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**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA**

TONYA L. FREEMAN,

No. 2:13-CV-1423-CMK

Plaintiff,

vs.

MEMORANDUM OPINION AND ORDER

COMMISSIONER OF SOCIAL
SECURITY,

Defendant.

_____ /

Plaintiff, who is proceeding with retained counsel, brings this action under 42 U.S.C. § 405(g) for judicial review of a final decision of the Commissioner of Social Security. Pursuant to the written consent of all parties, this case is before the undersigned as the presiding judge for all purposes, including entry of final judgment. See 28 U.S.C. § 636(c). Pending before the court are plaintiff’s motion for summary judgment (Doc. 12) and defendant’s cross-motion for summary judgment (Doc. 15).

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1 **I. PROCEDURAL HISTORY**

2 Plaintiff applied for social security benefits on May 11, 2010. In the application,
3 plaintiff claims that disability began on August 17, 1965. Plaintiff’s claim was initially denied.
4 Following denial of reconsideration, plaintiff requested an administrative hearing, which was
5 held on July 19, 2011, before Administrative Law Judge (“ALJ”) Carol L. Buck. In a September
6 20, 2011, decision, the ALJ concluded that plaintiff is not disabled based on the following
7 relevant findings:

- 8 1. The claimant has the following severe impairment(s): polysubstance abuse
9 disorder; polysubstance abuse induced psychosis; antisocial personality
10 disorder; psychotic disorder, NOS; schizoaffective disorder; anxiety;
11 borderline intellectual functioning; diabetes; diabetic neuropathy; obesity;
12 bone spurs of the bilateral feet; hypertension; a thyroid nodule; and
13 borderline heart size;
- 14 2. The claimant does not have an impairment or combination of impairments
15 that meets or medically equals an impairment listed in the regulations;
- 16 3. The claimant has the following residual functional capacity: the claimant
17 can perform light work except the claimant cannot sustain work on a
18 regular and continuing basis due to polysubstance abuse;
- 19 4. Considering the claimant’s age, education, work experience, residual
20 functional capacity, polysubstance abuse, and the Medical-Vocational
21 Guidelines, there are no jobs that exist in significant numbers in the
22 national economy that the claimant can perform;
- 23 5. If the claimant stopped the substance use, the claimant would have the
24 residual functional capacity to perform light work except the claimant can
25 stand and walk two to four hours in an eight-hour workday and sit for six
26 to eight hours in an eight-hour workday; the claimant can perform
unskilled work with limited public contact and occasional contact with
supervisors and co-workers; the claimant cannot perform tandem work in
that she cannot work with another where she is required to work together
to process the work;
6. If the claimant stopped the substance use, and considering the claimant’s
age, education, work experience, residual functional capacity, and
vocational expert testimony, there are jobs that exist in significant
numbers in the national economy that the claimant can perform; and
7. The substance use disorder is a contributing factor material to the
determination of disability because the claimant would not be disabled if
she stopped the substance use; because the substance use disorder is a
contributing factor material to the determination of disability, the claimant

1 has not been disabled within the meaning of the Social Security Act at any
2 time from the date the application was filed through the date of this
decision.

3 After the Appeals Council declined review on May, 20, 2013, this appeal followed.

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5 **II. STANDARD OF REVIEW**

6 The court reviews the Commissioner’s final decision to determine whether it is:
7 (1) based on proper legal standards; and (2) supported by substantial evidence in the record as a
8 whole. See Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999). “Substantial evidence” is
9 more than a mere scintilla, but less than a preponderance. See Saelee v. Chater, 94 F.3d 520, 521
10 (9th Cir. 1996). It is “. . . such evidence as a reasonable mind might accept as adequate to
11 support a conclusion.” Richardson v. Perales, 402 U.S. 389, 402 (1971). The record as a whole,
12 including both the evidence that supports and detracts from the Commissioner’s conclusion, must
13 be considered and weighed. See Howard v. Heckler, 782 F.2d 1484, 1487 (9th Cir. 1986); Jones
14 v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985). The court may not affirm the Commissioner’s
15 decision simply by isolating a specific quantum of supporting evidence. See Hammock v.
16 Bowen, 879 F.2d 498, 501 (9th Cir. 1989). If substantial evidence supports the administrative
17 findings, or if there is conflicting evidence supporting a particular finding, the finding of the
18 Commissioner is conclusive. See Sprague v. Bowen, 812 F.2d 1226, 1229-30 (9th Cir. 1987).
19 Therefore, where the evidence is susceptible to more than one rational interpretation, one of
20 which supports the Commissioner’s decision, the decision must be affirmed, see Thomas v.
21 Barnhart, 278 F.3d 947, 954 (9th Cir. 2002), and may be set aside only if an improper legal
22 standard was applied in weighing the evidence, see Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th
23 Cir. 1988).

