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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

JOSE R. ZAIZA,  
  
Plaintiff,  
  
v.  
  
D. TAMPLEN, et al.,  
  
Defendants.

No. 2:15-cv-447-KJM-EFB P

ORDER AND FINDINGS AND  
RECOMMENDATIONS

Plaintiff is a state prisoner proceeding without counsel in an action brought pursuant to 42 U.S.C. § 1983. Defendants move for summary judgment, arguing that plaintiff failed to exhaust his available administrative remedies before filing suit. ECF No. 36. For the reasons that follow, the motion must be denied.

**I. Plaintiff's Claims**

This case currently proceeds on plaintiff's first amended complaint. ECF No. 14. The court screened that pleading and found that plaintiff had stated potentially cognizable Eighth Amendment claims against defendants Giessner and Tamplen for alleged excessive force and against defendants Robertson, Davis, Gonzalez, Fleming, and Arana for alleged deliberate indifference to serious medical needs. ECF No. 16. The court found that other claims raised in the complaint had been improperly joined, and plaintiff agreed to proceed solely on the Eighth Amendment claims against Giessner, Tamplen, Robertson, Davis, Gonzalez, Fleming, and Arana. *Id.*; ECF No. 17.

1 Plaintiff alleges that, after a mass disturbance between southern Hispanic inmates on  
2 August 20, 2012 on Facility C-Yard at High Desert State Prison (HDSP), defendant Giessner  
3 sprayed him and other inmates with pepper spray even though he was lying on the ground with  
4 his head down and posed no threat. ECF No. 14 at 4. Plaintiff was soaked in pepper spray when  
5 an officer “zip tied” him with “flex cuffs.” *Id.*

6 When the disturbance was over and the inmates secured, defendant Tamplen and Officer  
7 Sullivan began, under order, to reposition the inmates. *Id.* Tamplen ordered plaintiff to get up  
8 and, while walking him to the new position, began to mumble under his breath. *Id.* With no  
9 warning, Tamplen then used his knee and thigh to forcefully slam into plaintiff, causing plaintiff  
10 to fall on his right side and experience excruciating pain. *Id.*

11 Plaintiff spent two hours drenched in pepper spray and in severe pain from Tamplen’s  
12 assault. *Id.* He asked defendant Robertson if he could decontaminate because his face and body  
13 were “on fire,” but she responded, “You don’t have shit coming.” *Id.* at 4-5. Plaintiff asked to  
14 see the nurse because he “couldn’t breathe right” but Robertson told him to “suck it up.” *Id.* at 5.

15 Plaintiff then asked defendant Davis if he could decontaminate or see the nurse, but  
16 defendant Davis told him to “shut up.” *Id.* Plaintiff told Davis and Robertson that he needed  
17 medical attention because his shoulder was in severe pain from Tamplen’s excessive force, but  
18 they ignored him. *Id.*

19 When five hours had elapsed, plaintiff told Davis and Robertson that he couldn’t feel his  
20 left hand because the zip ties were too tight. *Id.* Plaintiff told them that he had surgery affecting  
21 his wrist and shoulder and a chrono stating that he should not be handcuffed behind his back. *Id.*  
22 Robertson told him, “Your chrono ain’t gonna help you out of this.” *Id.*

23 After 10 hours without decontamination or medical attention, plaintiff saw a nurse,  
24 defendant Gonzalez. *Id.* He told her that he needed decontamination and that he was in bad pain  
25 from Tamplen’s conduct. *Id.* Gonzalez replied, “No, no, no, no, I ain’t getting involved.” *Id.*  
26 Plaintiff pleaded with Gonzalez for medical attention and told her about his chrono. *Id.* She  
27 shrugged her shoulders and refused to provide medical help. *Id.*

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1 Plaintiff was then escorted to an office and interviewed by defendant Fleming, the  
2 institutional gang investigator. *Id.* at 5-6. Fleming was verbally aggressive from the outset,  
3 saying, “You’re a fucken southern Mexican ain’t you [sic].” *Id.* at 6. Fleming threatened to  
4 validate every inmate involved in the altercation, but plaintiff responded that he had not  
5 participated. *Id.* Plaintiff asked Fleming to stop threatening him and instead investigate  
6 defendant Tamplen’s assault on him. *Id.* Fleming continued to verbally abuse plaintiff, refused  
7 to provide him with medical treatment for his shoulder and pepper-spray exposure, and poked  
8 plaintiff’s shoulder injury, causing more pain. *Id.*

