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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

MARVIN GILLAM & PAMALA  
GILLAM,  
  
  Plaintiffs,  
  
  v.  
  
CITY OF VALLEJO, et al.,  
  
  Defendants.

No. 2:14-cv-2217-KJM-KJN PS

FINDINGS AND RECOMMENDATIONS

INTRODUCTION

Presently pending before the court is defendants’ motion for summary judgment, or in the alternative, partial summary judgment. (ECF No. 34.)<sup>1</sup> Plaintiffs have opposed defendants’ motion and also filed a cross-motion for summary judgment. (ECF No. 38.) Thereafter, defendants filed a reply brief. (ECF No. 39.)<sup>2</sup>

After carefully considering the written briefing, the court’s record, and the applicable law, the court recommends that defendants’ motion for summary judgment be GRANTED IN PART and DENIED IN PART, and that plaintiffs’ cross-motion for summary judgment be DENIED, for

<sup>1</sup> The action proceeds before the undersigned pursuant to Local Rule 302(c)(21).

<sup>2</sup> The motions were submitted for decision without oral argument based upon the record and written briefing. (ECF No. 37.)

1 the reasons discussed below.

2 BACKGROUND<sup>3</sup>

3 On October 13, 2012, at approximately 10:00 a.m., the Vallejo Police Department  
4 received a report that a cab driver was being threatened with a knife by his passengers and that  
5 they were refusing to pay. (See Defendants' Separate Statement of Undisputed Material Facts,  
6 ECF No. 34-6 ("SSUF") No. 1.) Defendants and Vallejo Police officers, Joe McCarthy ("Officer  
7 McCarthy") and Jerome Bautista ("Officer Bautista"), responded to the cab driver's location.  
8 (SSUF No. 2.) The cab driver pointed out the suspects, who were walking away and were later  
9 identified as plaintiffs Marvin Gillam ("Marvin") and Pamala Gillam ("Pamala"). (Id.) Officer  
10 McCarthy called out to Marvin, and Officer Bautista called out to Pamala. (SSUF No. 3.) At that  
11 point, neither officer knew where the reported knife was or whether it had been concealed on the  
12 person of either Marvin or Pamala. (SSUF Nos. 5, 17.)

13 Upon being contacted by Officer Bautista, Pamala immediately became verbally  
14 combative and refused to comply with Officer Bautista's orders to show her hands. (SSUF No.  
15 4.) However, Officer Bautista ultimately succeeded in handcuffing Pamala. (SSUF No. 6.)<sup>4</sup>  
16 According to Pamala, the handcuffs were too tight, and even though Pamala immediately  
17 complained to Officer Bautista, he ignored her and refused to loosen the handcuffs. (Declaration  
18 of Pamala Gillam, ECF No. 38 at 9-11 ["Pamala Decl."] ¶¶ 3-6.) For his part, Officer Bautista

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19 <sup>3</sup> Plaintiffs did not respond to defendants' separate statement of undisputed material facts by  
20 admitting or denying the proposed facts, along with citations to specific evidence to support any  
21 denial, as required by Local Rule 260(b). The mere fact that plaintiffs are proceeding without  
22 counsel does not exempt them from compliance with the Local Rules. However, because the  
23 declaration and evidence submitted by the *pro se* plaintiffs in this case are brief and make clear  
24 which proposed facts are ostensibly disputed by plaintiffs, the court exercises its discretion to  
proceed to the merits of the motions. Nevertheless, to the extent that the declaration and evidence  
submitted by plaintiffs do not dispute a proposed fact, the court generally deems that proposed  
fact admitted for purposes of these motions.

25 <sup>4</sup> There is an inconsistency in the record as to how the handcuffing came about. Officer Bautista  
26 claims that, while Pamala's attention was diverted, he immediately got behind her, grabbed both  
27 her hands, and handcuffed her. (Declaration of Jerome Bautista, ECF No. 34-4 ["Bautista Decl.,"]  
28 ¶ 5.) By contrast, at her deposition, Pamala testified that Officer Bautista asked for her hand, that  
she complied, and that Officer Bautista then handcuffed her. (Declaration of Kelly Trujillo, ECF  
No. 34-2, Ex. A, Deposition of Pamala Gillam ["Pamala Depo"] 16:25-17:17.)