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1 **III. DISCUSSION**

2 In her motion for summary judgment, plaintiff argues, among other things, that
3 the ALJ erred in concluding that substance abuse is a material contributing factor. If drug or
4 alcohol use is a contributing factor material to a determination of disability, an individual is not
5 entitled to benefits. See 20 C.F.R. §§ 404.1535 and 416.945; see also Sousa v. Callahan, 143
6 F.3d 1240, 1245 (9th Cir. 1998). The burden is on the plaintiff to demonstrate that drug and
7 alcohol addiction is not a material factor by showing that an impairment would have been
8 disabling even if drug and alcohol use ceased. See Parra v. Astrue, 481 F.3d 742, 748 (9th Cir.
9 2007). To do so, the plaintiff would have to demonstrate that the impairment “. . . would remain
10 during periods when she stopped using drugs and alcohol.” See Ball v. Massanari, 254 F.3d 817,
11 821 (9th Cir. 2001) (citing Sousa, 143 F.3d at 1245).

12 Plaintiff initially appears to argue that she has met this burden because, regardless
13 of drug use, she is disabled due to mental retardation. In this regard, plaintiff challenges the
14 ALJ’s evaluation of Dr. Regazzi’s opinions in the context of applying the listings governing
15 mental retardation.¹ Plaintiff also argues that the ALJ failed to articulate sufficient reasons for
16 finding her statements not credible. Finally, plaintiff argues that the ALJ failed to fully develop
17 the record in light of ambiguous evidence from Dr. Canty.

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23 ¹ The Social Security Regulations “Listing of Impairments” is comprised of
24 impairments to fifteen categories of body systems that are severe enough to preclude a person
25 from performing gainful activity. Young v. Sullivan, 911 F.2d 180, 183-84 (9th Cir. 1990); 20
26 C.F.R. § 404.1520(d). Conditions described in the listings are considered so severe that they are
irrebuttably presumed disabling. 20 C.F.R. § 404.1520(d). In meeting or equaling a listing, all
the requirements of that listing must be met. Key v. Heckler, 754 F.2d 1545, 1550 (9th Cir.
1985).

1 **A. Evaluation of Medical Opinions**

2 The weight given to medical opinions depends in part on whether they are
3 proffered by treating, examining, or non-examining professionals. See Lester v. Chater, 81 F.3d
4 821, 830-31 (9th Cir. 1995). Ordinarily, more weight is given to the opinion of a treating
5 professional, who has a greater opportunity to know and observe the patient as an individual,
6 than the opinion of a non-treating professional. See id.; Smolen v. Chater, 80 F.3d 1273, 1285
7 (9th Cir. 1996); Winans v. Bowen, 853 F.2d 643, 647 (9th Cir. 1987). The least weight is given
8 to the opinion of a non-examining professional. See Pitzer v. Sullivan, 908 F.2d 502, 506 & n.4
9 (9th Cir. 1990).

