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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

DARRELL SMITH,  
Plaintiff,  
v.  
DUFFY,  
Defendants.

No. 2:13-cv-0864 WBS AC P

FINDINGS AND RECOMMENDATIONS

Plaintiff Darrell Smith, a state prisoner proceeding pro se, seeks relief pursuant to 42 U.S.C. § 1983. Plaintiff proceeds against twelve defendants, alleging that they denied him a fair segregation hearing, illegally placed him in administrative segregation, and kept him there beyond the term established by the segregation order, all in violation of his rights under the Due Process Clause of the U.S. Constitution.

I. MOTION FOR SUMMARY JUDGMENT

Pending before the court is defendants’ motion for summary judgment, which has been fully briefed. See ECF Nos. 37, 40 and 41. Defendants argue that plaintiff has no liberty interest in being free from administrative segregation, and therefore there can be no Due Process violation here. Defendants are correct, as plaintiff has failed to present any evidence that his administrative segregation “imposed atypical and significant hardship on the inmate in relation to the ordinary incidents of prison life.” See Sandin v. Conner, 515 U.S. 472, 484 (1995). The undersigned will

1 therefore recommend that the summary judgment motion be granted.

2 A. Legal Standard for Rule 56 (Summary Judgment) Motions

3 Summary judgment is appropriate when the moving party “shows that there is no genuine  
4 dispute as to any material fact and the movant is entitled to judgment as a matter of law.” Fed. R.  
5 Civ. P. 56(a). Under summary judgment practice, the moving party “initially bears the burden of  
6 proving the absence of a genuine issue of material fact.” Nursing Home Pension Fund, Local 144  
7 v. Oracle Corp. (In re Oracle Corp. Securities Litigation), 627 F.3d 376, 387 (9th Cir. 2010)  
8 (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The moving party may accomplish  
9 this by “citing to particular parts of materials in the record, including depositions, documents,  
10 electronically stored information, affidavits or declarations, stipulations (including those made for  
11 purposes of the motion only), admission, interrogatory answers, or other materials” or by showing  
12 that such materials “do not establish the absence or presence of a genuine dispute, or that the  
13 adverse party cannot produce admissible evidence to support the fact.” Fed. R. Civ.  
14 P. 56(c)(1)(A), (B).

15 When the non-moving party bears the burden of proof at trial, “the moving party need  
16 only prove that there is an absence of evidence to support the nonmoving party's case.” Oracle  
17 Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325); see also Fed. R. Civ. P. 56(c)(1)(B).  
18 Indeed, summary judgment should be entered, after adequate time for discovery and upon motion,  
19 against a party who fails to make a showing sufficient to establish the existence of an element  
20 essential to that party's case, and on which that party will bear the burden of proof at trial. See  
21 Celotex, 477 U.S. at 322. “[A] complete failure of proof concerning an essential element of the  
22 nonmoving party's case necessarily renders all other facts immaterial.” Id. In such a  
23 circumstance, summary judgment should be granted, “so long as whatever is before the district  
24 court demonstrates that the standard for entry of summary judgment ... is satisfied.” Id. at 323.

25 If the moving party meets its initial responsibility, the burden then shifts to the opposing  
26 party to establish that a genuine issue as to any material fact actually does exist. See Matsushita  
27 Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to establish the  
28 existence of this factual dispute, the opposing party may not rely upon the allegations or denials

1 of its pleadings but is required to tender evidence of specific facts in the form of affidavits, and/or  
2 admissible discovery material, in support of its contention that the dispute exists. See Fed. R.  
3 Civ. P. 56(c)(1); Matsushita, 475 U.S. at 586 n.11. Moreover, “[a] Plaintiff’s verified complaint  
4 may be considered as an affidavit in opposition to summary judgment if it is based on personal  
5 knowledge and sets forth specific facts admissible in evidence.” Lopez v. Smith, 203 F.3d 1122,  
6 1132 n.14 (9th Cir. 2000) (en banc).