1 claims that he handcuffed Pamala according to protocol, and that Pamala never complained about  
2 her handcuffs being too tight. (Declaration of Jerome Bautista, ECF No. 34-4 [“Bautista Decl.”]  
3 ¶ 6.)

4 At around the same time that Officer Bautista initially approached Pamala, Officer  
5 McCarthy made contact with Marvin and asked Marvin to sit down on the curb. (SSUF No. 16;  
6 Declaration of Joseph McCarthy, ECF No. 34-3 [“McCarthy Decl.”] ¶¶ 4-5; Plaintiffs’  
7 Opposition Brief, ECF No. 38 at 4.) Marvin initially refused and told Officer McCarthy, “Fuck  
8 you, I’m 63 yrs old.” (*Id.*) From that point on, the parties’ accounts of the events concerning  
9 Marvin differ.

10 According to plaintiffs,<sup>5</sup> while Marvin was standing still “with his hands and arms down  
11 in a prone position,” Officer McCarthy grabbed Marvin’s hand and pulled Marvin to the ground.  
12 (Pamala Decl. ¶ 8.) After Marvin used “minimal resistance” to stay on his feet, Officer McCarthy  
13 struck Marvin in the face with a closed fist. (*Id.* ¶¶ 9-10.) McCarthy subsequently tasered  
14 Marvin in the chest several times. (*Id.* ¶ 11.) In deposition testimony cited by defendants,  
15 Marvin conceded that he then tried to grab the Taser, but maintained that he was only attempting  
16 to “defend away from the pain” and not trying to attack Officer McCarthy. (SSUF No. 23;  
17 Declaration of Kelly Trujillo, ECF No. 34-2, Ex. B [“Marvin Depo.”] 25:6-11.) Thereafter,  
18 Officer McCarthy took a flashlight and struck Marvin at least 15-20 times with substantial force  
19 all over his body prior to placing Marvin in handcuffs. (Pamala Decl. ¶ 12.)

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20  
21 <sup>5</sup> Marvin, upon being questioned at his deposition regarding Officer McCarthy’s alleged use of  
22 excessive force against Marvin, refused to answer virtually all questions on the basis of  
23 irrelevance and/or the Fifth Amendment privilege against self-incrimination. As defendants  
24 allude to in their briefing, Marvin may well be precluded from testifying at trial as to certain  
25 matters in light of the nature of his deposition testimony. *See, e.g., Nationwide Life Ins. Co. v.*  
26 *Richards*, 541 F.3d 903, 910-11 (9th Cir. 2008). That is an issue for the trial judge to determine,  
27 and the court expresses no opinion regarding the matter here. For purposes of the pending  
28 motions, the court need not resolve that issue, because plaintiffs have not offered Marvin’s  
declaration to attempt to demonstrate a genuine dispute of material fact. Instead, plaintiffs  
offered Pamala’s declaration, which does not implicate such concerns. Although Pamala also  
invoked the Fifth Amendment privilege as to some deposition questions, she did not refuse to  
answer questions concerning her observations of the interaction between Marvin and Officer  
McCarthy.

1           Officer McCarthy's version of events is quite different. According to Officer McCarthy,  
2 after Marvin refused to sit down and shouted profanities at Officer McCarthy, Marvin:

