶ 16.<sup>3</sup>

6 The response to plaintiff's appeal was approved on December 13, 2012, and plaintiff  
7 received the response on December 18, 2012; his first level appeal was not complete until he  
8 received the response. DSUF ¶¶ 20, 23-24; Response to DSUF ¶¶ 20, 23-24. Plaintiff's appeal  
9 was partially granted with respect to his request for a lower bunk chrono. DSUF ¶ 21; Response  
10 to DSUF ¶ 21. Plaintiff admits that he did not proceed past the first level of appeal. Response to  
11 DSUF ¶ 26. Plaintiff does not allege that he submitted any other grievances related to the issues  
12 currently before the court and appears to agree that he only filed the one relevant healthcare  
13 appeal. ECF No. 57; Response to DSUF ¶¶ 28, 30.

14 D. Discussion

15 The questions before the court are: (1) whether the first level appeal exhausted plaintiff's  
16 remedies; (2) if it did exhaust his remedies, whether they were exhausted before plaintiff filed  
17 suit; and (3) if plaintiff did not exhaust his remedies, whether this failure was excusable because  
18 he was threatened by staff.

19 1. Did Plaintiff Exhaust His Administrative Remedies?

20 As long as some potential remedy remained available through the administrative appeals  
21 process, even if it was not the remedy he sought, plaintiff was required to exhaust his remedies.  
22 Booth v. Churner, 532 U.S. 731, 741 (2001); Brown, 422 F.3d at 936-37. However, "[a]n inmate

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23 <sup>2</sup> There are no disputes as to whether there was an administrative remedies policy or as to the  
24 steps of that process. Defendant's Undisputed Statement of Facts (DSUF) [ECF No. 55-3] ¶¶ 1-  
25 9; Response to DSUF [ECF No. 58] ¶¶ 1-9.

26 <sup>3</sup> Plaintiff objects that DSUF ¶ 16 is vague, compound, and immaterial because his primary  
27 concern was the cancellation of his low bunk chrono, which led to his injuries. Response to  
28 DSUF ¶ 16 [ECF No. 58 at 6]. Plaintiff does not actually dispute that the appeal does not  
mention his knee brace and his arguments in his opposition essentially concede that he did not  
appeal that issue. Id.; ECF No. 57 at 2, 13. It is also clear from the appeal that plaintiff did not  
mention his knee brace. ECF No. 55-4 at 14-17.

1 has no obligation to appeal from a grant of relief, or a partial grant that satisfies him, in order to  
2 exhaust his administrative remedies.” Harvey v. Jordan, 605 F.3d 681, 685 (9th Cir. 2010).

3 The parties are in agreement that plaintiff’s healthcare appeal addressed his claim that  
4 defendant inappropriately discontinued his lower tier/lower bunk chrono. DSUF ¶ 15; Response  
5 to DSUF ¶ 15. The parties are also in agreement that plaintiff’s appeal was partially granted and  
6 he was given a lower bunk chrono. DSUF ¶¶ 19, 21; Response to DSUF ¶¶ 19, 21. Though  
7 plaintiff does not dispute that the response to his appeal denied restoration of his lower tier  
8 accommodation (Response to DSUF ¶ 21) and the chrono issued as part of plaintiff’s appeal  
9 indicates his lower tier chrono was discontinued (ECF No. 52 at 32), he states that he was advised  
10 that at HDSP a lower bunk chrono automatically barred him from being housed on an upper tier  
11 (Response to DSUF ¶ 25). On review, the response to plaintiff’s appeal does not actually  
12 specifically deny the request for a lower tier chrono. ECF No. 55-4 at 12. It refers to plaintiff’s  
13 claims that his lower bunk/lower tier chrono was discontinued and states he has been provided a  
14 lower bunk chrono. Id. It appears that plaintiff understood the lower tier/lower bunk chrono to  
15 be one and the same and believed that the partial grant of his appeal also satisfied his request for a  
16 lower tier chrono. The court will therefore assume for the purposes of this analysis that plaintiff’s  
17 lower bunk chrono satisfied his complaint regarding a lower tier chrono.

18 Since plaintiff’s request for a lower tier/lower bunk chrono was granted through the  
19 appeals process, it is unclear what other relief he could have gained on those claims through the  
20 appeals process, and defendant does not identify any additional relief the appeals process could  
21 have afforded plaintiff on those claims. The court therefore finds that plaintiff exhausted his  
22 administrative remedies with respect to his claims that his lower tier/lower bunk chrono was  
23 discontinued by defendant.

24 With respect to his claim that defendant discontinued his knee brace chrono, plaintiff  
25 appears to concede that he did not exhaust his administrative remedies. Response to DSUF ¶ 16;  
26 ECF No. 57 at 2, 13. But plaintiff also alleges that when he was interviewed for his appeal the  
27 doctor told him that he could keep the knee brace if property did not take it. Response to DSUF ¶  
28 19. In other words, plaintiff’s request for a knee brace chrono, which would have prevented

1 property staff from taking his brace, was denied and it was entirely at property staff's discretion  
2 whether to allow plaintiff to keep his brace. In light of plaintiff's concession that he did not  
3 exhaust his administrative remedies with respect to his knee brace (Response to DSUF ¶ 16; ECF  
4 No. 57 at 2, 13), and the fact that plaintiff could have pursued administrative remedies to obtain a  
5 knee brace chrono, the court finds that he did not exhaust his administrative remedies on his claim  
6 that his knee brace chrono was discontinued.

