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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

MICHAEL JAMES FAULKNER,  
Petitioner,  
v.  
E. VALENZUELA,<sup>1</sup>  
Respondent.

No. 2:15-cv-01485 KJM DB P

FINDINGS AND RECOMMENDATIONS

Petitioner, Michael James Faulkner, is a state prisoner proceeding pro se and in forma pauperis with a petition for a writ of habeas corpus under 28 U.S.C. § 2254. Petitioner challenges a judgment of conviction entered against him on April 23, 2013 in the Shasta County Superior Court for one count of criminal threats and one count of battery. (Doc. 10 at 323.<sup>2</sup>)

Petitioner seeks federal habeas relief on the following grounds: (1) the trial court committed prejudicial error when it overruled his objection to Officer Jerry Fernandez’s testimony that victim Megan Burkett was in sustained fear; (2) the cumulative prejudicial effect of two critical errors violated his due process rights; (3) the prosecutor violated his constitutional

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<sup>1</sup> The originally named respondent, E. Valenzuela, is no longer warden of the prison in which petitioner is incarcerated. Therefore, Josie Gastelo should be substituted as the proper respondent in this action. Fed. R. Civ. P. 25(d); see also (ECF No. 16 at 8 n.1.)

<sup>2</sup> “Doc.” refers to the series of documents (Doc. 1, Doc. 2, etc.) that the state lodged with the court and that do not appear on the electronic filing system.

1 rights through his charging decisions and failure to excuse a biased juror; and (4) his trial and  
2 appellate counsel were ineffective. (ECF No. 1 at 4–5.) As discussed below, petitioner’s habeas  
3 petition should be denied.

4 **I. BACKGROUND**

5 **A. Factual background**

6 In an unpublished opinion affirming petitioner’s conviction, the California Court of  
7 Appeal for the Third Appellate District provided the following factual summary:

8 On the night of August 4, 2012, [petitioner’s] sister, Megan, called 911 and  
9 reported her brother had just punched her in her face and choked her. When  
10 Megan told [petitioner] she was going to call the police, he ran into the kitchen,  
11 then back to Megan’s room and tried to stab her. [Petitioner] then “peeled out” of  
12 the house in their father’s truck. Megan advised the 911 dispatcher her brother left  
the house with a small kitchen knife, might still be on parole, was on antipsychotic  
medication, and had been drinking.

13 Shasta County Deputy Sheriff Jerry Fernandez responded to the scene and met  
14 Megan in the driveway. She appeared upset, in fear, and was almost in tears. She  
15 was very worried about where [petitioner] was and whether the police could locate  
him. On her left cheek there was some swelling, bruising, and redness.

16 Megan reported to [Officer] Fernandez that [petitioner] had punched her. When  
17 she told [petitioner] she was calling the police, he choked her in a headlock, ripped  
18 the phone from her hand and threw it to the ground. She ran and locked herself in  
19 a bedroom. [Petitioner] got something from a drawer in the kitchen; she believed  
20 he would use that utensil to harm her. [Petitioner] threatened Megan through the  
21 door yelling, “Oh you think you’re going to send me back to prison? I’ll stab that  
22 bitch.” “I’ll stab you if you call nine-one-one because I’m not going back to  
prison.” [Petitioner] then tried to force his way into the room with a knife.  
[Officer] Fernandez observed fresh damage to the bedroom door. The doorjamb  
was broken and there were nicks in the door which were consistent with someone  
trying to pry it open with a knife.

23 Megan reported she called 911 because she was afraid of [petitioner’s] threats to  
24 stab her. Deputy Fernandez was with Megan for at least 30 minutes. During that  
25 entire time, she appeared to remain frightened, upset, worried, and on the verge of  
26 tears. She asked what she could do to protect herself from [petitioner]. She asked  
[Officer] Fernandez to try to find [petitioner] so “he wouldn’t be out and she  
would be safe.”

27 Megan’s sister-in-law, Nicole Faulkner, was also at the scene. [Officer] Fernandez  
28 interviewed her. Her story largely coincided with Megan’s. After the disturbance,  
Megan ran to the bedroom and [petitioner] ran to the kitchen. He ran toward the

1 bedroom with a large kitchen knife, pounded on the door and yelled, “I’ll stab that  
2 bitch. I’ll stab that bitch.” He then used the knife to try to pry the door open,  
3 while Megan was screaming that [petitioner] was trying to stab her.

4 At trial, Megan was an uncooperative witness and recanted some of her earlier  
5 statements. She testified that she and Erica Leeper, her best friend’s younger  
6 sister, had been at her parent’s house on the porch with [petitioner]. She believed  
7 [petitioner] was on drugs at the time. He wanted to take Erica to a bar, but Megan  
8 told Erica not to go and Megan and [petitioner] argued about that. [Petitioner] and  
9 Megan started shoving each other around, and at some point he either pushed,  
10 punched, or slapped Megan on the cheek. [Petitioner] blocked her from going into  
11 the house and Megan grabbed the phone and threatened to call the police and their  
12 parents. [Petitioner] went to the kitchen and Megan thought he was getting  
13 something to harm her, so she ran to the bedroom and locked the door. She called  
14 the police. [Petitioner] tried to unlock the bedroom door with a small kitchen  
15 knife, but did not damage the door. She also testified [petitioner] never threatened  
16 to stab or kill her. She told the police he had threatened her so the police would  
17 arrest [him], because he was high on drugs. Megan testified she was afraid  
18 [petitioner] would harm her or himself because of his drug use, not because he had  
19 threatened her.

