

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

ROBERT GUCCIARDO,  
Petitioner,  
v.  
WILLIAM KNIPP,  
Respondent.

No. 2:13-cv-00323 AC

## ORDER AND FINDINGS AND RECOMMENDATIONS

Petitioner is a state prisoner proceeding pro se with an application for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. The action proceeds on the petition filed February 20, 2013, challenging petitioner's 2010 conviction for multiple child sex offenses. ECF No. 1. Respondent has answered. ECF No. 9. Petitioner did not file a reply.

## BACKGROUND

## Trial Court Proceedings

The following statement of the case is taken from the unpublished opinion of the California Court of Appeal on direct review:<sup>1</sup>

## A. Factual and Procedural Background

Defendant Robert Gucciardo sexually abused his adopted daughter

<sup>1</sup> The undersigned has independently reviewed the trial record, and confirms the accuracy of the state court's recitation of the evidence presented at trial.

1 from ages 11 to 18....

2 In 1999 defendant began dating the victim's mother, and eventually  
3 the pair married. The victim, her mother, and her younger brother  
4 moved into defendant's home. Not long afterward, defendant began  
abusing the 11-year-old victim. The abuse continued until the  
victim was 19 and reported it to law enforcement.

5 An information charged defendant with nine counts of committing  
6 lewd acts with a child under 14 years of age (counts one through  
7 nine), four counts of committing lewd acts with a child of 14 or 15  
years of age (counts ten through thirteen), and two counts of  
8 unlawful intercourse with a minor (counts thirteen through fifteen).  
(Pen. Code, §§ 288, subds. (a), (c)(1), 261.5, subd. (c).) [N.1] A  
jury trial followed.

9 [N.1. All further statutory references are to the Penal Code unless  
10 otherwise designated.]

11 Defendant's Relationship with the Victim

12 When the victim was 11, defendant touched her breasts while  
13 rubbing ointment on her chest. He later told her the touching was  
intentional and asked her how she felt about it. Three weeks later,  
14 the victim touched defendant's penis when he asked her to. Around  
the same time, defendant rubbed her vagina with his hand.

15 The victim was happy in her new home. Defendant took her and her  
16 brother to an amusement park and museums, and bought clothes for  
them. Defendant also paid for ballet and piano lessons for the  
victim.

17 Beginning when the victim was 12, defendant had her touch his  
18 penis with her hand. Defendant had sexual intercourse with her  
when she was 12. The victim provided details of the incident,  
19 including the location and her position. Defendant also coached her  
on how to perform various sexual acts.

20 Following these incidents, defendant began coming into the  
21 victim's room three times a week; on most of these occasions,  
22 defendant would have sexual intercourse with her. Defendant and  
the victim would orally copulate one another. Defendant  
23 occasionally abstained from sexual intercourse, but never for more  
than two weeks.

24 When the victim was 13, defendant and her mother separated and  
ultimately divorced. The victim's mother moved out of the home;  
25 the victim and her brother remained with defendant.

26 The victim wanted to stay with defendant because he was a good  
27 father and she loved him. Defendant also told the victim her mother  
28 was unfit. Throughout the legal proceedings surrounding the  
guardianship, child custody, and adoption, the victim never  
revealed the ongoing sexual abuse, though she spoke to a court-  
appointed therapist and a family therapist. Even when specifically

1 questioned about abuse, she lied and said there were no problems.  
2 She testified defendant told her if she said anything, the authorities  
would take her away and no one would take care of her.

3 When the victim was 14, she began sleeping in defendant's  
4 bedroom. Defendant began to attempt anal intercourse with her,  
5 trying on several occasions. Defendant put his finger in her anus  
6 four times. [N.2]

7 [N.2. Defense counsel insisted the police report stated only one  
8 attempt at anal intercourse.]

9 The sex acts became less frequent when the victim turned 15.  
10 However, the type of sex acts, including oral sex and intercourse,  
11 remained constant.

12 When the victim turned 16, defendant abused her once or twice a  
13 week. The frequency lessened to once a week when she turned 17.  
14 The frequency of the sexual acts was also affected by defendant's  
15 heart attack and knee surgeries. The frequency of abuse lessened  
16 further when the victim turned 18, to once a month or once every  
17 three months.

18 During these years, defendant had the victim watch sex videos and  
19 played them during sexual activity. Defendant also encouraged her  
20 to take nude photos of herself and bought her lingerie. The videos,  
21 photos, and lingerie were entered into evidence.

22 At age 19, the victim told defendant she had had sex with her  
23 boyfriend. Defendant threatened to kill himself and the victim, and  
24 she moved out of defendant's house that night.

25 The Victim Reports the Abuse—The Pretext Call

26 In 2008 the victim reported the sexual abuse to police. Law  
27 enforcement arranged for her to make a pretext call to defendant.

28 The transcript of the call omits part of the conversation between the  
29 victim and defendant. The victim could not recall the omitted  
30 portion but speculated they merely exchanged greetings. The officer  
31 who recorded the call testified the gap consisted of only five  
32 seconds and the transcript was accurate. The jury heard the taped  
33 conversation.

34 During the call, defendant admitted having sex with the victim. He  
35 also admitted having sex with her over a long period of time.  
36 Defendant claimed he was not having sex with her anymore.

37 The victim said she was not comfortable having sex with defendant  
38 anymore.

39 Defendant responded: "That's fine. The sex has never been an  
40 issue. And you know that." The victim later asked, "But like you  
41 used to enjoy having sex with me, right?" Defendant replied,  
42 "Sure."

1 Defendant and the victim discussed what they would have done had  
2 she become pregnant. Defendant told her if she became pregnant  
they did not have to tell anyone he was the father.

3 The victim said she might want to tell others about their  
4 relationship. Defendant told her it was not a good idea, because  
people would not understand.

5 The victim testified defendant sometimes had a hard time  
6 understanding things that are said during phone conversations. She  
7 also testified she saw no scarring on defendant's genitalia. She  
admitted perjuring herself with respect to the location of a meeting  
she had had with the prosecutor.

8 Expert Testimony

9 Dr. Anthony Urquiza testified about child sexual abuse  
10 accommodation syndrome. Urquiza admitted he was not familiar  
11 with the victim, had not read documents related to the case, and was  
not offering an opinion as to whether the victim was, in fact,  
molested.

12 Urquiza testified victims often delay disclosing abuse when the  
13 abuser is someone with whom they have a long-term relationship.  
14 He also stated that approximately one-third of abuse victims do not  
disclose the abuse until they are over 18. Some victims conceal the  
abuse even when asked directly about it.

15 The syndrome consists of the following components: (1) secrecy—  
16 generally child victims do not immediately disclose the abuse; (2)  
helplessness -- abusers often have control over the child; (3)  
17 entrapment and accommodation -- the victim feels trapped and  
copes by compartmentalizing feelings about the abuse; (4) delayed  
and unconvincing disclosure; and (5) rejection, a retraction of  
18 truthful abuse allegations.

19 Urquiza acknowledged that research reveals some children do make  
20 false allegations. During cross-examination, defense counsel posed  
21 a hypothetical based on the facts at trial. Defense counsel asked  
Urquiza to assume there was regular contact between a child and a  
therapist for two-and-a-half years; the therapist gave assurances of  
22 confidentiality and then asked the child if anything was happening.  
Would that afford an opportunity for the child to disclose abuse?  
Urquiza responded that although the situation might be  
23 comfortable, it was not confidential because therapists are required  
to report abuse to law enforcement. A therapist would also have to  
disclose this requirement to a patient.

25 Urquiza testified abuse distorts the victim's world view. This  
26 distortion can cause problems later in life with relationships, mental  
health issues, and drug or alcohol abuse.

27 Defense Case

28 Defendant presented testimony by the victim's brother, defendant's

1 biological daughter, a woman who had a relationship with  
2 defendant, defendant's ex-wife, and a urologist. Defendant also  
3 testified in his own behalf.

4 The Victim's Brother

5 The victim's 16-year-old brother testified he never saw the victim  
6 sleep anywhere but in her own bed. According to the brother, he  
7 never saw any inappropriate behavior between defendant and his  
8 sister.