9 After 10 hours, plaintiff was taken to administrative segregation, where defendant Arana  
10 refused plaintiff’s request for medical attention because he was tired and wanted to go home. *Id.*  
11 Arana placed plaintiff in a cell with no cold water, half of a mattress, and one sheet. *Id.* He  
12 refused plaintiff’s requests for another sheet and clothing. *Id.*

## 13 **II. The Motion for Summary Judgment**

14 Summary judgment is sought on the grounds that plaintiff did not exhaust available  
15 administrative remedies as to the claim asserted here. Exhaustion may be challenged either on  
16 the grounds that the complaint itself demonstrates a failure to exhaust, or on the grounds that  
17 there is no genuine dispute of material fact as to the question of exhaustion. Thus, a defendant  
18 may move for dismissal under Federal Rule of Civil Procedure 12(b)(6) in the rare event that is  
19 clear on the face of the complaint that the plaintiff did not exhaust his administrative remedies.  
20 *Id.* at 1166. “Otherwise, defendants must produce evidence proving failure to exhaust” in a  
21 summary judgment motion brought under Rule 56. *Id.* If the court concludes that plaintiff has  
22 failed to exhaust administrative remedies, the proper remedy is dismissal without prejudice.  
23 *Wyatt v. Terhune*, 315 F.3d 1108, 1120, *overruled on other grounds by Albino v. Baca*, 747 F.3d  
24 1162, 1172 (9th Cir. 2014) (en banc).

### 25 **A. Summary Judgment Standards**

26 Summary judgment is appropriate when there is “no genuine dispute as to any material  
27 fact and the movant is entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(a). Summary  
28 judgment avoids unnecessary trials in cases in which the parties do not dispute the facts relevant

1 to the determination of the issues in the case, or in which there is insufficient evidence for a jury  
2 to determine those facts in favor of the nonmovant. *Crawford-El v. Britton*, 523 U.S. 574, 600  
3 (1998); *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 247-50 (1986); *Nw. Motorcycle Ass'n v.*  
4 *U.S. Dep't of Agric.*, 18 F.3d 1468, 1471-72 (9th Cir. 1994). At bottom, a summary judgment  
5 motion asks whether the evidence presents a sufficient disagreement to require submission to a  
6 jury.

7 The principal purpose of Rule 56 is to isolate and dispose of factually unsupported claims  
8 or defenses. *Celotex Corp. v. Catrett*, 477 U.S. 317, 323-24 (1986). Thus, the rule functions to  
9 “pierce the pleadings and to assess the proof in order to see whether there is a genuine need for  
10 trial.” *Matsushita Elec. Indus. v. Zenith Radio Corp.*, 475 U.S. 574, 587 (1986) (quoting Fed. R.  
11 Civ. P. 56(e) advisory committee’s note on 1963 amendments). Procedurally, under summary  
12 judgment practice, the moving party bears the initial responsibility of presenting the basis for its  
13 motion and identifying those portions of the record, together with affidavits, if any, that it  
14 believes demonstrate the absence of a genuine issue of material fact. *Celotex*, 477 U.S. at 323;  
15 *Devereaux v. Abbey*, 263 F.3d 1070, 1076 (9th Cir. 2001) (en banc). If the moving party meets  
16 its burden with a properly supported motion, the burden then shifts to the opposing party to  
17 present specific facts that show there is a genuine issue for trial. Fed. R. Civ. P. 56(e); *Anderson*,  
18 477 U.S. at 248; *Auvil v. CBS “60 Minutes”*, 67 F.3d 816, 819 (9th Cir. 1995).