20 Nicole was in the house when one of her children came to her and told her Megan  
21 needed her. She opened the door to let Megan in the house. Megan appeared  
22 jumpy, frantic, and frustrated. Inside the house, [petitioner] and Megan were  
23 yelling at each other. A few minutes later, Megan ran down the hall into the  
24 bedroom and [petitioner] ran into the kitchen and came back with a small kitchen  
25 knife. [Petitioner] tried to unlock the door with the knife. Megan was “screaming  
26 and going crazy” because she was afraid.

27 Erica also testified that [petitioner] got mad at Megan for interfering in their plan  
28 to go to the bar. She did not see [petitioner] punch Megan, but saw her fall to the  
ground while [petitioner] stood in front of her. Megan said she was going to call  
the police and [petitioner] tried to grab the phone from her. Erica denied that  
[petitioner] put Megan in a choke hold or “smacked” the phone out of Megan’s  
hand, although she told [Officer] Fernandez he had done both.

Erica testified that when Megan got inside the house, she was angry. [Petitioner]  
ran to the kitchen and Megan ran into the bedroom. [Petitioner] came out of the  
kitchen with a large white-handled knife. Erica went outside. [Petitioner] came  
outside with the knife still in his hand, and said, ““She’s trying to call the police  
and tell them I’m going to stab her.”” [Petitioner] then left in a white truck.

[Petitioner] was arrested later that evening. Deputies did not find a knife in the  
vehicle. [Petitioner] did not appear to be under the influence of alcohol or drugs.  
The truck was released to Megan and her husband. Upon picking up the truck,

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1 Megan appeared very worried, her voice was shaking and she was trembling and  
2 she expressed concern about whether [petitioner] would be released from jail soon.

3 People v. Faulkner, 2014 WL 1678611, at \*1–2 (Cal. Ct. App. Apr. 29, 2014).<sup>3</sup>

4 **B. Deference to Court of Appeal’s factual findings**

5 On federal habeas review, “[f]actual determinations by state courts are presumed correct  
6 absent clear and convincing evidence to the contrary.” Miller-El v. Cockrell, 537 U.S. 322, 340  
7 (2003) (citing 28 U.S.C. § 2254(e)(1)); see also Davis v. Ayala, 135 S. Ct. 2187, 2199–2000  
8 (2015) (citation omitted) (“State-court factual findings . . . are presumed correct; the petitioner  
9 has the burden of rebutting the presumption by clear and convincing evidence.”). “This  
10 presumption applies even if the finding was made by a state court of appeals rather than by the  
11 state trial court.” Pollard v. Galaza, 290 F.3d 1030, 1035 (9th Cir. 2002) (citation omitted).

12 Thus, unless the petitioner submits clear and convincing evidence to the contrary, a  
13 federal habeas court may rely on a state appellate court’s recitation of the facts to rule on a habeas  
14 petition. Id. This procedure is particularly appropriate where, as here, the petitioner “has [not]  
15 challenged these findings.” Slovik v. Yates, 556 F.3d 747, 749 n.1 (9th Cir. 2009); see also  
16 Runnigeagle v. Ryan, 686 F.3d 758, 763 n.1 (9th Cir. 2012); Moses v. Payne, 555 F.3d 742, 746  
17 n.1 (9th Cir. 2009); Tilcock v. Budge, 538 F.3d 1138, 1141 (9th Cir. 2008).

18 **C. Procedural background in state court**

19 “[Petitioner] was charged with criminal threats, battery, and damage of a wireless device.”  
20 Id. at \*2. “The information further alleged a prior strike conviction and a prior prison term.” Id.  
21 “Following trial, a jury found [petitioner] guilty of criminal threats and battery, and not guilty of  
22 damage of a wireless device.” Id. “In bifurcated proceedings, the trial court found the  
23 enhancement allegations true.” Id. “After denying [petitioner’s] motion to strike his prior  
24 conviction and reduce the criminal threats conviction to a misdemeanor, the trial court sentenced  
25 petitioner to the upper term of three years on the criminal threats conviction, doubled to six years  
26 because of the prior strike conviction, plus five years for the serious felony enhancement, and one  
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28 <sup>3</sup> The state has lodged a copy of the Court of Appeal’s opinion with the court. (Doc. 4.)

1 year for the prior prison term enhancement.” Id. “The trial court also sentenced petitioner to a  
2 concurrent six-month term on the misdemeanor battery conviction.” Id.

3 On appeal, petitioner contended that “the trial court prejudicially erred in admitting, over  
4 objection, [Officer Fernandez’s] testimony that the victim was in ‘sustained fear.’” Id. Further,  
5 he contended that “the cumulative effect of that error, combined with testimony that suggested  
6 [he] was in custody, was prejudicial.” Id. On April 29, 2014, the Court of Appeals issued its  
7 unpublished opinion rejecting these arguments and affirming the trial court’s judgment. Id. at \*1,  
8 3–6. Petitioner did not raise claims (3) and (4) of his federal habeas petition in the Court of  
9 Appeal. Compare id. at \*1, with supra pp. 1–2. On July 25, 2014, the California Supreme Court  
10 denied his petition for review without comment. (Doc. 6.)

11 On July 9, 2015, petitioner filed a habeas petition in the California Supreme Court. (Doc.  
12 7.) Therein, he raised claims (3) and (4). Compare id., with supra pp. 1–2. On October 28, 2015,  
13 the petition was denied without comment. (Doc. 8.)

#### 14 **D. Procedural background in federal court**

15 On July 10, 2015, petitioner filed a habeas petition in the Eastern District of California.  
16 (ECF No. 1.) Therein, petitioner asserted the following claims: (1) the trial court committed  
17 prejudicial error when it overruled his objection to Officer Fernandez’s testimony that Megan was  
18 in sustained fear; (2) the cumulative prejudicial effect of two critical errors violated his due  
19 process rights; (3) the prosecutor violated his constitutional rights through his charging decisions  
20 and failure to excuse a biased juror; and (4) his trial and appellate counsels were ineffective. (Id.  
21 at 4–5.)

