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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

JONATHAN GRIGSBY,  
Plaintiff,  
v.  
M. MUNGUIA, et al.,  
Defendants.

No. 2:14-cv-0789 GEB AC P

ORDER AND FINDINGS AND  
RECOMMENDATIONS

Plaintiff is a state prisoner proceeding pro se and in forma pauperis with an action filed pursuant to 42 U.S.C. § 1983. Currently pending before the court are: (1) plaintiff’s motion to compel discovery; (2) plaintiff’s motion to extend discovery; (3) plaintiff’s motion for appointment of counsel; and (4) defendants’ motion for summary judgment.

I. Allegations of the Complaint

This case proceeds on plaintiff’s original complaint, ECF No. 1. Plaintiff alleges that defendant correctional officers Baker, Fairbanks/Balque,<sup>1</sup> Lee, Munguia, and Serrano violated plaintiff’s rights under the Eighth Amendment by using excessive force against him on October 10, 2012. Specifically, plaintiff alleges that as he was walking on crutches towards his group room, Officer Munguia told plaintiff to return to his group room. See ECF No. 1 at 4. Plaintiff

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<sup>1</sup> Defendant Balque’s name has been changed to Fairbanks. For clarity, the court refers to her as “Fairbanks/Balque.”

1 turned around and said, “I’m moving as fast as I can.” Munguia then pepper sprayed plaintiff in  
2 the face, blinding him. Id. Munguia knocked plaintiff’s crutches out of his hands, told plaintiff to  
3 submit to handcuffs, and placed plaintiff in a choke hold. Defendants Serrano, Fairbanks/Balque,  
4 and Lee started hitting plaintiff with iron batons until he was “black and blue” and his leg was  
5 broken. Id. at 5. While plaintiff was lying on the ground, defendant Baker kned plaintiff in his  
6 eye for no reason. Id. at 4. Plaintiff was then handcuffed with his hands behind his back and  
7 “hog tied,” causing plaintiff extreme pain in his injured leg. Id. Plaintiff alleges that as a result  
8 of this incident, he received a large knot on his head, was temporarily blinded by pepper spray,  
9 was choked unconscious, and suffered a broken kneecap. Id. at 4-5.

10 II. Background Relevant to Plaintiff’s Discovery Motions

11 The discovery deadline in this case expired on April 17, 2015. On April 14, 2015,<sup>2</sup>  
12 plaintiff filed a motion to compel discovery, seeking production of: (1) a video of plaintiff’s  
13 October 10, 2012 “excessive force interview;” (2) a video of plaintiff’s interview with Lieutenant  
14 Hobart (“Lt. Hobart video”), conducted in November or December 2012; and (3) Lt. Hobart’s  
15 incident report. ECF No. 42. Plaintiff also requested an extension of time to conduct discovery.

16 By order dated May 28, 2015, plaintiff’s motion to compel was denied in part and granted  
17 in part. ECF No. 46. Plaintiff’s request for production of the October 10, 2012 video was denied  
18 as moot because defendants offered documentation that plaintiff had since been permitted to view  
19 the video. Plaintiff’s request for production of the Lt. Hobart video was granted to the extent that  
20 defendants were required to produce the video to plaintiff if it was in their possession, custody, or  
21 control. In light of defendants’ assertion that they conducted a search for the Lt. Hobart video,  
22 the court directed defendants to file a statement detailing their efforts to locate the video, in order  
23 to allow the court to determine if the search conducted was sufficiently diligent.<sup>3</sup> As to the Lt.  
24 Hobart incident report, the court declined to rule on plaintiff’s motion because it was unclear  
25 whether plaintiff had previously requested the incident report from defendants. The court granted

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27 <sup>2</sup> Since plaintiff is proceeding pro se, he is afforded the benefit of the prison mailbox rule. See  
Houston v. Lack, 487 U.S. 266, 276 (1988).

28 <sup>3</sup> In the alternative, defendants were given the option to file a declaration confirming that plaintiff  
had been permitted to see the Lt. Hobart video. ECF No. 46 at 11.

1 plaintiff leave to file a supplemental statement in support of his motion to compel production of  
2 this report. Plaintiff was advised that in his motion, he should explain whether he previously  
3 requested the report from defendants, how defendants responded, and why defendants' response  
4 was unjustified. Also in the May 28, 2015 order, the court denied plaintiff's request for an  
5 extension of the discovery deadline without prejudice. Plaintiff was granted leave to file a new  
6 motion explaining what additional discovery he intended to serve on defendants and why he was  
7 unable to serve the requests prior to the April 17, 2015 deadline. To the extent plaintiff sought  
8 production of discovery he already served on defendants, plaintiff was granted leave to file an  
9 untimely motion to compel in lieu of extending the discovery deadline.

10 On May 17, 2015, plaintiff filed a second motion to compel, which was filed by the clerk  
11 on May 26, 2015.<sup>4</sup> ECF No. 45. In this motion, which is currently pending before the court,  
12 plaintiff indicates that he was permitted to view the October 10, 2012 video, but asserts that the  
13 video has been altered. Id. at 1. Plaintiff also asserts that defendants have not produced the Lt.  
14 Hobart video. Id. at 2.

15 On June 4, 2015, defendants filed their response to the court's May 28, 2015 order. ECF  
16 No. 47. In their response, defendants indicate that they conducted a search for the Lt. Hobart  
17 video and were unable to find it. Id. at 2. Attached to their response is a declaration describing  
18 counsel's efforts to locate the video. Id. at 4-5.

19 On June 12, 2015, defendants opposed plaintiff's second motion to compel. ECF No. 48.  
20 In their motion, defendants again assert that they cannot find the Lt. Hobart video. With respect  
21 to the October 10, 2012 video, defendants assert that plaintiff has no evidence that the video has  
22 been tampered with. Id. at 1-2.

23 On June 9, 2015, plaintiff filed a motion to extend the discovery deadline, which was  
24 docketed on June 22, 2015. ECF No. 49. Plaintiff's motion also includes a "supplemental  
25 statement and motion to compel." Id. at 2. Both motions are related to plaintiff's efforts to  
26 compel production of the Lt. Hobart video and Lt. Hobart's related notes and incident report.

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27 <sup>4</sup> Plaintiff's second motion to compel was filed before he received the court's order addressing  
28 his first motion to compel.

1 On June 19, 2015, plaintiff filed a reply to defendants' response to the court's May 28,  
2 2015 order. ECF No. 50. In his reply, plaintiff again asserts that the October 10, 2012 video has  
3 been altered and that the Lt. Hobart video and incident report have been concealed from him or  
4 have been destroyed. Id. at 2-3.

5 On July 6, 2015, defendants opposed plaintiff's motion to extend the discovery deadline  
6 and motion to compel discovery. ECF No. 51.

7 On July 17, 2015, defendants filed a motion for summary judgment. ECF No. 52.  
8 Plaintiff opposed the motion, ECF Nos. 57 and 59, and defendants replied, ECF No. 60. Plaintiff  
9 also filed motions requesting appointment of counsel, a settlement conference, and a writ of  
10 habeas corpus ad testificandum. ECF Nos. 58, 61, 62.

### 11 III. Motions to Compel

12 As noted above, plaintiff filed two motions to compel, which are now before the court.  
13 Plaintiff seeks production of (1) the October 10, 2012 video of his "excessive force interview;"  
14 (2) the Lt. Hobart video; and (3) the Lt. Hobart incident report. Because the motions address the  
15 same three issues, the court has consolidated the parties' arguments and will address plaintiff's  
16 production requests by issue rather than by motion.<sup>5</sup>

#### 17 A. October 10, 2012 Video

18 Plaintiff asserts that he was permitted to view the October 10, 2012 video of his  
19 "excessive force interview," but claims that it has been "shortened" by defendants. ECF No. 45.  
20 Specifically, plaintiff asserts that the video must have been altered because in the video plaintiff  
21 references the injury to his eye and the "big knot" on his head, but does not mention his "broken  
22 leg."<sup>6</sup> Id. at 1. Defendants oppose plaintiff's motion, arguing that plaintiff has no evidence the  
23 video has been tampered with.<sup>7</sup> ECF No. 48 at 2.

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25 <sup>5</sup> The discovery requests at issue here are not material to the court's resolution of defendants'  
26 motion for summary judgment.

27 <sup>6</sup> Plaintiff also appears to allege that he was given a drug before the interview to make him  
28 sleepy, see ECF No. 45 at 1, but it is not clear how this supports his allegation that the video has  
been altered.

<sup>7</sup> Defendants also argue that the motion to compel is untimely. ECF No. 48 at 1-2. As plaintiff  
did not view the video until May 1, 2015, see ECF No. 44 at 3, after the discovery deadline had

1 As the court understands it, the October 10, 2012 video depicts plaintiff being interviewed  
2 regarding the alleged incident of excessive force that forms the basis of the instant complaint.  
3 Plaintiff believes that the October 10, 2012 video was altered or edited because he made  
4 statements about his knee injury during the interview, which are not depicted in the video. As  
5 plaintiff has personal knowledge of what he said during the interview, these allegations provide  
6 some support for his contention that the video has been altered.

7 Because defendants do not explicitly state in their opposition that the video has not been  
8 altered, the court will direct defendants to file a supplemental response. Within twenty-one days  
9 of adoption of the below findings and recommendations by the district judge, defendants shall file  
10 a declaration with the court indicating whether the October 10, 2012 video was altered or edited  
11 before plaintiff was permitted to view it. Plaintiff's motion to compel a further response  
12 regarding the October 10, 2012 video is otherwise denied.

13 B. Lt. Hobart Video

14 Plaintiff moves to compel production of the Lt. Hobart video. According to plaintiff, he  
15 was interviewed by Lt. Hobart in connection with his claims of excessive force stemming from  
16 the October 10, 2012 incident. Plaintiff alleges that the interview with Lt. Hobart took place in  
17 November or December 2012. ECF No. 45 at 2. Plaintiff has provided a copy of an inmate  
18 appeal he filed requesting access to the Lt. Hobart video. ECF No. 49 at 17-18. In the appeal,  
19 plaintiff states that the interview with Lt. Hobart took place on November 2, 2012. See id. at 18.

20 Defendants assert that they conducted a search for the Lt. Hobart video and have been  
21 unable to locate it. ECF No. 48 at 2; ECF No. 47 at 2. Counsel for defendants filed a declaration  
22 with the court explaining that she contacted the Litigation Coordinator at California State Prison-  
23 Sacramento, the Litigation Coordinator at the Office of Internal Affairs, and a sergeant from the  
24 Investigative Services Unit. ECF No. 47 Exh. 1 at 4-5. Counsel's search revealed that one use of  
25 force video was placed in the evidence locker on October 10, 2012. Id. at 5. None of the parties  
26 contacted were able to locate a DVD of a second interview of plaintiff. Id. at 5.