19 A clear focus on where the burden of proof lies as to the factual issue in question is crucial  
20 to summary judgment procedures. Depending on which party bears that burden, the party seeking  
21 summary judgment does not necessarily need to submit any evidence of its own. When the  
22 opposing party would have the burden of proof on a dispositive issue at trial, the moving party  
23 need not produce evidence which negates the opponent’s claim. *See, e.g., Lujan v. National*  
24 *Wildlife Fed’n*, 497 U.S. 871, 885 (1990). Rather, the moving party need only point to matters  
25 which demonstrate the absence of a genuine material factual issue. *See Celotex*, 477 U.S. at 323-  
26 24 (“[W]here the nonmoving party will bear the burden of proof at trial on a dispositive issue, a  
27 summary judgment motion may properly be made in reliance solely on the ‘pleadings,  
28 depositions, answers to interrogatories, and admissions on file.’”). Indeed, summary judgment

1 should be entered, after adequate time for discovery and upon motion, against a party who fails to  
2 make a showing sufficient to establish the existence of an element essential to that party's case,  
3 and on which that party will bear the burden of proof at trial. *See id.* at 322. In such a  
4 circumstance, summary judgment must be granted, "so long as whatever is before the district  
5 court demonstrates that the standard for entry of summary judgment, as set forth in Rule 56(c), is  
6 satisfied." *Id.* at 323.

7 To defeat summary judgment the opposing party must establish a genuine dispute as to a  
8 material issue of fact. This entails two requirements. First, the dispute must be over a fact(s) that  
9 is material, i.e., one that makes a difference in the outcome of the case. *Anderson*, 477 U.S. at  
10 248 ("Only disputes over facts that might affect the outcome of the suit under the governing law  
11 will properly preclude the entry of summary judgment."). Whether a factual dispute is material is  
12 determined by the substantive law applicable for the claim in question. *Id.* If the opposing party  
13 is unable to produce evidence sufficient to establish a required element of its claim that party fails  
14 in opposing summary judgment. "[A] complete failure of proof concerning an essential element  
15 of the nonmoving party's case necessarily renders all other facts immaterial." *Celotex*, 477 U.S.  
16 at 322.

17 Second, the dispute must be genuine. In determining whether a factual dispute is genuine  
18 the court must again focus on which party bears the burden of proof on the factual issue in  
19 question. Where the party opposing summary judgment would bear the burden of proof at trial on  
20 the factual issue in dispute, that party must produce evidence sufficient to support its factual  
21 claim. Conclusory allegations, unsupported by evidence are insufficient to defeat the motion.  
22 *Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989). Rather, the opposing party must, by affidavit  
23 or as otherwise provided by Rule 56, designate specific facts that show there is a genuine issue  
24 for trial. *Anderson*, 477 U.S. at 249; *Devereaux*, 263 F.3d at 1076. More significantly, to  
25 demonstrate a genuine factual dispute, the evidence relied on by the opposing party must be such  
26 that a fair-minded jury "could return a verdict for [him] on the evidence presented." *Anderson*,  
27 477 U.S. at 248, 252. Absent any such evidence there simply is no reason for trial.

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1           The court does not determine witness credibility. It believes the opposing party's  
2 evidence, and draws inferences most favorably for the opposing party. *See id.* at 249, 255;  
3 *Matsushita*, 475 U.S. at 587. Inferences, however, are not drawn out of “thin air,” and the  
4 proponent must adduce evidence of a factual predicate from which to draw inferences. *Am. Int'l*  
5 *Group, Inc. v. Am. Int'l Bank*, 926 F.2d 829, 836 (9th Cir. 1991) (Kozinski, J., dissenting) (citing  
6 *Celotex*, 477 U.S. at 322). If reasonable minds could differ on material facts at issue, summary  
7 judgment is inappropriate. *See Warren v. City of Carlsbad*, 58 F.3d 439, 441 (9th Cir. 1995). On  
8 the other hand, the opposing party “must do more than simply show that there is some  
9 metaphysical doubt as to the material facts . . . . Where the record taken as a whole could not lead  
10 a rational trier of fact to find for the nonmoving party, there is no ‘genuine issue for trial.’”  
11 *Matsushita*, 475 U.S. at 587 (citation omitted). In that case, the court must grant summary  
12 judgment.

