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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

DEBRA ANN JACKSON,  
Plaintiff,  
v.  
CAROLYN W. COLVIN, Acting  
Commissioner of Social Security,  
Defendant.

No. 2:13-CV-01097-CKD

ORDER

Plaintiff seeks judicial review of a final decision of the Commissioner of Social Security (“Commissioner”) denying applications for Disability Income Benefits (“DIB”) and Supplemental Security Income (“SSI”) under Titles II and XVI of the Social Security Act (“Act”), respectively. For the reasons discussed below, the court will deny plaintiff’s motion for summary judgment and grant the Commissioner’s cross-motion for summary judgment.

BACKGROUND

Plaintiff, born August 28, 1957, applied on September 22, 2010 for DIB and SSI, alleging disability beginning December 1, 2009. Administrative Transcript (“AT”) 147-157. Plaintiff alleged she was unable to work due to diabetes and hypertension. AT 173. In a decision dated

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1 August 21, 2012, the ALJ determined that plaintiff was not disabled.<sup>1</sup> AT 32. The ALJ made the  
2 following findings (citations to 20 C.F.R. omitted):

- 3 1. The claimant meets the insured status requirements of the Social  
4 Security Act through December 31, 2011.
- 5 2. The claimant has not engaged in substantial gainful activity since  
6 December 1, 2009, alleged onset date.
- 7 3. The claimant has the following severe impairments: obesity and  
8 diabetes mellitus.
- 9 4. The claimant does not have an impairment or combination of  
10 impairments that meets or medically equals one of the listed  
11 impairments in 20 C.F.R. Part 404, Subpart P, Appendix 1.

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12 <sup>1</sup> Disability Insurance Benefits are paid to disabled persons who have contributed to the  
13 Social Security program, 42 U.S.C. § 401 et seq. Supplemental Security Income is paid to  
14 disabled persons with low income. 42 U.S.C. § 1382 et seq. Both provisions define disability, in  
15 part, as an “inability to engage in any substantial gainful activity” due to “a medically  
16 determinable physical or mental impairment. . . .” 42 U.S.C. §§ 423(d)(1)(a) & 1382c(a)(3)(A).  
17 A parallel five-step sequential evaluation governs eligibility for benefits under both programs.  
18 See 20 C.F.R. §§ 404.1520, 404.1571-76, 416.920 & 416.971-76; Bowen v. Yuckert, 482 U.S.  
19 137, 140-142, 107 S. Ct. 2287 (1987). The following summarizes the sequential evaluation:

20 Step one: Is the claimant engaging in substantial gainful  
21 activity? If so, the claimant is found not disabled. If not, proceed  
22 to step two.

23 Step two: Does the claimant have a “severe” impairment?  
24 If so, proceed to step three. If not, then a finding of not disabled is  
25 appropriate.

26 Step three: Does the claimant’s impairment or combination  
27 of impairments meet or equal an impairment listed in 20 C.F.R., Pt.  
28 404, Subpt. P, App.1? If so, the claimant is automatically  
determined disabled. If not, proceed to step four.

Step four: Is the claimant capable of performing his past  
work? If so, the claimant is not disabled. If not, proceed to step  
five.

Step five: Does the claimant have the residual functional  
capacity to perform any other work? If so, the claimant is not  
disabled. If not, the claimant is disabled.

Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995).

The claimant bears the burden of proof in the first four steps of the sequential evaluation  
process. Yuckert, 482 U.S. at 146 n.5, 107 S. Ct. at 2294 n.5. The Commissioner bears the  
burden if the sequential evaluation process proceeds to step five. Id.

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5. After careful consideration of the entire record, the undersigned finds that the claimant has the residual functional capacity to perform light work . . . . She can occasionally climb, balance, stoop, kneel, crouch and crawl. As a precautionary measure, the claimant should avoid working at height or with hazardous machinery.

6. The claimant is capable of performing past relevant work, as explained below. This work does not require the performance of work related activities precluded by the claimant’s residual functional capacity.

7. The claimant has not been under a disability, as defined in the Social Security Act, from December 1, 2009, through the date of this decision.

AT 24-31.

