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UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

RODERICK L. MITCHELL,
Plaintiff,
v.
JENNIFER SAWTELLE, DEPUTY
DISTRICT ATTORNEY, et al.,
Defendants.

No. 2:13-cv-1400-TLN-KJN-PS

FINDINGS AND RECOMMENDATIONS

Through these findings and recommendations, the undersigned recommends that plaintiff’s case be dismissed with prejudice. Plaintiff twice failed to file an amended pleading despite receiving extra time to do so and despite having been warned of the consequences. For the reasons described below, the undersigned recommends that plaintiff’s case be dismissed pursuant to Federal Rule of Civil Procedure 41(b).

I. BACKGROUND

Plaintiff Roderick Mitchell (“plaintiff”) is proceeding without counsel in this action.¹

On August 19, 2013, the undersigned granted plaintiff’s application to proceed in forma pauperis, dismissed his complaint without prejudice pursuant to 28 U.S.C. § 1915(e)(2)(B), and gave him leave to file an amended pleading within 45 days. (ECF No. 3.) The order concluded,

¹ This case proceeds before the undersigned pursuant to Eastern District of California Local Rule 302(c)(21) and 28 U.S.C. § 636(b)(1).

1 “Failure to timely file an amended complaint in accordance with this order will result in a
2 recommendation that this action be dismissed.” (Id. at 7.)

3 Plaintiff failed to file an amended pleading by the 45-day deadline, and the court issued an
4 Order to Show Cause (“OSC”). (OSC, ECF No. 4.) The OSC gave plaintiff an extended deadline
5 of January 23, 2014, in which to file (1) an amended pleading and (2) a writing explaining why
6 the case should not be dismissed given plaintiff’s delay in meeting the court’s deadline. (Id.) The
7 undersigned warned plaintiff that his “*failure to file the required writing and amended*
8 *complaint shall constitute an additional ground for, and plaintiff’s consent to, the imposition*
9 *of appropriate sanctions, including a recommendation that plaintiff’s case be involuntarily*
10 *dismissed with prejudice pursuant to Federal Rule of Civil Procedure 41(b) and Local Rules*
11 *110 and 183(a).*” (Id. at 3 (emphasis in original).)

12 The deadline of January 23, 2014, has come and gone. Once again, plaintiff has not filed
13 an amended pleading.

14 II. LEGAL STANDARD

15 A court must weigh five factors in determining whether to dismiss a case for failure to
16 prosecute, failure to comply with a court order, or failure to comply with a district court’s local
17 rules. See, e.g., Ferdik v. Bonzelet, 963 F.2d 1258, 1260 (9th Cir. 1992). Specifically, the court
18 must consider:

- 19 (1) the public’s interest in expeditious resolution of litigation; (2) the
20 court’s need to manage its docket; (3) the risk of prejudice to the
21 defendants; (4) the public policy favoring disposition of cases on their
merits; and (5) the availability of less drastic alternatives.

22 Id. at 1260-61; accord Pagtalunan v. Galaza, 291 F.3d 639, 642-43 (9th Cir. 2002); Ghazali v.
23 Moran, 46 F.3d 52, 53 (9th Cir. 1995). The Ninth Circuit Court of Appeals has stated that
24 “[t]hese factors are not a series of conditions precedent before the judge can do anything, but a
25 way for a district judge to think about what to do.” In re Phenylpropanolamine (PPA) Prods.
26 Liab. Litig., 460 F.3d 1217, 1226 (9th Cir. 2006).

27 Eastern District Local Rule 110 provides that “[f]ailure of counsel or of a party to comply
28 with these Rules or with any order of the Court may be grounds for imposition by the Court of

1 any and all sanctions authorized by statute or Rule or within the inherent power of the Court.”

2 Moreover, Eastern District Local Rule 183(a) provides, in part:

3 Any individual representing himself or herself without an attorney
4 is bound by the Federal Rules of Civil or Criminal Procedure, these
5 Rules, and all other applicable law. All obligations placed on
6 “counsel” by these Rules apply to individuals appearing in propria
7 persona. Failure to comply therewith may be ground for dismissal,
8 judgment by default, or any other sanction appropriate under these
9 Rules.