13           Concurrent with the motion for summary judgment, defendant advised plaintiff of the  
14 requirements for opposing a motion pursuant to Rule 56 of the Federal Rules of Civil Procedure.  
15 ECF No. 36-2; *see Woods v. Carey*, 684 F.3d 934 (9th Cir. 2012); *Rand v. Rowland*, 154 F.3d  
16 952, 957 (9th Cir. 1998) (en banc), cert. denied, 527 U.S. 1035 (1999); *Klinge v. Eikenberry*,  
17 849 F.2d 409 (9th Cir. 1988).

### 18           **B. The PLRA's Exhaustion Requirement**

19           The Prison Litigation Reform Act (“PLRA”) provides that “[n]o action shall be brought  
20 with respect to prison conditions [under section 1983 of this title] until such administrative  
21 remedies as are available are exhausted.” 42 U.S.C. § 1997e(a). “Prison conditions” subject to  
22 the exhaustion requirement have been defined broadly as “the effects of actions by government  
23 officials on the lives of persons confined in prison . . . .” 18 U.S.C. § 3626(g)(2); *Smith v.*  
24 *Zachary*, 255 F.3d 446, 449 (7th Cir. 2001); *see also Lawrence v. Goord*, 304 F.3d 198, 200 (2d  
25 Cir. 2002). To satisfy the exhaustion requirement, a grievance must alert prison officials to the  
26 claims the plaintiff has included in the complaint, but need only provide the level of detail  
27 required by the grievance system itself. *Jones v. Bock*, 549 U.S. 199, 218-19 (2007); *Porter v.*  
28 *Nussle*, 534 U.S. 516, 524-25 (2002) (the purpose of the exhaustion requirement is to give

1 officials the “time and opportunity to address complaints internally before allowing the initiation  
2 of a federal case”).

3 California state prisoners who file grievances must use a form provided by the California  
4 Department of Corrections and Rehabilitation (CDCR Form 602), which instructs the inmate to  
5 describe the problem and outline the action requested. Title 15 of the California Code of  
6 Regulations, §§ 3999.225 *et seq.* provide instructions specific to health care appeals, while  
7 §§ 3084 *et seq.* provide instructions for other grievances.

8 The grievance process, as defined by the regulations, has three levels of review to address  
9 an inmate’s claims, subject to certain exceptions. *See* Cal. Code Regs. tit. 15, §§ 3999.226,  
10 3999.227, 3087.3, 3087.4, 3087.5. Administrative procedures generally are exhausted for non-  
11 healthcare grievances once a plaintiff has received a “Director’s Level Decision,” or third level  
12 review, with respect to his issues or claims. *Id.* § 3084.1(b). For healthcare grievances,  
13 administrative procedures are exhausted by receipt of a “headquarters’ level” decision. *Id.*  
14 §§ 3999.226(g), 3999.230(h).

15 Proper exhaustion of available remedies is mandatory, *Booth v. Churner*, 532 U.S. 731,  
16 741 (2001), and “[p]roper exhaustion demands compliance with an agency’s deadlines and other  
17 critical procedural rules[.]” *Woodford v. Ngo*, 548 U.S. 81, 90 (2006). For a remedy to be  
18 “available,” there must be the “possibility of some relief . . . .” *Booth*, 532 U.S. at 738. Relying  
19 on *Booth*, the Ninth Circuit has held:

20 [A] prisoner need not press on to exhaust further levels of review once he has  
21 received all “available” remedies at an intermediate level of review or has been  
reliably informed by an administrator that no remedies are available.

22 *Brown v. Valoff*, 422 F.3d 926, 935 (9th Cir. 2005).

23 Failure to exhaust is “an affirmative defense the defendant must plead and prove.” *Jones*,  
24 549 U.S. at 216 (2007). To bear this burden:

25 [A] defendant must demonstrate that pertinent relief remained available, whether  
26 at unexhausted levels of the grievance process or through awaiting the results of  
27 the relief already granted as a result of that process. Relevant evidence in so  
28 demonstrating would include statutes, regulations, and other official directives  
that explain the scope of the administrative review process; documentary or  
testimonial evidence from prison officials who administer the review process; and  
information provided to the prisoner concerning the operation of the grievance

1 procedure in this case . . . . With regard to the latter category of evidence,  
2 information provided [to] the prisoner is pertinent because it informs our  
determination of whether relief was, as a practical matter, “available.”