7 Plaintiff does not dispute, and the appeal response confirms, that his request to restore his  
8 DPH status was denied. Response to DSUF ¶ 19; ECF No. 55-4 at 12. Because plaintiff could  
9 have potentially obtained restoration of his DPH status by appealing his first level response,  
10 available remedies existed and the court finds that plaintiff did not exhaust his administrative  
11 remedies related to the discontinuation of his DPH status.

## 12 2. Did Plaintiff Exhaust His Administrative Remedies Before Bringing Suit?

13 Since plaintiff is proceeding pro se, he is afforded the benefit of the prison mailbox rule,  
14 meaning his complaint was constructively filed the day he turned it over to prison officials for  
15 mailing. Houston, 487 U.S. 266, 276 (1988). According to the certificate of service attached to  
16 the original complaint, it was submitted to prison staff on December 11, 2012. ECF No. 3 at 27.  
17 Defendant does not challenge this date. DSUF ¶ 27.<sup>4</sup> After filing the original complaint, plaintiff  
18 received the response to his first level appeal on December 18, 2012, thus completing his first  
19 level appeal.<sup>5</sup> Response to DSUF ¶¶ 23, 24. He then filed his first amended complaint on  
20 January 30, 2013. ECF No. 12 at 23.

21 In order to comply with the exhaustion requirement, plaintiff must have exhausted his  
22 administrative remedies prior to bringing his claims to court. 42 U.S.C. § 1997e(a); McKinney,

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24 <sup>4</sup> Plaintiff objects to DSUF ¶ 27 on the grounds that defendant has not offered any proof that he  
25 mailed the complaint on December 11, 2012, but does not actually dispute that date or argue he  
26 actually turned over his complaint on a different date. Plaintiff's sworn certificate of service,  
cited to by defendant, is sufficient to support DSUF ¶ 27.

27 <sup>5</sup> Pursuant to policy, correctional staff had thirty working days to respond to plaintiff's appeal  
28 from the day it was received. Cal. Code Regs. tit. 15, § 3084.8(c). The appeal was received  
November 7, 2012 (DSUF ¶ 17), but even calculating the response deadline from November 5,  
2012, the day plaintiff signed the appeal, the response was timely.

1 311 F.3d at 1199. A complaint has been brought when the inmate submits it to the court.  
2 Vanden, 449 F.3d at 1050. Although new claims added to a lawsuit via amendment that are  
3 exhausted prior to the amendment comply with the exhaustion requirement, Cano, 739 F.3d at  
4 1220-21, the claims in plaintiff's amended complaint are the same claims he made in his original  
5 complaint (compare ECF No. 3 with ECF No. 12). This means that plaintiff's administrative  
6 remedies must have been exhausted at the time he filed the original complaint on December 11,  
7 2012. Plaintiff agrees that his first level appeal was not complete until he received the response  
8 on December 18, 2012 (Response to DSUF ¶¶ 23, 24), therefore any exhaustion of administrative  
9 remedies that resulted from that appeal did not occur until December 18, 2012, a week after  
10 plaintiff filed his complaint. Even if the court considered December 13, 2012, the date the  
11 response to plaintiff's appeal was approved,<sup>6</sup> as the date his administrative remedies were  
12 exhausted, exhaustion still occurred after plaintiff filed his complaint. Subsequent exhaustion  
13 cannot excuse an earlier failure to exhaust administrative remedies prior to bringing them to the  
14 court. Vaden v. Summerhill, 449 F.3d at 1051; McKinney, 311 F.3d at 1199 (a prisoner does not  
15 comply with exhaustion requirement by exhausting available remedies during the course of  
16 litigation).

17 Any exhaustion of remedies as a result of plaintiff's healthcare appeal happened after  
18 plaintiff filed his complaint and does not satisfy the exhaustion requirement.

### 19 3. Was Plaintiff Excused from Exhausting His Administrative Remedies?

20 Plaintiff also argues that the administrative remedies process was rendered unavailable to  
21 him because he was threatened by correctional staff. ECF No. 57 at 7-9. He claims that he was  
22 accosted by a correctional officer who told him that if he did not stop his appeals he would not  
23 make it out of prison and that they would "beat, starve, and freeze [him] to death." Id. at 8. He  
24 claims the officer then proceeded to confiscate his religious diet card and coat and sent him back  
25 to his housing unit in the snow. Id. at 9. Plaintiff proceeded to write to the CDCR director  
26 complaining of the treatment and was told to file an appeal. Id.

27 \_\_\_\_\_  
28 <sup>6</sup> The appeal records submitted by defendant also indicate that this is the date the first level  
appeal was closed. ECF No. 55-4 at 19.