22 Petitioner acknowledged therein that claims (3) and (4) were unexhausted. (Id. at 5.)  
23 Thus, the court ordered him to file a motion for stay and abeyance or an amended petition  
24 containing only his exhausted claims. (ECF No. 8 at 4.)

25 On November 8, 2015, he filed a motion for a stay. (ECF No. 10.) Then, on December  
26 11, 2015, he notified the court that he had exhausted claims (3) and (4). (ECF No. 11.)

27 On January 6, 2016, the court ordered the state to respond to the petition within sixty days.  
28 (ECF No. 12.) The state has filed its answer. (ECF No. 16.) Petitioner has not filed a reply, or

1 traverse, which is not obligatory. Rule 5(e), Rules Governing Section 2254 Cases (“The  
2 petitioner may submit a reply to the respondent’s answer or other pleading within a time fixed by  
3 the judge.”); see also id. advisory committee’s note (“Rule 5 . . . does not contemplate a traverse  
4 to the answer, except under special circumstances.”).

## 5 **II. STANDARD OF REVIEW**

6 An application for a writ of habeas corpus by a person in custody under a judgment of a  
7 state court can be granted only for violations of the Constitution or laws of the United States. 28  
8 U.S.C. § 2254(a). A federal writ is not available for alleged error in the interpretation or  
9 application of state law. See Wilson v. Corcoran, 562 U.S. 1, 5 (2010) (per curiam); Estelle v.  
10 McGuire, 502 U.S. 62, 67–68 (1991); Park v. California, 202 F.3d 1146, 1149 (9th Cir. 2000).

11 Title 28 U.S.C. § 2254(d) sets forth the following standards for granting federal habeas  
12 corpus relief:

13 An application for a writ of habeas corpus on behalf of a person in custody  
14 pursuant to the judgment of a State court shall not be granted with respect to any  
15 claim that was adjudicated on the merits in State court proceedings unless the  
adjudication of the claim —

16 (1) resulted in a decision that was contrary to, or involved an  
unreasonable application of, clearly established Federal law, as  
17 determined by the Supreme Court of the United States; or

18 (2) resulted in a decision that was based on an unreasonable  
19 determination of the facts in light of the evidence presented in the  
State court proceeding.

20 Under § 2254(d)(1), “clearly established Federal law” consists of Supreme Court “precedents as  
21 of the time the state court renders its decision.” Greene v. Fisher, 565 U.S. 34, 38 (2011) (citation  
22 and emphasis omitted); Stanley v. Cullen, 633 F.3d 852, 859 (9th Cir. 2011) (citing Williams v.  
23 Taylor, 529 U.S. 362, 412 (2000)). Yet “circuit court precedent may be persuasive in  
24 determining what law is clearly established and whether a state court applied that law  
25 unreasonably.” Stanley, 633 F.3d at 859 (citation omitted). However, circuit court precedent  
26 may not be “used to refine or sharpen a general principle of Supreme Court jurisprudence into a  
27 specific legal rule that [the Supreme] Court has not announced.” Marshall v. Rodgers, 133 S. Ct.  
28 1446, 1450 (2013) (per curiam) (citations omitted).

1 Nor may it be used to “determine whether a particular rule of law is so widely accepted  
2 among the Federal Circuits that it would, if presented to [the Supreme] Court, be accepted as  
3 correct.” Id. at 1451 (citations omitted). Furthermore, if courts of appeals have “diverged  
4 widely” in their treatment of an issue, it cannot be said that “clearly established Federal law”  
5 governs that issue. Carey v. Musladin, 549 U.S. 70, 77 (2006).

6 Under § 2254(d)(1), a state court decision is “contrary to” clearly established federal law  
7 if it applies a rule contradicting a holding of the Supreme Court or reaches a result different from  
8 Supreme Court precedent on “materially indistinguishable” facts. Price v. Vincent, 538 U.S. 634,  
9 640 (2003) (citations omitted).

10 Under § 2254(d)(1)’s “unreasonable application” clause, a federal habeas court may grant  
11 the writ if the state court identifies the correct governing legal principle from the Supreme Court’s  
12 decisions, but unreasonably applies that principle to the facts of the prisoner’s case. Lockyer v.  
13 Andrade, 538 U.S. 63, 75 (2003) (citation omitted); accord Chia v. Cambra, 360 F.3d 997, 1002  
14 (9th Cir. 2004).

15 A federal habeas court “may not issue the writ simply because that court concludes in its  
16 independent judgment that the relevant state-court decision applied clearly established federal law  
17 erroneously or incorrectly.” Williams, 529 U.S. at 411. “Rather, that application must also be  
18 unreasonable.” Id.; see also Schriro v. Landrigan, 550 U.S. 465, 473 (2007) (citation omitted)  
19 (“The question . . . is not whether a federal court believes the state court’s determination was  
20 incorrect but whether that determination was unreasonable—a substantially higher threshold.”);  
21 Lockyer, 538 U.S. at 75 (citation omitted) (“It is not enough that a federal habeas court, in its  
22 independent review of the legal question, is left with a firm conviction that the state court was  
23 erroneous.”). Thus, “[a] state court’s determination that a claim lacks merit precludes federal  
24 habeas relief so long as fairminded jurists could disagree on the correctness of the state court’s  
25 decision.” Harrington v. Richter, 562 U.S. 86, 101 (2011) (citation omitted). In other words,  
26 “[a]s a condition for obtaining habeas corpus from a federal court, a state prisoner must show that  
27 the state court’s ruling on the claim being presented in federal court was so lacking in justification

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1 that there was an error well understood and comprehended in existing law beyond any possibility  
2 for fairminded disagreement.” Id. at 103.