3 *Brown*, 422 F.3d at 936-37 (citations omitted). Once a defendant shows that the plaintiff did not  
4 exhaust available administrative remedies, the burden shifts to the plaintiff “to come forward with  
5 evidence showing that there is something in his particular case that made the existing and  
6 generally available administrative remedies effectively unavailable to him.” *Albino v. Baca*, 747  
7 F.3d at 1172.

### 8 **C. Analysis**

9 Here, it is not clear from the face to the complaint that plaintiff failed to exhaust. Instead,  
10 defendants present evidence that administrative remedies were available to plaintiff but he did not  
11 fully pursue them. Thus, analysis of this motion is properly under Rule 56.

12 Plaintiff’s claims in this case can be placed in two categories: (1) his excessive force  
13 claims against Giessner and Tamplen, and (2) his claims against the remaining defendants for  
14 denying needed medical attention. Defendants argue a failure to exhaust as to both categories.

#### 15 **1. Excessive Force**

16 Defendants present evidence that plaintiff submitted one grievance regarding his  
17 excessive force claim against defendants Giessner and Tamplen. ECF Nos. 36-5 (Decl. of M.  
18 Chappuis) & 36-6 (Decl. of M. Voong). Plaintiff filled out the grievance form on November 24,  
19 2012 and it was received on December 4, 2012 and assigned log number HDSP-Z-12-04034.  
20 ECF No. 36-5 at 2-3, 11. In the grievance (hereafter referred to as “Appeal 04034”), plaintiff  
21 complained that he had been wrongly charged with a rules violation for battery on a peace officer  
22 in connection with the yard disturbance on August 20, 2012. *Id.* at 11. He further complained  
23 that his Eighth Amendment rights had been violated that day when Tamplen “slammed” him after  
24 he was restrained and when he was “pepper sprayed and left zip-tied with no food or water for 10  
25 hours without decontamination” by officers plaintiff did not identify. *Id.* at 13.

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1 At the first level, the appeal reviewer identified plaintiff's issues as (1) excessive force by  
2 Tamplen<sup>1</sup> and (2) the allegedly wrongful rules violation report. *Id.* at 17. The reviewer informed  
3 plaintiff that

4 All issues unrelated to the allegation of staff misconduct must be appealed  
5 separately and will not be addressed in this response. You do not exhaust  
6 administrative remedies on any unrelated issue not covered in this response or  
7 concerning any staff member not identified by you in this complaint. If you are  
unable to name all involved staff you may request assistance in establishing their  
identity.

8 *Id.* The two identified issues were bifurcated into separate appeals<sup>2</sup>, but no explicit mention was  
9 made of plaintiff's claim that unidentified staff had pepper sprayed him and left him zip-tied for  
10 10 hours with no decontamination. *Id.* at 2. The reviewer determined on February 19, 2013 that  
11 Tamplen had not violated CDCR policy. *Id.* at 19. The same conclusion was reached by the  
12 second level appeal reviewer on April 11, 2013. *Id.* at 7, 9.

13 Defendants' evidence shows that Appeal 04034 was received at the third level on July 12,  
14 2013, but was screened out and rejected because it was missing a copy of the first level review  
15 decision letter and a CDCR Form 1858 Rights and Responsibilities Statement. ECF No. 36-6 at  
16 3. The appeal was again received at the third level on August 5, 2013, but was again screened out  
17 on August 16, 2013 for missing the same documentation. *Id.* No further records exist of the  
18 appeal at CDCR's Office of Appeals. *Id.* at 4. Thus, defendants argue, plaintiff did not exhaust  
19 his claims against Tamplen and Giessner.

20 Plaintiff does not dispute the evidence presented by defendants. However, he argues that  
21 he must be excused from exhausting Appeal 04034 because his attempts to exhaust it were  
22 frustrated by prison officials.

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24 <sup>1</sup> The appeals reviewer, and all subsequent reviewers, did not identify a claim against  
25 defendant Giessner, as plaintiff did not identify Giessner by name in the grievance. Plaintiff did  
26 challenge Giessner's alleged misconduct in the grievance, however, and defendants do not argue  
27 that plaintiff did not properly raise Giessner's misconduct in the appeal by failing to identify him  
by name.

