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8 UNITED STATES DISTRICT COURT  
9 FOR THE EASTERN DISTRICT OF CALIFORNIA  
10

11 MICHAEL BAZLEY,

12 Plaintiff,

13 v.

14 RICK HILL, Warden,

15 Defendant.  
16

No. 2:13-cv-0814 AC P

ORDER & FINDINGS AND  
RECOMMENDATIONS

17 Petitioner, who was a California state prisoner at the time he filed this action, proceeds pro  
18 se and seeks relief from his sentence pursuant to 28 U.S.C. § 2254. The habeas petition presents  
19 a single claim: that petitioner's three-year sentence for identity theft was improperly predicated  
20 on a constitutionally infirm prior conviction. ECF No. 1 at 4-5. Petitioner expressly challenges  
21 his sentence only, and not the underlying Sacramento County conviction. *Id.* at 1. Respondent  
22 has answered, ECF No. 18, and petitioner filed a response, ECF No. 21.

23 BACKGROUND

24 The California Court of Appeal provided the following summary of the relevant facts:

25 Between February 13, 2012, and February 22, 2012, defendant  
26 Michael Bazley stole checks belonging to Kathleen Rosing and  
27 deposited them in his bank account. As a result, SAFE Credit  
28 Union suffered a \$400 loss and Golden 1 Credit Union incurred a  
loss of \$3,799.73.

1 Defendant was charged with second degree burglary (Pen.Code, §  
2 459), possession of a completed check or other financial instrument  
3 with intent to utter or pass it fraudulently (§ 475, subd. (c)), and  
4 identity theft (§ 530.5, subd. (a)) with an allegation that he had a  
5 prior conviction for a serious felony under the “Three Strikes” law  
6 — a 1967 Louisiana conviction for armed robbery. Pursuant to a  
7 negotiated plea, defendant pled no contest to identity theft with a  
8 stipulated sentence of three years in state prison in exchange for  
9 dismissal of the remaining charges and the strike allegation. The  
10 trial court sentenced defendant to the stipulated three-year state  
11 prison term, imposed various fines and fees, ordered defendant to  
12 pay \$4,199.73 in victim restitution, and awarded 402 days’  
13 presentence credit (201 actual and 201 conduct).

14 Lodged Doc. 10 at 1-2.

15 In Superior Court, prior to sentencing, petitioner filed a pro se “Motion Challenging the  
16 Validity Of Prior Convictions Used To Den[y] Realignment Sentence.” CT 19.<sup>1</sup> This motion  
17 asserted that petitioner was actually innocent of one Louisiana conviction and that there were no  
18 original court records sufficient to establish the existence of another. Id.

19 Judgment was entered and sentence pronounced on November 9, 2012. CT 72. On direct  
20 appeal, appointed counsel filed a brief pursuant to People v. Wende, 25 Cal. 3d 436 (1979).  
21 Lodged Doc. 8. Petitioner filed a pro se supplemental brief arguing that the 1965 prior conviction  
22 was invalid because he had been denied his right to counsel in that proceeding. Lodged Doc. 9.  
23 The California Court of Appeal affirmed the conviction on May 21, 2013. Lodged Doc. 10.  
24 Petitioner filed a pro se petition for review in the California Supreme Court, Lodged Doc. 11,  
25 which was denied on July 24, 2013, Lodged Doc. 12.

26 During the pendency of his appeal, petitioner also sought collateral relief on the same or  
27 similar grounds. He filed a habeas petition in Sacramento County Superior Court on November  
28 16, 2012, Lodged Doc. 1, which was denied on January 2, 2013, Lodged Doc. 2. On January 14,  
2013, petitioner filed a petition for writ of mandate in the California Court of Appeal. Lodged  
Doc. 3. The Court of Appeal denied review on January 24, 2013. Lodged Doc. 4. Petitioner  
filed a habeas petition in the California Supreme Court on February 12, 2013, Lodged Doc. 5, and  
filed Supplemental Grounds for Relief in that court on March 7, 2013. The California Supreme  
Court denied the petition on April 17, 2013.

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<sup>1</sup> “CT” refers to the Clerk’s Transcript on Appeal, lodged on September 3, 2013.

1 The federal petition was filed, by operation of the prison mailbox rule, on April 23, 2013.<sup>2</sup>

2 PETITIONER’S CLAIM

3 Petitioner alleges that he was unconstitutionally denied a “realignment sentence” based on  
4 an invalid prior conviction. ECF No. 1 at 4. He claims that his 1965 (or 1967<sup>3</sup>) Louisiana  
5 conviction was constitutionally infirm because he was unrepresented and had not waived the right  
6 to counsel. Id. at 5.