3           took a step toward Officer Bautista (who at that point was dealing  
4 with [Pamala] and I prevented him from doing so by holding him  
5 and telling him again to take a seat. At this point, [Marvin] pushed  
6 my hands off of him. I pushed him up against a retaining wall to  
7 keep him from advancing toward Officer Bautista, as I still did not  
8 know the location of the knife. I had yet to pat search [Marvin], he  
9 was becoming more aggressive with his behavior, so I decided to  
10 draw my taser. [Marvin] grabbed my taser with his left hand so that  
11 when I deployed it, the taser darts deployed over [Marvin's]  
12 shoulder and hit the wall behind him. At this point, it became a  
13 fight for the taser itself. [Marvin] would not let go. I was afraid  
14 that [Marvin] would take the taser or retrieve the knife from  
15 somewhere on his person and stab me with it. I told [Marvin]  
16 several times to let go of my taser. I decided to use the taser in stun  
17 mode to try to gain compliance. Instead of pulling the taser away  
18 from [Marvin], I pushed it toward him in hopes that the stun would  
19 cause [Marvin] to release it. I made contact with [Marvin] two  
20 times in drive stun mode for a total of 1-2 seconds. [Marvin] still  
21 refused to let go of the taser and was actively fighting with me. I  
22 managed to pull him to the ground. I then grabbed my flashlight  
23 and struck [Marvin] in the arm. My flashlight fell out of my hand  
24 so I had to punch [Marvin] in the face. This caused [Marvin] to  
25 finally release the taser and by this time, Officer Bautista had  
26 handcuffed [Pamala] and was able to assist in handcuffing  
27 [Marvin]. The incident lasted less than two minutes from the time  
28 we arrived on scene to when we had both suspects in custody.

17 (McCarthy Decl. ¶¶ 5-10.)

18           A large kitchen knife with an approximately 5-inch blade was ultimately located at the  
19 scene. (SSUF No. 20; Bautista Decl. ¶ 9.) Marvin was subsequently taken to Sutter Solano  
20 Medical Center by ambulance, where he was apparently cleared to go to jail. (SSUF No. 27.)<sup>6</sup>  
21 Pamala was placed in Officer Bautista and Officer McCarthy's patrol car for direct transport to  
22 the jail. (SSUF No. 10.) Officer Bautista placed his camera on the front passenger visor and  
23 turned it on, recording the entire drive to the jail, Pamala's removal from the patrol car, and her  
24 placement in a holding cell. (SSUF Nos. 10, 11; see also video footage lodged with court as  
25 Exhibit A to Bautista Decl.) For the duration of the video footage, Pamala used a significant

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27 <sup>6</sup> The parties dispute the extent of Marvin's injuries and whether it was appropriate for Sutter  
28 Solano Medical Center to have cleared Marvin for jail. However, Sutter Solano Medical Center  
is not a party to this action, and those factual disputes are not pertinent to the court's resolution of  
the instant motions.

1 amount of profanity and made several offensive statements about the officers, but never  
2 mentioned that her handcuffs were too tight or that she was experiencing any pain. (Id.)

3 On September 24, 2014, plaintiffs commenced this action, alleging the following claims:  
4 (1) a claim by Marvin against Officer McCarthy for excessive force in violation of the Fourth  
5 Amendment pursuant to 42 U.S.C. § 1983; (2) a claim by Pamala against Officer Bautista for  
6 excessive force in violation of the Fourth Amendment pursuant to 42 U.S.C. § 1983; and (3) a  
7 claim by both plaintiffs against the City of Vallejo pursuant to Monell v. New York City Dep't of  
8 Social Services, 436 U.S. 658 (1978). (ECF No. 1.) On December 23, 2014, defendants  
9 answered the complaint, and on June 15, 2015, the court entered a pretrial scheduling order  
10 requiring all law and motion matters, including dispositive motions, to be heard no later than  
11 April 28, 2016. (ECF Nos. 9, 23.)