ISSUES PRESENTED

Plaintiff argues that new and material evidence demonstrates plaintiff’s medical condition is worsening, the ALJ improperly evaluated the medical evidence, the ALJ improperly discredited her testimony and a third-party report, and the ALJ posed an incomplete hypothetical question to the vocational expert.

LEGAL STANDARDS

The court reviews the Commissioner’s decision to determine whether (1) it is based on proper legal standards pursuant to 42 U.S.C. § 405(g), and (2) substantial evidence in the record as a whole supports it. Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is more than a mere scintilla, but less than a preponderance. Connett v. Barnhart, 340 F.3d 871, 873 (9th Cir. 2003) (citation omitted). It means “such relevant evidence as a reasonable mind might accept as adequate to support a conclusion.” Orn v. Astrue, 495 F.3d 625, 630 (9th Cir. 2007), quoting Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005). “The ALJ is responsible for determining credibility, resolving conflicts in medical testimony, and resolving ambiguities.” Edlund v. Massanari, 253 F.3d 1152, 1156 (9th Cir. 2001) (citations omitted). “The court will uphold the ALJ’s conclusion when the evidence is susceptible to more than one rational interpretation.” Tommasetti v. Astrue, 533 F.3d 1035, 1038 (9th Cir. 2008).

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1           The record as a whole must be considered, Howard v. Heckler, 782 F.2d 1484, 1487 (9th  
2 Cir. 1986), and both the evidence that supports and the evidence that detracts from the ALJ's  
3 conclusion weighed. See Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985). The court may not  
4 affirm the ALJ's decision simply by isolating a specific quantum of supporting evidence. Id.; see  
5 also Hammock v. Bowen, 879 F.2d 498, 501 (9th Cir. 1989). If substantial evidence supports the  
6 administrative findings, or if there is conflicting evidence supporting a finding of either disability  
7 or nondisability, the finding of the ALJ is conclusive, see Sprague v. Bowen, 812 F.2d 1226,  
8 1229-30 (9th Cir. 1987), and may be set aside only if an improper legal standard was applied in  
9 weighing the evidence. See Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th Cir. 1988).

## 10 ANALYSIS

### 11           A. New Evidence Submitted to Appeals Council

12           Plaintiff submitted additional medical records from Community Medical Centers  
13 Vacaville dated May 16, 2012 through July 26, 2012 and from Solano County Health and Social  
14 Services dated June 21, 2012 along with her request to the Appeals Council for review of the  
15 ALJ's decision. AT 6, 628-632. Plaintiff contends that this evidence shows plaintiff's medical  
16 condition is worsening. The Appeals Council considered this evidence in denying plaintiff's  
17 request for review and made it part of the administrative record.<sup>2</sup> AT 1-2. Accordingly, this  
18 court will review the ALJ's decision under the substantial evidence standard with due  
19 consideration of these treatment records. See Harman v. Apfel, 211 F.3d 1172, 1179-80 (9th Cir.  
20 2000) (where plaintiff submitted additional materials to the Appeals Council in requesting review  
21 of the ALJ's decision, court may properly consider the additional materials because the Appeals  
22 Council addressed them in the context of denying plaintiff's request for review); see also Ramirez

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24 <sup>2</sup> The Appeals Council also looked at medical records dated October 17, 2012 through December  
25 17, 2012. AT 2. Because these records post date the ALJ's decision, they were not made a part  
26 of the administrative record. As noted in the decision of the Appeals Council, this evidence was  
27 returned to plaintiff so that it could be used in support of a new claim for disability for a period  
28 commencing after the date of the ALJ's decision. AT 2. Without reference to the administrative  
record, plaintiff asserts that her condition is deteriorating and that she has been diagnosed with  
additional ailments. The additional records do not support plaintiff's assertion and plaintiff fails  
to explain how any additional diagnosed conditions presented any functional impairments.

1 v. Shalala, 8 F.3d 1449, 1451-52 (9th Cir. 1993) (noting that where the Appeals Council declined  
2 to review the decision of the ALJ after examining the entire record, including new material, court  
3 considers both the ALJ’s decision and the additional materials submitted to the Appeals Council);  
4 Brewes v. Comm’r Soc. Sec. Admin., 682 F.3d 1157, 1159-60 (9th Cir. 2012).