3 If the state court’s decision does not meet the criteria set forth in § 2254(d), a reviewing  
4 court must conduct a de novo review of a habeas petitioner’s claims. Delgadillo v. Woodford,  
5 527 F.3d 919, 925 (9th Cir. 2008); see also Frantz v. Hazey, 533 F.3d 724, 735 (9th Cir. 2008)  
6 (en banc) (“[I]t is now clear both that we may not grant habeas relief simply because of §  
7 2254(d)(1) error and that, if there is such error, we must decide the habeas petition by considering  
8 de novo the constitutional issues raised.”).

### 9 **III. ANALYSIS**

#### 10 **A. Did the trial court commit prejudicial error when it overruled** 11 **petitioner’s objection to Officer Fernandez’s testimony that Megan** 12 **was in sustained fear of petitioner**

##### 13 1. Petitioner’s argument<sup>4</sup>

14 Here, as in the Court of Appeal, petitioner “contends the trial court erred in admitting into  
15 evidence [Officer] Fernandez’s testimony that Megan was in ‘sustained fear.’” Faulkner, 2014  
16 WL 1678611, at \*3. “He contends this testimony was improper opinion testimony.” Id. To  
17 support this contention, he notes that sustained fear is an element of the offense of criminal  
18 threats, i.e., “the requisite mental state of the victim.” Faulkner, 2014 WL 1678611, at \*3; see  
19 also Cal. Penal Code § 422(a). Further, he contends that “police officers may not testify as to  
20 another person’s state of mind.” Id. Thus, he concludes that “Fernandez’s testimony was an  
21 [improper] opinion on the ultimate fact of [his] guilt.” Faulkner, 2014 WL 1678611, at \*3; (see  
22 also ECF No. 1 at 8–10.) Consequently, in his assessment, “this erroneous admission of evidence  
23 going to a central element of the question of guilt or innocence was so prejudicial as to constitute  
24 a denial of due process.” (ECF No. 1 at 12.)

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27 <sup>4</sup> Defense counsel conceded that petitioner was guilty of battery. (Doc. 11 at 292.) Therefore,  
28 his claims pertain to the conviction for criminal threats.



1                   2.     Officer Fernandez’s testimony

2                   At petitioner’s trial, Officer Fernandez offered the following relevant testimony. The  
3 testimony pertained to “Megan’s physical demeanor the night of the incident.” Faulkner, 2014  
4 WL 1678611, at \*3.

5                   “[PROSECUTOR]: Can you tell us—tell the jury [Megan]’s physical demeanor  
6 when you first saw her that night?

7                   “[FERNANDEZ]: Um, almost to the point of tears. She was definitely upset.  
8 Really worried about what the petitioner was—where he was, if we would locate  
him. I would suggest sustained fear.

9                   “[DEFENSE COUNSEL]: I object to that last comment, your Honor. Lack of  
10 foundation and speculative. It is an opinion. I’ll move to strike it. Ask the Court  
to admonish the jury to disregard it.

11                   “[THE COURT]: Overruled. That is within the ability of the percipient witness to  
12 evaluate, in my opinion. So I’ll allow it.”

13                   The testimony continued,

14                   “[PROSECUTOR]: From the time you first arrived at the location . . . and met  
15 with [Megan]—when you first arrived, did she have that physical manifestation  
that you described? Almost in tears-type of fear when you first got there?

16                   “[FERNANDEZ]: Yes.

17                   “[PROSECUTOR]: How long did you remain at that location when you first began  
18 investigating this case?

19                   “[¶] . . . [¶]

20                   “[FERNANDEZ]: No less than 30 minutes.

21                   “[PROSECUTOR]: And in the time you were at that location, did [Megan] remain,  
22 as well?

23                   “[FERNANDEZ]: Yes, she did.

24                   “[PROSECUTOR]: Did you have continued interaction with her during that  
25 portion of your investigation?

26                   “[FERNANDEZ]: Yes.

27                   “[PROSECUTOR]: During that time, did it appear that her physical fear—the  
28 manifestation of her fear lessened at all while you were there?

1 “[FERNANDEZ]: No.

2 “[PROSECUTOR]: She remained scared the whole time?

3 “[FERNANDEZ]: Yes.

4 “[PROSECUTOR]: While you are there, did she ask you in any way if there is  
5 anything that you could do to protect her and her family from the petitioner?

6 “[FERNANDEZ]: Yes, she did.

7 “[PROSECUTOR]: What did she say?

8 “[FERNANDEZ]: She asked lots of questions. Due to there being family there—  
9 sister-in-law, sister’s friend, the manner in which the incident occurred, um, she  
10 was pretty fearful. She did not want to leave her sister-in-law there, her friend  
11 there. [¶] [S]o I informed her of all her courses of action that she could do, such  
12 as—well you know, maybe you can go home. That wasn’t an option because her  
13 sister-in-law was still there. She didn’t want [petitioner] to come back and her be  
14 there by herself.

15 “[¶] . . . [¶]

16 “[PROSECUTOR]: And after her husband arrived, did [Megan]’s—the physical  
17 manifestations of her fear change or lessen at all?

18 “[FERNANDEZ]: No.

19 “[PROSECUTOR]: She remained afraid even when her husband showed up?

20 “[FERNANDEZ]: Yes.

