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UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

ELAINE MARGREAT ROSALIA,
Plaintiff,
v.
CAROLYN W. COLVIN, Acting
Commissioner of Social Security,
Defendant.

No. 2:15-cv-0184-CKD

ORDER

Plaintiff seeks judicial review of a final decision of the Commissioner of Social Security (“Commissioner”) denying applications for Disability Income Benefits (“DIB”) and Supplemental Security Income (“SSI”) under Titles II and XVI of the Social Security Act (“Act”), respectively. For the reasons discussed below, the court will deny plaintiff’s motion for summary judgment and grant the Commissioner’s cross-motion for summary judgment.

I. BACKGROUND

Plaintiff, born October 31, 1956, applied on November 18, 2011 for DIB and on November 23, 2011 for SSI, alleging disability beginning March 15, 2008. Administrative Transcript (“AT”) 10, 18, 172-88. Plaintiff alleged she was unable to work due to depression, anxiety, lower back pain, rheumatoid arthritis in both hands, bilateral carpal tunnel syndrome, and degenerative disc disease and arthritis in her lumbar spine. AT 217. In a decision dated July 29,

1 2013, the ALJ determined that plaintiff was not disabled.¹ AT 10-20. The ALJ made the
2 following findings (citations to 20 C.F.R. omitted):

3 1. The claimant meets the insured status requirements of the Social
4 Security Act through December 31, 2013.

5 2. The claimant has not engaged in substantial gainful activity since
6 March 15, 2008, the alleged onset date.

7 3. The claimant has the following severe impairments: carpal tunnel
8 syndrome, degenerative disc disease of the lumbar spine, major
9 depressive disorder, panic disorder with agoraphobia, posttraumatic
10 stress disorder, bipolar disorder, and borderline personality traits.

11 4. The claimant does not have an impairment or combination of
12 impairments that meets or medically equals the severity of one of

13 ¹ Disability Insurance Benefits are paid to disabled persons who have contributed to the
14 Social Security program, 42 U.S.C. § 401 *et seq.* Supplemental Security Income is paid to
15 disabled persons with low income. 42 U.S.C. § 1382 *et seq.* Both provisions define disability, in
16 part, as an “inability to engage in any substantial gainful activity” due to “a medically
17 determinable physical or mental impairment. . . .” 42 U.S.C. §§ 423(d)(1)(a), 1382c(a)(3)(A). A
18 parallel five-step sequential evaluation governs eligibility for benefits under both programs. *See*
19 20 C.F.R. §§ 404.1520, 404.1571-76, 416.920, 416.971-76; *Bowen v. Yuckert*, 482 U.S. 137,
20 140-142 (1987). The following summarizes the sequential evaluation:

21 Step one: Is the claimant engaging in substantial gainful
22 activity? If so, the claimant is found not disabled. If not, proceed
23 to step two.

24 Step two: Does the claimant have a “severe” impairment?
25 If so, proceed to step three. If not, then a finding of not disabled is
26 appropriate.

27 Step three: Does the claimant’s impairment or combination
28 of impairments meet or equal an impairment listed in 20 C.F.R., Pt.
404, Subpt. P, App.1? If so, the claimant is automatically
determined disabled. If not, proceed to step four.

Step four: Is the claimant capable of performing his past
work? If so, the claimant is not disabled. If not, proceed to step
five.

Step five: Does the claimant have the residual functional
capacity to perform any other work? If so, the claimant is not
disabled. If not, the claimant is disabled.

Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995).

The claimant bears the burden of proof in the first four steps of the sequential evaluation process. Bowen, 482 U.S. at 146 n.5. The Commissioner bears the burden if the sequential evaluation process proceeds to step five. Id.

1 the listed impairments in 20 CFR Part 404, Subpart P, Appendix 1.