5 B. Medical Evidence

6 1. Step-Two Severity Analysis

7 Plaintiff contends the ALJ improperly assessed the severity of her impairments and failed  
8 to consider all of them in combination. An impairment is “not severe” only if it “would have no  
9 more than a minimal effect on an individual’s ability to work, even if the individual’s age,  
10 education, or work experience were specifically considered.” SSR 85-28. The purpose of step  
11 two is to identify claimants whose medical impairment is so slight that it is unlikely they would  
12 be disabled even if age, education, and experience were taken into account. Yuckert, 482 U.S. at  
13 137. “The step-two inquiry is a *de minimis* screening device to dispose of groundless claims.”  
14 Smolen v. Chater, 80 F.3d 1273, 1290 (9th Cir. 1996); see also Edlund, 253 F.3d at 1158.  
15 Impairments must be considered in combination in assessing severity. 20 C.F.R. § 404.1523. At  
16 step two, the burden is on plaintiff to demonstrate severity. See Tidwell v. Apfel, 161 F.3d 599,  
17 601 (9th Cir. 1998).

18 Plaintiff argues the ALJ failed to recognize as severe her impairments resulting from  
19 anemia and chronic kidney disease. As an initial matter, the ALJ found that plaintiff had severe  
20 impairments of diabetes mellitus and obesity and thus plaintiff satisfies the *de minimus* screening  
21 device. AT 24. Moreover, the ALJ did consider plaintiff’s anemia and chronic kidney disease in  
22 the sequential evaluation, determining that plaintiff did not meet or equal the listings for renal  
23 function and anemia at step three:

24 Listing 6.02 requires impairment of renal function due to any  
25 chronic renal disease that has lasted or can be expected to last for a  
26 continuous period of at least twelve months. **With: (A)** chronic  
27 hemodialysis or peritoneal dialysis (*see* 6.00E1); **or (B)** kidney  
28 transplantation; **or (C)** persistent elevation of serum creatinine to  
four milligrams per deciliter (dL)(100 ml) or greater or reduction of  
creatinine clearance to twenty milliliters per minute or less, over at  
least three month, **with one** of the following: **(1)** renal  
osteodystrophy (*see* 6.00E3) manifested by severe bone pain and

1 appropriate medically acceptable imaging demonstrating  
2 abnormalities such as osteitis fibrosa, significant osteoporosis,  
3 osteomalacia, or pathologic fractures; **or (2)** persistent motor or  
4 sensory neuropathy (*see* 6.00E4); **or (3)** persistent fluid overload  
5 syndrome **with: a.** diastolic hypertension greater than or equal to  
6 diastolic blood pressure of 110 mm Hg; or **(4)** persistent anorexia  
7 with weight loss determined by body mass index (BMI) of less than  
8 18.0, calculated on at least two evaluations at least thirty days apart  
9 within a consecutive six-month period (*see* 5.00G2). The evidence  
10 of record does not support a meet or equal listing 6.02.

11 Regarding anemia, to meeting listing 7.02, the medical evidence of  
12 record should contain findings of hematocrit persisting at thirty per  
13 cent or less due to any cause, **with either (A)** a requirement of one  
14 or more blood transfusions on an average of at least once every two  
15 months; **or (B)** an evaluation of the resulting impairment under  
16 criteria for the affected body system. There is not such evidence in  
17 the record.

18 AT 25-26.

19 Furthermore, the record does not support plaintiff's position. As the ALJ found, there was  
20 insufficient evidence to find that plaintiff's anemia more than minimally affected her ability to  
21 perform basic work functions or met the durational requirement. AT 25. In June 2012, Dr. Lopez  
22 performed a physical examination of plaintiff that revealed normal results. AT 463-65. During  
23 that examination, Dr. Lopez concluded that plaintiff was "asymptomatic from her mild anemia."  
24 AT 463. As to plaintiff's chronic kidney disease, Dr. Ong diagnosed plaintiff with stage III  
25 chronic kidney disease in May 2011. AT 405. In November 2011, Dr. Ong examined plaintiff  
26 again and noted that plaintiff felt well. AT 401. In March 2012, Dr. Ong examined plaintiff  
27 again and noted that plaintiff felt well and that her chronic kidney disease was "stable." AT 400.