21 “[PROSECUTOR]: And did she ask you to try to find the [petitioner] so he  
22 wouldn’t be out and she would be safe?

23 “[FERNANDEZ]: Yes.”

24 Faulkner, 2014 WL 1678611, at \*3 (most alterations in original).

25 3. Trial court’s response to petitioner’s objection

26 The trial court rejected petitioner’s argument that Officer Fernandez’s testimony was an  
27 improper opinion that Megan was in sustained fear. The trial court reasoned:

28 [T]he Defense objected to the People inquiring of the current witness of his  
opinion concerning whether the victim was in fear when he interviewed her. That  
objection was overruled because the current witness testified about indicia that led  
him to that opinion. Physical indicia . . . of the victim and the surrounding

1 circumstances. And it is well within the ability of a percipient witness to render  
2 such an opinion. [¶] I do note, however, the opinion testimony instruction 333 is  
3 not included in the People’s instructions and that should be included. And that  
4 very adequately explains how the jury can deal with such opinion testimony.

5 Faulkner, 2014 WL 1678611, at \*3.

6 4. The Court of Appeal’s response to petitioner’s objection

7 The Court of Appeal declined to rule on whether the trial court improperly admitted  
8 Officer Fernandez’s opinion testimony. The Court stated that, while “nonexperts are allowed to  
9 state opinions in limited situations,” the propriety of the testimony was a “close question.” Id. at  
10 \*4. Instead, it held that “even if there was error, the error was harmless.” Id. (citations omitted).

11 In holding that the alleged error was harmless, it reasoned:

12 There was ample evidence of Megan’s fear and its extent. Nicole testified Megan  
13 was screaming and “going crazy” because she was so afraid of [petitioner]. The  
14 jury heard Megan’s 911 call and the tone of her voice five minutes after  
15 [petitioner] left the property. [Officer] Fernandez testified as to Megan’s  
16 appearance, demeanor, and behavior; the physical manifestations of her fear.  
17 When he arrived at the scene 15 to 20 minutes after the 911 call, Megan appeared  
18 to be in fear, she was “definitely upset” “almost to the point of tears.” [Officer]  
19 Fernandez was with her for at least 30 minutes and during that entire time, those  
20 physical manifestations of fear did not lessen. Megan told [Officer] Fernandez she  
21 was afraid her brother was going to stab her. She also asked [Officer] Fernandez  
22 to find [petitioner] so she would be safe. The evidence reflects Megan was in fear  
23 from [petitioner]’s threat for at least one hour after defendant left the property.  
24 Although not defined in Penal Code section 422, the term “sustained fear” has  
25 been defined by case law as “a period of time that extends beyond what is  
26 momentary, fleeting, or transitory.” (*People v. Allen* (1995) 33 Cal. App. 4th  
27 1149, 1156; *In re Ricky T.* (2001) 87 Cal. App. 4th 1132, 1140; *People v. Fierro*  
28 (2010) 180 Cal. App. 4th 1342, 1349; CALCRIM No. 1300.) The evidence  
strongly supports the conclusion Megan was in sustained fear. Based on this  
record, it is not reasonably probable that the result would have been different in the  
absence of any error in admitting [Officer] Fernandez’s opinion testimony.  
(*Watson*, at p. 836.)

24 Id.

25 5. Discussion

26 The Court of Appeal declined to decide whether Officer Fernandez’s opinion testimony  
27 that Megan was in sustained fear was improper under state law and did not make any express  
28 ruling on petitioner’s federal constitutional claim. Instead, it expressly rejected petitioner’s first

1 claim only under a harmless error analysis. Because § 2254(d) requires this court to review the  
2 Court of Appeal’s decision on the federal constitutional claim, this court must determine what  
3 that decision is. The court finds two possible ways to interpret the state court’s silence on the  
4 federal constitutional issue here. First, the Supreme Court has held that where a state court  
5 decision on a petitioner's claims rejects some claims but does not expressly address a federal  
6 claim, a federal habeas court must presume, subject to rebuttal, that the federal claim was  
7 adjudicated on the merits. Johnson v. Williams, 133 S. Ct. 1088, 1091 (2013). A second way to  
8 look at the state court’s decision is to assume its harmless determination applied to all legal  
9 arguments made in this claim. This court addresses both of these possible state court decisions  
10 below.

11 a. Due process claim

12 Assuming the state court rejected petitioner’s due process claim on its merits, then this  
13 court must determine whether rejection of that claim was contrary to, or an unreasonable  
14 application of, clearly established federal law. It is well established that “federal habeas corpus  
15 relief does not lie for errors of state law.” Estelle, 502 U.S. at 67 (citing Lewis v. Jeffers, 497  
16 U.S. 764, 780 (1990)); see also Wilson, 562 U.S. at 5; Pulley v. Harris, 465 U.S. 37, 41 (1984);  
17 Park, 202 F.3d at 1149; Jammal v. Van de Kamp, 926 F.2d 918, 919 (9th Cir. 1991). Thus,  
18 whether a petitioner’s “due process rights were violated by the admission of evidence . . . . is  
19 [usually] no part of a federal court’s habeas review of a state conviction.” Id.; see also Rhoades  
20 v. Henry, 638 F.3d 1027, 1034 n.5 (9th Cir. 2011) (“[E]videntiary rulings based on state law  
21 cannot form an independent basis for habeas relief.”).