28 <sup>2</sup> This resulted in the creation of another grievance concerning the rules violation report,  
which is not relevant to this action. ECF No. 36-5 at 2-3.

1 First, plaintiff argues that prison officials failed to process Appeal 04034 in a timely  
2 fashion. It is arguable that the first-level response fell outside the 30-day window provided for by  
3 California regulations. Cal. Code Regs., tit. 15, § 3084.8(c). The appeal was bifurcated within 30  
4 days and the ultimate response was issued within 30 days of the bifurcation decision, but that  
5 response was not made within 30 days of the initial receipt of the appeal. But this arguable  
6 failure to comply with the regulatory time limits did not render the appeals process unavailable to  
7 plaintiff. The delay was minimal, the grievance was addressed, and plaintiff was able to continue  
8 seeking review. *See Brown v. Valoff*, 422 F.3d 926, 943 n.18 (9th Cir. 2005) (holding that prison  
9 officials may not exploit the exhaustion requirement through infinite delay in responding to  
10 grievances). In short, plaintiff has not raised a triable issue of material fact that the delay  
11 rendered the administrative process unavailable to him. The record plainly shows that it did not.  
12 Thus, the delay does not excuse his failure to exhaust.

13 Second, plaintiff argues that he could not attach the first level decision letter nor the  
14 CDCR Form 1858 to the third level appeal because prison officials had refused to provide him  
15 with these documents. Yet plaintiff's own evidence shows that he had received a copy of all of  
16 the appeal decisions by June 26, 2013, well before the third level appeal was initially received by  
17 the Office of Appeals. ECF No. 41 at 4-5, 29. Thus, whatever his prior difficulties had been in  
18 obtaining a copy of the first level review decision, they are not relevant to whether he could have  
19 attached it to the third level appeal. This does not demonstrate a material issue of fact as to  
20 whether administrative remedies were actually available to plaintiff .

21 Plaintiff also argues that the correctional officer in charge of the law library refused to  
22 give him a Form 1858 in February 2013. That plaintiff was allegedly not provided the form by a  
23 single officer at this early date does not provide an adequate explanation of his failure to secure  
24 the form by July 2013, when he submitted his third-level appeal. Thus, plaintiff has not raised a  
25 triable issue of material fact that the refusal of officials to provide him with necessary documents  
26 prevented him from exhausting the grievance.

27 Lastly, plaintiff states under penalty of perjury that he "finally received his property on  
28 about late August or September 2013," and resubmitted his request for third-level review of

1 Appeal 04034 a third time in September 2013, but that it was either thrown away or lost by  
2 correctional officers. ECF No. 41 at 5, 7. As further evidence of this claim, plaintiff has  
3 submitted a CDCR Form 22 Request dated October 14, 2013, in which he wrote, "I'm sending  
4 this CDCR 22 as a proof of mailing [illegible] [illegible] 602 not being forwarded." ("602" is  
5 short-hand for a California prison grievance, which is created on a CDCR Form 602.) However,  
6 in the body of the request, plaintiff did not identify the 602 as Appeal 04034, and in fact he  
7 identified a different grievance in the "topic" box of the form. ECF No. 41 at 34. Plaintiff asserts  
8 that he also wrote to the appeals coordinator addressing issues of his appeals not being answered  
9 and confidential mail be opened without plaintiff being present. *Id.* at 5. He also sent a letter to  
10 the "Warden or Assistant" on August 6, 2013 (the envelope is date stamped received on August 9,  
11 *id.* at 31) stating that he had been constantly trying to get back his property and legal mail and  
12 emphasizing: "I really need my legal work transcript and addresses . . . ." *Id.* at 32. Earlier, some  
13 time prior to January 23, 2013, he wrote to complain to the Office of Inspector General about  
14 "issues concerning the Appeal System." *Id.* at 6. Finally, plaintiff submits a copy of a report by  
15 the Office of Inspector General and references pages 27 and 28 of that report regarding multiple  
16 complaints that inmate appeals were being destroyed or discarded and never delivered to the  
17 Appeals Office. ECF No. 41 at 83 - 84.