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expired, the court declines to deny the motion on timeliness grounds.

1 While plaintiff speculates that the Lt. Hobart video has been hidden or destroyed, counsel  
2 for defendants has declared under penalty of perjury that she has been unable to locate the video  
3 despite a diligent search. The court has reviewed counsel's declaration and finds the search  
4 conducted for the Lt. Hobart video to be sufficiently diligent. Under these circumstances, the  
5 court cannot compel further production of the Lt. Hobart video from defendants. Defendants  
6 cannot be compelled to produce a video they do not have. Accordingly, plaintiff's motion to  
7 compel production of the Lt. Hobart video is denied.

8 To the extent plaintiff asserts that his case cannot go forward without the Lt. Hobart video  
9 because it provides documentation of plaintiff's account of the October 10, 2012 events, plaintiff  
10 is advised that he will be able to provide this information in the form of his own direct testimony  
11 should this case proceed to trial.

### 12 C. Lt. Hobart Report

13 Plaintiff initially sought production of the Lt. Hobart report in his first motion to compel,  
14 ECF No. 42. The court considered plaintiff's arguments, but declined to rule on plaintiff's  
15 production request because it was unclear whether plaintiff had requested the report from  
16 defendants prior to filing his motion to compel. See ECF No. 46 at 9-10. Specifically, it was not  
17 clear if the Lt. Hobart report was the same as the "excessive use of force report done by CDCR,"  
18 which plaintiff requested from defendants on February 27, 2015. Id. at 9. Thus, plaintiff was  
19 permitted to file a supplemental statement in support of his motion to compel to compel  
20 production of the incident report. Plaintiff was directed to reproduce the written discovery  
21 request he sent to defendants and to inform the court how defendants responded to his request.  
22 Id. at 9-10.

23 In his supplemental statement, plaintiff explains what the Lt. Hobart report is,<sup>8</sup> but does  
24 not reproduce his discovery request. See ECF No. 49 at 2-3. He does, however, state that

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26 <sup>8</sup> Plaintiff explains that he filed a grievance regarding the excessive force incident on October 10,  
27 2012. The first level response to his grievance, attached as Exhibit 1 to plaintiff's statement,  
28 indicates that plaintiff was interviewed by Sgt. Norton on December 28, 2012 regarding his  
grievance. Plaintiff states that he was not interviewed by Sgt. Norton, but by Lt. Hobart. Lt.  
Hobart wrote a report regarding the details of plaintiff's appeal inquiry. See ECF No. 49 at 2-3.

1 defendants “purposely left [the report] out of production of documents.” Id. at 2. Plaintiff also  
2 speculates that the report may have now been “thrown out” or erased. See id. at 3.

3 Defendants oppose plaintiff’s motion to compel production of the report on the grounds  
4 that plaintiff has failed to specify which discovery request is at issue and why defendants’  
5 responses were inadequate. ECF No. 51 at 3.

6 In considering the relative positions of the parties, the court is mindful that “[u]nder the  
7 liberal discovery principles of the Federal Rules[,] defendants [are] required to carry a heavy  
8 burden of showing why discovery was denied.” Blankenship v. Hearst Corp., 519 F.2d 418, 429  
9 (9th Cir. 1975). In addition, pro se litigants are not held to the same standards as attorneys. See  
10 Walker v. Karela, 2009 WL 3075575, \*1 (E.D. Cal. 2009). The court takes care to “liberally  
11 construe the inartful pleading of pro se litigants,” Ferdik v. Bonzelet, 963 F.2d 1258, 1261 (9th  
12 Cir. 1992) (quotations omitted), and “to ensure that pro se litigants do not lose their right to a  
13 hearing on the merits of their claim due to ignorance of technical procedural requirements,”  
14 Balistreri v. Pacifica Police Dept., 901 F.2d 696, 699 (9th Cir.1988).

15 The court has reviewed plaintiff’s supplemental statement, ECF No. 49, together with his  
16 initial motion to compel production of the Lt. Hobart report, ECF No. 42. Construed broadly,  
17 plaintiff’s description of the Lt. Hobart report suggests that this report is the same as the  
18 “excessive use of force report” plaintiff initially requested from defendants on February 27, 2015.  
19 Defendants objected on the grounds that the request was untimely and noted that the request  
20 should have been served by February 16, 2015. See ECF No. 44-1 at 13.

21 The court will not permit plaintiff, a prisoner proceeding pro se, to lose his opportunity to  
22 be heard due to his ignorance of technical requirements. The court will not allow defendants to  
23 deny plaintiff’s production request on the ground that it was eleven days late. Accordingly, the  
24 court will address the merits of plaintiff’s motion to compel production of the Lt. Hobart report.  
25 See Marti v. Baires, 2012 WL 2029720, \*3 (where the discovery request seeks information  
26 which, based on the record, is clearly within the scope of discovery and the objection lacks merit,  
27 the court may elect to exercise its discretion to reach the merits of the dispute).

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1 Under Federal Rule of Civil Procedure 26(b)(1), parties may obtain discovery regarding  
2 any nonprivileged matter that is relevant to any party's claim or defense and proportional to the  
3 needs of the case. Fed. R. Civ. P. 26(b)(1). Here, plaintiff alleged that CDCR's excessive use of  
4 force report is relevant to his excessive use of force claim because it reflects plaintiff's account of  
5 the October 10, 2012 incident, as told to Lt. Hobart in 2012. See ECF No. 49 at 2-4. Plaintiff  
6 also appears to allege that the report contains other information that was uncovered during  
7 investigation of his appeal. See id. at 2-3. As plaintiff claims that the subject of the report is his  
8 excessive use of force claim, which is also the subject of the instant lawsuit, the court finds that  
9 the report is relevant to plaintiff's claim and is discoverable. See Fed. R. Civ. P. 26(b)(1).  
10 Accordingly, defendants shall be required to produce the excessive use of force report regarding  
11 the October 10, 2012 incident to plaintiff. Defendants shall produce the report to plaintiff within  
12 twenty-one days of the adoption of the below findings and recommendations by the district judge.

13 IV. Motion to Extend Discovery

14 Plaintiff requests an extension of the discovery deadline. In his motion, plaintiff does not  
15 identify any new discovery requests he intends to serve on defendants, but asserts that he needs  
16 more time to figure out why defendants are concealing the Lt. Hobart video and the related notes  
17 and report. As plaintiff has been previously advised, this issue is properly raised in a motion to  
18 compel, which plaintiff has already filed. Because plaintiff has not identified any *new* discovery  
19 requests that he intends to serve on defendants, the court does not find good cause to extend the  
20 discovery deadline. Plaintiff's request for additional time to conduct discovery is therefore  
21 denied.

22 V. Motion for Appointment of Counsel

23 Plaintiff has requested appointment of counsel. In his motion, plaintiff asserts that  
24 appointment of counsel is warranted because he has limited legal knowledge and has been having  
25 trouble obtaining the Lt. Hobart video and other related documents from defendants. ECF No. 58  
26 at 1-2.

27 The United States Supreme Court has ruled that district courts lack authority to require  
28 counsel to represent indigent prisoners in § 1983 cases. Mallard v. United States Dist. Court, 490



1 U.S. 296, 298 (1989). In certain exceptional circumstances, the district court may request the  
2 voluntary assistance of counsel pursuant to 28 U.S.C. § 1915(e)(1). Terrell v. Brewer, 935 F.2d  
3 1015, 1017 (9th Cir. 1991); Wood v. Housewright, 900 F.2d 1332, 1335-36 (9th Cir. 1990).

4 The test for exceptional circumstances requires the court to evaluate the plaintiff's  
5 likelihood of success on the merits and the ability of the plaintiff to articulate his claims pro se in  
6 light of the complexity of the legal issues involved. See Wilborn v. Escalderon, 789 F.2d 1328,  
7 1331 (9th Cir. 1986); Weygandt v. Look, 718 F.2d 952, 954 (9th Cir. 1983). When determining  
8 whether "exceptional circumstances" exist, the court must consider plaintiff's likelihood of  
9 success on the merits as well as the ability of the plaintiff to articulate his claims pro se in light of  
10 the complexity of the legal issues involved. Palmer v. Valdez, 560 F.3d 965, 970 (9th Cir. 2009).  
11 The burden of demonstrating exceptional circumstances is on the plaintiff. Id. Circumstances  
12 common to most prisoners do not establish exceptional circumstances.

13 In the present case, the court does not find the required exceptional circumstances at this  
14 time. Plaintiff's excessive force claim is not particularly complex and plaintiff has thus far been  
15 able to articulate his claims pro se. Plaintiff's limited legal knowledge and discovery disputes  
16 with defendants are circumstances common to most prisoners that do not warrant appointment of  
17 counsel. Therefore, plaintiff's request for appointment of counsel will be denied without  
18 prejudice.

#### 19 VI. Motion for Summary Judgment

20 The court now turns to defendants' motion for summary judgment. ECF No. 52.  
21 Defendant Baker moves for summary judgment on the grounds that plaintiff's claims against  
22 defendant Baker are unexhausted. All defendants move for summary judgment on the grounds  
23 that they did not violate plaintiff's rights under the Eighth Amendment and alternatively that they  
24 are entitled to qualified immunity.

#### 25 A. Summary Judgment Standards

26 Summary judgment is appropriate when the moving party "shows that there is no genuine  
27 dispute as to any material fact and the movant is entitled to judgment as a matter of law." Fed. R.  
28 Civ. P. 56(a). Under summary judgment practice, "[t]he moving party initially bears the burden

1 of proving the absence of a genuine issue of material fact.” In re Oracle Corp. Sec. Litig., 627  
2 F.3d 376, 387 (9th Cir. 2010) (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The  
3 moving party may accomplish this by “citing to particular parts of materials in the record,  
4 including depositions, documents, electronically stored information, affidavits or declarations,  
5 stipulations (including those made for purposes of the motion only), admission, interrogatory  
6 answers, or other materials” or by showing that such materials “do not establish the absence or  
7 presence of a genuine dispute, or that the adverse party cannot produce admissible evidence to  
8 support the fact.” Fed. R. Civ. P. 56(c)(1)(A), (B).