9 Prior to defendant's arrest, the victim moved out of the house and  
10 into an apartment with her boyfriend. When her brother was 15, the  
11 victim offered him marijuana. When the victim's brother told  
12 defendant about this, he became angry and confronted the victim  
13 and her boyfriend. The boyfriend pushed defendant in the chest.  
14 After moving in with her boyfriend, the victim developed a bad  
15 temper and began speaking very rapidly. She constantly talked  
16 about her boyfriend.

17 One day the victim and her boyfriend came to her brother's school.  
18 According to the brother, "She basically told me that my father had  
19 been raping her like since we met him...." The victim's brother said  
20 something in response and her boyfriend grabbed him by the  
21 shoulder, threatened him, and told him to support his sister.

22 The victim's brother testified that she wanted him to lie to support  
23 her abuse allegations against defendant. He also questioned her  
24 truthfulness. He never discussed sexual matters with defendant.

25 Defendant's Daughter

26 Tia, one of defendant's daughters, testified her father was hard of  
27 hearing, especially on the phone. Because of this, defendant would  
28 sometimes say "yes" even though it was obvious he had not heard  
29 the question. Tia learned of the pretext call between the victim and  
30 defendant prior to speaking with a defense investigator.

31 Diane Vergonet

32 Diane Vergonet dated defendant and had a sexual relationship with  
33 him beginning in July 2007, when she was about 63. Defendant had  
34 sexual problems and could not achieve an erection despite the  
35 couple's trying many different techniques. Vergonet also testified  
36 defendant was hard of hearing, particularly on the phone. Vergonet  
37 also stated defendant had scars on his penis.

38 Defendant's Ex-Wife

39 JoAnne McCracken, defendant's ex-wife, testified they were  
40 married from 1972 through 1982. [N.3] They had one daughter,  
41 born in 1975. After surgery, defendant became impotent and unable  
42 to achieve an erection. The scar on defendant's penis was visible  
43 during sex.

1 [N.3. Defendant was not sure if he had been married five or six  
2 times.]

3 McCracken noticed defendant had developed hearing problems in  
4 the six months prior to trial. Even before his hearing problems  
5 appeared, defendant would sometimes seem confused.

6 Urologist

7 Dr. Robert Carter, defendant's urologist, testified defendant  
8 complained of erectile dysfunction. They discussed a possible  
9 penile prosthesis, involving a pump, in September 2008. Dr.  
10 Carter's review of defendant's medical records revealed defendant  
11 first reported erectile dysfunction in 2004.

12 Other Evidence

13 The defense presented evidence that the victim sent affectionate  
14 text messages to defendant in April and May 2008.

15 The victim's ballet teacher, Pamela Hayes, testified that she taught  
16 the victim for seven years. She was a gifted dancer but changed  
17 after meeting her boyfriend. After the victim became disruptive in  
18 class, Hayes began to fear she had become involved with drugs.  
19 Concerned, Hayes tried to talk to her, but the victim told her, "Dad  
20 loves [my boyfriend]" and that she and her boyfriend were going to  
21 marry.

22 One morning, the victim called Hayes and began making  
23 allegations against her father. Hayes could hear a voice in the  
24 background prompting her. When the victim came to ballet class,  
25 Hayes saw her rush up to each student to see if they had heard  
26 about her, behavior Hayes found odd.

27 Defendant's Testimony

28 Defendant testified in his own behalf. When he first met the victim  
29 and her brother, they lived with their mother in a filthy apartment.  
30 There was no food in the house and a neighbor took care of them  
31 because their mother was gone for long periods. After they moved  
32 in with defendant, he found their mother very verbally abusive. The  
33 couple married in 1999.

34 When the couple divorced, the children wanted to remain with  
35 defendant. Defendant spent approximately \$100,000 and three years  
36 fighting for guardianship and later adoption. Defendant adopted the  
37 children because he was a Vietnam veteran and he wanted them to  
38 be entitled to his benefits.

39 In 1964 defendant's scrotum was crushed in an auto accident,  
40 resulting in ongoing sexual problems. In 1977, after several  
41 operations, defendant became completely impotent. Efforts to  
42 remedy his erectile dysfunction failed.

43 Defendant denied all of the victim's allegations and denied sexually

1 abusing her in any way. Had he molested her, defendant would not  
2 have allowed her to go to counseling.

3 Prior to meeting her boyfriend, the victim had been devoted to  
4 dance and music and was well behaved. She aspired to be a model,  
5 and defendant found she was sending photos of herself over the  
6 Internet to people who claimed to be photographers.

7 The victim met her boyfriend when she was 18. She lied about  
8 spending the night with him, and when defendant confronted her,  
9 she moved out.

10 Defendant worried about the relationship because the boyfriend  
11 wore a shirt with a marijuana leaf and sported numerous tattoos,  
12 including a big marijuana leaf on his back. However, the victim told  
13 defendant her boyfriend used marijuana for medical purposes.  
14 Defendant also noted changes in the victim's behavior that led him  
15 to believe she was using drugs, concerns echoed by her ballet  
16 teacher, Hayes.

17 After the victim offered marijuana to her 14-year-old brother,  
18 defendant decided to go to her apartment. He saw a water pipe and  
19 white powder with a razor blade on a table. When he confronted the  
20 boyfriend, the latter became angry and a violent confrontation  
21 ensued. Defendant believed the boyfriend was controlling the  
22 victim.

23 Defendant testified he was shocked when he read the transcript of  
24 the pretext call because he "didn't remember the conversation to  
25 that degree." At the time of the call, defendant had arrived home in  
26 the early morning hours after attending his sister's funeral out of  
27 state. He did not have hearing aids. [N.4]

28 [N.4. Defendant got hearing aids in September 2008. He was  
arrested in June 2008.]

29 According to defendant, a significant portion of the call was not  
30 recorded. In the unrecorded portion, the victim said she was in  
31 trouble and needed defendant's help. She told defendant her  
32 boyfriend was controlling and abusive. Defendant was frightened  
33 for her. All he wanted to do was to get her away from her boyfriend  
34 and back home.

35 During the call, defendant could not follow everything the victim  
36 said. At times he did not know whether she was talking about her  
37 boyfriend. When she talked about pregnancy, defendant thought she  
38 was talking about having a baby with her boyfriend. When she  
39 talked about having sex with him, defendant assumed she was  
40 talking about sex with her boyfriend. Defendant also assumed,  
41 when the victim talked about having sex when she was 12, that she  
42 was talking about sexual activity she engaged in after a school  
43 dance. Defendant described the victim's comments about marrying  
44 him as a joke.

45 Defendant denied ever seeing the lingerie before the items were

1 introduced at trial. He also denied seeing the pornographic videos  
2 prior to trial.

3 Verdict and Sentencing

4 Following nine hours of deliberation, the jury found defendant  
5 guilty on all counts. The court sentenced defendant to 24 years 8  
months in state prison: six years on count one; consecutive  
sentences of two years for each count on counts two through nine;  
consecutive sentences of eight months for each count on counts ten  
through thirteen; and on counts fourteen and fifteen, a concurrent  
jail sentence. . . .

7  
8 Lodged Doc. 10, Appendix A to Petition for Review, pp. 1-13.

9 Post-Conviction Proceedings

10 Petitioner appealed, and the California Court of Appeal affirmed the judgment on March  
11 1, 2012. Lodged Doc. 10, Appendix A. The California Supreme Court denied review on May 9,  
12 2012. Lodged Doc. 10.

13 Petitioner filed no applications for collateral relief in the state courts.

14 The federal petition, dated February 14, 2013, was docketed on February 20, 2013. ECF  
15 No. 1. Respondent answered on April 18, 2013. ECF No. 9. The answer asserts no procedural  
16 defenses. Id.