2 5. After careful consideration of the entire record, the undersigned
3 finds that the claimant has the residual functional capacity to
4 perform medium work as defined in 20 CFR 404.1567(c) and
5 416.967(c) except: she could lift, carry, push, and/or pull 50 pounds
6 and 25 pounds frequently; she could stand and/or walk for 6 hours
7 in an 8-hour workday; she does not require the use of a hand-held
8 assistive device; she could sit without restriction; she could
9 frequently handle and finger bilaterally; and she could perform
10 simple, unskilled work.

11 6. The claimant is unable to perform any past relevant work.

12 7. The claimant was born on October 31, 1956 and was 51 years
13 old, which is defined as an individual closely approaching advanced
14 age, on the alleged disability onset date. The claimant subsequently
15 changed age category to advanced age.

16 8. The claimant has at least a high school education and is able to
17 communicate in English.

18 9. Transferability of job skills is not material to the determination
19 of disability because using the Medical-Vocational Rules as a
20 framework supports a finding that the claimant is “not disabled,”
21 whether or not the claimant has transferable job skills.

22 10. Considering the claimant’s age, education, work experience,
23 and residual functional capacity, there are jobs that exist in
24 significant numbers in the national economy that the claimant can
25 perform.

26 11. The claimant has not been under a disability, as defined in the
27 Social Security Act, from March 15, 2008, through the date of this
28 decision.

19 AT 12-20.

20 II. ISSUES PRESENTED

21 Plaintiff argues that the ALJ committed the following errors in finding plaintiff not
22 disabled: (1) improperly determined that the opinion of consultative psychologist Dr. Patty that
23 plaintiff had social interaction difficulties was entitled to “little weight” when determining
24 plaintiff’s residual functional capacity (“RFC”); (2) improperly relied on the Medical Vocational
25 Guidelines at 20 C.F.R. Part 404, Subpart P, Appendix 2 (the “Grids”) to find plaintiff not
26 disabled at step five; and (3) failed to provide clear and convincing reasons for finding plaintiff’s
27 testimony less than fully credible.

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1 III. LEGAL STANDARDS

2 The court reviews the Commissioner’s decision to determine whether (1) it is based on
3 proper legal standards pursuant to 42 U.S.C. § 405(g), and (2) substantial evidence in the record
4 as a whole supports it. Tackett v. Apfel, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial
5 evidence is more than a mere scintilla, but less than a preponderance. Connett v. Barnhart, 340
6 F.3d 871, 873 (9th Cir. 2003) (citation omitted). It means “such relevant evidence as a reasonable
7 mind might accept as adequate to support a conclusion.” Orn v. Astrue, 495 F.3d 625, 630 (9th
8 Cir. 2007) (quoting Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005)). “The ALJ is
9 responsible for determining credibility, resolving conflicts in medical testimony, and resolving
10 ambiguities.” Edlund v. Massanari, 253 F.3d 1152, 1156 (9th Cir. 2001) (citations omitted).
11 “The court will uphold the ALJ’s conclusion when the evidence is susceptible to more than one
12 rational interpretation.” Tommasetti v. Astrue, 533 F.3d 1035, 1038 (9th Cir. 2008).

13 The record as a whole must be considered, Howard v. Heckler, 782 F.2d 1484, 1487 (9th
14 Cir. 1986), and both the evidence that supports and the evidence that detracts from the ALJ’s
15 conclusion weighed. See Jones v. Heckler, 760 F.2d 993, 995 (9th Cir. 1985). The court may not
16 affirm the ALJ’s decision simply by isolating a specific quantum of supporting evidence. Id.; see
17 also Hammock v. Bowen, 879 F.2d 498, 501 (9th Cir. 1989). If substantial evidence supports the
18 administrative findings, or if there is conflicting evidence supporting a finding of either disability
19 or nondisability, the finding of the ALJ is conclusive, see Sprague v. Bowen, 812 F.2d 1226,
20 1229-30 (9th Cir. 1987), and may be set aside only if an improper legal standard was applied in
21 weighing the evidence. See Burkhart v. Bowen, 856 F.2d 1335, 1338 (9th Cir. 1988).