12 On March 22, 2016, defendants timely filed a motion for summary judgment, which was  
13 noticed for hearing on April 28, 2016. (ECF No. 34.) Thereafter, on April 15, 2016, one day  
14 after plaintiffs' opposition was due, plaintiffs filed a motion for an extension of time to oppose  
15 defendants' motion. (ECF No. 36.) Despite the tardy request, the court, in light of plaintiffs' *pro*  
16 *se* status, granted the request. (ECF No. 37.) Plaintiffs were granted an extension until May 4,  
17 2016, to file their opposition to defendants' motion for summary judgment, and defendants were  
18 permitted to file a reply brief no later than May 13, 2016. (Id.) The court advised that, in light of  
19 the rapidly approaching final pretrial conference, the court was strongly disinclined to grant any  
20 further extensions of time absent extraordinary circumstances. (Id.) Subsequently, on May 3,  
21 2016, plaintiffs filed their opposition to defendants' motion for summary judgment, as well as a  
22 cross-motion for summary judgment. (ECF No. 38.) Defendants filed their reply brief on May  
23 13, 2016. (ECF No. 39.)

24 Pursuant to the court's prior order, the motions were submitted for decision on the record  
25 and written briefing, and are now ripe for resolution. (ECF No. 37.)

## 26 LEGAL STANDARD

27 Federal Rule of Civil Procedure 56(a) provides that "[a] party may move for summary  
28 judgment, identifying each claim or defense--or the part of each claim or defense--on which

1 summary judgment is sought.” It further provides that “[t]he court shall grant summary judgment  
2 if the movant shows that there is no genuine dispute as to any material fact and the movant is  
3 entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(a).<sup>7</sup> A shifting burden of proof  
4 governs motions for summary judgment under Rule 56. Nursing Home Pension Fund, Local 144  
5 v. Oracle Corp. (In re Oracle Corp. Sec. Litig.), 627 F.3d 376, 387 (9th Cir. 2010). Under  
6 summary judgment practice, the moving party:

7 always bears the initial responsibility of informing the district court  
8 of the basis for its motion, and identifying those portions of “the  
9 pleadings, depositions, answers to interrogatories, and admissions  
on file, together with the affidavits, if any,” which it believes  
demonstrate the absence of a genuine issue of material fact.

10 Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986) (quoting then-numbered Fed. R. Civ. P.  
11 56(c)). “Where the non-moving party bears the burden of proof at trial, the moving party need  
12 only prove that there is an absence of evidence to support the non-moving party’s case.” In re  
13 Oracle Corp. Sec. Litig., 627 F.3d at 387 (citing Celotex Corp., 477 U.S. at 325); see also Fed. R.  
14 Civ. P. 56 advisory committee’s notes to 2010 amendments (recognizing that “a party who does  
15 not have the trial burden of production may rely on a showing that a party who does have the trial  
16 burden cannot produce admissible evidence to carry its burden as to the fact”).

17 If the moving party meets its initial responsibility, the opposing party must establish that a  
18 genuine dispute as to any material fact actually exists. See Matsushita Elec. Indus. Co. v. Zenith  
19 Radio Corp., 475 U.S. 574, 585-86 (1986). To overcome summary judgment, the opposing party  
20 must demonstrate the existence of a factual dispute that is both material, i.e., it affects the  
21 outcome of the claim under the governing law, see Anderson v. Liberty Lobby, Inc., 477 U.S.  
22 242, 248 (1986); Fortune Dynamic, Inc. v. Victoria’s Secret Stores Brand Mgmt., Inc., 618 F.3d  
23 1025, 1031 (9th Cir. 2010), and genuine, i.e., “the evidence is such that a reasonable jury could  
24 return a verdict for the nonmoving party,” FreecycleSunnyvale v. Freecycle Network, 626 F.3d  
25 509, 514 (9th Cir. 2010) (quoting Anderson, 477 U.S. at 248). A party opposing summary  
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27 <sup>7</sup> Federal Rule of Civil Procedure 56 was revised and rearranged effective December 10, 2010.  
28 However, as stated in the Advisory Committee Notes to the 2010 Amendments to Rule 56, “[t]he  
standard for granting summary judgment remains unchanged.”