9 “Where the non-moving party bears the burden of proof at trial, the moving party need  
10 only prove that there is an absence of evidence to support the non-moving party’s case.” Oracle  
11 Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325); see also Fed. R. Civ. P. 56(c)(1)(B).  
12 Indeed, summary judgment should be entered, “after adequate time for discovery and upon  
13 motion, against a party who fails to make a showing sufficient to establish the existence of an  
14 element essential to that party’s case, and on which that party will bear the burden of proof at  
15 trial.” See Celotex, 477 U.S. at 322. “[A] complete failure of proof concerning an essential  
16 element of the nonmoving party’s case necessarily renders all other facts immaterial.” Id. at 323.  
17 In such a circumstance, summary judgment should be granted, “so long as whatever is before the  
18 district court demonstrates that the standard for entry of summary judgment, as set forth in Rule  
19 56(c), is satisfied.” Id.

20 If the moving party meets its initial responsibility, the burden then shifts to the opposing  
21 party to establish that a genuine issue as to any material fact actually does exist. See Matsushita  
22 Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586-87 (1986). In attempting to establish  
23 the existence of this factual dispute, the opposing party may not rely upon the allegations or  
24 denials of its pleadings but is required to tender evidence of specific facts in the form of  
25 affidavits, and/or admissible discovery material, in support of its contention that the dispute  
26 exists. See Fed. R. Civ. P. 56(c)(1); Matsushita, 475 U.S. at 586 n.11. Moreover, “[a] Plaintiff’s  
27 verified complaint may be considered as an affidavit in opposition to summary judgment if it is  
28 based on personal knowledge and sets forth specific facts admissible in evidence.” Lopez v.

1 Smith, 203 F.3d 1122, 1132 n.14 (9th Cir. 2000) (en banc).<sup>9</sup>

2 The opposing party must demonstrate that the fact in contention is material, i.e., a fact  
3 “that might affect the outcome of the suit under the governing law,” Anderson v. Liberty Lobby,  
4 Inc., 477 U.S. 242, 248 (1986); T.W. Elec. Serv., Inc. v. Pac. Elec. Contractors Ass’n, 809 F.2d  
5 626, 630 (9th Cir. 1987), and that the dispute is genuine, i.e., “the evidence is such that a  
6 reasonable jury could return a verdict for the nonmoving party,” Anderson, 447 U.S. at 248.

7 In the endeavor to establish the existence of a factual dispute, the opposing party need not  
8 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed  
9 factual dispute be shown to require a jury or judge to resolve the parties’ differing versions of the  
10 truth at trial.” T.W. Elec. Serv., 809 F.2d at 630 (quoting First Nat’l Bank of Ariz. V. Cities  
11 Serv. Co., 391 U.S. 253, 288-89 (1968). Thus, the “purpose of summary judgment is to pierce the  
12 pleadings and to assess the proof in order to see whether there is a genuine need for trial.”  
13 Matsushita, 475 U.S. at 587 (citation and internal quotation marks omitted).

14 “In evaluating the evidence to determine whether there is a genuine issue of fact,” the  
15 court draws “all inferences supported by the evidence in favor of the non-moving party.” Walls  
16 v. Cent. Costa Cnty. Transit Auth., 653 F.3d 963, 966 (9th Cir. 2011). It is the opposing party’s  
17 obligation to produce a factual predicate from which the inference may be drawn. See Richards  
18 v. Nielsen Freight Lines, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to demonstrate a genuine  
19 issue, the opposing party “must do more than simply show that there is some metaphysical doubt  
20 as to the material facts.” Matsushita, 475 U.S. at 586 (citations omitted). “Where the record  
21 taken as a whole could not lead a rational trier of fact to find for the non-moving party, there is no  
22 ‘genuine issue for trial.’” Id. at 587 (quoting First Nat’l Bank, 391 U.S. at 289).

23 In applying these rules, district courts must “construe liberally motion papers and pleadings filed  
24 by pro se inmates and . . . avoid applying summary judgment rules strictly.” Thomas v. Ponder,  
25 611 F.3d 1144, 1150 (9th Cir. 2010). However, “[if] a party fails to properly support an assertion  
26 of fact or fails to properly address another party's assertion of fact, as required by Rule 56(c), the  
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28 <sup>9</sup> Plaintiff filed a verified complaint in this case. See ECF No. 1.

1 court may . . . consider the fact undisputed for purposes of the motion . . .” Fed. R. Civ.  
2 P. 56(e)(2).

3 B. Exhaustion as to Defendant Baker

4 Because a finding that plaintiff failed to exhaust administrative remedies with respect to  
5 defendant Baker would require dismissal of plaintiff’s Eighth Amendment claims against him, the  
6 court first addresses defendant Baker’s non-exhaustion argument.

7 i. Legal Standards Governing Exhaustion

8 The Prison Litigation Reform Act (PLRA) requires that prisoners exhaust “such  
9 administrative remedies as are available” before commencing a suit challenging prison  
10 conditions. 42 U.S.C. § 1997e(a). Regardless of the relief sought, a prisoner must pursue an  
11 appeal through all levels of a prison’s grievance process as long as some remedy remains  
12 available. “The obligation to exhaust ‘available’ remedies persists as long as *some* remedy  
13 remains ‘available.’ Once that is no longer the case, then there are no ‘remedies . . . available,’  
14 and the prisoner need not further pursue the grievance.” Brown v. Valoff, 422 F.3d 926, 935 (9th  
15 Cir. 2005) (original emphasis) (citing Booth v. Churner, 532 U.S. 731, 739 (2001)). Hence, “[a]n  
16 inmate has no obligation to appeal from a grant of relief, or a partial grant that satisfies him, in  
17 order to exhaust his administrative remedies.” Harvey v. Jordan, 605 F.3d 681, 685 (9th Cir.  
18 2010).

19 The PLRA also requires that prisoners, when grieving their appeal, adhere to CDCR’s  
20 “critical procedural rules.” Woodford v. Ngo, 548 U.S. 81, 91 (2006). “The level of detail  
21 necessary in a grievance to comply with the grievance procedures will vary from system to  
22 system and claim to claim, but it is the prison’s requirements, and not the PLRA, that define the  
23 boundaries of proper exhaustion.” Jones v. Bock, 549 U.S. 199, 218 (2007).

24 In California, CDCR regulations permit a prisoner to grieve or “appeal” any action or  
25 inaction by prison staff that has “a material adverse effect upon his or her health, safety, or  
26 welfare.” Cal. Code Regs. tit. 15, § 3084.1(a). An inmate must file the initial appeal within 30  
27 calendar days of the action being appealed, and he must file each administrative appeal within 30  
28 calendar days of receiving an adverse decision at a lower level. 15 Cal. Code Regs. § 3084.8(b).

1 The appeal process is initiated by the inmates filing of a “Form 602,” the “Inmate/Parolee Appeal  
2 Form,” “to describe the specific issue under appeal and the relief requested.” Id. § 3084.2(a). As  
3 of January 28, 2011, an inmate “shall list all staff member(s) involved and describe their  
4 involvement in the issue.” Id. § 3084.2(a)(3). This instruction further provides, id.:

5 To assist in the identification of staff members, the inmate or  
6 parolee shall include the staff member’s last name, first initial, title  
7 or position, if known, and the dates of the staff member’s  
8 involvement in the issue under appeal. If the inmate or parolee  
9 does not have the requested identifying information about the staff  
member(s), he or she shall provide any other available information  
that would assist the appeals coordinator in making a reasonable  
attempt to identify the staff member(s) in question.

10 Each prison is required to have an “appeals coordinator” whose job is to “screen all  
11 appeals prior to acceptance and assignment for review.” Cal. Code Regs. tit. 15, § 3084.5(b).  
12 The appeals coordinator may refuse to accept an appeal, and she does so either by “rejecting” or  
13 “canceling” it. Id. § 3084.6(a). According to the regulations, “a cancellation or rejection decision  
14 does not exhaust administrative remedies.” Id. § 3084.1(b). If the appeals coordinator allows an  
15 appeal to go forward, the inmate must pursue it through the third level of review before it is  
16 deemed “exhausted.” Id. § 3084.1(b) (“all appeals are subject to a third level of review, as  
17 described in section 3084.7, before administrative remedies are deemed exhausted”).

18 The Ninth Circuit Court of Appeals recently held that if a prisoner fails to comply with a  
19 prison’s procedural requirements in pursuing his appeal but prison officials address the merits of  
20 the appeal nevertheless, then the prisoner is deemed to have exhausted his available  
21 administrative remedies. See Reyes v. Smith, 810 F. 3d 654 (9th Cir. 2016). As stated by the  
22 Court of Appeals, “if prison officials ignore the procedural problem and render a decision on the  
23 merits of the grievance at each available step of the administrative process,” then the prisoner has  
24 exhausted “such administrative remedies as are available” under the PLRA. Reyes, 810 F. 3d at  
25 658.

26 The Ninth Circuit has laid out the analytical approach to be taken by district courts in  
27 assessing the merits of a motion for summary judgment based on the alleged failure of a prisoner  
28 to exhaust his administrative remedies. As set forth in Albino v. Baca, 747 F.3d 1162, 1172 (9th

1 Cir. 2014), cert. denied sub nom. Scott v. Albino, 135 S. Ct. 403 (2014) (citation and internal  
2 quotations omitted):

3 [T]he defendant’s burden is to prove that there was an available  
4 administrative remedy, and that the prisoner did not exhaust that  
5 available remedy. . . . Once the defendant has carried that burden,  
6 the prisoner has the burden of production. That is, the burden shifts  
7 to the prisoner to come forward with evidence showing that there is  
8 something in his particular case that made the existing and  
9 generally available administrative remedies effectively unavailable  
10 to him. However, . . . the ultimate burden of proof remains with the  
11 defendant.

12 If a court concludes that a prisoner failed to exhaust his available administrative remedies,  
13 the proper remedy is dismissal without prejudice. See Jones v. Bock, 549 U.S. 199, 223-24  
14 (2010); Lira v. Herrera, 427 F.3d 1164, 1175-76 (9th Cir. 2005).

15 ii. Arguments of the Parties Regarding Exhaustion

16 1. Defendant

17 Defendant Baker argues that he is entitled to summary judgment because plaintiff failed to  
18 exhaust administrative remedies with respect to defendant Baker prior to filing suit. ECF No. 52  
19 at 3-4. Specifically, defendant argues that plaintiff failed to name Baker in his administrative  
20 appeal, as required by the applicable regulations. Because plaintiff’s appeal did not name Baker  
21 or include any allegations of wrongdoing by Baker, defendant Baker argues that he is entitled to  
22 summary judgment.<sup>10</sup>

23 2. Plaintiff

24 At the outset, the court notes that plaintiff has failed to comply with Federal Rule of Civil  
25 Procedure 56(c)(1)(A), which requires that “a party asserting that a fact . . . is genuinely disputed  
26 must support the assertion by . . . citing to particular parts of materials in the record . . . .”  
27 Plaintiff has also failed to file a separate statement of disputed facts, as required by Local Rule  
28 260(b).