10 In addition to considering its source, to evaluate whether the Commissioner
11 properly rejected a medical opinion the court considers whether: (1) contradictory opinions are
12 in the record; and (2) clinical findings support the opinions. The Commissioner may reject an
13 uncontradicted opinion of a treating or examining medical professional only for “clear and
14 convincing” reasons supported by substantial evidence in the record. See Lester, 81 F.3d at 831.
15 While a treating professional’s opinion generally is accorded superior weight, if it is contradicted
16 by an examining professional’s opinion which is supported by different independent clinical
17 findings, the Commissioner may resolve the conflict. See Andrews v. Shalala, 53 F.3d 1035,
18 1041 (9th Cir. 1995). A contradicted opinion of a treating or examining professional may be
19 rejected only for “specific and legitimate” reasons supported by substantial evidence. See Lester,
20 81 F.3d at 830. This test is met if the Commissioner sets out a detailed and thorough summary of
21 the facts and conflicting clinical evidence, states her interpretation of the evidence, and makes a
22 finding. See Magallanes v. Bowen, 881 F.2d 747, 751-55 (9th Cir. 1989). Absent specific and
23 legitimate reasons, the Commissioner must defer to the opinion of a treating or examining
24 professional. See Lester, 81 F.3d at 830-31. The opinion of a non-examining professional,
25 without other evidence, is insufficient to reject the opinion of a treating or examining
26 professional. See id. at 831. In any event, the Commissioner need not give weight to any

1 conclusory opinion supported by minimal clinical findings. See Meanel v. Apfel, 172 F.3d 1111,
2 1113 (9th Cir. 1999) (rejecting treating physician’s conclusory, minimally supported opinion);
3 see also Magallanes, 881 F.2d at 751.

4 As to Dr. Regazzi, the ALJ stated:

5 . . .Dr. Regazzi opined that claimant had mild mental retardation. The
6 undersigned rejects Dr. Regazzi’s diagnosis because there is no
7 independent supporting evidence to substantiate the claimant has such
8 reduced mental function. Notably, the claimant never alleged mental
9 retardation in her application. In Disability Reports – Adult, she stated she
10 could speak, read, and understand English. She also noted she could write
11 more than her name in English. She alleged only that she hears voices,
12 sees things that are not there, is paranoid, and has anxiety. She stated the
13 highest grade she completed was the 12th grade and further stated that she
14 did not attend special education classes. (Ex. 2E, 9E). She testified that
15 she went to reform school and was “required” to graduate at age 17
16 thereby attempting to infer that she was given a diploma regardless of her
17 academic capabilities. The undersigned finds this inference not credible.
18 There are no educational records or medical records to support mental
19 retardation. She never alleged mental retardation to Dr. Regazzi either.
20 Moreover, the claimant was examined by consultative psychiatrist,
21 Timothy Canty, M.D., who as a medical doctor has the training and
22 experience to note whether someone he examines has reduced mental
23 function on the order of mental retardation and would have noted so. He
24 noted, “She may have intellectual problems but some of her answers on
25 the cognitive portion [of the examination] are clearly not credible.” (Ex.
26 3F, 12F).

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As for the “paragraph B” criteria [Listing] 12.05 requires a valid verbal,
performance, or full scale IQ of 59 or less. The undersigned finds that,
despite post-hearing testing by psychologist Dr. Michelina Regazzi, who
found test results showing a full scale IQ of 57 (Ex. 12F), the undersigned
rejects the findings. . . . Moreover, the evidence does not show that the
claimant established such impairment prior to age 22. There are no school
records establishing the claimant was mildly mentally retarded and no
mention of the possibility of that fact in her treatment records. Her
treating physicians likely would have noted a severe inability to
comprehend on her part as part of their findings. The evidence does
establish that, while the claimant testified she went to reform school in
high school, she testified that she graduated. Moreover, she admitted in
Disability Reports she speaks, writes, and reads English (Ex. 2E, 9E).
Such evidence shows the claimant did not establish “significant sub-
average general intellectual functioning with deficits in adaptive
functioning prior to age 22” [as required under the listings].

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1 Later in the hearing decision, the ALJ again discusses Dr. Regazzi's opinions as follows:

2 After the hearing, the claimant's representative obtained a psychological
3 evaluation of the claimant. On August 10, 2011, psychologist, Michelina
4 Regazzi, Ph.D., examined the claimant. On mental status examination, the
5 claimant was cooperative and spoke with clear articulation using simple
6 speech. She had fair ability to express her thoughts clearly and logically.
7 Her affect was of normal range and mood was noted as down. Her thought
8 content evidenced no psychotic symptoms nor did she express thoughts of
9 harm toward herself or others. Dr. Regazzi performed the following tests:
10 Wechsler Adult Intelligence Scale (WAIS-IV) and the Wide Range
11 Achievement Test (WRAT-4).