1 judgment must support the assertion that a genuine dispute of material fact exists by: “(A) citing  
2 to particular parts of materials in the record, including depositions, documents, electronically  
3 stored information, affidavits or declarations, stipulations . . . , admissions, interrogatory answers,  
4 or other materials; or (B) showing that the materials cited do not establish the absence or presence  
5 of a genuine dispute, or that an adverse party cannot produce admissible evidence to support the  
6 fact.”<sup>8</sup> Fed. R. Civ. P. 56(c)(1)(A)-(B). However, the opposing party “must show more than the  
7 mere existence of a scintilla of evidence.” In re Oracle Corp. Sec. Litig., 627 F.3d at 387 (citing  
8 Anderson, 477 U.S. at 252).

9 In resolving a motion for summary judgment, the evidence of the opposing party is to be  
10 believed. See Anderson, 477 U.S. at 255. Moreover, all reasonable inferences that may be drawn  
11 from the facts placed before the court must be viewed in a light most favorable to the opposing  
12 party. See Matsushita, 475 U.S. at 587; Walls v. Cent. Contra Costa Transit Auth., 653 F.3d 963,  
13 966 (9th Cir. 2011). However, to demonstrate a genuine factual dispute, the opposing party  
14 “must do more than simply show that there is some metaphysical doubt as to the material  
15 facts...Where the record taken as a whole could not lead a rational trier of fact to find for the non-  
16 moving party, there is no ‘genuine issue for trial.’” Matsushita, 475 U.S. at 586-87 (citation  
17 omitted).

## 18 DISCUSSION

### 19 Defendants’ Motion for Summary Judgment

20 Defendants move for summary judgment as to all of plaintiffs’ claims. The court first  
21 addresses plaintiffs’ individual claims under 42 U.S.C. § 1983, and then turns to the Monell claim  
22 against the City of Vallejo.

#### 23 *Marvin’s 42 U.S.C. § 1983 claim against Officer McCarthy*

24 As noted above, Marvin asserts an individual claim against Officer McCarthy for  
25 excessive force in violation of the Fourth Amendment pursuant to 42 U.S.C. § 1983.

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26 <sup>8</sup> “The court need consider only the cited materials, but it may consider other materials in the  
27 record.” Fed. R. Civ. P. 56(c)(3). Moreover, “[a] party may object that the material cited to  
28 support or dispute a fact cannot be presented in a form that would be admissible in evidence.”  
Fed. R. Civ. P. 56(c)(2).

1 As the United States Supreme Court has explained:

2 Determining whether the force used to effect a particular seizure is  
3 reasonable under the Fourth Amendment requires a careful  
4 balancing of the nature and quality of the intrusion on the  
5 individual's Fourth Amendment interests against the countervailing  
6 governmental interests at stake. Our Fourth Amendment  
7 jurisprudence has long recognized that the right to make an arrest or  
8 investigatory stop necessarily carries with it the right to use some  
9 degree of physical coercion or threat thereof to effect it. Because  
10 the test of reasonableness under the Fourth Amendment is not  
11 capable of precise definition or mechanical application, however,  
12 its proper application requires careful attention to the facts and  
13 circumstances of each particular case, including the severity of the  
14 crime at issue, whether the suspect poses an immediate threat to the  
15 safety of the officers or others, and whether he is actively resisting  
16 arrest or attempting to evade arrest by flight. The reasonableness of  
17 a particular use of force must be judged from the perspective of a  
18 reasonable officer on the scene, rather than with the 20/20 vision of  
19 hindsight...As in other Fourth Amendment contexts, however, the  
20 reasonableness inquiry in an excessive force case is an objective  
21 one: the question is whether the officers' actions are objectively  
22 reasonable in light of the facts and circumstances confronting them,  
23 without regard to their underlying intent or motivation. An  
24 officer's evil intentions will not make a Fourth Amendment  
25 violation out of an objectively reasonable use of force; nor will an  
26 officer's good intentions make an objectively unreasonable use of  
27 force constitutional.