28 As the record demonstrates, the ALJ considered "[a]ll impairments regardless of severity,"  
including plaintiff's anemia and chronic kidney disease. AT 25. There was no error in the step-  
two severity analysis.

## 2. Treating Physician

Plaintiff contends the ALJ failed to give proper weight to the opinion of Dr. Kam Chan.  
The weight given to medical opinions depends in part on whether they are proffered by treating,  
examining, or non-examining professionals. Lester, 81 F.3d at 830. Ordinarily, more weight is

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1 given to the opinion of a treating professional, who has a greater opportunity to know and observe  
2 the patient as an individual. Id.; Smolen, 80 F.3d at 1285.

3 To evaluate whether an ALJ properly rejected a medical opinion, in addition to  
4 considering its source, the court considers whether (1) contradictory opinions are in the record,  
5 and (2) clinical findings support the opinions. An ALJ may reject an uncontradicted opinion of a  
6 treating or examining medical professional only for “clear and convincing” reasons. Lester, 81  
7 F.3d at 831. In contrast, a contradicted opinion of a treating or examining professional may be  
8 rejected for “specific and legitimate” reasons that are supported by substantial evidence. Id. at  
9 830. While a treating professional’s opinion generally is accorded superior weight, if it is  
10 contradicted by a supported examining professional’s opinion (e.g., supported by different  
11 independent clinical findings), the ALJ may resolve the conflict. Andrews v. Shalala, 53 F.3d  
12 1035, 1041 (9th Cir. 1995) (citing Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989)). In  
13 any event, the ALJ need not give weight to conclusory opinions supported by minimal clinical  
14 findings. Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir. 1999) (treating physician’s conclusory,  
15 minimally supported opinion rejected); see also Magallanes, 881 F.2d at 751. The opinion of a  
16 non-examining professional, without other evidence, is insufficient to reject the opinion of a  
17 treating or examining professional. Lester, 81 F.3d at 831.

18 Dr. Chan was a treating physician with whom plaintiff had regular visits. AT 57. In July  
19 2012, Dr. Chan provided a medical source statement on plaintiff’s behalf opining that plaintiff  
20 could lift five to ten pounds on an occasional basis and could lift five pounds on a frequent basis.  
21 AT 626. In support of this conclusion, Dr. Chan noted that plaintiff had a 20% reduced grip  
22 strength. AT 626. Dr. Chan further opined that plaintiff could stand and/or walk for four hours in  
23 an eight-hour day, doing so continuously for two hours and could sit for six hours in an eight-  
24 hour day, doing so continuously for one hour. AT 626. In support of this conclusion, Dr. Chan  
25 cited plaintiff’s lumbar spine tenderness as contributing to plaintiff’s physical limitations. AT  
26 626. He also asserted that plaintiff could occasionally climb, kneel, crouch, stoop bend at the  
27 waist, and crawl. AT 626. Dr. Chan also concluded that plaintiff would miss four days of work  
28 per month as the result of her impairments, without providing any support for this conclusion.

1 AT 627. The ALJ noted that Dr. Chan appeared to rely heavily on plaintiff's subjective report of  
2 symptoms and limitations, which the ALJ properly discredited, as more fully discussed below.

3 AT 29. Ultimately, Dr. Chan's prognosis of plaintiff was fair. AT 625.

4 The ALJ found that Dr. Chan's opinion was conclusory and not supported by the  
5 evidence. AT 29. As the ALJ noted, the asserted manipulative limitations were inconsistent with  
6 the opinion of Dr. Brimmer, who found no manipulative limitations. AT 280-81, 627. Also, as  
7 the ALJ noted, plaintiff admitted that she smokes daily, cooks for herself and her mother, does the  
8 laundry and drives. The ALJ found these activities to be inconsistent with the manipulative  
9 limitations assessed by Dr. Chan. AT 29. In light of the contradictory record medical opinions  
10 and lack of support in the record, the ALJ properly gave little weight to Dr. Chan's medical  
11 opinion. AT 29.