12 On the WAIS-IV, the claimant's Verbal Comprehension Index was 63,
13 Perceptual Reasoning Index was 60, Working Memory Index was 66, and
14 Processing Speed Index was 65. Her Full Scale IQ was 57. Dr. Regazzi
15 opined these results fell within the deficient range. On the WRAT-4, the
16 claimant's reading, spelling, and math scores were identical at 55 each and
17 Dr. Regazzi opined her scores fell in the deficient range as well.

18 Dr. Regazzi diagnosed the claimant with psychotic disorder, NOS, and
19 mild mental retardation. She assessed the claimant's GAF score as 55.
20 She opined the claimant's ability to understand, remember, and carry out
21 simple instructions was marked[ly limited], as was her ability to maintain
22 adequate pace. The claimant's ability to understand, remember, and carry
23 out complex instructions was severe[ly limited]. She is moderately limited
24 in her ability to maintain attention and concentration and to interact
25 appropriately with the public. Her ability to interact appropriately with
26 supervisors and co-workers was mildly impaired, as was her ability to
communicate effectively with others.

The undersigned rejects Dr. Regazzi's diagnosis of mild mental
retardation. There is no independent supporting evidence in the file to
substantiate the diagnosis and it conflicts with other substantial evidence
in the case, specifically with Dr. Canty's credible findings and diagnoses.
Dr. Canty noted the claimant's behavior indicated malingering. Dr.
Regazzi reviewed the following records in preparation for her examination
of the claimant: Department of Corrections Medical notes dated May 14,
2008, UC Davis Medical Center notes dated May 13, 2011, to May 26,
2011, and Dr. Canty's psychiatric evaluation dated May 27, 2011. In
comparing the two reports of examination, the undersigned notes that Dr.
Canty's mental status examination (MSE) and his conclusion regarding
malingering was more thoroughly explained. He included the claimant's
answers to the MSE to support his conclusion of malingering. . . . Dr.
Regazzi provided conclusionary statements regarding her findings on MSE
without substantiating support in the form of the claimant's responses to
typical MSE questions. Thus, it is difficult to determine if Dr. Regazzi
tested whether the claimant tended towards malingering. However, she
did know that Dr. Canty believed the claimant was a malingerer. Dr.
Regazzi's report is focused on the claimant's performance on standardized
intelligence tests. Dr. Regazzi noted the claimant reported to her that she

1 could not read and has to have her mail read to her. Dr. Regazzi did not
2 note whether the claimant required help with reading while taking the
3 tests. She merely noted that she encouraged the claimant to perform to the
4 best of her ability and that she “appeared to do so.” Moreover, Dr.
5 Regazzi performed no Trials A and B tests to determine malingering.

6 The diagnosis also conflicts with the claimant’s own statements as
7 discussed above. . . .

8 As discussed above, Dr. Regazzi opined the claimant had marked
9 limitations in her ability to understand, remember, and carry out simple
10 instructions and to maintain adequate pace, and that the claimant’s ability
11 to understand, remember, and carry out complex instructions was severe[ly
12 limited]. The undersigned notes that a “marked” limitation is defined as
13 “there is serious limitation in this area. The ability to function is severely
14 limited but not precluded.” Moreover, the evidence shows the claimant is
15 able to perform simple tasks such as care for her person[al] hygiene,
16 perform household chores, shop with her daughter, and attend meetings for
17 substance abusers. Thus, the undersigned finds that the claimant’s
18 allegations of borderline intellectual functioning, psychotic disorder,
19 anxiety, depression, schizoaffective disorder, and antisocial personality
20 disorder are addressed adequately with the limitation to unskilled work
21 with limited public contact and occasional contact with supervisors and
22 co-workers and a preclusion from tandem work.

