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7 UNITED STATES DISTRICT COURT  
8 FOR THE EASTERN DISTRICT OF CALIFORNIA  
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10 CARL JOHNSON,

11 Plaintiff,

12 v.

13 J. HEAD, et al.,

14 Defendants.  
15

No. 2:14-cv-2172 GEB CKD P

ORDER SETTING SETTLEMENT  
CONFERENCE

16 Plaintiff is a state prisoner proceeding pro se and in forma pauperis with a civil rights  
17 action pursuant to 42 U.S.C. § 1983. The Court has determined that this case will benefit from a  
18 settlement conference. Therefore, this case will be referred to Magistrate Judge Dale A. Drozd  
19 for the Court's Settlement Week program to conduct a settlement conference at the U. S. District  
20 Court, 501 I Street, Sacramento, California 95814 in Courtroom #27 on June 3, 2015 at 10:00  
21 a.m.

22 A separate order and writ of habeas corpus ad testificandum will issue concurrently with  
23 this order.

24 In accordance with the above, IT IS HEREBY ORDERED that:

- 25 1. A settlement conference has been set for June 3, 2015 at 10:00 a.m. in Courtroom #27  
26 before Magistrate Judge Dale A. Drozd at the U. S. District Court, 501 I Street,  
27 Sacramento, California 95814.  
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- 1           2. Each party is directed to have a principal capable of disposition at the Settlement  
2           Conference or to be fully authorized to settle the matter on any terms at the Settlement  
3           Conference.<sup>1</sup>  
4           3. Those in attendance must be prepared to discuss the claims, defenses and damages.  
5           The failure of any counsel, party or authorized person subject to this order to appear in  
6           person may result in the imposition of sanctions. In addition, the conference will not  
7           proceed and will be reset to another date.  
8           4. The parties are directed to submit confidential settlement conference statements on or  
9           before May 27, 2015 to the following email address: [dadorders@caed.uscourts.gov](mailto:dadorders@caed.uscourts.gov).  
10          Plaintiff shall mail his confidential settlement statement addressed to Magistrate Judge  
11          Dale A. Drozd, USDC CAED, 501 I Street, Suite 4-200, Sacramento, California  
12          95814 so it arrives no later than May 27, 2015. The envelope shall be marked  
13          “Confidential Settlement Statement.” Additionally, each party shall file a “Notice of  
14          Submission of Confidential Settlement Conference Statement.” (Rule 270(d)).


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16          Settlement statements **should not be filed** with the Clerk of the court **nor served on**  
17          **any other party**. Settlement statements shall be clearly marked “confidential” with  
18          the date and time of the settlement conference indicated prominently thereon.  
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21          <sup>1</sup> While the exercise of its authority is subject to abuse of discretion review, “the district court has the  
22          authority to order parties, including the federal government, to participate in mandatory settlement  
23          conferences... ” United States v. United States District Court for the Northern Mariana Islands, 694 F.3d 1051,  
24          1053, 1057, 1059 (9<sup>th</sup> Cir. 2012)(“the district court has broad authority to compel participation in mandatory  
25          settlement conference[s].”). The term “full authority to settle” means that the individuals attending the  
26          mediation conference must be authorized to fully explore settlement options and to agree at that time to any  
27          settlement terms acceptable to the parties. G. Heileman Brewing Co., Inc. v. Joseph Oat Corp., 871 F.2d 648,  
28          653 (7<sup>th</sup> Cir. 1989), cited with approval in Official Airline Guides, Inc. v. Goss, 6 F.3d 1385, 1396 (9<sup>th</sup> Cir. 1993).  
The individual with full authority to settle must also have “unfettered discretion and authority” to change the  
settlement position of the party, if appropriate. Pitman v. Brinker Int’l, Inc., 216 F.R.D. 481, 485-86 (D. Ariz.  
2003), amended on recon. in part, Pitman v. Brinker Int’l, Inc., 2003 WL 23353478 (D. Ariz. 2003). The  
purpose behind requiring the attendance of a person with full settlement authority is that the parties’ view of  
the case may be altered during the face to face conference. Pitman, 216 F.R.D. at 486. An authorization to  
settle for a limited dollar amount or sum certain can be found not to comply with the requirement of full  
authority to settle. Nick v. Morgan’s Foods, Inc., 270 F.3d 590, 596-97 (8<sup>th</sup> Cir. 2001).

1 The confidential settlement statement shall be **no longer than five pages** in length,  
2 typed or neatly printed, and include the following:

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- 4 a. A brief statement of the facts of the case.
- 5 b. A brief statement of the claims and defenses, i.e., statutory or other grounds upon  
6 which the claims are founded; a forthright evaluation of the parties' likelihood of  
7 prevailing on the claims and defenses; and a description of the major issues in  
8 dispute.
- 9 c. A summary of the proceedings to date.
- 10 d. An estimate of the cost and time to be expended for further discovery, pretrial, and  
11 trial.
- 12 e. The relief sought.
- 13 f. The party's position on settlement, including present demands and offers and a  
14 history of past settlement discussions, offers, and demands.
- 15 g. A brief statement of each party's expectations and goals for the settlement  
16 conference.

17 Dated: May 4, 2015

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19 CAROLYN K. DELANEY  
20 UNITED STATES MAGISTRATE JUDGE  
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