22 IV. ANALYSIS

23 A. The ALJ did not err in Considering the Medical Evidence

24 First, plaintiff argues that the ALJ erred by giving “little weight” to the opinion of Dr.
25 Patty, a consultative examining psychologist, that plaintiff had “moderate” social interaction
26 difficulties without providing sufficient reasons for doing so.²

27 _____
28 ² The ALJ also gave “little weight” to the opinions of non-examining physicians Dr. Kang and
Dr. Tashjian that plaintiff had “moderate” difficulties with social interaction for the same reasons

1 The weight given to medical opinions depends in part on whether they are proffered by
2 treating, examining, or non-examining professionals. Lester v. Chater, 81 F.3d 821, 830 (9th Cir.
3 1995). Ordinarily, more weight is given to the opinion of a treating professional, who has a
4 greater opportunity to know and observe the patient as an individual. Id.; Smolen v. Chater, 80
5 F.3d 1273, 1285 (9th Cir. 1996).

6 To evaluate whether an ALJ properly rejected a medical opinion, in addition to
7 considering its source, the court considers whether (1) contradictory opinions are in the record,
8 and (2) clinical findings support the opinions. An ALJ may reject an uncontradicted opinion of a
9 treating or examining medical professional only for “clear and convincing” reasons. Lester, 81
10 F.3d at 831. In contrast, a contradicted opinion of a treating or examining professional may be
11 rejected for “specific and legitimate” reasons that are supported by substantial evidence. Id. at
12 830. While a treating professional’s opinion generally is accorded superior weight, if it is
13 contradicted by a supported examining professional’s opinion (e.g., supported by different
14 independent clinical findings), the ALJ may resolve the conflict. Andrews v. Shalala, 53 F.3d
15 1035, 1041 (9th Cir. 1995) (citing Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989)). In
16 any event, the ALJ need not give weight to conclusory opinions supported by minimal clinical
17 findings. Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir. 1999) (treating physician’s conclusory,
18 minimally supported opinion rejected); see also Magallanes, 881 F.2d at 751. The opinion of a
19 non-examining professional, without other evidence, is insufficient to reject the opinion of a
20 treating or examining professional. Lester, 81 F.3d at 831.

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24 he expressed with regard to the similar opinion provided by Dr. Patty. AT 17. In her motion for
25 summary judgment, plaintiff’s arguments regarding the ALJ’s consideration of the medical
26 evidence primarily addresses only the ALJ’s treatment of Dr. Patty’s opinion and only obliquely
27 addresses the ALJ’s consideration of the opinions of Dr. Kang and Dr. Tashjian. See ECF No. 14
28 at 10-17. Nevertheless, because the ALJ addressed the concurring opinions of Dr. Kang and Dr.
Tashjian in the exact same manner he addressed Dr. Patty’s opinion, the court’s discussion below
with regard to Dr. Patty’s opinion is equally applicable to the ALJ’s consideration of the opinions
of Dr. Kang and Dr. Tashjian.

1 Here, Dr. Patty performed a comprehensive psychological examination of plaintiff on
2 February 24, 2012 and opined, *inter alia*, that plaintiff had “moderate restrictions” in her ability
3 to do detailed and complex instructions; relate and interact with coworkers and the public;
4 maintain concentration and attention, persistence, and pace; associate with day-to-day work
5 activity, including attendance and safety; and maintain regular attendance in the workplace and
6 perform work activities on a consistent basis. AT 326-31. She opined that plaintiff had “no
7 restrictions” with regard to all other aspects of mental functioning. AT 330-31. The ALJ
8 generally gave Dr. Patty’s opinion “significant weight” because it was largely consistent with
9 plaintiff’s treatment records demonstrating that plaintiff’s psychiatric conditions were well
10 controlled. AT 17. However, he determined that Dr. Patty’s opinion that plaintiff had
11 “moderate” social interaction difficulties was entitled to only “little weight” because: “Dr. Patty
12 observed no attitude or behavioral issues upon mental status examination and the claimant has not
13 sought any dedicated counseling to address any social interaction issues.” Id.