### 12 3. Residual Functional Capacity ("RFC")

13 The ALJ concluded that plaintiff has the RFC to perform light work, she can occasionally  
14 climb, balance, stoop, kneel, crouch and crawl, and she should avoid working at height and with  
15 hazardous machinery. Plaintiff contends that the ALJ's assessment of plaintiff's residual  
16 functional capacity is not supported by substantial evidence. Specifically, plaintiff contends that  
17 the medical opinion of Dr. Brimmer cannot constitute substantial evidence to support the ALJ's  
18 RFC assessment because Dr. Brimmer did not have copies of plaintiff's medical records to review  
19 in formulating her opinion. Plaintiff argues that, as a result, the only examining opinion is that of  
20 Dr. Chan, and, therefore the ALJ's RFC is not supported by substantial evidence to the extent it  
21 contradicts Dr. Chan's opinion. In determining a claimant's RFC, an ALJ must assess all the  
22 evidence to determine what capacity the claimant has for work despite her impairments. See 20  
23 C.F.R. §§ 404.1545(a), 416.945(a). The court will affirm the ALJ's determination of plaintiff's  
24 RFC if the ALJ applied the proper legal standard and the decision is supported by substantial  
25 evidence. See Bayliss v. Barnhart, 427 F.3d 1211, 1217 (9th Cir. 2005). An examining  
26 physician's opinion alone constitutes substantial evidence if it rests on that physician's own  
27 independent examination. See Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001).

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1 In making the RFC determination, the ALJ took into account those limitations for which  
2 there was support in the record, including, to varying degrees, the medical opinions of Drs. Chan,  
3 Brimmer, Payne, Bayar, Cody, Orvell and Ong. AT 26-30. As noted above, the ALJ discredited  
4 Dr. Chan's opinion because it was contradicted by other medical opinions and lacked support in  
5 the record. AT 29. However, the ALJ's RFC determination is consistent with Dr. Chan's opinion  
6 as to plaintiff's ability to climb, stoop, kneel, crouch and crawl. AT 26, 626.

7 In November 2011, Dr. Brimmer consultatively examined plaintiff. In that visit, plaintiff  
8 complained that her medications made her feel nauseous and caused her to vomit. AT 277. Dr.  
9 Brimmer diagnosed plaintiff with nausea and vomiting, possibly related to plaintiff's medications,  
10 type 2 diabetes, high blood pressure, high cholesterol, and possible kidney impairment, although  
11 she did not have any data on plaintiff's kidney disease. AT 280-81. Dr. Brimmer opined that  
12 plaintiff had no limitations for standing, walking, or sitting in the absence of any significant,  
13 objective abnormalities in her physical exam. AT 281. The ALJ gave Dr. Brimmer only partial  
14 weight noting that Dr. Brimmer did not give reasonable consideration to plaintiff's self-reported  
15 limitations. AT 27-28. Plaintiff contends that the ALJ erred in giving any weight to Dr.  
16 Brimmer's opinion because Dr. Brimmer did not have copies of plaintiff's medical records in  
17 developing her opinion. To the contrary, Dr. Brimmer's opinion constitutes substantial evidence  
18 because she performed her own examination of plaintiff and based her opinion on her own  
19 independent clinical findings from that examination. See Tonapetyan, 242 F.3d at 1149.

20 The ALJ accorded reduced weight to Drs. Payne and Bayar, two state agency consultants  
21 who provided opinions in 2011. AT 28. Both concluded that plaintiff had no severe  
22 impairments. AT 284, 335. The ALJ found that the evidence supports a finding of severe  
23 impairments, in particular, plaintiff's diabetes and obesity. AT 28. Accordingly, the ALJ did not  
24 err in giving reduced weight to the opinions of Dr. Payne and Dr. Bayar.