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<sup>10</sup> Because Reyes v. Smith, 810 F. 3d 654, was decided after defendants’ motion for summary judgment was filed, neither party has addressed whether Reyes affects defendant Baker’s exhaustion argument.

1 It is well-established that the pleadings of pro se litigants are held to “less stringent  
2 standards than formal pleadings drafted by lawyers.” Haines v. Kerner, 404 U.S. 519, 520 (1972)  
3 (per curiam). Nevertheless, “[p]ro se litigants must follow the same rules of procedure that  
4 govern other litigants.” King v. Atiyeh, 814 F.2d 565, 567 (9th Cir. 1987), overruled on another  
5 ground by Lacey v. Maricopa County, 693 F.3d 896 (9th Cir. 2012) (en banc). However, the  
6 unrepresented prisoners' choice to proceed without counsel “is less than voluntary” and they are  
7 subject to the “handicaps . . . detention necessarily imposes upon a litigant,” such as “limited  
8 access to legal materials” as well as “sources of proof.” Jacobsen v. Filler, 790 F.2d 1362,  
9 1364-65 & n.4 (9th Cir. 1986). Inmate litigants, therefore, should not be held to a standard of  
10 “strict literalness” with respect to the requirements of the summary judgment rule. Id.

11 The court is mindful of the Ninth Circuit's more overarching caution in this context, as  
12 noted above, that district courts are to “construe liberally motion papers and pleadings filed by  
13 pro se inmates and . . . avoid applying summary judgment rules strictly.” Thomas v. Ponder, 611  
14 F.3d 1144, 1150 (9th Cir. 2010). Accordingly, the court considers the record before it in its  
15 entirety despite plaintiff's failure to be in strict compliance with the applicable rules. However,  
16 only those assertions in the opposition which have evidentiary support will be considered.

17 In his opposition, plaintiff asserts that he exhausted all available administrative remedies.  
18 See ECF No. 59 at 1-6. Because plaintiff apparently believed that *all* defendants moved for  
19 summary judgment on exhaustion grounds, the majority of plaintiff's opposition is dedicated to  
20 explaining that an error was made in processing his grievances at the third level of review and  
21 that he did in fact exhaust his appeals through the third level. See id. As to defendant Baker,  
22 plaintiff asserts that he mentioned defendant Baker when he was interviewed by Lt. Hobart  
23 regarding the excessive force incident. Id. at 3. Although it is not entirely clear, plaintiff appears  
24 to assert that the video of the excessive force interview should have been part of his appeal  
25 package at the first or second level of review. See id.

26 iii. Material Facts Pertaining to Exhaustion

- 27
- 28 • Plaintiff's complaint alleges that defendants Baker, Fairbanks/Balque, Lee, Munguia, and Serrano used excessive force against plaintiff on October 10, 2012, in violation of

1 plaintiff's Eighth Amendment rights. ECF No. 1.

- 2
- 3 • Plaintiff submitted an administrative appeal regarding the October 10, 2012 incident.<sup>11</sup>  
4 Defendants' Statement of Undisputed Facts ("Facts"), ECF No. 53 at 2. The signature  
5 date on this appeal is November 9, 2012. ECF No. 59 Exh. 2 at 19-20. The appeal was  
6 assigned appeal log number SAC-B-12-03537. See id.
  - 7 • In Section A of plaintiff's November 9, 2012 appeal, plaintiff describes his grievance  
8 "issues" as follows:

9 (1) On October 10, 2012 while in the EOP treatment center on B  
10 facility I approached officer Lee and asked her if she would hire me  
11 to work for her, she responded I had no experience, (2) I then stated  
12 I use to work for c/o Serrano and Sgt. Whitfield, c/o Serrano then  
13 stated, "I stole canteen from EOP inmates," I replied she's a liar, I  
14 saw her coming out the old treatment center after having sex with  
15 c/o Mendoza. (3) C/o Munguia the repeatedly told me to take my  
16 thieffen ass to my group room. (4) I had a sprain ankle and was  
17 using crutches and told him I was moving as fast as I can. (5) He  
18 comments, "It wasn't fast enough and sprayed me with his MK-9  
19 pepper spray directly in my face, then placed me in a choke hold  
20 until I lost consciousness (briefly). When I came too, c/o's Lee,  
21 Balque, Serrano all were beaten me with their batons with such  
22 violent force they broke my leg [*illegible*] already back issue and  
23 head injurys and still I'm in pain.

24 ECF No. 59 at 19-20.

- 25 • In Section B of the appeal, plaintiff sought the following relief:

26 (A ) That I be assigned an investigator who will provide me all  
27 names of staff and witnesses who were in the treatment center as  
28 potential witnesses to excessive force incident. (B) That all staff  
and inmates who were in the treatment center will be interviewed  
regarding to what they witnessed and I be provided with a copy of  
each of their statements. (C) That I be provided an explanation why  
photos of my injuries to my head, leg, back were not taken, nor why  
staff and inmates have not been interviewed as evidence in this  
excessive force case, as part of procedure. (D) That Lee, Munguia,  
Serrano, and Balque be investigated and reprimanded for the  
injurys they unlawfully inflicted upon me and award me \$150,000  
for pain and suffering.

ECF No. 59 at 19-20.

- Defendant Baker is not named in plaintiff's November 9, 2012 appeal. ECF No. 59 Exh. 2 at 19-20; Facts ¶ 10.

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<sup>11</sup> Where, as here, defendant's Undisputed Facts are supported by the submitted evidence, and not contested by plaintiff, the court cites only to the relevant paragraph of defendant's Undisputed Facts.



- 1 • As a result of the incident on October 10, 2012, plaintiff was charged with a disciplinary  
2 violation. See ECF No. 1-2 at 11-12. The rules violation report dated November 16, 2012  
3 indicates that defendant Baker gave the following statement regarding the October 10,  
2012 incident, which was used as evidence at plaintiff’s disciplinary hearing:

4 I noticed several officers in a physical altercation with inmate  
5 GRIGSBY. I was able to identify that Officer Munguia had  
6 GRIGSBY’S left arm behind his back and had placed a handcuff  
7 restraint on his left wrist. GRIGSBY was refusing to place his right  
8 hand behind his back. I grabbed GRIGSBY’S right arm using my  
9 hands and pulled it from underneath him and placed it behind his  
10 back.

11 Id.

- 12 • Plaintiff’s November 9, 2012 grievance was processed as a staff complaint. See ECF No.  
13 59 at 21. On December 28, 2012, plaintiff’s appeal was partially granted at the first level  
14 of review in that an appeal inquiry was completed. ECF No. 59 Exh. 2 at 21-22. The  
15 “appeal issue” states, “You are alleging that on October 10, 2012, Correctional Officers  
16 Munguia, Lee, Balque, and Serrano all used excessive force on you.” Id. at 21. The  
17 response to plaintiff’s appeal indicates that plaintiff was interviewed on December 28,  
18 2012 by Sgt. Norton and that plaintiff stated that “the Officers used excessive force.”<sup>12</sup> Id.  
19 at 21. The appeal response states that staff did not violate CDCR policy. Id. at 21.
- 20 • In a letter dated February 20, 2013, plaintiff was informed that his third level appeal was  
21 rejected because he had bypassed the second level of review. See ECF No. 59 Exh. 2 at  
22 31.
- 23 • Plaintiff submitted another administrative grievance, explaining that he submitted his  
24 grievance to the third level of review because he was under the impression that the  
25 December 28, 2012 response satisfied both the first and second level of review. See ECF  
26 No. 53-1 at 17-18. The signature date on this appeal is February 28, 2013. Id. In this  
27 grievance, plaintiff asserts that he was not interviewed by Sgt. Norton in connection with  
28 his first level appeal. Plaintiff goes on to assert that he was assaulted by four officers who  
“broke [his] knee cap, gave [him] a head concussion, back injury and black and blue  
bruises on his legs and body.” ECF No. 53-1 at 17-18. Defendant Baker is not named in  
plaintiff’s February 28, 2013 appeal. See id.
- On July 19, 2013, plaintiff’s November 9, 2012 appeal was denied at the third level of  
review. Facts ¶ 11. ECF No. 53-1 at 20-21.
- In his deposition, plaintiff stated that the appeal he filed regarding the October 10, 2012  
incident was appeal number 12-03637 and that it was submitted on November 9, 2012.  
ECF No. 53-1 at 35. When asked if he submitted any additional 602’s regarding the  
October 10, 2012 incident, plaintiff responded, “I don’t believe so.” Id.

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<sup>12</sup> Plaintiff asserts that he was never interviewed by Sgt. Norton but was interviewed by Lt.  
Hobart. See ECF No. 59 at 1-2.

- 1 • In support of their motion for summary judgment, defendants submitted the declaration of  
2 defendant Baker. ECF No. 63-1 at 55-57. In his declaration, Baker describes his  
3 involvement in the October 10, 2012 incident:

4 On October 10, 2012, I responded to an alarm in Facility B's  
5 Enhanced Outpatient Treatment Center where I noticed several  
6 officers trying to restrain inmate Grigsby, who was struggling with  
7 officers . . . In order to assist officers in gaining control of Grigsby,  
8 I grabbed Grigsby's right arm using both hands and pulled it from  
9 underneath him, and placed it behind his back. Grigsby continued  
10 to resist, so I placed my right knee on Grigsby's right shoulder to  
11 prevent Grigsby from pulling away while Officer Munguia tried to  
12 secure handcuffs . . . Once Grigsby was secured in handcuffs I  
13 removed my knee from Grigsby's right shoulder and tried to help  
14 Grigsby to stand up . . . Several officers and I carried Grigsby out  
15 of the hallway and laid him face down on the ground . . . Grigsby  
16 was placed on a stokes litter and then onto a rolling gurney. Using  
17 the rolling gurney, I escorted Grigsby to Facility B's Triage and  
18 Treatment area, where Grigsby was seen by medical staff . . .

19 Id. at 56.

20 iv. Discussion

21 Defendant Baker argues that plaintiff's claims against Baker are unexhausted because in  
22 his administrative grievance, plaintiff "failed to meet the specificity requirement of the  
23 regulations requiring him to name the staff members involved and to describe their involvement  
24 in the issue." ECF No. 52 at 4. Defendant relies on Cal. Code Regs. tit. 15, § 3084.2(a)(3),  
25 which provides that inmates "shall list all staff member(s) involved and shall describe their  
26 involvement in the issue."