14 Plaintiff contends that these were insufficient reasons to discount this particular aspect of
15 Dr. Patty’s opinion. Particularly, plaintiff asserts that Dr. Patty’s own mental examination notes
16 in the record actually support the “moderate” social interaction limitations opined by Dr. Patty,
17 rather than detract from them as the ALJ reasoned. Plaintiff points to Dr. Patty’s notes that
18 plaintiff exhibited a restricted affect, reported feeling useless, and was tearful during the
19 examination to support her argument. However, specifically with regard to plaintiff’s “social
20 history,” Dr. Patty noted that plaintiff “got along fairly well with people at work,” was “liv[ing]
21 with a friend,” and had “fair” relationships with her family and friends” despite indications that
22 plaintiff tried to avoid them at times. AT 329-30. These examination findings provided the ALJ
23 with substantial evidence to properly support his determination that Dr. Patty’s opinion that
24 plaintiff had “moderate” limitations regarding social interaction was entitled to reduced weight
25 because it conflicted with Dr. Patty’s own examination findings. See Tommasetti v. Astrue, 533
26 F.3d 1035, 1041 (9th Cir. 2008) (holding that incongruities between a treating physician’s
27 objective medical findings and that physician’s opinion constitute specific and legitimate reasons
28 for the ALJ to reject that physician’s opinion concerning the claimant’s functional limitations);

1 Rollins, 261 F.3d at 856 (holding that the ALJ properly discounted a treating physician’s
2 functional recommendations that “were so extreme as to be implausible and were not supported
3 by any findings made by any doctor,” including the treating physician’s own findings).

4 Similarly, the ALJ also properly determined that Dr. Patty’s opinion regarding social
5 interaction was entitled to lesser weight because it conflicted with the lack of evidence in the
6 record showing that plaintiff had sought counseling in order to address social interaction issues.
7 Indeed, Dr. Patty herself noted that plaintiff “has not received any psychiatric treatment.” AT
8 327. Plaintiff speculatively argues that she could have been seeking psychiatric treatment from
9 her primary care physician and that the ALJ’s failure to inquire into this possibility during the
10 administrative hearing precludes him from using plaintiff’s apparent lack of psychiatric treatment
11 as a reason for discounting Dr. Patty’s opinion. However, the record simply provides no support
12 for such theorizing. Plaintiff carries the burden of proof in establishing the existence of such an
13 arrangement, see Bowen, 482 U.S. at 146 n.5, and the record reflects that she has failed to do so
14 here.

15 Moreover, even had the ALJ erred in discounting Dr. Patty’s opinion concerning
16 plaintiff’s social restrictions, it would have been harmless error under the circumstances presented
17 in this case. See Molina v. Astrue, 674 F.3d 1104, 1111 (9th Cir. 2012) (“we may not reverse an
18 ALJ’s decision on account of an error that is harmless”). As noted above, Dr. Patty opined that
19 plaintiff had, at worst, “moderate” limitations with regard to social functioning. AT 331. The
20 Ninth Circuit Court of Appeals has held that moderate mental limitations are not sufficiently
21 severe so as to require vocational expert testimony. Hoopai v. Astrue, 499 F.3d 1071, 1077 (9th
22 Cir. 2007) (involving an assessment that the claimant was moderately limited in “his ability to
23 maintain attention and concentration for extended periods; his ability to perform activities within
24 a schedule, maintain regular attendance, and be punctual with customary tolerance; and his ability
25 to complete a normal workday and workweek without interruption from psychologically-based
26 symptoms and to perform at a consistent pace without an unreasonable number and length of rest
27 periods.”); see also Martin v. Astrue, 2013 WL 552932, at *8-*9 (E.D. Cal. Feb. 13, 2013) (had
28 the ALJ erred by failing to adopt or give greater weight to a consultative examining psychiatrist’s

1 opinion that the plaintiff had “moderate social functioning limitations,” such error would have
2 been harmless). Accordingly, even had the ALJ fully adopted Dr. Patty’s opinion regarding this
3 “moderate” mental limitation, the ALJ still could have relied on the Grids in the manner that he
4 did to determine that plaintiff was not disabled. Therefore, even had the ALJ improperly
5 discounted the opinion of Dr. Patty, such error would have been harmless.