18 While plaintiff has not produced a copy of the resubmitted third-level appeal that he  
19 allegedly sent in September 2013, the record shows multiple efforts by plaintiff to obtain his legal  
20 papers after his multiple relocations. Collectively, these documents together with plaintiff's  
21 statement under penalty of perjury that he resubmitted the third-level appeal but it apparently was  
22 not delivered to the appropriate office, viewed in the light most favorable to plaintiff, present a  
23 genuine dispute over a material issue of fact that precludes summary judgment on the issue of  
24 exhaustion. Plaintiff's testimony that he re-sent the relevant appeal document a third time in  
25 September 2013, if believed, would establish that his attempt to resubmit the grievance was  
26 frustrated by official conduct. Resolution of this material dispute requires a credibility  
27 determination and it cannot be resolved on summary judgment. Accordingly, summary judgment

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1 cannot be granted as to plaintiff's claims against defendants Giessner and Tamplen for failure to  
2 exhaust.

3 **2. Deliberate Indifference to Medical Needs**

4 Defendants' argument in favor of summary judgment focuses solely on Appeal 04034.  
5 See ECF No. 36-1. They do not explain what happened to plaintiff's claims that officers had left  
6 him cuffed and covered in pepper spray for 10 hours, which plaintiff raised in that grievance but  
7 which reviewing officials never responded to, beyond informing plaintiff:

8 All issues unrelated to the allegation of staff misconduct must be appealed  
9 separately and will not be addressed in this response. You do not exhaust  
10 administrative remedies on any unrelated issue not covered in this response or  
11 concerning any staff member not identified by you in this complaint. If you are  
unable to name all involved staff you may request assistance in establishing their  
identity.

12 ECF No. 36-5 at 17. It could be argued that this language sufficed to inform plaintiff that, if he  
13 wished to pursue his grievances against the unidentified persons who left him pepper-sprayed and  
14 zip-tied, he needed to request help in identifying those persons and file a separate appeal and that,  
15 because plaintiff did not do so, he did not exhaust this claim. Defendants have not presented such  
16 an argument, however.

17 Moreover, it is not clear that plaintiff did not file a separate appeal of the deliberate  
18 indifference claims. While defendants present evidence that plaintiff filed no healthcare  
19 grievance concerning the August 20, 2012 incident (ECF No. 36-4 (Decl. of S. Gates)), plaintiff  
20 avers that he did file such a grievance (ECF No. 41 at 6). The record contains a document  
21 potentially corroborating plaintiff's testimony; attached to plaintiff's original complaint is a  
22 Health Care Services Request Form filled out by plaintiff on November 5, 2012, in which  
23 plaintiff wrote

24 I've been having real bad cramps in my hands and fingers are locking. It's been  
25 like this since late august. I was cuffed up [illegible] and I think that's what  
26 caused it. [illegible] I was sprayed and was never decontaminated. Around my  
27 eyes it's still red like burn marks. I did let the nurse and doctor know. But the  
28 marks are still there can you please give me something for it.

1 ECF No. 1 at 74.<sup>3</sup> This document bears a stamp: “RECEIVED OTLA-HC Jun-3 2013 [semi-  
2 legible words that appear to be ‘HC APPEALS’].” OTLA refers to the Office of Third Level  
3 Appeals, which reviews prisoner health care grievances at the third level. *Tubach v. Lahimore*,  
4 No. 1:10-cv-913 AWI SMS (PC), 2012 U.S. Dist. LEXIS 141087, at \*5-6 (E.D. Cal. Sept. 27,  
5 2012). The document suggests that plaintiff’s deliberate indifference claims were received as a  
6 third-level healthcare grievance on June 3, 2013 and raises a triable issue as to whether plaintiff  
7 exhausted those claims.

### 8 **III. Timing of Exhaustion Determination**

9 Defendants ask that if the court concludes that a triable issue exists on the question of  
10 exhaustion of administrative remedies, that an “*Albino* hearing” be held to determine the  
11 exhaustion question prior to trial. In *Albino v. Baca*, 747 F.3d 1162, 1166 (9th Cir. 2014), the  
12 Ninth Circuit concluded that the question of exhaustion cannot often cannot be resolved based on  
13 the face of the complaint and therefore must usually be determined via a motion for summary  
14 judgment. It also noted that if disputed facts material to the exhaustion question preclude its  
15 resolution on summary judgment, the district judge, not the jury, should decide the disputed  
16 factual issues as to exhaustion. *Id.* Finally, it pointed out that where it is feasible the district  
17 judge could decide the issue in a preliminary proceeding rather than awaiting trial. *Id.* at 1168.  
18 As this request is directed to the district judge, the undersigned makes no recommendation but  
19 simply includes it herein to draw it to the attention of the court.