27 It is undisputed that plaintiff did not name defendant Baker in the appeal plaintiff filed  
28 regarding the October 10, 2012 "excessive force incident." See ECF No. 59 at 19-20. However,  
to the extent defendant argues that plaintiff failed to comply with a procedural requirement by not  
naming Baker in his appeal, this deficiency is not necessarily fatal to plaintiff's claim. See Reyes,  
810 F. 3d at 658 ("if prison officials ignore the procedural problem and render a decision on the  
merits of the grievance at each available step of the administrative process," then the prisoner has  
exhausted "such administrative remedies as are available" under the PLRA). In Reyes, the Ninth  
Circuit recounted the purposes of the PLRA exhaustion requirement:

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1 The PLRA attempts to eliminate unwarranted federal-court  
2 interference with the administration of prisons, and thus seeks to  
3 afford corrections officials time and opportunity to address  
4 complaints internally before allowing the initiation of a federal  
5 case. Requiring exhaustion provides prison officials a fair  
6 opportunity to correct their own errors and creates an administrative  
7 record for grievances that eventually become the subject of federal  
8 court complaints. Requiring inmates to comply with applicable  
9 procedural regulations furthers these statutory purposes.

10 Id. at 657. The Court of Appeals reasoned, however, that “when prison officials address the  
11 merits of a prisoner's grievance instead of enforcing a procedural bar, the state’s interests in  
12 administrative exhaustion have been served. Prison officials have had the opportunity to address  
13 the grievance and correct their own errors and an administrative record has been developed.” Id.  
14 Stated differently, the Court found:

15 When prison officials opt not to enforce a procedural rule but  
16 instead decide an inmate's grievance on the merits, the purposes of  
17 the PLRA exhaustion requirement have been fully served: prison  
18 officials have had a fair opportunity to correct any claimed  
19 deprivation and an administrative record supporting the prison's  
20 decision has been developed. Dismissing the inmate’s claim for  
21 failure to exhaust under these circumstances does not advance the  
22 statutory goal of avoiding unnecessary interference in prison  
23 administration. Rather, it prevents the courts from considering a  
24 claim that has already been fully vetted within the prison system.

25 Id. at 658.

26 In the instant case, it is undisputed that plaintiff’s November 9, 2012 appeal was pursued  
27 to the third level of review, where a decision was rendered on the merits of plaintiff’s claim. See  
28 ECF No. 53-1 at 20-21. While plaintiff’s grievance mentions four officers by name, the subject  
of the grievance as a whole is the “excessive force incident” that allegedly occurred on October  
10, 2012. Broadly construed, this grievance covers all force that was used on plaintiff during the  
October 10, 2012 incident. Here, it is undisputed that defendant Baker was one of the officers  
who applied force to plaintiff during this incident. In his declaration, Baker states that on October  
10, 2012 he arrived and saw officers struggling with and attempting to restrain plaintiff. In order  
to assist the other officers, Baker grabbed plaintiff’s right arm and pulled it from underneath  
plaintiff’s body, and placed it behind his back. Baker then used his knee to prevent plaintiff from

1 pulling away while another officer tried to handcuff plaintiff. ECF No. 63-1 at 56. Accordingly,  
2 defendant Baker clearly participated in the incident that forms the basis of plaintiff's November 9,  
3 2012 "excessive force" grievance.

4 Furthermore, the record establishes that prison officials were aware of defendant Baker's  
5 involvement in the October 10, 2012 incident. Prison officials conducted an investigation in  
6 relation to the October 10, 2012 incident, both as part of plaintiff's grievance inquiry and in  
7 relation to the disciplinary violation that plaintiff was charged with as a result of the October 10,  
8 2012 incident. Plaintiff alleges that during his interview regarding the "excessive force incident,"  
9 plaintiff mentioned defendant Baker's involvement. Moreover, it is clear from the record that  
10 Baker himself was interviewed in connection with the October 10, 2012 incident, as Baker's  
11 description of the incident was used as evidence at plaintiff's disciplinary hearing on November  
12 16, 2012. Thus, the record demonstrates that prison officials investigated the entire October 10,  
13 2012 incident, not just the specific allegations contained in plaintiff's grievance, and became  
14 aware of Baker's involvement during this process. Cf. Maldonado v. Padilla, Case No. 115-CV-  
15 00836 DAD MJS PC, 2016 WL 866281, at \*3 (E.D. Cal. Mar. 7, 2016) (finding that plaintiff  
16 failed to exhaust administrative remedies where neither plaintiff's grievance nor the prison  
17 officials' investigation revealed that anyone besides the lone defendant named in the grievance  
18 was involved in the incident being grieved).

19 In the instant case, there is no doubt that despite plaintiff's failure to name Baker in his  
20 appeal, prison officials were on notice of Baker's involvement in the October 10, 2012 "excessive  
21 force incident," which is the subject of the instant lawsuit. Through plaintiff's "excessive force"  
22 grievance and the related investigations, prison officials learned of Baker's involvement and were  
23 afforded a fair opportunity address the issue internally, correct the error, and create an  
24 administrative record, thus meeting the requirements of the PLRA's exhaustion requirement.  
25 Under these circumstances, dismissing plaintiff's claims against defendant Baker for failure to  
26 exhaust would not serve the goals of the exhaustion requirement but would merely "prevent[] the  
27 court[] from considering a claim that has already been fully vetted in within the prison system."  
28 See Reyes, 810 F.3d at 658. For these reasons, the undersigned finds that defendant Baker should

1 not be granted summary judgement on exhaustion grounds.

2 C. Merits

3 Defendants Baker, Fairbanks/Balque, Lee, Munguia, and Serrano move for summary  
4 judgment on the grounds that they did not violate plaintiff’s rights under the Eighth Amendment  
5 and alternatively that they are entitled to qualified immunity.

6 i. Legal Standards Governing Eighth Amendment Claims

7 “In its prohibition of ‘cruel and unusual punishments,’ the Eighth Amendment places  
8 restraints on prison officials, who may not . . . use excessive physical force against prisoners.”  
9 Farmer v. Brennan, 511 U.S. 825, 832 (1994) (citing Hudson v. McMillian, 503 U.S. 1 (1992)).  
10 “[W]henever prison officials stand accused of using excessive physical force in violation of the  
11 [Eighth Amendment], the core judicial inquiry is . . . whether force was applied in a good-faith  
12 effort to maintain or restore discipline, or maliciously and sadistically to cause harm.” Hudson,  
13 503 U.S. at 6-7 (citing Whitley v. Albers, 475 U.S. 312 (1986)).

14 When determining whether the force was excessive, the court looks to the “extent of the  
15 injury suffered by an inmate . . . , the need for application of force, the relationship between that  
16 need and the amount of force used, the threat ‘reasonably perceived by the responsible officials,’  
17 and ‘any efforts made to temper the severity of a forceful response.’” Hudson, 503 U.S. at 7  
18 (citing Whitley, 475 U.S. at 321). While *de minimis* uses of physical force generally do not  
19 implicate the Eighth Amendment, significant injury need not be evident in the context of an  
20 excessive force claim, because “[w]hen prison officials maliciously and sadistically use force to  
21 cause harm, contemporary standards of decency always are violated.” Hudson, at 9 (citing  
22 Whitley, 475 U.S. at 327).

23 The extent of injury suffered by the plaintiff may indicate the amount of force applied.  
24 Wilkins v. Gaddy, 559 U.S. 34, 37 (2010). “[N]ot ‘every malevolent touch by a prison guard  
25 gives rise to a federal cause of action.’” Id. (quoting Hudson, 503 U.S. at 9).

26 The Eighth Amendment’s prohibition of ‘cruel and unusual’  
27 punishments necessarily excludes from constitutional recognition  
28 *de minimis* uses of physical force, provided that the use of force is  
not of a sort repugnant to the conscience of mankind. An inmate

1 who complains of a ‘push or shove’ that causes no discernible  
2 injury almost certainly fails to state a valid excessive force claim.  
3 Injury and force, however, are only imperfectly correlated, and it is  
4 the latter that ultimately counts.”

4 Wilkins, 559 U.S. at 37-38 (internal citations and some internal quotation marks omitted).

5 Excessive force cases often turn on credibility determinations, and the excessive force  
6 inquiry “‘nearly always requires a jury to sift through disputed factual contentions, and to draw  
7 inferences therefrom.’” Smith v. City of Hemet, 394 F.3d 689, 701 (9th Cir. 2005) (quoting  
8 Santos v. Gates, 287 F.3d 846, 853 (9th Cir. 2002)). Therefore, “summary judgment or judgment  
9 as a matter of law in excessive force cases should be granted sparingly.” Id. The Ninth Circuit  
10 has “held repeatedly that the reasonableness of force used is ordinarily a question of fact for the  
11 jury.” Liston v. Cnty. of Riverside, 20 F.3d 965, 976 n.10 (9th Cir. 1997) (citations omitted).

12 ii. Arguments of the Parties

13 Defendants claim that plaintiff was being disruptive and verbally abusive towards staff,  
14 that he took a fighting stance when approached by officers, and that he refused to comply with  
15 orders to return to his group room, to submit to handcuffs, and to stop resisting. ECF No. 52 at 1,  
16 5-10. Defendants argue that while force was used on plaintiff, the force used was both necessary  
17 and appropriate to maintain control of the situation.

18 In his verified complaint,<sup>13</sup> plaintiff asserts that he was complying with orders to return to  
19 his group room, and that defendant Munguia pepper sprayed him for no reason after plaintiff said  
20 he was walking as fast as he could go on his crutches. ECF No. 1 at 3-4. Plaintiff appears to  
21 agree that he did not submit to orders to “turn around and cuff up,” but argues that it was because  
22 he was blind from the pepper spray and struggling to stand after defendant Munguia took  
23 plaintiff’s crutches. See id. at 4. Plaintiff argues that both the type and degree of force used was  
24

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25 <sup>13</sup> Plaintiff’s opposition to defendants’ motion for summary judgment contains little argument  
26 regarding the merits of defendants’ motion. See ECF No. 59. Accordingly, the undersigned  
27 relies on the allegations in plaintiff’s verified complaint, ECF No. 1. See Lopez, 203 F.3d at  
28 1132 n.14 (plaintiff’s verified complaint may be considered as an affidavit in opposition to  
summary judgment if it is based on personal knowledge and sets forth specific facts admissible in  
evidence).

1 excessive considering that plaintiff was complying with the order to return to his group room, was  
2 not threatening any of the officers, and was already unable to walk on his own due to his ankle  
3 injury. See id. at 4-5.