16 Graham v. Connor, 490 U.S. 386, 396-97 (1989) (internal citations and punctuation marks  
17 omitted).

18 Here, Officer McCarthy essentially contends that, after Marvin's refusal to sit down and  
19 use of profanity, it was reasonably necessary to escalate the level of force used to deploying a  
20 taser, striking with a flashlight, and eventually punching Marvin in the face, because Marvin was  
21 advancing towards Officer Bautista and getting more and more aggressive. If persuaded by  
22 Officer McCarthy's account, a trier of fact may well find that Officer McCarthy's use of force  
23 was objectively reasonable, particularly given the cab driver's report of a knife (which at the time  
24 had not yet been located), the potential immediate threat to both officers, and Marvin's increasing  
25 active resistance.

26 However, plaintiffs strongly dispute that Marvin was advancing towards Officer Bautista  
27 and becoming increasingly aggressive. They apparently concede that Marvin initially refused to  
28 sit down and used profanity, but claim that Marvin was standing still with his hands and arms



1 down when Officer McCarthy grabbed and pulled Marvin, a 63-year old, to the ground. When  
2 Marvin then minimally resisted by attempting to stay on his feet, Officer McCarthy allegedly  
3 resorted to punching, tasing, and striking Marvin with a flashlight. Although Marvin  
4 admittedly at some point tried to grab the taser, he claims that it was only to defend against the  
5 pain. As such, plaintiffs contend that it was Officer McCarthy who from the outset unnecessarily  
6 and unreasonably escalated the level of force used. If plaintiffs' version is found credible, Marvin  
7 arguably did not pose an immediate threat to the safety of the officers, because he had made no  
8 overt movements towards the officers, nor did he otherwise physically threaten the officers.  
9 Therefore, a rational trier of fact may find that Officer McCarthy's level of force used was  
10 objectively unreasonable.

11 Consequently, a genuine dispute of material fact here precludes a grant of summary  
12 judgment in Officer McCarthy's favor.

13 Defendants also argue that, even if a constitutional violation occurred, Officer McCarthy  
14 is entitled to qualified immunity. "Qualified immunity is an entitlement not to stand trial or face  
15 the other burdens of litigation." Torres v. City of Madera, 648 F.3d 1119, 1123 (9th Cir. 2011).  
16 "An officer will be denied qualified immunity in a § 1983 action only if (1) the facts alleged,  
17 taken in the light most favorable to the party asserting injury, show that the officer's conduct  
18 violated a constitutional right, and (2) the right at issue was clearly established at the time of the  
19 incident such that a reasonable officer would have understood her conduct to be unlawful in that  
20 situation." Id. In this case, if plaintiffs' evidence is believed, a reasonable officer would plainly  
21 have understood that it was unlawful to resort to punching, tasing, and striking Marvin with a  
22 flashlight merely because Marvin initially refused to sit down and used some profanity, and  
23 without posing an immediate threat to the officer's safety or the safety of others. Therefore, the  
24 court cannot find that Officer McCarthy is entitled to qualified immunity at the summary  
25 judgment stage.

26 *Pamala's 42 U.S.C. § 1983 claim against Officer Bautista*

27 Pamala also asserts an individual claim against Officer Bautista for excessive force in  
28 violation of the Fourth Amendment pursuant to 42 U.S.C. § 1983. Pamala's claim is based

1 exclusively on the fact that Officer Bautista allegedly placed her in too tight handcuffs and  
2 refused to loosen the handcuffs despite Pamala's complaints. See LaLonde v. County of  
3 Riverside, 204 F.3d 947, 960 (9th Cir. 2000) (recognizing that tight handcuffing can constitute  
4 excessive force).

5 Defendants point out that Pamala testified at her deposition that she told Officer Bautista  
6 that her handcuffs were too tight on numerous occasions, including repeatedly during the ride in  
7 the patrol car to the jail. (SSUF No. 9; Pamala Depo 22:14-24:16.) By contrast, the video  
8 footage from the patrol car shows that Pamala used a significant amount of profanity and made  
9 several offensive statements about the officers, but never mentioned that her handcuffs were too  
10 tight or that she was experiencing any pain. (See video footage lodged with court as Exhibit A to  
11 Bautista Decl.) Defendants also note that Pamala, at her deposition, could not even remember if  
12 she asked for medical treatment for her wrists at the jail or at any time thereafter. (SSUF No. 12;  
13 Pamala Depo 26:21-27:6.)