1 In order to establish that the failure to exhaust was excusable, plaintiff must show that:

2 “(1) the threat [of retaliation] actually did deter the plaintiff inmate  
3 from lodging a grievance or pursuing a particular part of the  
4 process; and (2) the threat is one that would deter a reasonable  
5 inmate of ordinary firmness and fortitude from lodging a grievance  
6 or pursuing the part of the grievance process that the inmate failed  
7 to exhaust.”

8 McBride v. Lopez, 791 F.3d 1115, 1120 (9th Cir. 2015) (quoting Turner v. Burnside, 541 F.3d  
9 1077, 1085 (11th Cir. 2008)).

10 Although plaintiff does not offer any specifics as to when this incident occurred, this  
11 information can be found in plaintiff’s earlier filings. In a sworn declaration signed January 2,  
12 2013, plaintiff claimed that he was accosted by an officer that same day in response to a request  
13 for interview that he submitted on December 27, 2012. ECF No. 8. He claims that the officer  
14 threatened him and took his coat and made him walk back to his housing unit in the snow. Id. at  
15 2. He then proceeded to make a general claim that every attempt he made to exhaust his  
16 administrative remedies had “been thwarted by threat, intimidation, force, oppression and  
17 unprofessional misconduct.” Id. at 3. A subsequent declaration filed by plaintiff stated that the  
18 incident was related to his religious diet. ECF No. 9 at 2.

19 Defendant argues that plaintiff’s failure to exhaust is not excusable because he has not  
20 shown that the threats actually deterred him from pursuing his administrative grievance (ECF No.  
21 59 at 3) and offers evidence that plaintiff continued to submit appeals on other issues after he  
22 received the response to his healthcare appeal and the alleged threats on January 2, 2013 (ECF  
23 No. 55-4 at 19; ECF No. 59-2 at 10-11).

24 On the record presented here, the court cannot find that plaintiff was actually deterred  
25 from pursuing his appeal. First, plaintiff admits that he wrote a letter to the CDCR director  
26 immediately following the confrontation complaining of the treatment he was receiving. ECF  
27 No. 57 at 9. Next, the records submitted by defendant show that plaintiff proceeded to file  
28 appeals on other issues on December 20, 2012 (ECF No. 59-2 at 10), January 22, 2013 (id. at 11),  
and February 25, 2013 (ECF No. 55-4). To the extent plaintiff may claim he was only being  
prevented from pursuing administrative remedies related to the issues in this case, his previous

1 declarations allege that the threats were in relation to his appeals related to his classification and  
2 religious diet. ECF No. 4 at 6; ECF No. 9 at 1-4. Finally, the day plaintiff received the response  
3 to his healthcare appeal he signed a declaration in which he stated that he had received a response  
4 to his first level appeal which had been partially granted. ECF No. 5. He stated that “I believe  
5 this effectively exhausts my administrative remedy concerning plaintiff’s first claim for relief  
6 concerning plaintiff’s claim of deliberate indifference to his medical needs.” Id. at 2.

7 On these facts, the court finds that plaintiff was not actually deterred from pursuing his  
8 appeal because of threats of retaliation, but instead did not proceed further because he believed  
9 that his partially granted first-level appeal exhausted his administrative remedies. Plaintiff was  
10 therefore not excused from exhausting his administrative remedies prior to filing suit.

11 E. Conclusion

12 For the foregoing reasons, the court finds that plaintiff did not exhaust his administrative  
13 remedies prior to bringing this lawsuit nor was he excused from exhausting his administrative  
14 remedies. Defendant’s motion for summary judgment should therefore be granted.

15 V. Plaintiff’s Motion for Summary Judgment

16 Because the undersigned recommends dismissal of the first amended complaint based on  
17 plaintiff’s failure to exhaust his administrative remedies, plaintiff’s motion for summary  
18 judgment should be denied as moot.

19 VI. Conclusion

20 For the reasons set forth above, defendant’s motion for summary judgment should be  
21 granted for plaintiff’s failure to exhaust administrative remedies and plaintiff’s motion for  
22 summary judgment should be denied as moot.


23 IT IS HEREBY RECOMMENDED that:

- 24 1. Defendant’s motion for summary judgment (ECF No. 55) be granted and the first  
25 amended complaint be dismissed without prejudice for failure to exhaust administrative remedies.
- 26 2. Plaintiff’s motion for summary judgment (ECF No. 47) be denied as moot.
- 27 3. Judgment be entered for defendant.

28 These findings and recommendations are submitted to the United States District Judge

1 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
2 after being served with these findings and recommendations, any party may file written  
3 objections with the court and serve a copy on all parties. Such a document should be captioned  
4 “Objections to Magistrate Judge’s Findings and Recommendations.” Any response to the  
5 objections shall be served and filed within fourteen days after service of the objections. The  
6 parties are advised that failure to file objections within the specified time may waive the right to  
7 appeal the District Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

8 DATED: September 23, 2015

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10 ALLISON CLAIRE  
11 UNITED STATES MAGISTRATE JUDGE  
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