4 iii. Undisputed Facts

5 The court finds the following facts to be undisputed:<sup>14</sup>

- 6 • At all times relevant to the complaint, plaintiff was an inmate incarcerated at  
7 California State Prison – Sacramento. Facts at ¶ 15.
- 8 • On October 10, 2012, plaintiff approached the officers’ desk area in the Enhanced  
9 Outpatient Treatment Center hallway. Facts at ¶ 15. At this time, defendant  
10 Serrano and Lee were at the officers’ desk. Id. Defendant Munguia was also  
11 present. Facts ¶ 19.
- 12 • Plaintiff asked defendant Lee for a porter position. Facts at ¶ 15.
- 13 • Defendant Serrano replied,<sup>15</sup> and plaintiff made a disrespectful comment to  
14 defendant Serrano. Facts ¶¶ 17-18; ECF No. 1 at 4.
- 15 • Plaintiff was told to return to his group room. Facts ¶ 16.
- 16 • Plaintiff began walking away from the desk towards his group room using his  
17 crutches. ECF No. 1 at 4; Serrano Declr. ¶¶ 5-6.
- 18 • Defendant Munguia told plaintiff to return to his group room several times.<sup>16</sup> ECF  
19 No. 53-1 at 44 (Declaration of M. Munguia) (“Munguia Declr.”); ECF No. 1 at 4.
- 20 • Before plaintiff reached his group room, he turned around and made a comment.<sup>17</sup>
- 21 • Munguia approached plaintiff and discharged his pepper spray in plaintiff’s face.<sup>18</sup>

22 <sup>14</sup> Relevant factual disputes are noted.

23 <sup>15</sup> Defendants contend that Serrano told plaintiff it was not an appropriate time to discuss a job.  
24 ECF No. 53-1 at 40 (Declaration of K. Serrano) (“Serrano Declr.”). Plaintiff contends that  
25 Serrano said that plaintiff used to steal canteen from EOP inmates, and the officers laughed. ECF  
26 No. 1 at 3-4.

27 <sup>16</sup> The parties agree that plaintiff was told to return to his group room three times, but dispute  
28 what occurred as the orders were given. Plaintiff contends that Munguia followed him and gave  
the orders as plaintiff was walking away towards his group room. ECF No. 1 at 4. Defendants  
contend that plaintiff was given the orders because he was being loud and disrespectful and  
stopped to yell at defendants. See Serrano Declr. ¶¶ 5-8.

<sup>17</sup> Defendants contend that plaintiff stopped, turned around, and yelled “Fuck you!” Serrano  
Declr. ¶ 6. Plaintiff denies that he swore at Serrano. Plaintiff contends that in response to being  
told to return to his group room for the third time as he was walking, plaintiff stopped and said,  
“I’m moving as fast as I can.” ECF No. 1 at 4.

- 1 • Serrano activated her personal alarm. Serrano Declr. at ¶ 17.
- 2 • In response to the pepper spray, plaintiff said, “Oh, what the fuck you pepper spray
- 3 me for?” Facts ¶ 33.
- 4 • Plaintiff was ordered to submit to handcuffs. Facts ¶ 33; ECF No. 1 at 4.
- 5 • Plaintiff was separated from his crutches.<sup>19</sup> ECF No. 1 at 4.
- 6 • Plaintiff did not make efforts to submit to handcuffs. Munguia Declr. at ¶ 11. See
- 7 ECF No. 1 at 4-5.
- 8 • Munguia positioned himself behind plaintiff wrapped his arms around plaintiff.<sup>20</sup>
- 9 Serrano Declr. at ¶ 19; ECF No. 1 at 4.
- 10 • Plaintiff was taken to the floor by defendants Munguia, Serrano, and Lee. Serrano
- 11 Declr. at ¶¶ 19-21; ECF No. 53-1 at 48 (Declaration of L. Lee) (“Lee Declr.”);
- 12 ECF No. 1 at 4.
- 13 • Defendant Fairbanks/Balque hit plaintiff with her expandable baton four times.<sup>21</sup>
- 14 ECF No. 53-1 at 52 (Declaration of D. Fairbanks) (“Fairbanks Declr.”); ECF No. 1
- 15 at 4.
- 16 • During this time, defendants told plaintiff to stop resisting. Facts ¶ 36.
- 17 • Defendant Baker responded to the alarm, and was physically involved in getting

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18 <sup>18</sup> The parties agree that plaintiff was pepper sprayed, but dispute what occurred that prompted Munguia to use his pepper spray. Plaintiff contends that Munguia sprayed him immediately after plaintiff said, “I’m moving as fast as I can.” ECF No. 1 at 4. Defendants contend that Munguia had approached plaintiff to tell him that his demeanor was unacceptable. Munguia Declr. at ¶ 6. Plaintiff took an aggressive stance and passed a crutch from one hand to the other, so Munguia ordered plaintiff to submit to handcuffs. Id. at ¶¶ 7-8. Plaintiff began yelling and asked what Munguia would do if plaintiff did not comply. Id. at ¶ 9. Defendants contend that Munguia gave plaintiff another order to submit to handcuffs and plaintiff continued yelling, so Munguia used his pepper spray on the left side of plaintiff’s face. Id. at ¶¶ 10-12.

19 <sup>19</sup> The parties dispute how plaintiff’s crutches were taken from him. Plaintiff contends that after he was “blinded” by the pepper spray, Munguia told him to cuff up and plaintiff explained that he was on crutches and could not walk without them. Plaintiff then heard Munguia knock the crutches out of his hands, leaving plaintiff hopping in place. ECF No. 1 at 4. Defendants contend that after plaintiff was ordered to submit to handcuffs, plaintiff swung his crutch at Munguia’s head. Munguia Declr. at ¶ 13.

20 <sup>20</sup> The parties dispute where Munguia placed his arms. Plaintiff contends that Munguia put him in a choke hold and started choking him. ECF No. 1 at 4. Defendants contend that Munguia placed his arms around plaintiff’s torso. Serrano Declr. at ¶ 19.

21 <sup>21</sup> The parties agree that Fairbanks/Balque hit plaintiff four times, but dispute whether Fairbanks/Balque hit plaintiff more than four times. Specifically, plaintiff alleges that Fairbanks/Balque and Lee together hit plaintiff “over thirty times.” ECF No. 1 at 4.



1 plaintiff to submit to handcuffs. ECF No. 53-1 at 56 (Declaration of E. Baker)  
2 (“Baker Declr.”). Defendant Baker used his knee to get plaintiff to submit to  
3 handcuffs.<sup>22</sup> *Id.* at ¶ 7. Defendant Baker’s actions caused plaintiff to “release his  
4 hand from underneath his body.”<sup>23</sup> ECF No. 53-1 at 32; Baker Declr. at ¶ 6.

- 5 • Plaintiff was handcuffed while he was on the ground on stomach. See Baker  
6 Declr. at ¶¶ 9-10; ECF No. 1 at 4-5.
- 7 • Plaintiff was thereafter placed in additional restraints.<sup>24</sup> Baker Declr. at ¶¶ 11-13;  
8 ECF No. 1 at 4.
- 9 • As a result of the October 10, 2012 incident, plaintiff suffered a contusion of the  
10 left knee and fractured patella.<sup>25</sup> See ECF No. 1-4 at 11-12. During the following  
11 week, plaintiff had fluid drained from his left knee. His knee was put in a cast,  
12 which he was directed to wear for three to four weeks.<sup>26</sup> See id.

13 iv. Plaintiff’s Verified Allegations

- 14 • On October 10, 2012, plaintiff was on crutches due to an ankle injury. ECF No. 1  
15 at 4. Plaintiff could not walk without the help of crutches or a wheelchair. Id.
- 16 • After plaintiff asked Lee about a prison job, Serrano made a comment that plaintiff  
17 used to steal canteen from EOP inmates and Lee, Serrano, and Munguia laughed.  
18 ECF No. 1 at 3-4.
- 19 • Plaintiff made comments about Serrano having a sexual relationship with another  
20 officer, which upset Lee, Serrano, and Munguia and caused them to stop laughing.  
21 Id. at 3-4.
- 22 • As plaintiff started walking back to his group room, Munguia followed him, telling  
23 plaintiff a second time to return to his group room. Id.
- 24 • When plaintiff was approximately four feet from his group room, Munguia told  
25 him a third time to return to his group room. Id. At this point, plaintiff turned  
26 around and said, “I’m moving as fast as I can.” Munguia then pulled out his  
27 pepper spray and sprayed plaintiff in the face. Id.

28 <sup>22</sup> Defendants contend that while plaintiff was on the floor on his stomach, Baker put his knee on  
plaintiff shoulder to restrain him so that Munguia could secure handcuffs on plaintiff. Baker  
Declr. at ¶¶ 7-9. Plaintiff contends that Baker purposely kned him in the right eye. ECF No. 1  
at 4.

<sup>23</sup> Defendants contend that Baker pulled plaintiff’s arm out from underneath his body. Baker  
Declr. at ¶ 6. Plaintiff contends that “released his hand” because Baker kned him in the eye.  
ECF No. 53-1 at 32.

<sup>24</sup> Defendants contend that plaintiff refused to walk after he was handcuffed, so he was placed in  
leg restraints and a spit mask. Plaintiff contends that he was “hog tied.”

<sup>25</sup> The parties dispute whether plaintiff received any injuries beyond a fractured patella.

<sup>26</sup> Plaintiff contends that he wore the cast for nine weeks. See ECF No. 1 at 3.

- 1 • When Munguia told plaintiff to submit to handcuffs after he had been pepper  
2 sprayed, plaintiff was blind from the pepper spray and explained to Munguia that  
3 he could not walk without his crutches. Id.
- 4 • Munguia knocked the crutches out of plaintiff’s hands, saying plaintiff did not  
5 need them and ordering plaintiff to turn around and cuff up. Id.
- 6 • Munguia grabbed plaintiff and tried to spin him around to handcuff him, and  
7 plaintiff yelled at Munguia to hand him his crutches. Id.
- 8 • Munguia then put plaintiff in a choke hold and began choking him. Id.
- 9 • While plaintiff was in the choke hold, Serrano started hitting plaintiff with iron  
10 batons, saying “stop resisting, stop resisting” and trying to put plaintiff’s hands  
11 behind his back. Id.
- 12 • Plaintiff struggled to breathe and briefly lost consciousness, and at some point hit  
13 his head on the ground. Id.
- 14 • When plaintiff awoke, Fairbanks/Balque, Lee, and Serrano were hitting him with  
15 iron batons.<sup>27</sup> Id.
- 16 • Plaintiff asked why they were hitting him, but they did not respond. Id.
- 17 • With Munguia’s help, Serrano hit plaintiff with her baton “several times.” Id. at 5.
- 18 • Lee and Balque hit plaintiff “over 30 times” with their batons, “all over  
19 [plaintiff’s] legs, thighs, and knees until one of them broke [his] knee cap.” Id.
- 20 • While plaintiff was on his stomach asking for medical attention and the officers  
21 were trying to handcuff him, Officer Baker told plaintiff to stop resisting and then  
22 kned plaintiff in the right eye. Id. at 4; ECF No. 53-1 at 32.
- 23 • After plaintiff was handcuffed, Baker said, “hog tie him.” ECF No. 59 at 48.
- 24 • Plaintiff told Baker that his left knee was hurting and that something was wrong,  
25 but plaintiff was ignored. Id. at 48-49.
- 26 • Plaintiff was then “hog tied,” bending plaintiff’s injured left leg at the knee and  
27 causing him severe pain. Id. at 48-89, ECF No. 1 at 4-5.
- 28 • Plaintiff screamed and told defendants something was wrong with his knee. ECF  
No. 59 at 49.
- In his deposition, plaintiff stated that he could have submitted to handcuffs if  
Munguia had not kicked his crutch out of his hand. ECF No. 53-1 at 33.