23 The court is unpersuaded by plaintiff’s arguments challenging the ALJ’s analysis
24 of Dr. Regazzi’s diagnosis of mental retardation. As indicated above, when faced with
25 conflicting medical opinions – in this case those from Drs. Regazzi and Canty regarding mental
26 retardation – the ALJ may resolve the conflict by stating sufficient reasons for doing so. Here,
the ALJ has done just that. In particular, the ALJ accepted Dr. Canty’s opinion over Dr.
Regazzi’s for the following specific and legitimate reasons: (1) plaintiff had never alleged mental
retardation; (2) no treating doctor has ever diagnosed mental retardation; (3) plaintiff’s own
statements are inconsistent with mental retardation; and (4) Dr. Canty noted objective evidence
of malingering. Most notable among the reasons cited by the ALJ for rejecting Dr. Regazzi’s
diagnosis of mental retardation is plaintiff’s lack of any such claim in her disability application or
elsewhere in the claims process.

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1 **B. Credibility Finding**

2 The Commissioner determines whether a disability applicant is credible, and the
3 court defers to the Commissioner’s discretion if the Commissioner used the proper process and
4 provided proper reasons. See Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1996). An explicit
5 credibility finding must be supported by specific, cogent reasons. See Rashad v. Sullivan, 903
6 F.2d 1229, 1231 (9th Cir. 1990). General findings are insufficient. See Lester v. Chater, 81 F.3d
7 821, 834 (9th Cir. 1995). Rather, the Commissioner must identify what testimony is not credible
8 and what evidence undermines the testimony. See id. Moreover, unless there is affirmative
9 evidence in the record of malingering, the Commissioner’s reasons for rejecting testimony as not
10 credible must be “clear and convincing.” See id.; see also Carmickle v. Commissioner, 533 F.3d
11 1155, 1160 (9th Cir. 2008) (citing Lingenfelter v Astrue, 504 F.3d 1028, 1936 (9th Cir. 2007),
12 and Gregor v. Barnhart, 464 F.3d 968, 972 (9th Cir. 2006)).

13 If there is objective medical evidence of an underlying impairment, the
14 Commissioner may not discredit a claimant’s testimony as to the severity of symptoms merely
15 because they are unsupported by objective medical evidence. See Bunnell v. Sullivan, 947 F.2d
16 341, 347-48 (9th Cir. 1991) (en banc). As the Ninth Circuit explained in Smolen v. Chater:

17 The claimant need not produce objective medical evidence of the
18 [symptom] itself, or the severity thereof. Nor must the claimant produce
19 objective medical evidence of the causal relationship between the
20 medically determinable impairment and the symptom. By requiring that
the medical impairment “could reasonably be expected to produce” pain or
another symptom, the Cotton test requires only that the causal relationship
be a reasonable inference, not a medically proven phenomenon.

21 80 F.3d 1273, 1282 (9th Cir. 1996) (referring to the test established in
22 Cotton v. Bowen, 799 F.2d 1403 (9th Cir. 1986)).

23 The Commissioner may, however, consider the nature of the symptoms alleged,
24 including aggravating factors, medication, treatment, and functional restrictions. See Bunnell,
25 947 F.2d at 345-47. In weighing credibility, the Commissioner may also consider: (1) the
26 claimant’s reputation for truthfulness, prior inconsistent statements, or other inconsistent

1 testimony; (2) unexplained or inadequately explained failure to seek treatment or to follow a
2 prescribed course of treatment; (3) the claimant’s daily activities; (4) work records; and (5)
3 physician and third-party testimony about the nature, severity, and effect of symptoms. See
4 Smolen, 80 F.3d at 1284 (citations omitted). It is also appropriate to consider whether the
5 claimant cooperated during physical examinations or provided conflicting statements concerning
6 drug and/or alcohol use. See Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002). If the
7 claimant testifies as to symptoms greater than would normally be produced by a given
8 impairment, the ALJ may disbelieve that testimony provided specific findings are made. See
9 Carmickle, 533 F.3d at 1161 (citing Swenson v. Sullivan, 876 F.2d 683, 687 (9th Cir. 1989)).