7 The opposing party must demonstrate that the fact in contention is material, i.e., a fact that  
8 might affect the outcome of the suit under the governing law, see Anderson v. Liberty Lobby,  
9 Inc., 477 U.S. 242, 248 (1986); T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Assoc., 809  
10 F.2d 626, 630 (9th Cir. 1987), and that the dispute is genuine, i.e., the evidence is such that a  
11 reasonable jury could return a verdict for the nonmoving party, see Wool v. Tandem Computers,  
12 Inc., 818 F.2d 1433, 1436 (9th Cir. 1987).

13 In the endeavor to establish the existence of a factual dispute, the opposing party need not  
14 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed factual  
15 dispute be shown to require a jury or judge to resolve the parties’ differing versions of the truth at  
16 trial.” T.W. Elec. Serv., 809 F.2d at 631. Thus, the “purpose of summary judgment is to ‘pierce  
17 the pleadings and to assess the proof in order to see whether there is a genuine need for trial.’”  
18 Matsushita, 475 U.S. at 587 (citations omitted).

19 In evaluating the evidence to determine whether there is a genuine issue of fact,” the court  
20 draws “all reasonable inferences supported by the evidence in favor of the non-moving party.”  
21 Walls v. Central Costa County Transit Authority, 653 F.3d 963, 966 (9th Cir. 2011) (per curiam).  
22 It is the opposing party’s obligation to produce a factual predicate from which the inference may  
23 be drawn. See Richards v. Nielsen Freight Lines, 602 F. Supp. 1224, 1244–45 (E.D. Cal. 1985),  
24 aff’d, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to demonstrate a genuine issue, the opposing  
25 party “must do more than simply show that there is some metaphysical doubt as to the material  
26 facts. ... Where the record taken as a whole could not lead a rational trier of fact to find for the  
27 nonmoving party, there is no ‘genuine issue for trial.’” Matsushita, 475 U.S. at 587 (citation  
28 omitted).

1 In applying these rules, district courts must “construe liberally motion papers and  
2 pleadings filed by pro se inmates and ... avoid applying summary judgment rules strictly.”  
3 Thomas v. Ponder, 611 F.3d 1144, 1150 (9th Cir. 2010). However, “[if] a party fails to properly  
4 support an assertion of fact or fails to properly address another party's assertion of fact, as  
5 required by Rule 56(c), the court may ... consider the fact undisputed for purposes of the  
6 motion ....” Fed. R. Civ. P. 56(e)(2).

7 On May 6, 2014, Plaintiff was provided notice of the requirements for opposing a motion  
8 pursuant to Rule 56, as required by Rand v. Rowland, 154 F.3d 952, 957 (9th Cir. 1998) (en  
9 banc), cert. denied, 527 U.S. 1035 (1999); Klinge v. Eikenberry, 849 F.2d 409 (9th Cir. 1988),  
10 and Woods v. Carey, 684 F.3d 934 (9th Cir. 2012). See Defendants’ Rand Notice to Plaintiff  
11 (ECF No. 47-2).

12 B. Legal Principles Governing a Due Process Claim Based Upon Placement in  
13 Administrative Segregation

14 Pursuant to the Fourteenth Amendment to the U.S. Constitution,

15 No State shall ... deprive any person of life, liberty, or property,  
16 without due process of law.

17 U.S. Const. amend. XIV, § 1. The court’s initial inquiry in determining whether plaintiff can  
18 prevail on this claim is whether his transfer to Administrative Segregation “implicated a ‘liberty’  
19 interest of [his] ... within the meaning of the Due Process Clause.” Meachum v. Fano, 427 U.S.  
20 215, 223-24 (1976). If there is no such liberty interest, plaintiff’s claim must fail, and no further  
21 inquiry is called for. Erickson v. U.S., 67 F.3d 858, 861 (9th Cir. 1995) (“[a] due process claim  
22 is cognizable only if there is a recognized liberty or property interest at stake”) (quoting  
23 Schroeder v. McDonald, 55 F.3d 454, 462 (9th Cir. 1995)).