22 Nonetheless, the erroneous admission of evidence at trial may violate due process if “the  
23 evidence so fatally infected the proceedings as to render them fundamentally unfair.” Jammal,  
24 926 F.2d at 919; accord Gonzalez v. Knowles, 515 F.3d 1006, 1011 (9th Cir. 2008). However,  
25 “[t]he Supreme Court has made very few rulings regarding the admission of evidence as a  
26 violation of due process.” Holley v. Yarborough, 568 F.3d 1091, 1101 (9th Cir. 2009). Indeed,  
27 while habeas courts should issue a writ “when constitutional errors have rendered the trial  
28 fundamentally unfair,” the Supreme Court “has not yet made a clear ruling that admission of

1 irrelevant or overtly prejudicial evidence constitutes a due process violation sufficient to warrant  
2 issuance of the writ.” Id. (citation omitted).

3 Here, the Court of Appeal reasonably concluded that any error caused by Officer  
4 Fernandez’s opinion testimony that Megan was in sustained fear did not affect the outcome of  
5 petitioner’s trial. Officer Fernandez provided properly admissible testimony regarding Megan’s  
6 physical manifestations of fear: she appeared to be in fear fifteen to twenty minutes after the 911  
7 call; she appeared upset and was almost in tears; she stayed that way for at least thirty more  
8 minutes; she said that she was afraid that her brother was going to stab her; and she asked Officer  
9 Fernandez to find petitioner so that she would be safe. Additionally, Nicole testified that Megan  
10 was “going crazy” because she was so afraid of petitioner, and the jury heard Megan’s voice in a  
11 911 call shortly after he threatened to stab her. The trial court’s instructions to the jurors further  
12 ameliorated any prejudicial effect of Officer Fernandez’s testimony. The trial court instructed the  
13 jurors that they did not have to accept Officer Fernandez’s lay opinion testimony as “true or  
14 correct” and that they could give it “whatever weight [they deemed] appropriate.” Faulkner, 2014  
15 WL 1678611, at \*4. Further, the trial court instructed the jurors that they could “disregard all or  
16 any part of [Officer Fernandez’s] opinion that [they found] unbelievable, unreasonable, or  
17 unsupported by the evidence.” Id.

18 Moreover, petitioner has not identified any controlling cases holding that such an alleged  
19 error has rendered a trial fundamentally unfair, and the court knows of none. See Holley, 568  
20 F.3d at 1101. Accordingly, the Court of Appeal’s rejection of his first claim was not contrary to,  
21 or an unreasonable application of, clearly established federal law.

22 b. Harmless error

23 If the court considers the Court of Appeal’s harmless determination to apply to the  
24 federal constitutional issue, then the court must decide if a determination that any due process  
25 error was harmless was contrary to, or an unreasonable application of, clearly established federal  
26 law. See Ayala, 135 S. Ct. at 2199 (section 2254(d) applies to a state court’s harmless  
27 determination) (citing Fry v. Pliler, 551 U.S. 112, 119 (2007)).

28 ///

1           The state court held any error harmless because it was not “reasonably probable” that the  
2 result of petitioner’s trial would have been different had Officer Fernandez not given the opinion  
3 testimony. The court cited no federal authority for its holding. It cited two state court cases -  
4 People v. Watson, 46 Cal. 2d 818, 836 (1956) and People v. McNeal, 46 Cal. 4th 1183, 1188,  
5 1203 (2009). In Watson, the California Supreme Court set out the state’s harmless error standard  
6 for trial court errors. That test requires the state court to evaluate “whether it is reasonably  
7 probable that a result more favorable to [the appellant] would have been reached absent the  
8 error.” 46 Cal. 2d at 836. The California Supreme Court in Neal applied the Watson test. 46  
9 Cal. 4th at 1188, 1203.

10           The test set out in Watson is not the harmless error test used on direct review of federal  
11 constitutional errors. The test for the harmlessness of federal constitutional errors is whether the  
12 error was harmless beyond a reasonable doubt. See Chapman v. California, 386 U.S. 18, 24  
13 (1967). Accordingly, the state court applied an incorrect standard of review and its decision was  
14 “contrary to” the clearly established rule of Chapman. In this situation, this court should review  
15 petitioner’s due process claim de novo. Frantz, 533 F.3d at 735.

16           For the reasons set forth in the prior section, this court finds the admission of Officer  
17 Fernandez’s opinion testimony did not render petitioner’s trial fundamentally unfair. There was  
18 ample evidence, as described above, besides Officer Fernandez’s testimony that Megan was in  
19 fear for “a period of time that extends beyond what is momentary, fleeting, or transitory.” People  
20 v. Allen, 33 Cal. App. 4th 1149, 1156 (1995). Officer Fernandez’s brief statement of opinion  
21 would not have affected the jurors’ determination that Megan was in sustained fear of petitioner.  
22 Accordingly, petitioner’s due process challenge to the admission of Officer Fernandez’s  
23 testimony may also be denied on its merits.

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1           **B.     Whether the cumulative prejudicial effect of two critical errors violated**  
2           **petitioner’s due process rights**

3                   1.     Petitioner’s argument

4           Here, as in the California Court of Appeal, petitioner “contends the cumulative effect of  
5 the errors of denying [his] motion for a mistrial after Nicole’s testimony about visiting [him] and  
6 in admitting [Officer] Fernandez’s testimony was prejudicial.” Faulkner, 2014 WL 1678611, at  
7 \*5. Petitioner “claims Nicole’s testimony made clear that [he] was in custody during the trial[  
8 Id. Thus, “the jurors . . . reasonably believe[d] [he] was too dangerous to be released, and more  
9 readily believe[d] he committed the acts with which he was charged.” Id.

10                   2.     Nicole’s testimony

11           “[PROSECUTOR]: In fact, after the [petitioner] was arrested for what he did on  
12 August 4, you visited him twice, right?”

13           “[NICOLE]: Yes, I did.