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<sup>27</sup> It appears that plaintiff was on the ground at this point.

- 1
- Plaintiff further stated that after Munguia started “choking [him] out,” they were “in a fight.” Id. at 32-33.
- 2
- Plaintiff stated that Munguia was “abusing his authority” and that plaintiff “wasn’t listening.” Id. at 33.
- 3

4 v. Defendants’ Evidence

5 Although already noted above in the undisputed facts section, the court summarizes  
6 defendants’ evidence below in order to provide a more cohesive account of defendants’ version of  
7 the facts.

- 8
- After Serrano informed plaintiff that this was not the time to discuss a job assignment, plaintiff then became angry, loud, and disrespectful to Serrano. Facts ¶¶ 16-18.
- 9
- As plaintiff began walking towards his group room, plaintiff stopped, turned around, and yelled, “Fuck you!” ECF No. 53-1 at 40 (Declaration of K. Serrano) (“Serrano Declr.”).
- 10
- Plaintiff was given multiple orders to return to his group room, but stopped in front of his group room and refused to go in. ECF No. 53-1 at 44 (Declaration of M. Munguia) (“Munguia Declr.”).
- 11
- Munguia approached plaintiff and informed plaintiff that his language and demeanor towards staff was unacceptable. Id. at ¶ 6.
- 12
- Plaintiff began yelling and asking what Munguia would do if plaintiff did not comply. Id. at ¶¶ 9-11.
- 13
- Munguia gave plaintiff another order to turn around and submit to handcuffs, and plaintiff shouted, “no!” and moved his crutch in front of his body. ECF No. 53-1 at 48 (Declaration of L. Lee) (“Lee Declr.”).
- 14
- Munguia then pepper sprayed plaintiff in the face, which appeared to have no impact on plaintiff. Id. at ¶ 9.
- 15
- Plaintiff lunged at Munguia and swung his crutch at Munguia’s head. Serrano Declr. at ¶ 18.
- 16
- Munguia positioned then himself behind plaintiff and wrapped his arms around plaintiff’s upper torso. Id. at ¶ 19. Plaintiff was ordered not to resist, but he did not comply.
- 17
- Serrano, Lee, and Munguia struggled to take plaintiff to the floor, where they forced him onto his stomach. Plaintiff continued to struggle and jerk his body around despite the repeated orders to submit to handcuffs and stop resisting.
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1 Serrano Declr. at ¶¶ 13, 17-18; Lee Declr. at ¶ 12; Munguia Declr. at 16; Fairbanks  
2 Declr. at ¶ 12.

- 3 • Fairbanks/Balque shouted, “Grigsby! Cuff-up! Cuff-up!” Plaintiff did not  
4 comply, so Fairbanks/Balque used an expandable baton and hit plaintiff in the left  
5 calf area approximately four times. Id. at ¶¶ 13-16. Plaintiff continued to resist.  
6 Serrano Declr. at ¶ 17.
- 7 • Defendant Baker, who had responded to the alarm, grabbed plaintiff’s right arm,  
8 pulled it from underneath plaintiff, and placed it behind plaintiff’s back. Baker  
9 Declr. at ¶¶ 3-6.
- 10 • Baker placed his right knee on plaintiff’s right shoulder while Munguia tried to  
11 handcuff plaintiff. Id. at ¶ 7. Once plaintiff was placed in handcuffs, Baker  
12 removed his knee from plaintiff’s shoulder and tried to help him stand up. Id. at ¶  
13 9.
- 14 • Plaintiff refused to walk, so Baker and other officers carried plaintiff into the  
15 hallway and laid him face down on the ground. Id. at ¶¶ 9-10. Plaintiff was not  
16 “hog-tied,” but was placed in leg restraints and a spit mask. Id. at ¶ 11-12.
- 17 • Once fully restrained, plaintiff was placed on a rolling gurney and taken to the  
18 Triage and Treatment Center. Id. at ¶¶ at 13-14.

19 D. Excessive Use of Force

20 i. Injury Suffered by Plaintiff

21 The nature and extent of plaintiff’s injury, while not dispositive, must be considered in  
22 determining whether the evidence supports a reasonable inference that defendants’ alleged use of  
23 force was motivated by malicious or sadistic intent. See Hudson, 503 U.S. at 7 (court must  
24 consider the “extent of the injury”). “Injury and force, however, are only imperfectly correlated,  
25 and it is the latter that ultimately counts. An inmate who is gratuitously beaten by guards does  
26 not lose his ability to pursue an excessive force claim merely because he has the good fortune to  
27 escape without serious injury.” Wilkins, 559 U.S. at 38.

28 Plaintiff contends that he was temporarily blinded by pepper spray, “choked  
unconscious,” and beaten “black and blue” until his kneecap was broken. The medical records  
attached to plaintiff’s complaint confirm that plaintiff suffered a contusion of the left knee and a  
fractured patella, and that plaintiff wore a cast for at least three to four weeks. Plaintiff also

1 claims that he suffered severe pain as a result of being “hog tied” with his injured leg bent and  
2 that he had a “huge knot” on his head as a result of his head hitting the ground. Defendants assert  
3 in their declarations that plaintiff did not lose consciousness and was not choked or “hog tied,”  
4 but do not seriously contend that plaintiff’s injuries were de minimis. Accordingly, this factor  
5 weighs in plaintiff’s favor.

6 ii. Need for Application of Force

7 An inmate’s refusal to comply with orders may present a threat to the safety and security  
8 of a prison. Lewis v. Downey, 581 F.3d 467, 476 (7th Cir. 2009); Whitley, 475 U.S. at 320-22;  
9 Spain v. Procunier, 600 F.2d 189, 195 (9th Cir. 1979).

10 Orders given must be obeyed. Inmates cannot be permitted to  
11 decide which orders they will obey, and when they will obey them .  
12 . . . Inmates are and must be required to obey orders. When an  
13 inmate refuse[s] to obey a proper order, he is attempting to assert  
14 his authority over a portion of the institution and its officials. Such  
15 refusal and denial of authority places the staff and other inmates in  
16 danger.

17 Lewis, 581 F.3d at 476 (quoting Soto v. Dickey, 744 F.2d 1260, 1267 (7th Cir. 1984)).

18 Plaintiff appears to admit that he was verbally disrespectful to Officer Serrano, which  
19 prompted the order for plaintiff to return to his group room. However, plaintiff contends that he  
20 complied with this order and started walking back to his group room on his crutches. If plaintiff’s  
21 version of the facts is true, there was no indication that physical force was necessary or justified  
22 since plaintiff was complying with the order and had almost reached his group room when  
23 Munguia discharged his pepper spray. Accordingly, this factor tips in plaintiff’s favor with  
24 respect to whether there was a need for Munguia to use force on plaintiff to get him to return to  
25 his group room.

26 Whether force was necessary to bring plaintiff into compliance with the orders to submit  
27 to handcuffs is a closer question. It is undisputed that after Munguia used his pepper spray on  
28 plaintiff and ordered plaintiff to “turn around and cuff up,” plaintiff did not turn around and  
submit to handcuffs but instead asked why he had been sprayed. However, plaintiff also alleges  
that he was “blind” at the time because of the pepper spray; that Munguia kicked plaintiff’s

1 crutches away, causing plaintiff to hop in place and struggle to stand; and that when Mungia  
2 attempted to grab him, plaintiff yelled at Munguia to give him his crutches. Construed in  
3 plaintiff's favor, these allegations suggest that plaintiff was unable to comply with Munguia's  
4 orders to submit to handcuffs because he could not see and was not able to stand on his own  
5 without his crutches. Thus, plaintiff's version of the facts suggests that by spraying plaintiff with  
6 pepper spray and taking his crutches, Munguia created a situation in which plaintiff was unable to  
7 immediately comply with the orders Munguia gave.<sup>28</sup> Under these circumstances, Munguia  
8 cannot use plaintiff's failure to comply to assert that further application of force was needed.  
9 Nevertheless, in light of plaintiff's statement in his deposition that he did not turn around and cuff  
10 up when ordered to do so and that he "wasn't listening" to orders once Munguia started "choking  
11 [plaintiff] out," it is arguable that some force was justified to get plaintiff to submit to handcuffs.

12 Plaintiff also admits that defendants Serrano, Lee, and Fairbanks ordered him to stop  
13 resisting, and that plaintiff was not handcuffed until defendant Baker kned plaintiff in the eye as  
14 plaintiff was lying on the ground, causing plaintiff to "release his hand from underneath his  
15 body." These allegations suggest that until plaintiff was kned by Baker, plaintiff had not made  
16 efforts to submit to handcuffs, which further suggests that some force was necessary to get  
17 plaintiff to submit to handcuffs.

18 Overall, while the undisputed facts suggest that some force was necessary to obtain  
19 compliance, the facts material to a determination how much force was necessary are disputed.

20 iii. Relationship Between Need for Force and Amount of Force Used

21 In determining whether there has been an Eighth Amendment violation, the standard is  
22 "malicious and sadistic force, not merely objectively unreasonable force." Clement v. Gomez,  
23 298 F.3d 898, 903 (9th Cir. 2002); Hudson, 503 U.S. at 9 (not every malevolent touch gives rise  
24 to an Eighth Amendment claim). "The infliction of pain in the course of a prison security  
25 measure, therefore, does not amount to cruel and unusual punishment simply because it may  
26

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27 <sup>28</sup> In his deposition, plaintiff was asked whether he should have just complied when given orders  
28 to "cuff up and stop struggling." Plaintiff responded, "Well, I think I could have done that if they  
would have let me keep my crutch." ECF No. 53-1 at 32.

1 appear in retrospect that the degree of force authorized or applied for security purposes was  
2 unreasonable, and hence unnecessary in the strict sense.” Whitley, 475 U.S. at 319.