25 As to Drs. Cody and Orvell, the ALJ accorded their opinions little weight because they  
26 were conclusory, not supported by the record, and were inconsistent with the opinions of Dr.  
27 Brimmer, the state agency consultants, and Dr. Chan. AT 28. In July 2011, Dr. Cody completed  
28 a one-page disability report diagnosing plaintiff with diabetes and kidney failure, indicating that

1 plaintiff was totally and permanently disabled. AT 352. Dr. Orvell also concluded that plaintiff  
2 was disabled, noting that he did not know plaintiff at all but agreed with Dr. Cody after reviewing  
3 Dr. Cody's notes. AT 540, 582. An ALJ need not accept the opinion of any physician, including  
4 a treating physician if it is conclusory or minimally supported. See Meanel, 172 F.3d at 1113. As  
5 such, the ALJ did not err in giving little weight to the conclusory opinions of Dr. Cody and Dr.  
6 Orvell.

7 As further discussed below, the ALJ also factored in the residual functional capacity of  
8 plaintiff's subjective complaints to the extent those complaints were not discredited. AT 29. In  
9 particular, the ALJ found that plaintiff's medically determinable impairments could reasonably  
10 expect to cause some of the alleged symptoms but plaintiff's statements concerning the intensity,  
11 persistence and limiting effects of those symptoms are credible to the extent they are inconsistent  
12 with the RFC. AT 29. There was no error in the ALJ's assessment of plaintiff's residual  
13 functional capacity.

#### 14 C. Plaintiff's Credibility

15 Plaintiff further contends that the ALJ failed to provide sufficient reasons for discrediting  
16 plaintiff's subjective complaints. The ALJ determines whether a disability applicant is credible,  
17 and the court defers to the ALJ's discretion if the ALJ used the proper process and provided  
18 proper reasons. See, e.g., Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1995). If credibility is  
19 critical, the ALJ must make an explicit credibility finding. Albalos v. Sullivan, 907 F.2d 871,  
20 873-74 (9th Cir. 1990); Rashad v. Sullivan, 903 F.2d 1229, 1231 (9th Cir. 1990) (requiring  
21 explicit credibility finding to be supported by "a specific, cogent reason for the disbelief").

22 In evaluating whether subjective complaints are credible, the ALJ should first consider  
23 objective medical evidence and then consider other factors. Bunnell v. Sullivan, 947 F.2d 341,  
24 344 (9th Cir. 1991) (en banc). If there is objective medical evidence of an impairment, the ALJ  
25 then may consider the nature of the symptoms alleged, including aggravating factors, medication,  
26 treatment and functional restrictions. See id. at 345-47. The ALJ also may consider: (1) the  
27 applicant's reputation for truthfulness, prior inconsistent statements or other inconsistent  
28 testimony, (2) unexplained or inadequately explained failure to seek treatment or to follow a

1 prescribed course of treatment, and (3) the applicant’s daily activities. Smolen, 80 F.3d at 1284;  
2 see generally SSR 96-7P, 61 FR 34483-01; SSR 95-5P, 60 FR 55406-01; SSR 88-13. Work  
3 records, physician and third-party testimony about nature, severity and effect of symptoms, and  
4 inconsistencies between testimony and conduct also may be relevant. Light v. Soc. Sec. Admin.,  
5 119 F.3d 789, 792 (9th Cir. 1997). A failure to seek treatment for an allegedly debilitating  
6 medical problem may be a valid consideration by the ALJ in determining whether the alleged  
7 associated pain is not a significant nonexertional impairment. See Flaten v. Sec’y of Health &  
8 Human Servs., 44 F.3d 1453, 1464 (9th Cir. 1995). The ALJ may rely, in part, on his or her own  
9 observations, see Quang Van Han v. Bowen, 882 F.2d 1453, 1458 (9th Cir. 1989), which cannot  
10 substitute for medical diagnosis. Marcia v. Sullivan, 900 F.2d 172, 177 n.6 (9th Cir. 1990).  
11 “Without affirmative evidence showing that the claimant is malingering, the Commissioner’s  
12 reasons for rejecting the claimant’s testimony must be clear and convincing.” Morgan v. Comm’r  
13 Soc. Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999).