17 STANDARDS GOVERNING HABEAS RELIEF UNDER THE AEDPA

18 28 U.S.C. § 2254, as amended by the Antiterrorism and Effective Death Penalty Act of  
19 1996 (“AEDPA”), provides in relevant part as follows:

20 (d) An application for a writ of habeas corpus on behalf of a person  
21 in custody pursuant to the judgment of a state court shall not be  
granted with respect to any claim that was adjudicated on the merits  
22 in State court proceedings unless the adjudication of the claim –

23 (1) resulted in a decision that was contrary to, or involved an  
unreasonable application of, clearly established Federal law, as  
determined by the Supreme Court of the United States; or

24 (2) resulted in a decision that was based on an unreasonable  
determination of the facts in light of the evidence presented in the  
25 State court proceeding.

26  
27 The statute applies whenever the state court has denied a federal claim on its merits,  
28 whether or not the state court explained its reasons. Harrington v. Richter, 131 S. Ct. 770, 785

1 (2011). State court rejection of a federal claim will be presumed to have been on the merits  
2 absent any indication or state-law procedural principles to the contrary. Id. at 784-785 (citing  
3 Harris v. Reed, 489 U.S. 255, 265 (1989) (presumption of a merits determination when it is  
4 unclear whether a decision appearing to rest on federal grounds was decided on another basis)).  
5 “The presumption may be overcome when there is reason to think some other explanation for the  
6 state court’s decision is more likely.” Id. at 785.

7 The phrase “clearly established Federal law” in § 2254(d)(1) refers to the “governing legal  
8 principle or principles” previously articulated by the Supreme Court. Lockyer v. Andrade, 538  
9 U.S. 63, 71-72 (2003). Clearly established federal law also includes “the legal principles and  
10 standards flowing from precedent.” Bradley v. Duncan, 315 F.3d 1091, 1101 (9th Cir. 2002)  
11 (quoting Taylor v. Withrow, 288 F.3d 846, 852 (6th Cir. 2002)). Only Supreme Court precedent  
12 may constitute “clearly established Federal law,” but circuit law has persuasive value regarding  
13 what law is “clearly established” and what constitutes “unreasonable application” of that law.  
14 Ducharme v. Ducharme, 200 F.3d 597, 600 (9th Cir. 2000); Robinson v. Ignacio, 360 F.3d 1044,  
15 1057 (9th Cir. 2004).

16 A state court decision is “contrary to” clearly established federal law if the decision  
17 “contradicts the governing law set forth in [the Supreme Court’s] cases.” Williams v. Taylor, 529  
18 U.S. 362, 405 (2000). A state court decision “unreasonably applies” federal law “if the state  
19 court identifies the correct rule from [the Supreme Court’s] cases but unreasonably applies it to  
20 the facts of the particular state prisoner’s case.” Id. at 407-08. It is not enough that the state court  
21 was incorrect in the view of the federal habeas court; the state court decision must be objectively  
22 unreasonable. Wiggins v. Smith, 539 U.S. 510, 520-21 (2003).

23 Review under § 2254(d) is limited to the record that was before the state court. Cullen v.  
24 Pinholster, 131 S. Ct. 1388, 1398 (2011). The question at this stage is whether the state court  
25 reasonably applied clearly established federal law to the facts before it. Id. In other words, the  
26 focus of the § 2254(d) inquiry is “on what a state court knew and did.” Id. at 1399. Where the  
27 state court’s adjudication is set forth in a reasoned opinion, § 2254(d)(1) review is confined to “the  
28 state court’s actual reasoning” and “actual analysis.” Frantz v. Hazey, 533 F.3d 724, 738 (9th

1 Cir. 2008) (en banc). A different rule applies where the state court rejects claims summarily,  
2 without a reasoned opinion. In Richter, supra, the Supreme Court held that when a state court  
3 denies a claim on the merits but without a reasoned opinion, the federal habeas court must  
4 determine what arguments or theories may have supported the state court's decision, and subject  
5 those arguments or theories to § 2254(d) scrutiny. Richter, 131 S. Ct. at 786.

6 Relief is also available under AEDPA where the state court predicated its adjudication of  
7 a claim on an unreasonable factual determination. Miller-El v. Dretke, 545 U.S. 231, 240 (2005);  
8 Taylor v. Maddox, 366 F.3d 992, 999-1001 (9th Cir.), cert. denied, 543 U.S. 1038 (2004). The  
9 statute explicitly limits this inquiry to the evidence that was before the state court. 28 U.S.C. §  
10 2254(d)(2).

11 To prevail in federal habeas proceedings, a petitioner must establish the applicability of  
12 one of the § 2254(d) exceptions and also must also affirmatively establish the constitutional  
13 invalidity of his custody under pre-AEDPA standards. Frantz v. Hazey, 533 F.3d 724. There is  
14 no single prescribed order in which these two inquiries must be conducted. Id. at 736-37. The  
15 AEDPA does not require the federal habeas court to adopt any one methodology. Lockyer v.  
16 Andrade, 538 U.S. at 71.

17 DISCUSSION

18 I. Claim One: Insufficient Evidence

19 A. Petitioner's Allegations

20 Petitioner contends that the victim's trial testimony was sufficient to support conviction  
21 on only three counts, not all fifteen. The trial court "compounded this error when it instructed the  
22 jury pursuant to CALCRIM 3501." ECF No. 1 at 14.<sup>2</sup>

23 <sup>2</sup> The jury was instructed as follows, pursuant to CALCRIM 3501: "The defendant is charged in  
24 counts 1 through 15, inclusive, of alleged offenses occurring sometime during the period of  
25 December 1, 1999, to April 27, 2005. The People have presented evidence of more than one act  
26 to prove that the defendant committed these offenses. You must not find the defendant guilty  
27 unless: (1) You all agree that the People have proved that the defendant committed at least one of  
28 these acts and you all agree on which act was committed for each offense; or (2) You all agree  
that the People have proved that the defendant committed all the acts alleged to have occurred  
during this time period and have proved that the defendant committed at least the number of  
offenses charged." RT 845-46.

1           In his petition for review in the California Supreme Court, which exhausted this claim,  
2 petitioner argued that the evidence at trial established only three specific instances of sexual  
3 abuse. He contended that the jury was permitted to convict on additional counts absent unanimity  
4 on which specific incidents were proved, and without evidence sufficient to establish discrete  
5 instances of abuse on dates certain, all in violation of due process. See Lodged Doc. 10 (Petition  
6 for Review) at 8-23.

7           B. The Clearly Established Federal Law

8           Due process requires that each essential element of a criminal offense be proven beyond a  
9 reasonable doubt. In re Winship, 397 U.S. 358, 364 (1970). In reviewing the sufficiency of  
10 evidence to support a conviction, the question is “whether, viewing the evidence in the light most  
11 favorable to the prosecution, *any* rational trier of fact could have found the essential elements of  
12 the crime beyond a reasonable doubt.” Jackson v. Virginia, 443 U.S. 307, 319 (1974). If the  
13 evidence supports conflicting inferences, the reviewing court must presume “that the trier of fact  
14 resolved any such conflicts in favor of the prosecution,” and the court must “defer to that  
15 resolution.” Id. at 326; see also Juan H. v. Allen, 408 F.3d 1262, 1274, 1275 & n.13 (9th Cir.  
16 2005). In order to grant a writ of habeas corpus under AEDPA, the court must find that the  
17 decision of the state court reflected an objectively unreasonable application of Jackson and  
18 Winship to the facts of the case. Juan H., 408 F.3d at 1274. The federal habeas court determines  
19 the sufficiency of the evidence in reference to the substantive elements of the criminal offense as  
20 defined by state law. Jackson, 443 U.S. at 324 n.16; Chein v. Shumsky, 373 F.3d 978, 983 (9th  
21 Cir. 2004).

22           Erroneous jury instructions do not support federal habeas relief unless the infirm  
23 instruction so infected the entire trial that the resulting conviction violates due process. Estelle v.  
24 McGuire, 502 U.S. 62, 72 (1991) (citing Cupp v. Naughten, 414 U.S. 141, 147 (1973)). See also  
25 Donnelly v. DeChristoforo, 416 U.S. 637, 643 (1974) (“[I]t must be established not merely that  
26 the instruction is undesirable, erroneous, or even ‘universally condemned,’ but that it violated  
27 some [constitutional right]””). The challenged instruction may not be judged in artificial  
28 isolation, but must be considered in the context of the instructions as a whole and the trial record

1 overall. Estelle, 502 U.S. at 72. Moreover, relief is only available if there is a reasonable  
2 likelihood that the jury has applied the challenged instruction in a way that violates the  
3 Constitution. Id. at 72–73.