10 Regarding reliance on a claimant’s daily activities to find testimony of disabling
11 pain not credible, the Social Security Act does not require that disability claimants be utterly
12 incapacitated. See Fair v. Bowen, 885 F.2d 597, 602 (9th Cir. 1989). The Ninth Circuit has
13 repeatedly held that the “. . . mere fact that a plaintiff has carried out certain daily activities . . .
14 does not . . . [necessarily] detract from her credibility as to her overall disability.” See Orn v.
15 Astrue, 495 F.3d 625, 639 (9th Cir. 2007) (quoting Vertigan v. Heller, 260 F.3d 1044, 1050 (9th
16 Cir. 2001)); see also Howard v. Heckler, 782 F.2d 1484, 1488 (9th Cir. 1986) (observing that a
17 claim of pain-induced disability is not necessarily gainsaid by a capacity to engage in periodic
18 restricted travel); Gallant v. Heckler, 753 F.2d 1450, 1453 (9th Cir. 1984) (concluding that the
19 claimant was entitled to benefits based on constant leg and back pain despite the claimant’s
20 ability to cook meals and wash dishes); Fair, 885 F.2d at 603 (observing that “many home
21 activities are not easily transferable to what may be the more grueling environment of the
22 workplace, where it might be impossible to periodically rest or take medication”). Daily
23 activities must be such that they show that the claimant is “. . . able to spend a substantial part of
24 his day engaged in pursuits involving the performance of physical functions that are transferable
25 to a work setting.” Fair, 885 F.2d at 603. The ALJ must make specific findings in this regard
26 before relying on daily activities to find a claimant’s pain testimony not credible. See Burch v.

1 Barnhart, 400 F.3d 676, 681 (9th Cir. 2005).

2 As to plaintiff's credibility, the ALJ stated:

3 The claimant alleges disability due to polysubstance abuse disorder,
4 polysubstance abuse induced psychosis, antisocial personality disorder,
5 schizoaffective disorder, depression, anxiety, borderline intellectual
6 functioning, psychotic disorder NOS, diabetic neuropathy, obesity, bone
7 spurs of the bilateral feet, hypertension, a thyroid nodule, and borderline
8 heart size.

9 If the claimant stopped the substance use, the undersigned finds that the
10 claimant's medically determinable impairments could reasonably be
11 expected to produce the alleged symptoms; however, the claimant's
12 statements concerning the intensity, persistence, and limiting effects of
13 these symptoms are not credible to the extent they are inconsistent with the
14 residual functional capacity assessment for the reasons explained below.

15 The record indicates that during times of incarceration when the claimant
16 was drug free, her mental status examinations were within normal limits.
17 The record reveals, as discussed above, that when the claimant is out of
18 prison with access to drugs of abuse she experiences hallucinations. Thus,
19 during the time that substance use is stopped and she regularly complies
20 with anti-psychotic prescriptive medications (Remeron) she does not
21 experience drug-induced psychosis. She reported to treating personnel
22 that she has experienced episodes of auditory hallucinations in the past for
23 which she was prescribed Remeron. The record indicates that she
24 presented to care complaining of auditory and tactile hallucinations, during
25 a time when she tested negative for drugs of abuse, but she was not taking
26 Remeron, and even so, her mental status examination was within normal
limits. . . .

On May 24-26, 2011, treatment records from University of California
Davis Medical Center indicate the claimant presented to care complaining
of auditory and tactile hallucination. She admitted incarceration four
months prior for drug-related charges admitting prior marijuana and
cocaine use. She said she was in a mandated drug rehabilitation program
(Gateway) for the past 13 days as part of her efforts to regain custody of
her 14-year-old son. About her treatment at Gateway, she reported "it has
been overwhelming as they have made her stop working, taken away her
son, and mandated she attend many meetings a day which are tiring her
out." She reported four days of hearing voices telling her that the program
is not good for her and that she should leave the program. She also
reported "feeling things that were not really there." She said at the time of
the hallucinations she knew they were not there. Treatment records
indicated she was "stressed by the demands of her parole officer and child
protective services who required her participation in the drug program as a
prerequisite to regaining custody of her minor son." Blood tests were
negative for drugs of abuse. Her mental status exam (MSE) revealed the
claimant was well groomed, had good cognition and impulse control, good
eye contact, ordered thought processes, and her insight and judgment were

1 good. She was diagnosed with psychosis NOS, depression NOS, anxiety
2 NOS, and r/o schizophrenia. Her GAF score was 50. (Ex. 2F/11-21;
9F/16-26).