### 20 **IV. Plaintiff’s Additional Motions**

21 Along with his opposition to the motion for summary judgment, plaintiff submitted a  
22 discovery request to the court asking defendants to produce “video recording for” Appeal 04034,  
23 “investigation reports” of Special Agents David Faingold and Rick Ivceovich, and photo and  
24 video evidence pertaining to another, unrelated appeal. ECF No. 41. Plaintiff has requested these  
25 things before and has been informed that he should not submit generalized discovery requests to  
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27 <sup>3</sup> Any objection defendants may raise to the court’s use of documents appended to the  
28 original complaint has been waived by defendants’ own reference to those documents. ECF No.  
36-1 at 3 n.1.

1 the court, that the discovery deadline has passed, and that plaintiff has not explained how the  
2 evidence he seeks is relevant to the exhaustion issue. ECF No. 45. The court informed plaintiff  
3 that, if he wishes to make a now-untimely discovery request, he should move to extend the  
4 discovery deadline, and that such a motion must present the court with good cause for modifying  
5 the schedule and an explanation of the relevance of the evidence to the exhaustion question. *Id.* at  
6 1-2. Plaintiff has not complied with these directions, and the motion will be accordingly denied.

7 Plaintiff has also filed a “motion for extension of time for dispositives” (ECF No. 47) and  
8 a motion for a court order compelling prison officials to allow him access to the law library and  
9 provide him with indigent envelopes (ECF No. 48). Plaintiff’s motion for an extension of time  
10 will be denied as moot, as the court has already ordered that the parties shall have 30 days from  
11 any ruling on the motion for summary judgment to file and serve other dispositive motions. ECF  
12 No. 45. Defendants oppose plaintiff’s motion regarding law library access and envelopes and  
13 provide a declaration from a law library staff detailing plaintiff’s library access and the materials  
14 he has been provided. ECF No. 49. As it appears from defendants’ filing that plaintiff is not  
15 being denied access to the law library or envelopes needed for legal matters, the court will deny  
16 plaintiff’s motion without prejudice. If plaintiff continues to believe that the level of access he  
17 has to the library and various materials is inadequate, he may file another motion on the issue  
18 detailing how the lack of access and/or supplies is frustrating his litigation of this action.

19 **V. Order and Recommendation**

20 In accordance with the above, it is HEREBY ORDERED that:

- 21 1. Plaintiff’s November 19, 2018 motion for discovery (ECF No. 41) is DENIED;
- 22 2. Plaintiff’s January 7, 2019 motion for extension of time (ECF No. 47) is DENIED;
- 23 and
- 24 3. Plaintiff’s January 7, 2019 motion for order compelling custodians to allow law  
25 library access and indigent envelopes (ECF No. 48) is DENIED.

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1 It is further RECOMMENDED that defendants' October 25, 2018 motion for summary  
2 judgment (ECF No. 36) be DENIED and the district judge issue an order either setting a date for a  
3 preliminary hearing on the issue of exhaustion or denying defendants' request for such a hearing.

4 These findings and recommendations are submitted to the United States District Judge  
5 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
6 after being served with these findings and recommendations, any party may file written  
7 objections with the court and serve a copy on all parties. Such a document should be captioned  
8 "Objections to Magistrate Judge's Findings and Recommendations." Failure to file objections  
9 within the specified time may waive the right to appeal the District Court's order. *Turner v.*  
10 *Duncan*, 158 F.3d 449, 455 (9th Cir. 1998); *Martinez v. Ylst*, 951 F.2d 1153 (9th Cir. 1991).

11 DATED: February 28, 2019.

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13 EDMUND F. BRENNAN  
14 UNITED STATES MAGISTRATE JUDGE  
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