6 B. The ALJ did not err in Relying on the Grids to Determine that Plaintiff was not
7 Disabled

8 Next, plaintiff argues that the ALJ erred in relying on the Grids to determine that plaintiff
9 was not disabled at step five of the analysis. In particular, she argues that the ALJ’s
10 determination that plaintiff’s RFC limited her to “simple, unskilled work” and frequent bilateral
11 handling and fingering precluded the ALJ from solely utilizing the Grids to determine that
12 plaintiff was not disabled because these non-exertional limitations were sufficiently severe so as
13 to significantly limit the range of work permitted by the plaintiff’s exertional limitation to
14 medium work. For the reasons discussed below, this argument is without merit.

15 The Grids take administrative notice of the numbers of unskilled jobs that exist throughout
16 the national economy at various functional levels. 20 C.F.R. Part 404, Subpart P, Appendix 2, §
17 200.00(b). An ALJ may resort to the Grids at step five of the sequential analysis to determine
18 whether there exists unskilled work within the national economy for which the claimant is
19 capable of performing. “The ALJ can use the [G]rids without vocational expert testimony when a
20 non-exertional limitation is alleged because the [G]rids provide for the evaluation of claimants
21 asserting both exertional and non-exertional limitations. But the [G]rids are inapplicable when a
22 claimant’s non-exertional limitations are sufficiently severe so as to significantly limit the range
23 of work permitted by the claimant’s exertional limitations.” Hoopai, 499 F.3d at 1075 (citations
24 and quotation marks omitted). In such instances, the testimony of a vocational expert is required.
25 Id.

26 Here, plaintiff’s assertion that her limitation to “simple, unskilled work” precluded the
27 ALJ from relying exclusively on the Grids is frivolous. The Grids specifically take into account
28 limitations to unskilled work and the Ninth Circuit Court of Appeals has determined that the more

1 severe restriction to “nonpublic, simple, repetitive work” does not constitute a non-exertional
2 limitation sufficient to prevent an ALJ from relying solely on the Grids. Angulo v. Colvin, 577 F.
3 App’x 686, 687 (9th Cir. 2014) (holding that the plaintiff’s “restriction to nonpublic, simple,
4 repetitive work” did not preclude the ALJ from only using the Grids to determine that the plaintiff
5 was not disabled); see also 20 C.F.R. pt. 404, subpt. P, app. 2.

6 Similarly, plaintiff’s assertion that her non-exertional limitation to frequent bilateral
7 handling and fingering precluded the ALJ from exclusively relying on the Grids at step five is
8 without merit. With regard to fingering limitations, Social Security Ruling (“SSR”)³ 85-15 notes
9 that the “loss of fine manual dexterity narrows the sedentary and light ranges of work much more
10 than it does the medium, heavy, and very heavy ranges of work.” 1985 WL 56857. It also notes
11 with regard to handling impairments that “[v]arying degrees of limitations would have different
12 effects, and the assistance of a [vocational specialist] may be needed to determine the effects of
13 the limitations.” Id. The ALJ here properly determined that plaintiff generally had the ability to
14 perform work at a “medium” exertional level. AT 15. He further determined that plaintiff was
15 limited to “frequent” fingering and handling activities, thus indicating that she was only
16 minimally limited in these abilities. Given plaintiff’s RFC to perform “medium work” and the
17 minor degree of limitation in her abilities to finger and handle, the court concludes that these
18 limitations are not so severe so as to significantly limit the range of work plaintiff could have
19 performed. Accordingly, the ALJ was not required to obtain the testimony of a vocational expert
20 and did not err in relying on the Grids to find plaintiff not disabled at step five.