7 See also King v. Atiyeh, 814 F.2d 565, 567 (9th Cir. 1987) (“Pro se litigants must follow the
8 same rules of procedure that govern other litigants”) (overruled on other grounds). Case law is in
9 accord that a district court may impose sanctions, including involuntary dismissal of a plaintiff’s
10 case pursuant to Federal Rule of Civil Procedure 41(b), where that plaintiff fails to prosecute his
11 or her case or fails to comply with the court’s orders, the Federal Rules of Civil Procedure, or the
12 court’s local rules. See Chambers v. NASCO, Inc., 501 U.S. 32, 44 (1991) (recognizing that a
13 court “may act *sua sponte* to dismiss a suit for failure to prosecute”); Hells Canyon Preservation
14 Council v. U.S. Forest Serv., 403 F.3d 683, 689 (9th Cir. 2005) (stating that courts may dismiss
15 an action pursuant to Federal Rule of Civil Procedure 41(b) *sua sponte* for a plaintiff’s failure to
16 prosecute or comply with the rules of civil procedure or the court’s orders); Ghazali, 46 F.3d at 53
17 (“Failure to follow a district court’s local rules is a proper ground for dismissal”); Ferdik, 963
18 F.2d at 1260 (“Pursuant to Federal Rule of Civil Procedure 41(b), the district court may dismiss
19 an action for failure to comply with any order of the court”); Thompson v. Housing Auth. of City
20 of L.A., 782 F.2d 829, 831 (9th Cir. 1986) (per curiam) (stating that district courts have inherent
21 power to control their dockets and may impose sanctions including dismissal or default).

22 III. DISCUSSION

23 Although involuntary dismissal can be a harsh remedy, on balance the five relevant Ferdik
24 factors weigh in favor of dismissal of this action. See Ferdik, 963 F.2d at 1260. The first two
25 Ferdik factors strongly support dismissal here. Plaintiff’s failures to timely file an amended
26 pleading, despite clear warnings of the consequences (ECF Nos. 3 at 7; 4 at 3) strongly suggest
27 that plaintiff is not interested in seriously prosecuting this case, or at least, does not take his
28 obligations to the court and other parties seriously. See, e.g., Yourish v. Cal. Amplifier, 191 F.3d

1 983, 990 (9th Cir. 1999) (“The public’s interest in expeditious resolution of litigation always
2 favors dismissal”). Any further time spent by the court on this case, which plaintiff has
3 demonstrated a lack of any serious intention to pursue, will consume scarce judicial resources and
4 take away from other active cases. See Ferdik, 963 F.2d at 1261 (recognizing that district courts
5 have inherent power to manage their dockets without being subject to noncompliant litigants).

6 Further, this is not plaintiff’s first failure to comply with a court order. When plaintiff
7 initially failed to file an amended pleading after the court’s order of August 19, 2013, the court
8 extended plaintiff’s deadline to complete such filing and warned plaintiff that another failure to
9 meet the extended deadline would result in a recommendation of dismissal. (ECF No. 4.) Yet
10 plaintiff failed to file an amended pleading by the extended deadline and in no way attempted to
11 explain his failure to file an amended pleading. Despite receiving warnings and multiple chances,
12 plaintiff’s failures to comply with court orders demonstrate plaintiff’s lack of any serious
13 intention to pursue this case. See Ferdik, 963 F.2d at 1261.

14 The third Ferdik factor, prejudice to a defendant, also favors dismissal. Due to the defects
15 within plaintiff’s pleading, service of process upon the defendants has not been ordered.
16 Nevertheless, defendants remain named in a lawsuit. It is difficult to quantify the prejudice
17 suffered by defendants here; however, it is enough that defendants have been named in litigation
18 that plaintiff has effectively abandoned. At a minimum, plaintiff’s unreasonable delay in
19 prosecuting this action have prevented defendants from attempting to resolve this case on the
20 merits. Unreasonable delay is presumed to be prejudicial. See, e.g., In re Phenylpropanolamine
21 (PPA) Prods. Liab. Litig., 460 F.3d at 1227.