14           “[PROSECUTOR]: You visited him even most recently on the 14th of this month  
15 for 45 minutes; isn’t that right?”

16           “[NICOLE]: Yes, I did.

17           “[PROSECUTOR]: Discussed his case with him?”

18           “[NICOLE]: No, not really. No, not in particular.

19           “[PROSECUTOR]: And in September, you visited him. That would be on the 2nd  
20 of September. You went in and visited him for 20 minutes; isn’t that right?”

21           “[NICOLE]: Yes, I did.”

22           Faulkner, 2014 WL 1678611, at \*5.

23                   3.     The trial court’s response to petitioner’s objection

24           “At that point in the examination, the trial court interrupted and held proceedings outside  
25 the presence of the jury.” Id. “The trial court advised Nicole the jury was unaware [petitioner]  
26 was in custody and to be sure she did not inadvertently provide testimony that would inform the  
27 jury of that fact.” Id. “Defense counsel made a motion for mistrial based on prosecutorial  
28 misconduct.” Id. “He argued the obvious inference of the prosecutor’s questioning, with specific

1 dates and times of visits, ‘is that [petitioner] was in custody on [those dates] and that he’s in  
2 custody now, which is something that shouldn’t be before this jury.’” Id. “The trial court replied,  
3 ‘I disagree that it is an obvious inference that the [petitioner] is in custody. He was—[the  
4 prosecutor] asked questions about these visits. Like yourself, I became concerned that we were  
5 going to start talking about jail time. That’s why I called a recess and brought you both up to the  
6 bench . . . [¶] Obviously, the defendant is in civilian clothing, there’s no indication he’s in  
7 custody. And reference has not been made to him having been in custody.’” Id.

8 4. The Court of Appeal’s response to petitioner’s objection

9 The Court of Appeal rejected petitioner’s second claim, reasoning:

10 Some references to a defendant’s custodial status can impair the presumption of  
11 innocence, violating his or her rights to due process and a fair trial. (*Estelle v.*  
12 *Williams* (1976) 425 U.S. 501 [48 L. Ed. 2d 126]; *People v. Bradford* (1997) 15  
13 Cal. 4th 1229.) In *Bradford*, the prosecutor questioned a witness during direct  
14 examination about her continued friendship with defendant in an attempt to reveal  
15 bias. (*Bradford*, at p. 1335.) He asked whether she had given the defendant  
16 anything in the last month, to which she replied, “‘Like what can I give him,  
17 writing paper?’” (*Ibid.*) The California Supreme Court rejected the claim of  
18 misconduct, as “[t]he prosecutor did not refer expressly to the circumstance that  
19 defendant was in custody in questioning [the witness] concerning her continuing  
20 ties to defendant, and, as the trial court found, the single, spontaneous comment  
21 made by [the witness] did not necessarily raise the inference that defendant was in  
22 fact in custody. Even if it had, however, an isolated comment that a defendant is  
23 in custody simply does not create the potential for the impairment of the  
24 presumption of innocence that might arise were such information repeatedly  
25 conveyed to the jury.” (*Bradford*, at p. 1336.)

26 Here, as noted by the trial court, there was no express reference to [petitioner]’s  
27 custodial status, [petitioner] remained in civilian clothing and there were no other  
28 indications he was in custody. We are not persuaded that the jury would have  
necessarily construed the prosecutor’s questions to mean that [petitioner] was in  
custody. Nonetheless, to the extent the questions did raise that inference, it was  
just an inference, and a comparatively minor one when taken in the context of the  
strong evidence of [petitioner’s] guilt. As with the isolated comment in *Bradford*,  
this inference did not irreparably damage [petitioner’s] chance of receiving a fair  
trial.

Based on our conclusion that there was no error in the denial of the motion for a  
mistrial, we also reject [petitioner’s] claim of cumulative error. “We have  
considered each claim on the merits, and neither singly nor cumulatively do they

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1 establish prejudice requiring the reversal of the convictions.” (*People v. Lucas*  
2 (1995) 12 Cal. 4th 415, 476.)

3 Faulkner, 2014 WL 1678611, at \*5–6.

4 5. Discussion

5 “The Supreme Court has clearly established that the combined effect of multiple trial  
6 court errors violates due process where it renders the resulting criminal trial fundamentally  
7 unfair.” Parle v. Runnels, 505 F.3d 922, 927 & n.5 (9th Cir. 2007) (citing cases). “The  
8 cumulative effect of multiple errors can violate due process even where no single error rises to the  
9 level of a constitutional violation or would independently warrant reversal.” Id. at 927 & n.6  
10 (citations omitted).

11 “Under traditional due process principles, cumulative error warrants habeas relief only  
12 where the errors have so infected the trial with unfairness as to make the resulting conviction a  
13 denial of due process.” Id. (citing Donnelly v. DeChristoforo, 416 U.S. 637, 643 (1974)). “Such  
14 infection occurs where the combined effect of the errors had a substantial and injurious effect or  
15 influence on the jury’s verdict.” Id. (citing Brecht, 507 U.S. at 637). “In simpler terms, where  
16 the combined effect of individually harmless errors renders a criminal defense far less persuasive  
17 than it might [otherwise] have been, the resulting conviction violates due process.” Id. (citing  
18 Chambers v. Mississippi, 410 U.S. 284, 302–03 (1973)). Nevertheless, “[i]f the evidence of guilt  
19 is otherwise overwhelming, the errors are considered harmless and the conviction will generally  
20 be affirmed.” Id. at 928 (citation omitted).