3 With respect to the use of pepper spray, plaintiff’s allegations suggest that he was  
4 complying with Munguia’s orders to return to his group room and had stopped to say he was  
5 moving as fast as he could when Munguia used his pepper spray on plaintiff. According to  
6 plaintiff, Munguia did not order plaintiff to submit to handcuffs until after he used his pepper  
7 spray. On these facts, there is no indication that any physical force was necessary as plaintiff was  
8 in the process of complying with Munguia’s order to return to his group room. As to Munguia’s  
9 use of pepper spray, this factor tips in plaintiff’s favor.

10 As to the remaining allegations, it is undisputed that after Munguia used his pepper spray  
11 and ordered plaintiff to “cuff up,” plaintiff did not comply but said he could not see and asked  
12 why he was sprayed. Given plaintiff’s allegations that when Munguia attempted to grab plaintiff,  
13 plaintiff yelled at Munguia to give him his crutches, defendants could have reasonably believed  
14 that plaintiff did not intend to comply with orders to submit to handcuffs, necessitating some level  
15 of force. However, in light of plaintiff’s allegations that he never lunged at any of the officers or  
16 attempted to use his crutch as a weapon before he was “choked out” and beaten repeatedly with  
17 batons until his kneecap was broken, and his allegation that Baker kned plaintiff in the eye while  
18 lying on the ground asking for medical attention, there is a material dispute as to whether the  
19 force used against plaintiff was excessive in light of the perceived threat. There is also a dispute  
20 as to whether plaintiff was subsequently “hog tied” and whether the restraints used on plaintiff  
21 were excessive given plaintiff’s allegations that he was unable to walk on his own due to his  
22 “broken kneecap” and his prior ankle injury that necessitated the use of crutches.

23 This factor weighs in plaintiff’s favor.

24 iv. Threat Perceived by Defendants

25 The fourth Hudson factor considers “the extent of the threat to the safety of staff and  
26 inmates, as reasonably perceived by the responsible officials on the basis of the facts known to  
27 them.” Whitley, 475 U.S. at 321. In weighing this factor, courts should be mindful that “in  
28 making and carrying out decisions involving the use of force to restore order in the face of a

1 prison disturbance, prison officials undoubtedly must take into account the very real threats the  
2 unrest presents to inmates and prison officials alike, in addition to the possible harms to inmates  
3 against whom force might be used.” Id. at 320.

4 As already discussed, the court does not find it reasonable for Munguia to have perceived  
5 a threat from plaintiff when plaintiff was walking back to his group room. Even assuming that  
6 plaintiff was walking slowly due to his crutches and that he stopped to tell Munguia he was  
7 moving as fast as he could, plaintiff was in the process of complying with Munguia’s order to  
8 return to his group room. This factor weighs in plaintiff’s favor against Munguia.

9 As discussed above, with respect to the remaining allegations, plaintiff admits that after he  
10 was pepper sprayed and told to submit to handcuffs, he asked why he should cuff up, hopped in  
11 place, and yelled at Munguia. In light of plaintiff’s reaction to being told to cuff up, it was  
12 reasonable for defendants to believe that plaintiff was not going to submit to handcuffs and that  
13 some threat therefore existed. However, there is a dispute as to the extent of the threat perceived.  
14 According to plaintiff’s version of the facts, plaintiff hopped and yelled for his crutches because  
15 he could not stand, and did not at any point become physically aggressive or attempt to attack any  
16 of the officers. Plaintiff’s account of the facts suggests that although he did not offer to submit to  
17 handcuffs, he remained relatively passive while he was choked, beaten with batons, and kneed in  
18 the eye. Accordingly, this factor weighs in defendants’ favor, but only slightly.

19 v. Efforts Made to Temper Severity of Force

20 Whether defendants attempted to temper the severity of the force used upon plaintiff is  
21 entirely dependent upon which version of the facts is believed. In plaintiff’s version of the facts,  
22 which must be taken as true for the purposes of summary judgment, defendant Munguia used  
23 pepper spray on plaintiff where little if any force was necessary. Plaintiff’s allegations that  
24 Munguia choked him until he lost consciousness; that Serrano, Lee, and Fairbanks/Balque beat  
25 him with batons, even while he was briefly unconscious; and that Baker kneed plaintiff in the eye  
26 while he was lying on the ground asking for medical attention, suggest that defendants made little  
27 effort to temper the severity of the force used. Plaintiff’s allegations that he was “hog tied” with a  
28 “broken kneecap” when he already could not walk on his own suggests that plaintiff was



1 deliberately restrained in a manner that would cause him harm. This factor tips in plaintiff's  
2 favor.

3 vi. Conclusion

4 For these reasons, the court finds material issues of fact as to whether defendants' use of  
5 force against plaintiff was excessive and in violation of plaintiff's Eighth Amendment rights.  
6 Summary judgment should therefore be denied.

7 E. Qualified Immunity

8 Government officials are immune from civil damages "unless their conduct violates  
9 'clearly established statutory or constitutional rights of which a reasonable person would have  
10 known.'" Jeffers v. Gomez, 267 F.3d 895, 910 (9th Cir. 2001) (quoting Harlow v. Fitzgerald, 457  
11 U.S. 800, 818 (1982)). In analyzing a qualified immunity defense, the court must consider the  
12 following: (1) whether the alleged facts, taken in the light most favorable to the plaintiff,  
13 demonstrate that defendant's conduct violated a statutory or constitutional right; and (2) whether  
14 the right at issue was clearly established at the time of the incident. Saucier v. Katz, 533 U.S.  
15 194, 201 (2001) overruled in part by Pearson v. Callahan, 555 U.S. 223, 236 (2009) (overruling  
16 Saucier's requirement that the two prongs be decided sequentially). These questions may be  
17 addressed in the order most appropriate to "the circumstances in the particular case at hand."  
18 Pearson, 555 U.S. at 236. Thus, if a court decides that plaintiff's allegations do not support a  
19 statutory or constitutional violation, "there is no necessity for further inquiries concerning  
20 qualified immunity." Saucier, 533 U.S. at 201. On the other hand, if a court determines that the  
21 right at issue was not clearly established at the time of the defendant's alleged misconduct, the  
22 court need not determine whether plaintiff's allegations support a statutory or constitutional  
23 violation. Pearson, 555 U.S. at 236, 242.

24 The court has already established that, taken in the light most favorable to plaintiff, the  
25 allegations demonstrate a violation of plaintiff's Eighth Amendment rights. The first prong of the  
26 analysis is therefore resolved in plaintiff's favor.

27 With respect to whether there was a clearly established right, the law at the time of the use  
28 of force was clear that force used sadistically and maliciously for the very purpose of causing

1 harm violated the Eighth Amendment. Whitley, 475 U.S. at 320-21. “[S]ummary judgment  
2 based on qualified immunity is improper if, under the plaintiff’s version of the facts, and in light  
3 of the clearly established law, a reasonable officer could not have believed his conduct was  
4 lawful.” Schwenk v. Hartford, 204 F.3d 1187, 1196 (9th Cir. 2000) (citing Grossman v. City of  
5 Portland, 33 F.3d 1200, 1208 (9th Cir. 1994)). The disputed issues of fact surrounding the degree  
6 and type of force used in this case preclude a finding that defendants are entitled to qualified  
7 immunity, because a reasonable officer would not have believed that it was lawful to use force on  
8 plaintiff for the purpose of inflicting harm.

9 Because there are disputed issues of material fact, defendants Baker, Munguia, Serrano,  
10 Lee, and Fairbanks/Balque’s motion for summary judgment should be denied. Moreover, due to  
11 the nature of the factual disputes, defendants are not entitled to qualified immunity.

#### 12 VII. Motion for Settlement Conference

13 Plaintiff filed a motion for a settlement conference indicating his willingness to settle the  
14 case instead of going to trial. See ECF No. 61 at 1. Defendants filed an opposition to plaintiff’s  
15 motion, stating that a settlement conference would not be beneficial at this time due to  
16 defendants’ pending motion for summary judgment. ECF No. 63 at 2. Defendants indicated that  
17 they remain open to a settlement conference at a later stage of the litigation. Id. at 3.

18 In light of the court’s recommendation that defendants’ summary judgment motion be  
19 denied, it appears that defendants may be open to the possibility of a settlement conference.  
20 Accordingly, plaintiff’s motion is granted to the extent that the court will refer plaintiff’s case for  
21 settlement if the district judge adopts the recommendation to deny summary judgment.

#### 22 VIII. Motion for Writ of Habeas Corpus Ad Testificandum

23 Plaintiff has also filed a motion for a writ of habeas corpus ad testificandum. ECF No. 62.  
24 As plaintiff’s case has not yet been set for trial, plaintiff’s motion is premature. The motion is  
25 denied at this time, but plaintiff may re-file his motion should this case proceed to trial.

#### 26 IX. Conclusion

27 In accordance with the above, IT IS HEREBY ORDERED that:

- 28 1. Plaintiff’s motions to compel discovery (ECF No. 45 & 49) are granted in part and

1 denied in part. The motions are granted to the extent that within twenty-one (21) days  
2 of the adoption of the findings and recommendations by the district judge, defendants  
3 are directed to file a declaration with the court indicating whether the October 10,  
4 2012 video was altered or edited before plaintiff was permitted to view it, and to  
5 produce to plaintiff the excessive use of force report created in relation to the October  
6 10, 2012 incident. The motions are in all other respects denied;

7 2. Plaintiff's motion to extend the discovery deadline (ECF No. 49 at 1) is  
8 denied;

9 3. Plaintiff's motion for appointment of counsel (ECF No. 58) is denied without  
10 prejudice;

11 4. Plaintiff's motion for a settlement conference (ECF No. 61) is granted to the extent  
12 that the court will refer plaintiff's case for settlement following adoption of the  
13 findings and recommendations by the district judge;


14 5. Plaintiff's motion for a writ of habeas corpus ad testificandum (ECF No. 62) is denied  
15 without prejudice to its re-filing should this case proceed to trial.

16 IT IS FURTHER RECOMMENDED that:

17 Defendants' motion for summary judgment (ECF No. 52) be denied.

18 These findings and recommendations are submitted to the United States District Judge  
19 assigned to this case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
20 after being served with these findings and recommendations, any party may file written  
21 objections with the court, which shall be captioned "Objections to Magistrate Judge's Findings  
22 and Recommendations." **Due to exigencies in the court's calendar, no extensions of time will**  
23 **be granted.** A copy of any objections filed with the court shall also be served on all parties. The  
24 parties are advised that failure to file objections within the specified time may waive the right to  
25 appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

26 DATED: March 9, 2016

27   
28 ALLISON CLAIRE  
UNITED STATES MAGISTRATE JUDGE