4 **C. The State Court's Ruling**

5 This claim was exhausted on direct appeal. Because the California Supreme Court denied  
6 discretionary review, the opinion of the California Court of Appeal constitutes the last reasoned  
7 decision on the merits and is the subject of habeas review in this court. See Ylst v. Nunnemaker,  
8 501 U.S. 797 (1991); Ortiz v. Yates, 704 F.3d 1026, 1034 (9th Cir. 2012).

9 The state appellate court ruled as follows:

10 Defendant argues the prosecution provided evidence on only three  
11 counts, leaving the remaining counts supported by only generic  
12 accusations. This generic testimony is insufficient to support the  
13 other counts. Although defendant acknowledges that under People  
14 v. Jones (1990) 51 Cal.3d 294 (Jones) such generic testimony does  
15 not necessarily violate the constitutional jury unanimity  
16 requirement, he argues Jones is distinguishable and contrary to  
17 United States constitutional law. [N.5]

18 [N.5. The court instructed the jury on unanimity pursuant to  
19 CALCRIM No. 3501.]

20 When considering the sufficiency of the evidence in support of a  
21 criminal conviction, we determine whether, after considering the  
22 entire record, a rational trier of fact could find the defendant guilty  
23 beyond a reasonable doubt. We view the evidence in the light most  
24 favorable to the prosecution and presume the existence of every fact  
25 the trier could reasonably deduce from the evidence. We must  
26 ensure the evidence is reasonable, credible, and of solid value, but  
27 we defer to the trial court to determine the credibility of witnesses  
28 and the veracity of the facts on which that determination depends.  
(Jones, supra, 51 Cal.3d at p. 314.)

Jones took up the troubling issue of “generic” testimony by child  
abuse victims and its impact on the due process rights of defendants  
in the context of the sufficiency of the evidence. The court noted  
molestation cases present unique, paradoxical problems of proof. A  
young victim, molested over a long period by someone residing in  
the home, may not have the ability to distinguish or identify  
specific incidents or dates of molestation. In recognition of this  
problem, the court sought to craft an evidentiary standard to assure  
a resident child molester is not immunized from liability because he  
molested his victim over an extended period of time. (Jones, supra,  
51 Cal.3d at p. 305.)

Jones developed the level of specificity needed to provide sufficient  
evidence in abuse cases involving generic testimony: “The victim,

1 of course must describe the kind of act or acts committed with  
2 sufficient specificity, both to assure that unlawful conduct indeed  
3 has occurred and to differentiate between the various types of  
4 proscribed conduct . . . . Moreover, the victim must describe the  
5 number of acts committed with sufficient certainty to support each  
6 of the counts alleged in the information or indictment . . . . Finally,  
7 the victim must be able to describe the general time period in which  
these acts occurred . . . to assure the acts were committed within the  
applicable limitation period. Additional details regarding the time,  
place or circumstance of the various assaults may assist in assessing  
the credibility or substantiality of the victim's testimony, but are not  
essential to sustain a conviction." (Jones, supra, 51 Cal.3d at p.  
316.)

8 In People v. Matute (2002) 103 Cal.App.4th 1437, the court in  
9 rejecting the defendant's due process challenge extended Jones's  
approach to generic testimony to a victim who was 15 and 16 years  
old at the time of the crimes. The Matute court reasoned: "The  
10 Jones court acknowledged that 'even a mature victim might  
understandably be hard pressed to separate particular incidents of  
11 repetitive molestations by time, place or circumstance.' [Citation.]  
The fact J. M. was 15 and 16 at the time of the crimes involved here  
12 makes little difference with regard to her inability to differentiate  
among the continual rapes perpetrated by defendant." (Id. at p.  
1447.)

14 Defendant challenges the bulk of his convictions on a variety of  
15 grounds based on Jones. [N.6] Preliminarily, defendant asserts  
sufficient evidence supports only, at most, counts one, four, and  
16 five. We disagree.

17 [N.6. Specifically, defendant contends the record is sufficient to  
support conviction on counts one, four, and five, and that counts  
18 two, three, and six through fifteen should be reversed. (Reply 5, fn.  
3)]

19 The victim testified extensively about numerous sexual acts over a  
20 long period of time. However, she also specifically described the  
21 kind of act, the number of acts, and the general time period  
sufficient to support each of the counts as required by Jones. She  
22 testified about defendant's touching when she was 11; sexual  
intercourse three times a week beginning when she was 12 and  
lasting until she was 13 or 14; sexual acts that became less frequent  
23 when she turned 15, occurring only once or twice a week; and  
defendant's performing the same sex acts only once a week when  
the victim was 17.

24 Defendant argues the victim's testimony differs from that of the  
25 victim in Matute, which the appellate court found sufficient. He  
26 contends the charges in Matute were uniform, with only one type of  
act allegedly committed once a month. In addition, in Matute, one  
27 allegation was confirmed by a rape examination revealing the  
defendant's sperm, and another resulted in an abortion. Defendant  
28 also stresses the victim's failure to disclose the abuse despite the  
counseling in conjunction with the guardianship and adoption

1 proceedings.

2 Despite defendant's attempts to distinguish Matute, we find its  
3 basic tenets apply in the present case. The multiplicity of sexual  
4 activity, the gaps due to defendant's health issues, and the lack of  
5 physical evidence do not render the victim's testimony insufficient  
6 to support defendant's convictions. She testified to specific acts at a  
7 specific frequency during a specific time period. This is what Jones  
8 and Matute found sufficient. As for the lack of physical evidence,  
9 the prosecution produced the phone call between defendant and the  
10 victim, providing corroboration for her claims.

11 The court, mindful of the victim's generic testimony, instructed the  
12 jury on the need for unanimity with CALJIC No. 3501, an  
13 instruction based on Jones. The court instructed: "The defendant is  
14 charged in counts 1 through 15, inclusive, of alleged offenses  
15 occurring sometime during the period of December 1, 1999, to  
16 April 27, 2005. [¶] The People have presented evidence of more  
17 than one act to prove that the defendant committed these offenses.  
18 [¶] You must not find the defendant guilty unless: [¶] 1. You all  
19 agree that the People have proved that the defendant committed at  
least one of these acts and you all agree on which act he committed  
for each offense; or [¶] 2. You all agree that the People have proved  
that the defendant committed all the acts alleged to have occurred  
during this time period and have proved that the defendant  
committed at least the number of offenses charged."

20 Defendant also contends that, unlike Jones, the prosecution here  
21 informed the jury it could use the first and last sex acts within each  
22 age bracket to convict him. According to defendant: "In order for  
23 the jury to convict appellant as charged they had to agree he  
committed each and every one of some 5,100 sex crimes." Not so.  
The jury had only to agree on the first and last act of each time  
period, satisfying the requirement under Jones that the victim  
24 testified to the number of acts with sufficient certainty to support  
each of the counts. Here, the victim testified as to specific acts and  
their frequency at each age alleged in the information. [N.7]

25 [N.7. We also reject defendant's contention that the lack of a jury  
unanimity requirement granted the prosecutor unbridled discretion.  
The prosecution complied with Jones in specifying the kinds of acts  
committed, the number of acts, and the general time period within  
which the acts occurred. (Jones, *supra*, 51 Cal.3d at p. 316.) These  
requirements curtail any possible prosecutorial overcharging of sex  
crimes.]

26 Defendant argues Jones conflicts with federal constitutional law.  
27 However, as defendant concedes, we must follow Jones. (Auto  
28 Equity Sales, Inc. v. Superior Court (1962) 57 Cal.2d 450, 455.)