21 C. The ALJ did not err in Finding Plaintiff Less Than Fully Credible

22 Lastly, plaintiff argues that the ALJ erred by determining that plaintiff’s testimony
23 regarding the intensity, persistence, and limiting effects of her symptoms was not entirely
24 credible. This argument is not well taken.

25 ³ Social Security Rulings “represent precedent final opinions and orders and statements of policy
26 and interpretations that we have adopted.” 20 C.F.R. § 402.35(b)(1). Social Security Rulings are
27 “binding on all components of the Social Security Administration.” Heckler v. Edwards, 465
28 U.S. 870, 873 n.3 (1984); cf. Silveira v. Apfel, 204 F.3d 1257, 1260 (9th Cir. 2000) (“This court
defer[s] to Social Security Rulings ... unless they are plainly erroneous or inconsistent with the
Act or regulations”).

1 The ALJ determines whether a disability applicant is credible, and the court defers to the
2 ALJ's discretion if the ALJ used the proper process and provided proper reasons. See, e.g.,
3 Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1995). If credibility is critical, the ALJ must make an
4 explicit credibility finding. Albalos v. Sullivan, 907 F.2d 871, 873-74 (9th Cir. 1990); Rashad v.
5 Sullivan, 903 F.2d 1229, 1231 (9th Cir. 1990) (requiring explicit credibility finding to be
6 supported by "a specific, cogent reason for the disbelief").

7 In evaluating whether subjective complaints are credible, the ALJ should first consider
8 objective medical evidence and then consider other factors. Bunnell v. Sullivan, 947 F.2d 341,
9 344 (9th Cir. 1991) (en banc). If there is objective medical evidence of an impairment, the ALJ
10 then may consider the nature of the symptoms alleged, including aggravating factors, medication,
11 treatment and functional restrictions. See id. at 345-47. The ALJ also may consider: (1) the
12 applicant's reputation for truthfulness, prior inconsistent statements or other inconsistent
13 testimony, (2) unexplained or inadequately explained failure to seek treatment or to follow a
14 prescribed course of treatment, and (3) the applicant's daily activities. Smolen v. Chater, 80 F.3d
15 1273, 1284 (9th Cir. 1996); see generally SSR 96-7P, 61 FR 34483-01; SSR 95-5P, 60 FR 55406-
16 01; SSR 88-13. Work records, physician and third party testimony about nature, severity and
17 effect of symptoms, and inconsistencies between testimony and conduct also may be relevant.
18 Light v. Social Security Administration, 119 F.3d 789, 792 (9th Cir. 1997). A failure to seek
19 treatment for an allegedly debilitating medical problem may be a valid consideration by the ALJ
20 in determining whether the alleged associated pain is not a significant non-exertional impairment.
21 See Flaten v. Secretary of HHS, 44 F.3d 1453, 1464 (9th Cir. 1995). The ALJ may rely, in part,
22 on his or her own observations, see Quang Van Han v. Bowen, 882 F.2d 1453, 1458 (9th Cir.
23 1989), which cannot substitute for medical diagnosis. Marcia v. Sullivan, 900 F.2d 172, 177 n.6
24 (9th Cir. 1990). "Without affirmative evidence showing that the claimant is malingering, the
25 Commissioner's reasons for rejecting the claimant's testimony must be clear and convincing."
26 Morgan v. Commissioner of Social Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999).

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1 Here, the ALJ provided the following reasons in support of his adverse credibility
2 determination:

3 First, the claimant[’s] . . . allegations are inconsistent with clinical indications
4 that show that her condition is well controlled. For example, physical
5 examinations of the back, wrists, and knees have often revealed few significant
6 findings except for some tenderness and some decreased range of motion about the
7 spine and wrists. The record documents no specialized care. Consistent with
8 medium exertional-type work, the claimant reported that she performed a house
9 cleaning job on March 14, 2011. As recently as March 23, 2013, claimant
10 reported that she was performing yard work. By April 30, 2013, the claimant
11 reported that she engages in a “[v]igorous activity level” and that she exercises
12 “daily.”