24 “[A] liberty interest in avoiding particular conditions of confinement may arise from state  
25 policies or regulations, subject to the important limitations set forth in Sandin v. Conner, 515 U.S.  
26 472 (1995).” Wilkinson v. Austin, 545 U.S. 209, 222 (2005) (finding a Due Process liberty  
27 interest “in avoiding assignment to” the unusually harsh “Supermax” facility). The liberty  
28 interest will arise from an assignment to a particular form of confinement only if that assignment

1 “imposes atypical and significant hardship on the inmate in relation to the ordinary incidents of  
2 prison life.” Sandin, 515 U.S. at 484.

3 C. Undisputed Facts

4 • At all times relevant to this complaint, plaintiff was an inmate in the California  
5 Department of Corrections and Rehabilitation (“CDCR”) serving an indeterminate sentence at the  
6 California Medical Facility (“CMF”). Defendants’ Statement of Undisputed Facts in Support of  
7 Motion for Summary Judgment (“Facts”) (ECF No. 37-2) ¶¶ 1-3.<sup>1</sup>

8 • On March 21, 2012, plaintiff was placed in Administrative Segregation (“Ad-Seg”) for  
9 investigation into his possible involvement in an attempt to introduce drugs, tobacco and cell  
10 phones into the prison. Facts ¶ 4; Declaration of T. Lee (“Lee Decl.”) (ECF No. 37-5) ¶ 2.<sup>2</sup>

11 • One week later, on March 28, 2012, an Institutional Classification Committee (“ICC”)   
12 met to review plaintiff’s Ad-Seg placement, and recommended a 60-day extension so the  
13 investigation could be completed. Facts ¶¶ 5-6; Declaration of McLemore (“McLemore Decl.”)  
14 (ECF No. 37-7) at 5 (of 25).<sup>3</sup>

15 • On April 18, 2012, the Classification Staff Representative (“CSR”) approved the ICC’s  
16 recommendation that plaintiff’s Ad-Seg placement be extended for 60 days (from the date of the  
17 ICC recommendation, March 28, 2012), that is, until May 27, 2012, and indicated that the case  
18 was to return to the CSR no later than May 27, 2012 with a status update. Facts ¶ 7; McLemore  
19 Decl. at 6.

20 • May 27, 2012 came and went but plaintiff was not released from Ad-Seg, nor did  
21 defendants return to the CSR, or anyone else, to extend plaintiff’s stay in Ad-Seg. See Complaint

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23  
24 <sup>1</sup> Where, as here, defendants’ Undisputed Facts are supported by the submitted evidence, and not  
25 contested by plaintiff, the court cites only to the relevant paragraph of defendants’ Undisputed  
26 Facts.

26 <sup>2</sup> The California regulations relating to placement in Administrative Segregation are found at Cal.  
Code Regs. tit. 15, §§ 3335-45.

27 <sup>3</sup> There are two page numbers appearing on the documents annexed to the McLemore  
28 Declaration. The court refers to the ECF page numbers on the upper right hand corner of the  
documents.

1 Exh. B (ECF No. 1-1) at 19 (“appellant has been illegally placed in ASU after 5-27-2012”).<sup>4</sup>

2 • On July 11, 2012, the ICC extended plaintiff’s stay in Ad-Seg another 60 days, to July  
3 26, 2012 (apparently retroactively). Facts ¶ 11.

4 • On July 25, 2012, the CSR approved another 30day extension of plaintiff’s stay in Ad-  
5 Seg, to August 24, 2012. Facts ¶ 12.

6 • On August 23, 2012, Smith was given a newsegregation order which was reviewed by  
7 the ICC on August 29, 2012. Facts ¶¶ 13-14. The ICC ordered the segregation to continue  
8 pending plaintiff’s transfer to another prison. Facts ¶ 18.

9 C. Discussion

10 Plaintiff has failed to make the required showing. Plaintiff’s opposition to the summary  
11 judgment motion focuses exclusively on the alleged deficiencies in the process the State used to  
12 place him, and keep him, in administrative segregation. However, he does not assert, nor offer  
13 any evidence showing, that his placement in administrative segregation imposed an “atypical and  
14 significant hardship” on him “in relation to the ordinary incidents of prison life.”