29 Lodged Doc. 10, Appendix A to Petition for Review, pp. 13-18.

30 ////

31 ////

1                   D. Objective Reasonableness Under 28 U.S.C. § 2254(d)

2                   This court begins where the state court left off, with the unanswered question whether  
3 California law regarding the sufficiency of generic testimony, as articulated in People v. Jones, 51  
4 Cal. 3d 294 (1990) and People v. Matute, 103 Cal. App. 4th 1437 (2002), conflicts with clearly  
5 established federal law. The Ninth Circuit has found in a closely related context that the Jones  
6 framework is neither contrary to nor an unreasonable application of United States Supreme Court  
7 precedent. See Brodit v. Cambra, 350 F.3d 985, 988-89 (9th Cir. 2003) (rejecting claim that  
8 petitioner was denied notice of charges, in violation of due process, by information alleging  
9 sexual abuse on unspecified dates as approved in Jones), cert. denied, 542 U.S. 925 (2004).  
10 Brodit holds that § 2254(d)(1) precludes a claim that due process is violated by the absence in the  
11 charging document of precise dates. Id. It follows that § 2254(d)(1) also precludes a claim that  
12 due process is violated by conviction in the absence of evidence to establish, or jury unanimity  
13 regarding, precise dates.

14                   A claim even more similar to the one presented here was addressed by the district court in  
15 Heller v. Mendoza-Powers, 2008 U.S. Dist. LEXIS 78911 (N.D. Cal. 2008). That court rejected a  
16 claim that because the victim's testimony about ongoing sexual abuse was generic, the evidence  
17 was insufficient to support the convictions. As the Heller court explained,

18                   Petitioner has cited no United States Supreme Court precedent  
19 regarding the use of generic testimony in cases such as his, and the  
20 court has found none. What authority exists supports the Court of  
Appeal's decision. First, criminal defendants in state court have no  
21 federal constitutional right to a unanimous jury verdict. See Apodaca v. Oregon, 406 U.S. 404, 410-12, 92 S. Ct. 1628, 32 L.  
22 Ed. 2d 184 (1972). Second, the jury was instructed that in order to  
return a guilty verdict, all jurors had to agree that defendant  
23 committed the same act or acts. The jury returned a verdict finding  
that petitioner violated California Penal Code section 288(a).  
24 Pursuant to the jury instruction, this meant all the jurors had agreed  
that he committed the same act or acts of molestation. Greer v. Miller, 483 U.S. 756, 766, n. 8, 107 S. Ct. 3102, 97 L. Ed. 2d 618  
25 (1987) (presumption that juries follow instructions). Third, a similar  
argument regarding juror unanimity was rejected in U.S. v. Hawpetoss where the defendant was convicted of sexual abuse of a  
26 minor that had lived with him, based on generic evidence. 388  
F.Supp.2d [952,] 963 [E.D. Wis. 2005]. A similar instruction had  
27 been given requiring the jury to unanimously agree on the particular  
offense the defendant committed. Id. at 964. The district judge  
28 denied defendant's motion for judgment of acquittal, finding that

1 the defendant's due process rights were not violated even though the  
2 prosecution relied on generic evidence because of the presumption  
3 that the jury followed the instruction to agree unanimously on the  
4 particular offense the defendant committed. Id. at 964 - 65.

5 For these reasons, the Court of Appeal's decision to uphold  
6 petitioner's conviction was not contrary to or an unreasonable  
7 application of clearly established Supreme Court precedent.

8 Id. at \*11-13 (footnotes omitted).

9 The undersigned finds the Heller court's reasoning persuasive. The absence of a federal  
10 constitutional right to unanimity regarding the underlying facts, Apodaca v. Oregon, 406 U.S.  
11 404, 410-12 (1972), undermines petitioner's claim that Jones permits an unconstitutional result.  
12 Because the evidence supports the verdicts under California law as interpreted by the California  
13 Supreme Court, Jackson is satisfied. See Chein, 373 F.3d at 983. Because California does not  
14 make the precise dates of discrete acts of sexual abuse a substantive element of the offense, the  
15 absence of such evidence does not offend due process. See id. For the same reasons, CALCRIM  
16 3501 is not unconstitutional. See Ocampo v. Biter, 2013 U.S. Dist. LEXIS 74787 at \*20-27 (C.D.  
17 Cal. 2013) (Report and Recommendation), adopted, 2013 U.S. Dist. LEXIS 74827 (C.D. Cal.  
18 2013)

19 The state court applied the correct due process standard ("... we determine whether, after  
20 considering the entire record, a rational trier of fact could find the defendant guilty beyond a  
21 reasonable doubt. . . ."), and applied it reasonably. The evidence in this case did not compel a  
22 guilty verdict, to be sure. Petitioner impeached the victim's testimony both directly and  
23 indirectly, including with evidence that he was medically incapable of some of the sexual acts  
24 alleged. Petitioner also presented a plausible motive for the victim to falsely accuse him.  
25 However, this court may not revisit the jury's credibility determination. See Schlup v. Delo, 513  
26 U.S. 298, 330 (1995) (credibility determinations are outside the scope of review under Jackson).  
27 The question on post-conviction review is not whether the reviewing court is persuaded of guilt  
28 beyond a reasonable doubt, but whether any rational juror could be so persuaded when all  
inferences and all credibility determinations are drawn in favor of the prosecution. Id.; Jackson,  
443 U.S. at 319; Juan H., 408 F.3d at 1274-75. Because the victim's testimony was sufficient to

support the verdict and the jury believed her, the state court's answer to that question was not unreasonable. Accordingly, § 2254(d) bars relief.

II. Claim Two: Expert Testimony Regarding Child Sexual Abuse Accommodation Syndrome Violated Due Process

#### A. Petitioner's Allegations

Petitioner contends that his right to due process was violated by the admission of Dr. Urquiza's expert testimony regarding child sexual abuse accommodation syndrome (CSAAS). He alleges further that counsel rendered ineffective assistance by failing to object to the testimony. ECF No. 1 at 7.

### B. The Clearly Established Federal Law

The erroneous admission of evidence violates due process only if the evidence is so irrelevant and prejudicial that it renders the trial as a whole fundamentally unfair. Estelle v. McGuire, 502 U.S. 62 (1991).

To establish a constitutional violation based on ineffective assistance of counsel, a petitioner must show (1) that counsel's representation fell below an objective standard of reasonableness, and (2) that counsel's deficient performance prejudiced the defense. Strickland v. Washington, 466 U.S. 668, 692, 694 (1984). Prejudice means that the error actually had an adverse effect on the defense. There must be a reasonable probability that, but for counsel's errors, the result of the proceeding would have been different. Id. at 693-94.

### C. The State Court's Ruling

The opinion of the California Court of Appeal constitutes the last reasoned decision on the merits and is the subject of habeas review in this court. See Ortiz v. Yates, 704 F.3d at 1034. That court ruled as follows:

Defendant challenges the admission of expert testimony by Dr. Urquiza regarding child sexual abuse accommodation syndrome. He argues the testimony improperly allowed the jury to infer the victim's allegations were true, and the court erred in instructing the jury that it could use this evidence in evaluating her credibility. Defendant acknowledges defense counsel failed to object to the testimony or instruction at trial, but he argues such failures constitute ineffective assistance of counsel.

1 To establish ineffective assistance of counsel, a defendant must  
2 show counsel's performance was deficient and fell below an  
3 objective standard of reasonableness; and it is reasonably probable  
4 that a more favorable result would have been reached absent the  
5 deficient performance. (Strickland v. Washington (1984) 466 U.S.  
6 668, 687-688 [80 L.Ed.2d 674, 693-694].) A reasonable probability  
7 is a "probability sufficient to undermine confidence in the  
8 outcome." (Id. at p. 694 [80 L.Ed.2d at p. 698].)

9  
10 Expert testimony is admissible if it is related to a subject  
11 sufficiently beyond common experience that the expert would assist  
12 the jury. (Evid. Code, § 801, subd. (a).) Such testimony is excluded  
13 only if it would add nothing to the jury's common fund of  
14 information. We reverse the trial court's ruling admitting expert  
15 testimony only where the court abused its discretion. (People v.  
16 McAlpin (1991) 53 Cal.3d 1289, 1299-1300 (McAlpin)).