13 When the claimant presented to emergency care on March 23, 2012 with
14 complaints of back pain, physical examination again revealed few significant
15 findings and radiographs revealed “[m]ild” to “[m]inimal” findings with only
16 moderate narrowing at the L3-4 level. In fact, the claimant was discharged in a
17 “[s]table” condition with a recommendation to merely avoid heavy lifting.

18 The claimant’s psychiatric condition similarly appears well controlled. The
19 claimant has conservatively treated at primary care rather than counseling or
20 therapy. Progress notes show few significant mental status examination findings.
21 In fact, the claimant’s most serious complaints have occurred during periods of
22 active alcohol abuse. When the claimant was hospitalized in August 2009 and
23 April 2013, she was noted to have engaged in alcohol abuse. When sober, the
24 claimant reported “feeling better” with improved sleep and that she “feels good
25 about being alcohol free but admits it is difficult to stay sober.”

26 Second, the claimant[’s] . . . allegations are inconsistent with medical opinions that
27 show that she has a considerable ability to work despite her impairments.
28 Consultative physician Kristof Siciarz, M.D., examined the claimant on February
29 24, 2012, and opined that even with her impairments, she could lift, carry, push,
30 and/or pull 50 pounds and 25 pounds frequently; she could stand and/or walk for 6
31 hours in an 8-hour workday; she does not require the use of a hand-held assistive
32 device; she could sit without restriction; and she could perform manipulations
33 without limitation.

34 . . .

35 The claimant’s allegations are partially supported by her use of prescription
36 medication; her use of assistive devices such as a cane, wrist braces, and a back
37 brace; her previous requirement for carpal tunnel surgery; radiographic findings of
38 spinal degeneration. The claimant has been placed on two psychiatric holds with
39 suicidal ideation, wherein her global assessment of functioning score reached as
40 low as 30.

1 However, the weight of the evidence shows that while the claimant may
2 experience some level of pain and psychiatric symptoms, it is so well controlled
3 such that she could nonetheless perform a wide range of unskilled medium
 exertional work.

4 AT 17 (citations to the record omitted). These constituted clear and convincing reasons for
5 discounting plaintiff's testimony that were supported by substantial evidence from the record.

6 First, the ALJ determined that the evidence in the record that plaintiff's impairments were
7 generally well controlled and that plaintiff's treatment for those impairments was relatively
8 conservative undermined her complaints of disabling symptoms. This was a proper
9 consideration. See Tommasetti v. Astrue, 533 F.3d 1035, 1039-40 (9th Cir. 2008) (reasoning that
10 a favorable response to conservative treatment undermines complaints of disabling symptoms);
11 Parra v. Astrue, 481 F.3d 742, 751 (9th Cir. 2007) ("We have previously indicated that evidence
12 of conservative treatment is sufficient to discount a claimant's testimony regarding severity of an
13 impairment"); Fair v. Bowen, 885 F.2d 597, 604 (9th Cir. 1989); Warre v. Comm'r of Soc. Sec.
14 Admin., 439 F.3d 1001, 1006 (9th Cir. 2006) (a condition that can be controlled or corrected by
15 medication is not disabling for purposes of determining eligibility for benefits under the Act). It
16 was also supported by substantial evidence in the record. Plaintiff's treatment records generally
17 show that the only forms of treatment she received with regard to her complaints of back pain
18 were pain medications and routine medical checkups. See e.g., AT 289-290, 314, 318, 335-40,
19 403, 423, 426, 445. Similarly, while the record does show that plaintiff was briefly hospitalized
20 twice, once in 2009 and once in 2013, for attempting suicide, it also shows that these were short-
21 lived acute episodes brought on by traumatic events and plaintiff's use of alcohol, that plaintiff's
22 mental state was largely stable throughout the relevant period, and that plaintiff received only
23 treatment in the form of medication for her mental impairments. E.g., AT 326-31, 409, 437-40.
24 This evidence constituted substantial evidence in support of the ALJ's reasoning that plaintiff's
25 conservative treatment and relative stability of her impairments undermined her credibility with
26 regard to her allegations that her impairments rendered her totally disabled.