14 Plaintiffs counter that Pamala did in fact complain about the handcuffs, but that she may  
15 have forgotten exactly when she had complained. In her declaration submitted in opposition to  
16 defendants' motion, Pamala asserts that, upon being handcuffed, she immediately complained to  
17 Officer Bautista that the handcuffs were too tight, but that he ignored her and refused to loosen  
18 the handcuffs. (Pamala Decl. ¶¶ 3-6.) Plaintiffs posit that Pamala's complaints were likely made  
19 before the camera was turned on.

20 After reviewing the video footage, the court is troubled by the glaring inconsistency  
21 between Pamala's deposition testimony and the video footage, particularly given her testimony  
22 that she *repeatedly* complained during the car ride. That said, because the video camera only  
23 started recording when Pamala was placed in the patrol vehicle, the video footage does not  
24 definitively show that Pamala entirely failed to complain about the tightness of her handcuffs. To  
25 be sure, in light of the above, defendants will have significant fodder for cross-examination at  
26 trial. However, if the evidence is viewed in the light most favorable to Pamala, a genuine dispute  
27 of material fact nonetheless precludes a grant of summary judgment in Officer Bautista's favor.  
28 Furthermore, because a reasonable officer would plainly have understood that it is unlawful to put

1 a person in excessively tight handcuffs and to ignore such a person's complaints, the court also  
2 cannot find that Officer Bautista is entitled to qualified immunity at the summary judgment  
3 stage.<sup>9</sup>

4 *Plaintiffs' Monell claim against the City of Vallejo*

5 Plaintiffs further assert a Monell claim against the City of Vallejo, alleging that the City of  
6 Vallejo has a longstanding practice, policy, or custom of allowing police officers to use excessive  
7 force. (ECF No. 1.) See Monell v. New York City Dep't of Social Services, 436 U.S. 658 (1978)  
8 (holding that, since there is no *respondeat superior* liability under section 1983, municipal entities  
9 may be sued under section 1983 only upon a showing that an official policy, custom, or practice  
10 of the municipal entity caused the constitutional tort).

11 The City of Vallejo argues that it is entitled to summary judgment on plaintiffs' Monell  
12 claim, because plaintiffs have produced no admissible evidence of a policy, custom, or practice of  
13 allowing police officers to use excessive force by the City of Vallejo. That argument has merit.  
14 In their complaint, plaintiffs allege the existence of various lawsuits against the City of Vallejo  
15 involving claims of excessive force and other Fourth Amendment violations. (ECF No. 1.)  
16 However, the mere fact that such lawsuits were filed does not support the existence of a policy,  
17 custom, or practice of allowing excessive force by police officers. See Hocking v. City of  
18 Roseville, 2008 WL 1808250, at \*5 (E.D. Cal. Apr. 22, 2008) ("Statistics of unsustained  
19 complaints of excessive force and other police misconduct, without any evidence that those  
20 complaints had merit, does not suffice to establish municipal liability under § 1983."); Strauss v.  
21 City of Chicago, 760 F.2d 765, 768-69 (7th Cir. 1985) (noting that "the number of complaints  
22 filed, without more, indicates nothing. People may file a complaint for many reasons, or for no  
23 reason at all. That they filed complaints does not indicate that the policies that Strauss alleges  
24 exist do in fact exist and did contribute to his injury."). Most of the lawsuits listed in plaintiffs'

25 \_\_\_\_\_  
26 <sup>9</sup> Defendants also move for summary judgment with respect to a purported state law tort claim by  
27 Pamala for infliction of emotional distress related to Pamala witnessing Officer McCarthy's  
28 interaction with Marvin. Pamala testified at her deposition to suffering such emotional distress.  
However, defendants' request is moot, because plaintiffs' complaint does not allege any state law  
claims, nor does plaintiffs' opposition brief contend that such a state law claim is asserted.