14 Plaintiff reported that she was unable to work due to diabetes and hypertension. AT 173.  
15 At the hearing before the ALJ, plaintiff testified that she could not be employed because she felt  
16 nauseated, fatigued and weak. AT 62. The ALJ found plaintiff’s statements concerning the  
17 intensity, persistence and limiting effects of plaintiff’s symptoms not credible for several reasons.  
18 AT 29. The ALJ considered that plaintiff’s daily activities—she could take care of her own  
19 personal care, cook, do the laundry and drive—are inconsistent with her allegations of disabling  
20 impairments. AT 29, 62-65, 193-94. The ALJ also considered that the type of medical treatment  
21 plaintiff received was not of the type that one would expect for a totally disabled individual. AT  
22 29. Particularly, the record reflects that plaintiff received minimal treatment for her diabetes and  
23 hypertension and her other medical conditions had been successfully treated without significant  
24 impact on her functioning or daily activities. AT 29, 281 (Dr. Brimmer’s opinion concluding that  
25 plaintiff had no physical limitations), 400-01 (Dr. Ong’s opinion that plaintiff’s chronic kidney  
26 disease was stable), 463-65 (Dr. Lopez’s opinion that plaintiff was “asymptomatic from her mild  
27 anemia”). The factors considered by the ALJ in discrediting plaintiff are valid and supported by  
28 the record.

1 D. Third-Party Report

2 Plaintiff asserts that the ALJ failed to properly evaluate Ms. Wanda Walton's third-party  
3 function report. AT 21. "[L]ay witness testimony as to a claimant's symptoms or how an  
4 impairment affects ability to work is competent evidence, and therefore cannot be disregarded  
5 without comment." Nyguen v. Chater, 100 F.3d 1462, 1467 (9th Cir. 1996); see also Dodrill v.  
6 Shalala, 12 F.3d 915, 918-19 (9th Cir. 1993) (friends and family members in a position to observe  
7 a plaintiff's symptoms and daily activities are competent to testify to condition). "If the ALJ  
8 wishes to discount the testimony of the lay witnesses, he must give reasons that are germane to  
9 each witness." Dodrill, 12 F.3d at 919; see also Stout v. Comm'r Soc. Sec. Admin., 454 F.3d  
10 1050, 1056 (9th Cir. 2006) (where ALJ fails to properly discuss competent lay testimony  
11 favorable to plaintiff, court cannot consider error to be harmless unless it can confidently  
12 conclude no reasonable ALJ, when fully crediting testimony, could have reached different  
13 disability determination). "If the ALJ gives germane reasons for rejecting testimony by one  
14 witness, the ALJ need only point to those reasons when rejecting similar testimony by a different  
15 witness." Molina v. Astrue, 674 F.3d 1104, 1114 (9th Cir. 2012) (citing Valentine v. Comm'r  
16 Soc. Sec. Admin., 574 F.3d 685, 694 (9th Cir. 2009)).

17 In her report, Ms. Walton indicated that she had spent time shopping, going to  
18 appointments and out to dinner with plaintiff. AT 249. She also observed that since plaintiff  
19 "found out she was permanently disabled," plaintiff would stare at the wall or out the window in a  
20 daze. AT 249. Ms. Walton indicated that plaintiff did not have any problems with personal care.  
21 AT 250. The ALJ included these statements in support of the ALJ's RFC finding. AT 29.

22 Ms. Walton also stated that plaintiff does not prepare her own meals, goes outside three  
23 times a week and either walks or rides in a car when traveling. AT 251. However, plaintiff  
24 testified that she cooks for herself and her mother, and drives a car on a daily basis. AT 62, 64.  
25 There was no error in disregarding laywitness statements which were inconsistent with plaintiff's  
26 own testimony that she was not as limited as the laywitness asserted. Ms. Walton also stated that  
27 plaintiff could walk short distances and appeared to be in constant pain when walking, bending,  
28 and standing. AT 253. These observations are similar to plaintiff's subjective complaints which

1 the ALJ properly discredited. AT 29. There was no error in the ALJ's consideration of the  
2 laywitness evidence.

3 E. Hypothetical Question to Vocational Expert

4 Plaintiff asserts the ALJ posed an incomplete hypothetical question to the vocational  
5 expert. As an initial matter, the ALJ, at step four, determined that plaintiff could perform her past  
6 work as a lab sample carrier, a meter reader, an order puller, a water-treatment plant assistant, a  
7 driver, and a file clerk. AT 30. Plaintiff's impairments must prevent her from performing past  
8 relevant work. 20 C.F.R. § 404.1520(f). If she can still do this kind of work, plaintiff will be  
9 found not disabled. Id. There is no need to consult a vocational expert if there is reliable  
10 evidence of plaintiff's ability to perform her past work. See Hall v. Sec'y of Health & Human  
11 Servs., 602 F.2d 1372, 1377.