17 Numerous courts have found expert testimony concerning the  
18 syndrome properly admitted in abuse cases. (People v. Wells (2004)  
19 118 Cal.App.4th 179, 188; People v. Yovanov (1999) 69  
20 Cal.App.4th 392, 406-407.) Such expert testimony is admissible to  
21 show that a victim's reactions are not inconsistent with having been  
22 molested. However, expert testimony regarding the syndrome may  
23 not be used to determine whether a victim's claims are true. (People  
24 v. Bowker (1988) 203 Cal.App.3d 385, 393-394.)

25 We do not find Urquiza's testimony improperly led the jury to infer  
26 the victim's claims were true. Urquiza testified he was not familiar  
27 with the victim, had not read the documents related to the case, and  
28 was not offering an opinion as to whether she had been molested.  
The heart of Urquiza's testimony was a generalized account of the  
syndrome and its impact on an abused child. Urquiza also  
acknowledged research revealed some children have made false  
abuse allegations.

29 Defendant also claims similarities between Urquiza's testimony and  
30 the facts of the present case allowed the jury to conclude the victim  
31 had been molested. According to defendant, "Here, Dr. Urquiza's  
32 testimony effectively placed [the victim] in the group of molested  
33 children abused by someone they had an on-going relationship with  
34 and [who] delay disclosure until after the age of eighteen."

35 We disagree. Urquiza's testimony regarding the syndrome centered  
36 on general characteristics of abused children and their reactions to  
37 molestation. Not surprisingly, some of the aspects of the syndrome  
38 applied to the facts of this case and some did not. Such expert  
39 opinion did not invade the jury's province, denying defendant a fair  
40 trial.

41 The court in People v. Housley (1992) 6 Cal.App.4th 947 (Housley)  
42 faced a similar challenge to expert testimony regarding child sexual  
43 abuse accommodation syndrome. In Housley, the expert testified  
44 she had never met or examined the victim and explained it was not  
45 uncommon for abuse victims to delay reporting the abuse or to later  
46 recant their stories. (Id. at p. 952.)

1 The Housely court rejected the defendant's claim that the testimony  
2 was improperly used to suggest the molestations actually occurred.  
3 (Housely, supra, 6 Cal.App.4th at p. 954.) The court noted the  
4 expert testimony was clearly intended to help explain the victim's  
5 delay in reporting the abuse and her last-minute recantation of the  
6 charges. Therefore, the expert testimony aided the jury's  
7 assessment of the victim's behavior. Moreover, "[c]ontrary to  
8 appellant's position, the doctor did not suggest Maryella's claims  
9 were credible simply because she exhibited some behaviors  
10 common to abuse victims. The doctor advised the jury . . . that she  
11 had never met Maryella and was unfamiliar with the particulars of  
12 the case. It is thus unlikely the jury would interpret her statements  
13 as a testimonial to Maryella's credibility." (Id. at pp. 955-956.)

14 Here, defendant argues that since the victim did not recant her  
15 accusations against him, Housely does not apply. However,  
16 Housely found the "psychological testimony was properly used to  
17 dispel certain common misconceptions regarding the behavior of  
18 abuse victims." (Housley, supra, 6 Cal.App.4th at p. 956.) In the  
19 instant case, the psychological testimony provided an explanation  
20 for the victim's failure to report the years of abuse until she turned  
21 18.

22 Defendant also contends the court erred by instructing the jury that  
23 syndrome evidence could be used in evaluating the credibility of  
24 the victim's testimony. According to defendant, CALCRIM No.  
25 1193 improperly lightens the prosecution's burden of proof.

26 The court instructed the jury with CALCRIM No. 1193: "You have  
27 heard testimony from Dr. Anthony Urquiza regarding Child Sexual  
28 Abuse Accommodation Syndrome. Dr. Anthony Urquiza'[s]  
29 testimony about Child Sexual Abuse Accommodation Syndrome is  
30 not evidence that the defendant committed any of the crimes  
31 charged against him. [¶] You may consider this evidence only in  
32 deciding whether or not [the victim's] conduct was not inconsistent  
33 with the conduct of someone who has been molested and in  
34 evaluating the believability of her testimony." The court also  
35 instructed on the reasonable doubt standard. (CALCRIM No. 220.)

36 CALCRIM No. 1193 told the jury that expert testimony on the  
37 syndrome was not evidence of defendant's guilt, but such evidence  
38 could be considered only to determine whether the victim's conduct  
39 was consistent with that of a molestation victim. In McAlpin, supra,  
40 53 Cal.3d 1289, the Supreme Court reasoned: "expert testimony on  
41 the common reactions of child molestation victims is not admissible  
42 to prove that the complaining witness has in fact been sexually  
43 abused; it is admissible to rehabilitate such witness's credibility  
44 when the defendant suggests that the child's conduct after the  
45 incident—e.g., a delay in reporting—is inconsistent with his or her  
46 testimony claiming molestation. [Citations.] 'Such expert testimony  
47 is needed to disabuse jurors of commonly held misconceptions  
48 about child sexual abuse, and to explain the emotional antecedents  
49 of abused children's seemingly self-impeaching behavior.'" (Id. at  
50 pp. 1300-1301, fn omitted.)

1 CALCRIM No. 1193 comports with McAlpin. In the present case,  
2 defendant challenged the credibility of the victim's accusations of  
3 abuse. Evidence of child sexual abuse accommodation syndrome is  
4 pertinent and admissible when a defendant challenges the victim's  
credibility. (People v. Patino (1994) 26 Cal.App.4th 1737, 1745.)  
We find no error in the court's instructions and no ineffective  
assistance of counsel in connection with the expert testimony.

5 Lodged Doc. 10, Appendix A to Petition for Review, pp. 18-22.

6 **D. Objective Reasonableness Under 28 U.S.C. § 2254(d)**

7 The state court's rejection of this claim was not unreasonable. The Ninth Circuit has held  
8 that relief is not available under AEDPA for a claim that admission of CSAAS evidence violates  
9 due process. Brodit v. Cambra, 350 F.3d 985, 991 (9th Cir. 2003), cert. denied, 542 U.S. 925  
10 (2004). Brodit forecloses petitioner's claim here.

11 As in Brodit, the jury in this case was instructed that the expert testimony was to be  
12 considered only for the limited purpose of assessing the complaining witness's credibility, and  
13 not as evidence that petitioner committed any of the crimes charged against him. See RT 845.  
14 Juries are presumed to follow their instructions. Weeks v. Angelone, 528 U.S. 225, 234 (2000).  
15 Accordingly, in the context of petitioner's trial as a whole, the disputed testimony did not so  
16 infect the proceedings as to render them fundamentally unfair. See Estelle, 502 U.S. 62.

17 The CSAAS evidence was admissible under California law, a determination which is  
18 unreviewable in this court. See Estelle, 502 U.S. at 67-68; Bradshaw v. Richey, 546 U.S. 74, 76  
19 (2005). Accordingly, counsel's failure to object did not constitute unreasonable performance.  
20 Moreover, because any objection would have been overruled, the failure to object cannot have  
21 affected the outcome. See Kimmelman v. Morrison, 477 U.S. 365, 382 (1986) (to prevail under  
22 Strickland, petitioner must establish that foregone motion would have been meritorious). For  
23 these reasons, the state court reasonably rejected the ineffective assistance component of  
24 petitioner's claim.

25 **III. Claim Three: Ineffective Assistance of Counsel**

26 **A. Petitioner's Allegations**

27 Petitioner's third ground for relief reads as follows:

28 ////

Ineffective assistance of counsel because he failed to recognize that psychologists are mandated reporters and failed to obtain and introduce a psychological evaluation of me at trial.

My attorney questioned Dr. Urquiza and during the cross-examination posed the hypothetical that a young person could have discussed these allegations with a psychologist. Dr. Urquiza relied that psychologists are mandated reporters and that such a report could not be maintained confidentially by the psychologist. This caused my attorney to raise an[] illusory defense that evaporate completely, leaving behind a solid explanation for the complaining witness's delay of disclosure.