1 complaint remain pending and have not, at least as of yet, resulted in a finding of a constitutional  
2 violation. Even if a few of the lawsuits against individual officers were ultimately successful on  
3 the merits or settled, see, e.g., Deocampo, et al. v. Potts, et al., 2:06-cv-1283-WBS-CMK; Cooley  
4 v. City of Vallejo, et al., 2:12-cv-591-LKK-AC, “[l]iability for improper custom may not be  
5 predicated on isolated or sporadic incidents; it must be founded upon practices of sufficient  
6 duration, frequency and consistency that the conduct has become a traditional method of carrying  
7 out policy.” Trevino v. Gates, 99 F.3d 911, 918 (9th Cir. 1996).

8 Here, plaintiffs have produced no admissible evidence of the City of Vallejo’s purported  
9 policy, custom, or practice. Tellingly, plaintiffs’ opposition brief does not even attempt to  
10 address plaintiffs’ Monell claim, and effectively concedes its inadequacy.

11 Therefore, the City of Vallejo is entitled to summary judgment on plaintiffs’ Monell  
12 claim.

#### 13 Plaintiffs’ Cross-Motion for Summary Judgment

14 Along with their opposition to defendants’ motion for summary judgment, plaintiffs also  
15 filed a cross-motion for summary judgment with respect to their individual claims against Officer  
16 McCarthy and Officer Bautista.

17 As an initial matter, plaintiffs’ cross-motion should be denied as untimely. The pretrial  
18 scheduling order required dispositive motions to be filed so that they may be heard no later than  
19 April 28, 2016. (ECF No. 23.) After defendants filed a timely motion for summary judgment,  
20 plaintiffs sought, and were granted, an extension to oppose defendants’ motion until May 4, 2016.  
21 (ECF No. 37.) No extension to file their own dispositive motion was granted.

22 Moreover, even if the motion were not untimely, it should be denied on the merits. For  
23 the reasons discussed above, genuine disputes of material fact remain concerning both Marvin’s  
24 and Pamala’s excessive force claims, and summary judgment is inappropriate under the  
25 applicable legal standards.

#### 26 CONCLUSION

27 In sum, the court concludes that summary judgment should be granted as to plaintiffs’  
28 Monell claim against the City of Vallejo. However, Marvin’s 42 U.S.C. § 1983 claim against

1 Officer McCarthy and Pamala's 42 U.S.C. § 1983 claim against Officer Bautista should proceed  
2 to trial.


3 Accordingly, IT IS HEREBY RECOMMENDED that:

- 4 1. Defendants' motion for summary judgment (ECF No. 34) be GRANTED IN PART  
5 and DENIED IN PART.
- 6 2. Plaintiffs' cross-motion for summary judgment (ECF No. 38) be DENIED.
- 7 3. Summary judgment be granted in favor of the City of Vallejo as to plaintiffs' Monell  
8 claim.
- 9 4. Summary judgment be denied as to Marvin's 42 U.S.C. § 1983 claim against Officer  
10 McCarthy and Pamala's 42 U.S.C. § 1983 claim against Officer Bautista.

11 These findings and recommendations are submitted to the United States District Judge  
12 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen (14)  
13 days after being served with these findings and recommendations, any party may file written  
14 objections with the court and serve a copy on all parties. Such a document should be captioned  
15 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections  
16 shall be served on all parties and filed with the court within fourteen (14) days after service of the  
17 objections. The parties are advised that failure to file objections within the specified time may  
18 waive the right to appeal the District Court's order. Turner v. Duncan, 158 F.3d 449, 455 (9th  
19 Cir. 1998); Martinez v. Ylst, 951 F.2d 1153, 1156-57 (9th Cir. 1991).

20 IT IS SO RECOMMENDED.

21 Dated: May 26, 2016

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24 KENDALL J. NEWMAN  
25 UNITED STATES MAGISTRATE JUDGE  
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