12 In finding that plaintiff could perform her past work, the ALJ utilized the *Dictionary of*  
13 *Occupational Titles* (DOT),<sup>3</sup> which characterized plaintiff's past work as exertionally light. AT  
14 30. The past relevant work that included exertionally medium work plaintiff performed at the  
15 light level. AT 30. As reported by plaintiff, none of these jobs, either as she performed them or  
16 as characterized by the DOT, required plaintiff to lift more than 20 pounds occasionally or lift  
17 more than 10 pounds frequently. (AT 179-188). The ALJ compared plaintiff's RFC of light  
18 work to the physical and mental demands of plaintiff's past work and concluded that plaintiff  
19 could perform her past relevant work as a lab sample carrier, a meter reader, a driver and file  
20 clerk as she performed them and as characterized by the DOT, a home attendant as she performed  
21 it, and an order puller as per the DOT characterization. AT 30. Because the ALJ's finding that  
22 plaintiff could perform her past relevant work is supported by substantial evidence in the record,  
23 the ALJ was not required to consult a vocational expert.

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25 <sup>3</sup> The United States Dept. of Labor, Employment & Training Admin., *Dictionary of Occupational*  
26 *Titles* (4th ed. 1991), ("DOT") is routinely relied on by the SSA "in determining the skill level of  
27 a claimant's past work, and in evaluating whether the claimant is able to perform other work in  
28 the national economy." Terry v. Sullivan, 903 F.2d 1273, 1276 (9th Cir. 1990). The DOT  
classifies jobs by their exertional and skill requirements. The DOT is a primary source of  
reliable job information for the Commissioner. 20 C.F.R. § 404.1566(d)(1).

1 Assuming arguendo that vocational expert testimony was required, the court finds no error  
2 in the ALJ's questioning of the vocational expert. Hypothetical questions posed to a vocational  
3 expert must set out all the substantial, supported limitations and restrictions of the particular  
4 claimant. Magallanes, 881 F.2d at 756. However, the ALJ need only include the limitations that  
5 she finds to exist. Rollins v. Massanari, 261 F.3d 853, 857 (9th Cir. 2001). If a hypothetical does  
6 not reflect all the claimant's limitations, the expert's testimony as to jobs in the national economy  
7 the claimant can perform has no evidentiary value. DeLore v. Sullivan, 924 F.2d 841, 850 (9th  
8 Cir. 1991). While the ALJ may pose to the expert a range of hypothetical questions, based on  
9 alternate interpretations of the evidence, the hypothetical that ultimately serves as the basis for the  
10 ALJ's determination must be supported by substantial evidence in the record as a whole. Embrey  
11 v. Bowen, 849 F.2d 418, 422-23 (9th Cir. 1988).

12 Plaintiff contends that the ALJ failed to include all of her limitations in the hypothetical  
13 posed to the vocational expert. In posing the hypothetical, the ALJ considered all of plaintiff's  
14 limitations that were supported by substantial evidence in the record. AT 75. The ALJ's  
15 hypothetical question was consistent with the ALJ's finding that plaintiff could perform light  
16 work. AT 26, 74-75. The ALJ was not required to include any additional limitations alleged by  
17 plaintiff.

## 18 CONCLUSION

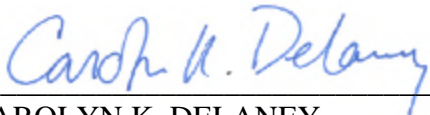
19 For the reasons stated herein, IT IS HEREBY ORDERED that:

- 20 1. Plaintiff's motion for summary judgment (ECF No. 20) is denied;
- 21 2. The Commissioner's cross-motion for summary judgment (ECF No. 24) is granted;

22 and

- 23 3. Judgment is entered for the Commissioner.

24 Dated: June 9, 2014

25   
26 CAROLYN K. DELANEY  
27 UNITED STATES MAGISTRATE JUDGE