Also, Dr. Nakagawa was appointed to prepare a report after the conviction and she opined that defendant was not predisposed to committing a sexual offense. This should have been pursued prior to trial so that this evidence could have been presented to the jury.

ECF No. 1 at 8-9.

## B. The Clearly Established Federal Law

To establish a constitutional violation based on ineffective assistance of counsel, a petitioner must show (1) that counsel's representation fell below an objective standard of reasonableness, and (2) that counsel's deficient performance prejudiced the defense. Strickland v. Washington, 466 U.S. 668, 692, 694 (1984). Prejudice means that the error actually had an adverse effect on the defense. There must be a reasonable probability that, but for counsel's errors, the result of the proceeding would have been different. *Id.* at 693-94.

### C. The State Court's Ruling

The California Court of Appeal ruled as follows:

Defendant also argues counsel performed ineffectively in two other instances: failing to recognize that psychologists are mandated reporters, and failing to obtain and introduce a psychological evaluation at trial. Defendant argues this was a close case and such errors were prejudicial.

In the first instance, defense counsel cross-examined Urquiza regarding the victim's contact with a psychologist during the adoption proceedings. Defense counsel posed the hypothetical in which an alleged victim had contact with a psychologist. Urquiza stated a report of sexual abuse could not be confidential since psychologists are required to report such abuse and disclose the requirement to the patient.

Defendant argues trial counsel's failure to recognize psychologists are required to report abuse led him to raise an "illusory defense that evaporated completely . . . , leaving behind a solid explanation

1 for [the victim's] delay of disclosure." However, the victim herself  
2 provided a plausible explanation during trial for her failure to report  
3 the abuse to psychologists during the adoption proceedings. She  
4 testified defendant told her if she told anyone about the abuse she  
would be taken away from him and left with no one to take care of  
her. Defense counsel's misstep on the issue of confidentiality did  
not constitute ineffective assistance of counsel.

5 In the second instance, defendant argues counsel performed  
6 ineffectively in failing to obtain and introduce a psychological  
7 evaluation at trial. Defendant notes Dr. Nakagawa's report found  
defendant not predisposed to commit a sexual offense; therefore,  
there can be no satisfactory explanation for defense counsel's error.

8 If the record sheds no light on why defense counsel failed to act in  
9 the manner challenged, we must reject a claim of ineffective  
10 assistance unless counsel was asked for an explanation and failed to  
11 provide one, or there simply could be no satisfactory explanation.  
12 (People v. Mendoza Tello (1997) 15 Cal.4th 264, 266.) Here, the  
13 record does not reveal why defense counsel did not introduce a  
14 psychological evaluation at trial. Nor can we find there is no  
satisfactory explanation for defense counsel's conduct. Even though  
Dr. Nakagawa's report was positive, there is no guarantee another  
psychologist would have reached an identical conclusion. Nor  
under Jones is the trial court required to admit expert testimony as  
to a defendant's character. Given the risks in introducing such  
testimony, we cannot find counsel ineffective for failing to do so.

15 Lodged Doc. 10, Appendix A to Petition for Review, pp. 23-24.

16 D. Objective Reasonableness Under 28 U.S.C. § 2254(d)

17 The state court's rejection of petitioner's Strickland claim was not unreasonable.

18 The court characterized counsel's question to Dr. Urquiza as a "misstep," but held that it  
19 did not constitute ineffective assistance of counsel. The court highlighted the fact that the victim  
20 had provided an independent explanation for her failure to disclose the abuse during an adoption-  
21 related psychological interview. The appellate court's approach is consistent with clearly  
22 established federal law, which provides that relief may be denied for lack of prejudice without  
23 addressing the performance prong. See Strickland, 466 U.S. at 697. In light of the victim's  
24 explanation for her previous failure to disclose the abuse (that petitioner had threatened her with  
25 abandonment if she told anyone), counsel's unintended elicitation of another possible explanation  
26 did not have a likely prejudicial effect on the outcome. At least, it was not unreasonable for the  
27 state court to so conclude.

28 Regarding the failure to offer defense expert testimony, the state court reasonably found

1 that the record on appeal was inadequate to support the ineffective assistance claim. Petitioner  
2 did not attempt to supplement his claim with extra-record evidence in state habeas proceedings,  
3 and has thus forfeited the right to do so here. See Cullen v. Pinholster, 131 S.Ct. 1388, 1398  
4 (2011) (federal habeas review under § 2254(d) is limited to the evidentiary record that was before  
5 the state court).<sup>3</sup>

6 As the appellate court noted, California law does not require admission of expert character  
7 evidence regarding the defendant’s lack of propensity to sexually abuse children. In appropriate  
8 cases, however, such evidence may be permitted. People v. Jones, 51 Cal. 3d 294, 320 (1990)  
9 (“. . . the defendant may be permitted to introduce expert character evidence, based on  
10 standardized tests and personal interviews, to the effect that his personality profile does not  
11 include a capacity for deviant behavior against children.”) (citation omitted). Accordingly, it  
12 cannot be assumed that such an effort in this case would necessarily have been futile.

13 However, even if this court were to assume that counsel unreasonably failed to develop  
14 and proffer expert psychological testimony, petitioner’s claim would fail for want of a prejudice  
15 showing. See Strickland, 466 U.S. at 697. Petitioner relies on the report of Dr. Janice Nakagawa,  
16 CT 258-66, which was prepared for sentencing purposes. Dr. Nakagawa offered no opinion on  
17 the question whether petitioner’s “personality profile. . . include[d] a *capacity* for deviant  
18 behavior against children.” She found that petitioner is not predisposed to the commission of  
19 sexual offenses “by reason of mental defect or disease,” and that he “likely has no sexual  
20 preoccupation with minors.” CT 266. She attributed his offenses against his adopted daughter to  
21 a combination of her ready availability to him and his own psychological conflicts regarding his  
22 sexuality. CT 265 (noting petitioner’s rejection of his own psychosexual urges), 266 (noting  
23 petitioner’s difficulty coming to terms with his impotence, continued sexual drives, and  
24 convenience of the victim as a focus for his sexual attention). While Dr. Nakagawa concluded  
25 that petitioner was not a pedophile, CT 266, her report was replete with unflattering psychological  
26 information that could have been used by the prosecution to bolster its case that petitioner

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28 

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<sup>3</sup> The federal petition is not supported by any exhibits, and relies entirely on the state court record.

1 targeted his daughter for his sexual gratification. That was quite clearly Dr. Nakagawa's view.  
2 Accordingly, petitioner has failed to demonstrate a reasonable likelihood of a different result  
3 absent counsel's alleged error.

4 For all these reasons, petitioner is not entitled to relief on his ineffective assistance claim.

5 **IV. Claim Four: Prosecutorial Misconduct**

6 **A. Petitioner's Allegations**

7 Petitioner identifies three instances of alleged prosecutorial misconduct. He argues that  
8 the prosecutor (1) improperly invoked the prestige of his office, (2) misstated the unanimity  
9 requirement, and (3) asked the jury to look at the events through the victim's eyes. ECF No. 1 at  
10 10. On direct appeal, petitioner pointed to the following statements in the prosecutor's closing  
11 argument:<sup>4</sup>

12 The prosecutor noted that it was difficult for a victim to specify particular dates on which  
13 offenses occurred. The prosecutor stated: "What we typically do" is to determine if it happened  
14 twice or more, then "we talk about the first and last. That's the easiest way for us to kind of break  
15 it down when we have more than one." RT 738.

16 Regarding the unanimity instruction, the prosecutor commented that the jury could  
17 comply with the instruction by finding "that I proved that the defendant committed at least one of  
18 these acts and you all agree which one. So you have to agree there was a first time that he  
19 touched her." RT 740.

20 Finally, the prosecutor advised the jury to "Think about it from [the victim's] perspective.  
21 If she's making this up . . ." Defense counsel objected and the court sustained the objection.  
22 The prosecution then stated: "Think about it from [the victim's] perspective." Defense counsel  
23 again objected and the trial court sustained the objection. RT 778.