7 DISCUSSION

8 Respondent provides several alternate grounds for denial of the petition: First, that the  
9 claim is barred by Tollett v. Henderson, 411 U.S. 258, 267 (1973) (holding that a guilty plea  
10 extinguishes all claims other than those going to the validity of the plea); second, that the claim is  
11 barred by Lackawanna County Dist. Attorney v. Coss, 532 U.S. 394, 403-04 (2001) (holding that  
12 a petitioner generally may not challenge an enhanced sentence under § 2254 on the ground that  
13 the prior conviction was unconstitutionally obtained); third, that the claim is procedurally barred;  
14 and fourth, that the claim fails on the merits. Before considering any of these contentions, the  
15 court first addresses a jurisdictional issue that it raised sua sponte.

16 I. Subject Matter Jurisdiction

17 The undersigned requested briefing of the question whether petitioner’s challenge to his  
18 sentence implicated the fact or duration of his custody so as to support habeas jurisdiction. ECF  
19 No. 25. The question arose because the petition alleges that consideration of an invalid prior  
20 conviction resulted in petitioner serving his sentence in state prison rather than in county jail,  
21 which would otherwise have been ordered pursuant to California’s “realignment” plan. Federal  
22 habeas jurisdiction lies for constitutional challenges to the fact or duration of a state prisoner’s  
23 confinement. Preiser v. Rodriguez, 411 U.S. 475, 487-88, 490 (1973); Bailey v. Hill, 599 F.3d  
24 976 (9th Cir. 2010); Young v. Kenny, 907 F.2d 874, 876 (9th Cir. 1990), cert. denied, 498 U.S.  
25 1126 (1991). Habeas jurisdiction is absent where a prisoner challenges a condition of

26 <sup>2</sup> See Houston v. Lack, 487 U.S. 266, 270 (1988).

27 <sup>3</sup> The superior court record includes references to distinct 1965 and 1967 Louisiana convictions.  
28 The record is inconsistent regarding which of these two priors was and is at issue. For the reasons  
explained below, it makes no difference.

1 confinement that does not directly implicate the duration of the sentence. Ramirez v. Galaza, 334  
2 F.3d 850, 859 (9th Cir. 2003), cert. denied, 541 U.S. 1063 (2004). Because it appeared that  
3 petitioner was challenging the identity and location of his (former) custodial institution rather  
4 than the duration of his custody, the court directed respondent to address the question whether  
5 petitioner's commitment to state prison affected the amount of time that he spent imprisoned or  
6 the amount of time spent under continuing sentence following release. ECF No. 25.

7 Respondent has replied, explaining among other things that petitioner's commitment to  
8 state prison meant that he was discharged from prison to post-release community supervision  
9 pursuant to Cal. Penal Code § 3450 et seq. Had petitioner been sentenced to county jail, all  
10 custody would have ceased upon completion of his jail term. Accordingly, respondent takes the  
11 position that petitioner's claim implicates the duration of his total period of active and  
12 constructive custody and thus supports subject matter jurisdiction. ECF No. 26.

13 The undersigned is satisfied that petitioner's claim is cognizable in habeas, because the  
14 alleged error affected the duration of his total criminal sentence – incarceration and parole – and  
15 not just the location of his imprisonment.

16 II. Petitioner's Claim Is Barred By His Guilty Plea and Attendant Sentencing Stipulation

17 Petitioner pled nolo contendere to one count of identity theft,<sup>4</sup> as part of a negotiated  
18 disposition in which two other counts were to be dismissed and the charged Louisiana prior was  
19 to be considered only for purposes of county jail ineligibility. CT 5 (Minute Order – Plea); RT 1-  
20 2<sup>5</sup> (change of plea hearing)<sup>6</sup>. At the change of plea hearing, petitioner said he understood that he  
21 would be sentenced to a three year prison term followed by up to four years of parole. RT at 3-4.  
22 At the sentencing hearing, the court noted that the plea bargain included “an agreement that the  
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24 <sup>4</sup> Under California law, a plea of nolo contendere has the same legal effect as a guilty plea for all  
25 purposes. Cal. Penal Code § 1016(3).

26 <sup>5</sup> “RT” refers to the Reporter's Transcript on Appeal, lodged on September 3, 2013.

27 <sup>6</sup> The judge actually stated, “[T]he People will not be seeking the strike other than for the state  
28 prison ineligibility.” RT 2:1-3. She obviously misspoke, and meant “county jail ineligibility” or  
“state prison commitment.” See CT 33-37 (prosecutor's bench brief, stating that defendant's  
prior was to be used only for purposes of Penal Code § 1170(h), governing eligibility to serve  
felony sentence in county facility).

1 defendant did have a prior strike which disqualified him from serving his time locally, and this  
2 will be a three-year prison term.” RT 9A. This record establishes beyond dispute that petitioner  
3 agreed as part of his plea deal that a prior Louisiana conviction would make him ineligible to  
4 serve the stipulated sentence in county jail.<sup>7</sup>

5 Having agreed as part of a negotiated plea that the prior could be considered for purposes  
6 of county jail ineligibility, petitioner may not now claim that such consideration violated his  
7 constitutional rights. As the Supreme Court has explained,

8 [A] guilty plea represents a break in the chain of events which has  
9 preceded it in the criminal process. When a criminal defendant has  
10 solemnly admitted in open court that he is in fact guilty of the  
11 offense with which he is charged, he may not thereafter raise  
12 independent claims relating to the deprivation of constitutional  
rights that occurred prior to the entry of the guilty plea. He may  
only attack the voluntary and intelligent character of the guilty  
plea....