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1 Next, the ALJ determined that plaintiff's reported activities indicated that her impairments
2 caused her far fewer limitations than she alleged. This too was a proper reason for discounting
3 plaintiff's testimony that was supported by substantial evidence. "While a claimant need not
4 vegetate in a dark room in order to be eligible for benefits, the ALJ may discredit a claimant's
5 testimony when the claimant reports participation in everyday activities indicating capacities that
6 are transferable to a work setting . . . Even where those activities suggest some difficulty
7 functioning, they may be grounds for discrediting the claimant's testimony to the extent that they
8 contradict claims of a totally debilitating impairment." Molina, 674 F.3d at 1112-13 (citations
9 and quotation marks omitted); see also Burch, 400 F.3d at 680 (ALJ properly considered
10 claimant's ability to care for her own needs, cook, clean, shop, interact with her nephew and
11 boyfriend, and manage her finances and those of her nephew in the credibility analysis); Morgan
12 v. Comm'r of Soc. Sec., 169 F.3d 595, 600 (9th Cir. 1999) (ALJ's determination regarding
13 claimant's ability to "fix meals, do laundry, work in the yard, and occasionally care for his
14 friend's child" was a specific finding sufficient to discredit the claimant's credibility). Here, the
15 record indicates that plaintiff engaged in activities such as mowing her lawn and working as a
16 house cleaner for houses her brother built, which entailed labor such as cleaning windows. AT
17 48-49, 287, 408, 439, 444. These activities directly conflicted with plaintiff's allegations that her
18 impairments precluded her from all work. Accordingly, substantial evidence supported the ALJ's
19 determination that plaintiff's activities undermined the credibility of her subjective complaints.

20 Finally, the ALJ also determined that plaintiff's testimony regarding the extent of her
21 impairments conflicted with the medical evidence in the record. Although lack of medical
22 evidence cannot form the sole basis for discounting plaintiff's subjective symptom testimony, it is
23 nevertheless a relevant factor for the ALJ to consider. Burch, 400 F.3d at 681. Substantial
24 evidence supported the ALJ's determination that the medical findings and opinions of plaintiff's
25 physicians indicated that plaintiff's impairments caused symptoms less severe than those plaintiff
26 alleged. Indeed, plaintiff's examining physicians opined that neither her physical nor her mental
27 impairments caused functional limitations that would have prevented plaintiff from working. AT
28 319-31 (physical and mental examinations opining only mild to moderate physical and mental

1 limitations with regard to basic workplace functions). Furthermore, plaintiff's treating notes in
2 the record show that plaintiff had only mild-to-moderate symptoms with regard to her back
3 impairments. E.g., AT 291, 309, 425, 430. These medical records indicate that the symptoms of
4 plaintiff's impairments were less severe than what plaintiff claimed through her testimony. The
5 ALJ properly relied on this reasoning as an additional factor for finding plaintiff's testimony less
6 than fully credible.

7 In sum, the ALJ provided multiple clear and convincing reasons for finding plaintiff's
8 testimony regarding the extent of her impairments less than fully credible, all of which were
9 supported by substantial evidence from the record. Accordingly, the ALJ did not err in making
10 his adverse credibility determination.

11 V. CONCLUSION

12 For the reasons stated herein, IT IS HEREBY ORDERED that:

- 13 1. Plaintiff's motion for summary judgment (ECF No. 14) is denied;
14 2. The Commissioner's cross-motion for summary judgment (ECF No. 21) is granted;
15 and
16 3. Judgment is entered for the Commissioner.

17 Dated: January 4, 2016

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20 CAROLYN K. DELANEY
21 UNITED STATES MAGISTRATE JUDGE

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