22 The fifth Ferdik factor, which considers the availability of less drastic measures, also
23 supports dismissal of this action. As noted above, the court has actually pursued remedies that
24 are less drastic than a recommendation of dismissal. See Malone v. U.S. Postal Serv., 833 F.2d
25 128, 132 (9th Cir. 1987) (“[E]xplicit discussion of alternatives is unnecessary if the district court
26 actually tries alternatives before employing the ultimate sanction of dismissal”). For instance, the
27 court gave plaintiff multiple opportunities to amend his defective pleading. (ECF Nos. 3, 4.) The
28 court also advised plaintiff that he was required to actively prosecute his action and follow the

1 court's orders. (ECF Nos. 3, 4.) It also repeatedly warned plaintiff in plain terms that failure to
2 comply with court orders and failure to file an amended pleading would result in a
3 recommendation of dismissal. (ECF Nos. 3 at 7; 4 at 3.) Warning a plaintiff that failure to take
4 steps towards resolution of his or her action will cause the action to be dismissed satisfies the
5 requirement that the court consider alternative measures. See, e.g., Ferdik, 963 F.2d at 1262
6 (“[O]ur decisions also suggest that a district court’s warning to a party that his failure to obey the
7 court’s order will result in dismissal can satisfy the ‘consideration of alternatives’ requirement”)
8 (citing Malone, 833 F.2d at 132-33).

9 At this juncture, the court finds no suitable alternative to a recommendation that this
10 action be dismissed. Plaintiff failed to file an amended pleading despite having multiple chances
11 to do so (ECF Nos. 3, 4), and in light of plaintiff’s in forma pauperis status, the court has little
12 confidence that plaintiff would pay monetary sanctions if they were imposed in lieu of dismissal.
13 Plaintiff disregarded the court’s orders to file an amended pleading even though those orders
14 explicitly warned him that failing to do so would result in a recommendation that his case be
15 dismissed. (ECF Nos. 3 at 7; 4 at 3.)

16 The court also recognizes the importance of giving due weight to the fourth Ferdik factor,
17 which addresses the public policy favoring disposition of cases on the merits. However, for the
18 reasons set forth above, factors one, two, three, and five support a recommendation of dismissal
19 of this action, and factor four does not materially counsel otherwise. Dismissal is proper “where
20 at least four factors support dismissal or where at least three factors ‘strongly’ support dismissal.”
21 Hernandez v. City of El Monte, 138 F.3d 393, 399 (9th Cir. 1998) (citations and quotation marks
22 omitted). Under the circumstances of this case, the other relevant factors outweigh the general
23 public policy favoring disposition of actions on their merits. See Ferdik, 963 F.2d at 1263. If
24 anything, a disposition on the merits has been hindered by plaintiff’s own failures to comply with
25 the court’s orders and the rules of litigation procedure.

26 In sum, the court endeavors to give pro se litigants who are unfamiliar with court
27 procedures a fair opportunity to present their cases. As such, the court has given plaintiff several
28 opportunities to amend his defective pleading. Yet plaintiff did not seize upon these

1 opportunities. The court has also provided plaintiff with cautionary instructions and afforded
2 plaintiff some leniency with respect to the litigation. However, at some point, leniency must give
3 way to considerations of limited court resources and fairness to the other compliant litigants.

4 IV. CONCLUSION

5 For the foregoing reasons, IT IS HEREBY RECOMMENDED that:

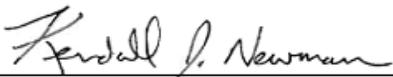
6 1. This action be dismissed with prejudice pursuant to Federal Rule of Civil
7 Procedure 41(b).

8 2. The Clerk of Court be directed to close this case and vacate all dates.

9 These findings and recommendations are submitted to the United States District Judge
10 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen (14)
11 days after being served with these findings and recommendations, any party may file written
12 objections with the court and serve a copy on all parties. Such a document should be captioned
13 “Objections to Magistrate Judge’s Findings and Recommendations.” Any reply to the objections
14 shall be served on all parties and filed with the court within fourteen (14) days after service of the
15 objections. The parties are advised that failure to file objections within the specified time may
16 waive the right to appeal the District Court’s order. Turner v. Duncan, 158 F.3d 449, 455 (9th
17 Cir. 1998); Martinez v. Ylst, 951 F.2d 1153, 1156-57 (9th Cir. 1991).

18 IT IS SO RECOMMENDED.

19 Dated: January 29, 2014

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22 KENDALL J. NEWMAN
23 UNITED STATES MAGISTRATE JUDGE
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