24 **B. The Clearly Established Federal Law**

25 A prosecutor's improper statements violate the constitution only where they "so infect[]  
26 the trial with unfairness as to make the resulting conviction a denial of due process." Darden v.  
27

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28 <sup>4</sup> Lodged Doc. 10. (Petition for Review) at 31-32.

1        Wainwright, 477 U.S. 168, 181 (1986) (quoting Donnelly v. DeChristoforo, 416 U.S. 637, 643  
2        (1974) (internal quotation marks omitted)). It is not enough that the remarks were “undesirable or  
3        even universally condemned.” Darden, 477 U.S. at 181. Fundamental fairness must be assessed  
4        in context of the trial as a whole, including the weight of the evidence, the defense opportunity to  
5        respond, and the instructions given to the jury. Id. at 181-82.

6            C. The State Court’s Ruling

7        The California Court of Appeal ruled as follows:

8            A prosecutor’s conduct violates the federal Constitution when it  
9        comprises a pattern of conduct so egregious that it infects the trial  
10       with such unfairness as to deny the defendant due process.  
11       Prosecutorial conduct that does not render a criminal trial  
12       fundamentally unfair is prosecutorial misconduct under state law  
13       only if it involves the use of deceptive or reprehensible methods to  
14       attempt to persuade either the court or the jury. (People v. Samayoa  
15       (1997) 15 Cal.4th 795, 841 (Samayoa)).

16        As a general rule, a defendant must object to prosecutorial  
17       misconduct and request an admonition when the misconduct occurs.  
18       (Samayoa, supra, 15 Cal.4th at p. 841.) The defendant’s failure to  
19       object or request an admonition is excused if either would be futile  
20       or an admonition would not have cured the harm caused by the  
21       misconduct. (People v. Hill (1998) 17 Cal.4th 800, 820.)

22            Invoking Prestige or Experience

23        A prosecutor commits misconduct by invoking his or her personal  
24       prestige or experience in an effort to bolster the case against a  
25       defendant. (People v. Riggs (2008) 44 Cal.4th 248, 302.) Defendant  
26       argues the prosecution invoked the prestige of his office and  
27       referred to facts not in evidence when he argued that “we typically”  
28       use first and last offenses in abuse cases based on generic  
29       testimony.

30        The prosecutor made the comments in question while discussing an  
31       approach to the numerous counts against defendant. He suggested  
32       count one was defendant’s rubbing the victim’s breasts or another  
33       crime against her when she was 11; counts two and three, the first  
34       and last oral copulations at age 12; counts four and five, the first  
35       and last acts of sexual intercourse at age 12; counts six and seven,  
36       the first and last acts of oral copulation at age 13; counts eight and  
37       nine, the first and last acts of sexual intercourse at age 13; counts  
38       ten and eleven, the first and last acts of sexual intercourse at age 14;  
39       counts twelve and thirteen, the first and last acts of sexual  
40       intercourse at age 15; and counts fourteen and fifteen, the first and  
41       last acts of sexual intercourse at ages 16 and 17

42        The prosecution commented that “What we typically do . . . we  
43       know there is a first time . . . we know there is a last time. . . . [¶] . .

1 . [¶] So what we do when we have multiple counts, we talk about  
2 the first and last.” These comments outlined the approach approved  
3 in Jones and provided the jury with a permissible approach for  
4 evaluating the evidence. The prosecutor did not invoke the prestige  
5 of his office, or refer to his legal experience, in providing this  
6 approach.

7 Unanimity Instruction

8 The prosecution, in discussing the unanimity instruction, told the  
9 jury one approach would be for the jury to agree on an act for each  
10 count. Since defendant claimed no molestations took place, the jury  
11 could agree that the prosecution proved defendant committed all the  
12 acts and therefore the 15 counts alleged. Defendant argues these  
13 comments misstated the law.

14 We disagree. The court instructed the jury on the unanimity  
15 requirement, an instruction based on Jones. (CALCRIM No. 3501.)  
16 The prosecution’s comments did not run afoul of either Jones or the  
17 instruction.

18 The Victim’s Perspective

19 A prosecutor commits misconduct when he invites jurors to view  
20 the case from the perspective of the alleged victim. Such comments  
21 invite the jury to depart from their required impartiality and, to the  
22 extent they appeal to the jury’s sympathy or passion, they are  
23 inappropriate. (People v. Fields (1983) 35 Cal.3d 329, 362; People  
24 v. Lopez (2008) 42 Cal.4th 960, 969-970.) Defendant asserts the  
25 prosecution’s statement that the jury should “think about it from  
[the victim’s] perspective” was an effort to garner the jury’s  
26 sympathy.

27 However, when the prosecutor urged jurors to view the case  
28 through the victim’s eyes, he referred to the pretext phone call she  
made with the police, which he argued she would not have  
participated in if she were concocting the molestation allegations.  
Seeing the case through the victim’s eyes in this context was  
considering her credibility given her participation in the phone call,  
which, if she were lying, would have resulted in adamant denials  
from defendant during the course of the call.

29 In addition, defense counsel objected to the statements, and the  
30 court sustained the objections. The court also instructed the jury not  
31 to let sympathy influence its decision. (CALCRIM No. 200.) We  
32 find no misconduct.

33 Lodged Doc. 10, Appendix A to Petition for Review, pp. 24-28.

34 D. Objective Reasonableness Under 28 U.S.C. § 2254(d)

35 The state court correctly stated the “fundamental fairness” standard that governs this  
36 claim. The state court then reasonably applied that standard.

1 First, nothing about the prosecutor's rhetorical use of the word "we" can reasonably be  
2 construed as an invocation of the prestige of the District Attorney's Office or the individual  
3 prosecutor's experience. The jury most likely interpreted the challenged statement as an  
4 explanation of how ongoing child sex abuse cases are analyzed by those who are called upon to  
5 do so, including lawyers, judges and jurors.

6 Second, the argument regarding the unanimity requirement was not inconsistent with  
7 California law. The state court's resolution of that issue may not be revisited here. See Estelle v.  
8 McGuire, 502 U.S. at 67-68; Bradshaw v. Richey, 546 U.S. at 76. For the same reasons that the  
9 generic victim testimony and instruction with CALCRIM 3501 did not violate due process, neither  
10 did this argument on that issue.

Finally, the reference to seeing through the victim's eyes was made in the specific context of discussing the credibility of the victim's testimony. The prosecutor was urging the jury to consider the perspective and circumstances of the witness in assessing her words and actions and deciding how much credence to give her testimony. When considered in context, the statement was within the bounds of permissible commentary on the assessment of witness credibility.

16 In sum, the statements to which petitioner objects did not individually or cumulatively  
17 infect the trial with unfairness. Especially when they are assessed in context of the trial as a  
18 whole, including the weight of the evidence, the defense opportunity to respond, and the  
19 instructions given to the jury, the statements are unlikely to have had any prejudicial effect. The  
20 state court ruled reasonably that due process was not offended.

## CONCLUSION

22 It is HEREBY ORDERED that the Clerk randomly assign this case to a United States  
23 District Judge.

24 For all the reasons set forth above, IT IS RECOMMENDED that petitioner's application  
25 for federal habeas corpus be denied.

26 These findings and recommendations are submitted to the United States District Judge  
27 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-eight  
28 days after being served with these findings and recommendations, any party may file written

1 objections with the court and serve a copy on all parties. Such a document should be captioned  
2 “Objections to Magistrate Judge’s Findings and Recommendations.” If petitioner files objections,  
3 he shall also address whether a certificate of appealability should issue and, if so, why and as to  
4 which issues. A certificate of appealability may issue under 28 U.S.C. § 2253 “only if the  
5 applicant has made a substantial showing of the denial of a constitutional right.” 28 U.S.C. §  
6 2253(c)(3). Any response to the objections shall be filed and served within fourteen days after  
7 service of the objections. The parties are advised that failure to file objections within the  
8 specified time may waive the right to appeal the District Court’s order. Martinez v. Ylst, 951  
9 F.2d 1153 (9th Cir. 1991).

10 | DATED: January 28, 2015

Allison Claire  
ALLISON CLAIRE  
UNITED STATES MAGISTRATE JUDGE