13 Tollett, 411 U.S. at 267.

14 This principle applies with full force in the present context. Although the sentencing error  
15 asserted here is not a “pre-plea” error in the usual sense, the sentencing stipulation was part of the  
16 plea agreement which was negotiated prior to the entry of the plea. Accordingly, petitioner’s  
17 right to challenge his sentence and/or consideration of the prior was extinguished, along with any  
18 challenges to the identity theft conviction, when petitioner pled guilty. Numerous district courts  
19 have found that Tollett bars habeas challenges to sentences that were imposed pursuant to plea  
20 agreements. See Trudeau v. Runnels, 2011 U.S. Dist. LEXIS 138890, \*18-19 (E.D. Cal. 2011)  
21 (Tollett bars habeas challenge to enhanced sentence where petitioner pled guilty with full  
22 knowledge of how sentence was be structured in light of the prior convictions at issue)<sup>8</sup>; see also  
23 Diaz v. Lewis, 2013 U.S. Dist. LEXIS 186251, \*15-16 (C.D. Cal. 2013) (Tollett bars habeas  
24 challenge to sentence specified in plea agreement); Dorsey v. Cullen, 2012 U.S. Dist. 185292,

25  
26 <sup>7</sup> The record does not include a written plea agreement.

27 <sup>8</sup> Case No. 1:02-cv-05764 AWI BAM (HC), ECF No. 97 (Findings and Recommendations filed  
28 December 2, 2011), adopted by ECF No. 100 (Order Adopting Findings and Recommendations,  
filed September 4, 2012); see also ECF No. 107 (Order of USCA filed September 5, 2013)  
(denying Certificate of Appealability).

1 \*27-28 (C.D. Cal. 2012) (Tollett bars habeas challenge to stipulated sentence); Barajas v. Castro,  
2 2002 U.S. Dist. LEXIS 2000, \*19-26 (N.D. Cal. 2002) (Tollett bars habeas challenge to custody-  
3 credit implications of bargained-for sentence).

4 Tollet does not apply where a plea was involuntary or induced by the ineffective  
5 assistance of counsel. Mitchell v. Superior Court for County of Santa Clara, 632 F.2d 767, 770  
6 (9th Cir. 1980), cert denied, 451 U.S. 940 (1981). The petition here does not allege, or contain  
7 any facts suggesting, that petitioner's plea was involuntary or that his agreement to the state  
8 prison term was the result of ineffective assistance of counsel.

9 A plea of guilty and the ensuing conviction comprehend all of the  
10 factual and legal elements necessary to sustain a binding, final  
11 judgment of guilt and a lawful sentence. Accordingly, when the  
12 judgment of conviction upon a guilty plea has become final and the  
13 offender seeks to reopen the proceeding, the inquiry is ordinarily  
confined to whether the underlying plea was both counseled and  
voluntary. If the answer is in the affirmative, then the conviction  
and the plea, as a general rule, foreclose the collateral attack.

14 United States v. Broce, 488 U.S. 563, 572-74 (1989). Because petitioner's plea was counseled  
15 and there are no facts indicating it was involuntary, his collateral attack is foreclosed.

16 Because petitioner's sole claim for relief is barred by his plea, the court need not reach the  
17 other issues presented.

#### 18 CONCLUSION

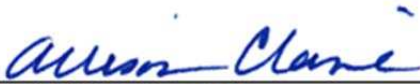
19 It is HEREBY ORDERED that the Clerk randomly assign this case to a United States  
20 District Judge.

21 For all the reasons set forth above, IT IS RECOMMENDED that petitioner's application  
22 for federal habeas corpus be denied.

23 These findings and recommendations are submitted to the United States District Judge  
24 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-one days  
25 after being served with these findings and recommendations, any party may file written  
26 objections with the court and serve a copy on all parties. Such a document should be captioned  
27 "Objections to Magistrate Judge's Findings and Recommendations." If petitioner files objections,  
28 he shall also address whether a certificate of appealability should issue and, if so, why and as to

1 which issues. A certificate of appealability may issue under 28 U.S.C. § 2253 “only if the  
2 applicant has made a substantial showing of the denial of a constitutional right.” 28 U.S.C. §  
3 2253(c)(3). Any response to the objections shall be filed and served within seven after service of  
4 the objections. The parties are advised that failure to file objections within the specified time may  
5 waive the right to appeal the District Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir.  
6 1991).

7 DATED: March 12, 2015

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9 ALLISON CLAIRE  
10 UNITED STATES MAGISTRATE JUDGE  
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