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4 The claimant alleged functional illiteracy or borderline intellectual
5 functioning. She testified she attended a reform school while a teenager
6 and further stated the program required her to graduate at age seventeen.
7 She stated she does not read or spell well, but she can spell simple words.
8 She can add and subtract, but not multiply or divide. She stated she can
9 read some of the newspaper but it would take her a while. She had a
10 friend help her fill out the paperwork for disability. She was able to fill
11 out Function Reports in connection with this claim (Ex. 4E, 5E).

12 The ALJ then discussed the findings of consultative examining psychiatrist Timothy Canty,
13 M.D., who opined, based on objective findings following a mental status examination, that
14 plaintiff was malingering. Specifically, the ALJ noted:

15 Dr. Canty opined the claimant's claims of auditory hallucinations seemed
16 rather suspicious. Her behavior was childlike, [gamy], and not terribly
17 credible. "She may have intellectual problems but some of her answers on
18 the cognitive portion are clearly not credible." She does appear to have
19 substance abuse problems and her records state that she has a history of
20 drug-induced psychosis. He stated her performance and behavior during
21 his examination strongly suggest malingering of certain symptoms. . . .

22 The court does not agree with plaintiff that the ALJ recited no more than "empty
23 verbiage" and took "no reviewable stand on Ms. Freeman's credibility at all." Specifically, the
24 ALJ noted that, during times when plaintiff could not use drugs (i.e., while incarcerated), her
25 mental status examinations revealed normal results. This directly contradicts plaintiff's
26 testimony of disabling symptoms even without drug use, and the ALJ was permitted to discount
27 plaintiff's credibility on this basis alone. Additionally, the ALJ cited Dr. Canty's finding of
28 malingering, which is another valid reason to reject plaintiff's testimony as not credible.

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1 **C. Duty to Develop the Record**

2 The ALJ has an independent duty to fully and fairly develop the record and assure
3 that the claimant’s interests are considered. See Tonapetyan v. Halter, 242 F.3d 1144, 1150 (9th
4 Cir. 2001). When the claimant is not represented by counsel, this duty requires the ALJ to be
5 especially diligent in seeking all relevant facts. See id. This requires the ALJ to “scrupulously
6 and conscientiously probe into, inquire of, and explore for all the relevant facts.” Cox v.
7 Califano, 587 F.2d 988, 991 (9th Cir. 1978). Ambiguous evidence or the ALJ’s own finding that
8 the record is inadequate triggers this duty. See Tonapetyan, 242 F.3d at 1150. The ALJ may
9 discharge the duty to develop the record by subpoenaing the claimant’s physicians, submitting
10 questions to the claimant’s physicians, continuing the hearing, or keeping the record open after
11 the hearing to allow for supplementation of the record. See id. (citing Tidwell v. Apfel, 161 F.3d
12 599, 602 (9th Cir. 1998)).

13 Plaintiff argues that the ALJ erred by not fully developing the record with respect
14 to Dr. Canty’s findings. Specifically, plaintiff asserts that evidence from Dr. Canty is necessarily
15 ambiguous because the doctor opined: “She may have intellectual problems but some of her
16 answers on the cognitive portion are clearly not credible.” The court does not find error. While
17 Dr. Canty acknowledged that plaintiff likely has intellectual problems, his findings are not
18 ambiguous. To the contrary, Dr. Canty clearly states that he found objective evidence of
19 malingering. Thus, the evidence from Dr. Canty was neither ambiguous nor inadequate.

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1 **IV. CONCLUSION**

2 Based on the foregoing, the court concludes that the Commissioner’s final
3 decision is based on substantial evidence and proper legal analysis. Accordingly, IT IS HEREBY
4 ORDERED that:

- 5 1. Plaintiff’s motion for summary judgment (Doc. 12) is denied;
6 2. Defendant’s cross-motion for summary judgment (Doc. 15) is granted; and
7 3. The Clerk of the Court is directed to enter judgment and close this file.

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9 DATED: September 29, 2014

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11 **CRAIG M. KELLISON**
12 UNITED STATES MAGISTRATE JUDGE
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