15 First, administrative segregation itself does not qualify as an atypical and significant  
16 hardship. See May v. Baldwin, 109 F.3d 557, 565 (9th Cir. 1997) (there exists “‘no liberty  
17 interest in freedom from state action taken within the sentence imposed,’ and the Ninth Circuit  
18 explicitly has found that administrative segregation falls within the terms of confinement  
19 ordinarily contemplated by a sentence”) (quoting Sandin, 515 U.S. at 480).

20 Second, plaintiff has not offered any information about the specific administrative  
21 segregation that he was subject to from which the court could conclude that the segregation was  
22 atypical or a significant hardship. Plaintiff includes a statement (or possibly a heading) in his  
23 unsworn Brief referring to the “nasty living conditions” in the administrative segregation unit.

24 See ECF No. 40 at 9. However, plaintiff does not assert, nor offer any evidence, that these

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25 <sup>4</sup> Defendants are completely silent about plaintiff’s retention in Ad-Seg after May 27, 2012.  
26 However, they do not contest plaintiff’s assertion in his complaint that he was illegally kept in  
27 Ad-Seg after May 27, 2012. The court believes the statement in the text is a reasonable inference,  
28 for purposes of the summary judgment motion, from the unsworn complaint, defendants’  
discussion of the events before and after this time period, and their silence about plaintiff’s  
retention in Ad-Seg between May 27, 2012 and July 11, 2012.

1 conditions are materially different than those existing in the general population.<sup>5</sup> In the same  
2 unsworn statement, plaintiff indicates that he contracted a staph infection, got high blood  
3 pressure, and possibly developed a mental health issue. However, as defendants point out,  
4 plaintiff directs the court to no evidence that any of these things occurred while he was in  
5 administrative segregation.

6 In short, the undisputed facts show that plaintiff was held in “administrative” segregation  
7 from May 27, 2012 to July 11, 2012 with no administrative order in existence to keep him there,  
8 and plaintiff further asserts that the remainder of his time in Ad-Seg was plagued by violations of  
9 State regulations governing administrative segregation. However, even assuming the deficiencies  
10 and regulatory violations occurred, plaintiff has failed to show that his segregation was atypical in  
11 relation to the ordinary incidents of prison life. Accordingly, under the governing law and the  
12 undisputed facts of this case, he has not suffered a violation of his federal Due Process rights.

## 13 II. CONCLUSION

14 For the reasons stated above, IT IS HEREBY RECOMMENDED that:

- 15 1. Defendants’ motion for summary judgment (ECF No. 37) be GRANTED;
- 16 2. The Section 1983 claim be DISMISSED in its entirety, with prejudice;
- 17 3. Pursuant to 28 U.S.C. § 1367(c)(3), the court decline to exercise jurisdiction over  
18 any State claims for violations of the administrative segregation regulations; and that
- 19 4. The Clerk of the Court be directed to close this case.

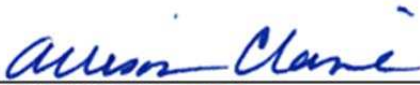
20 These findings and recommendations are submitted to the United States District Judge  
21 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty one days  
22 after being served with these findings and recommendations, any party may file written  
23 objections with the court and serve a copy on all parties. Such a document should be captioned  
24 “Objections to Magistrate Judge's Findings and Recommendations.” Failure to file objections  
25 within the specified time may waive the right to appeal the District Court's order. Turner v.

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26 <sup>5</sup> Of course, sufficiently unacceptable living conditions could support a claim for cruel and  
27 unusual punishment. See, e.g., Keenan v. Hall, 83 F.3d 1083, 1090 (9th Cir. 1996) (plaintiff’s  
28 Eighth Amendment claims relating to the conditions of his confinement should go to trial).  
However, that is not a claim made in this lawsuit.

1 Duncan, 158 F.3d 449, 455 (9th Cir. 1998); Martinez v. Ylst, 951 F.2d 1153, 1156–57 (9th  
2 Cir. 1991).

3 DATED: October 21, 2014

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5 ALLISON CLAIRE  
6 UNITED STATES MAGISTRATE JUDGE  
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