21 Here, the Court of Appeal reasonably concluded that the cumulative effect of the two  
22 alleged errors did not violate petitioner’s due process rights. The Court of Appeal reasonably  
23 concluded that Nicole’s testimony did not necessarily lead the jury to conclude that petitioner was  
24 in custody. Nicole never said where she had visited petitioner, and jurors had no reason to  
25 believe that he was in custody at the time of trial since he was dressed in civilian clothes. The  
26 Court of Appeal also reasonably concluded that any inference that he was in custody was  
27 comparatively minor considering the strong evidence of his guilt. As discussed, that evidence  
28

1 included statements Megan made to Officer Fernandez at the scene and in her 911 call. It also  
2 included Officer Fernandez’s observations of her demeanor and behavior, and Nicole’s  
3 observations of her reaction to petitioner’s conduct.

4 Additionally, the Court of Appeals reasonably “reject[ed] [petitioner’s] claim of  
5 cumulative error.” Faulkner, 2014 WL 1678611, at \*6. Petitioner has not shown that the  
6 combined effect of the alleged errors made his defense far less persuasive than it otherwise  
7 might have been. For starters, he has not shown that the admission of Officer Fernandez’s  
8 testimony or the denial of his motion for a mistrial constituted errors. And, in any event, there  
9 was “strong evidence of [his] guilt.” Id. Indeed, even if these actions constituted errors, the  
10 errors would be harmless because the evidence of his guilt is “overwhelming.” Parle, 505 F.3d at  
11 928 (citation omitted). Accordingly, claim (2) lacks merit.

12 **C. Whether the prosecutor violated petitioner’s constitutional rights through**  
13 **his charging decisions and failure to excuse a biased juror**

14 1. Petitioner’s arguments

15 In his state habeas petition,<sup>5</sup> petitioner contends that the prosecutor violated his  
16 constitutional rights by pursuing criminal charges and not a drug diversion program. (Doc. 7 at  
17 5–11.) To support this contention, he asserts that: (1) he has a drug problem; (2) Megan testified  
18 that she wanted him arrested because of his drug problems, not because of the threats; (3) the case  
19 was weak; and (4) people in the community, including Megan, wanted him to enter a drug  
20 diversion program. (Id. at 5–6, 8.) Further, he asserts that the prosecutor’s decision violated  
21 equal protection because he is a member of an allegedly protected class of people “called drug  
22 users or . . . ‘Dope Addicts.’” (Id. at 9–10.)

23 Petitioner also contends that the prosecutor violated his “constitutional rights to a fair trial  
24 and impartial jury” by allowing a biased juror to remain on the panel. (Id. at 11–12.) He asserts  
25 that an unidentified juror worked as a manager at a Home Depot from which he shoplifted. (Id.)  
26 Further, he asserts that “this juror was prejudiced by [his] past criminal behavior.” (Id.)

27 \_\_\_\_\_  
28 <sup>5</sup> The court will assume that petitioner meant to incorporate the arguments from his state habeas  
petition into his federal habeas petition. Cf. ECF No. 1 at 5.

1 Additionally, he asserts that “[t]his influential member of the community most likely influenced  
2 the prosecution in taking such a hard line stance towards how [he] was charged, and how the trial  
3 was proceeded with, not to mention how [he] was sentenced.” (Id.)

4           2.       Deference under § 2254(d)

5           The California Supreme Court rejected this claim without comment. “When a federal  
6 claim has been presented to a state court and the state court has denied relief, it may be presumed  
7 that the state court adjudicated the claim on the merits in the absence of any indication or state-  
8 law procedural principles to the contrary.” Harrington v. Richter, 562 U.S. 86, 99 (2011). “This  
9 presumption may be overcome when there is reason to think some other explanation for the state  
10 court’s decision is more likely.” Id. at 99–100 (citing Ylst v. Nunnemaker, 501 U.S. 797, 803  
11 (1991)).

12           Where the state court reaches a decision on the merits but provides no reasoning to  
13 support its conclusion, a federal habeas court independently reviews the record to determine  
14 whether relief is available under § 2254(d). Stanley, 633 F.3d at 860; Himes v. Thompson, 336  
15 F.3d 848, 853 (9th Cir. 2003). “Independent review of the record is not de novo review of the  
16 constitutional issue, but rather, the only method by which [the court] can determine whether a  
17 silent state court decision is objectively unreasonable.” Himes, 336 F.3d at 853. Where no  
18 reasoned decision is available, the habeas petitioner still has the burden of “showing there was no  
19 reasonable basis for the state court to deny relief.” Richter, 562 U.S. at 98.

20           3.       Discussion

21           a.       Charging decision

22           “In our system, so long as the prosecutor has probable cause to believe that the accused  
23 committed an offense defined by statute, the decision whether or not to prosecute, and what  
24 charge to file . . . , generally rests entirely in his discretion.” Bordenkircher v. Hayes, 434 U.S.  
25 357, 364 (1978). At the same time, a prosecutor’s charging discretion is ““subject to  
26 constitutional constraints.”” United States v. Armstrong, 517 U.S. 456, 464 (1996) (citation  
27 omitted). “One of these constraints, imposed by the [Equal Protection Clause] . . . is that the  
28 decision whether to prosecute may not be based on an unjustifiable standard such as race,

1 religion, or other arbitrary classification[.]” Id. (citations omitted). Another is that a prosecutor  
2 may not engage in “vindictive prosecution” by adding new or more serious charges to punish a  
3 person for exercising his constitutional rights. See United States v. Goodwin, 457 U.S. 368, 372  
4 (1982); see also United States v. Robison, 644 F.2d 1270, 1272 (9th Cir. 1981) (“In almost every  
5 case in which courts have condemned prosecutions as vindictive, the defendant, after exercising  
6 some procedural right, had been confronted with a more serious or an additional charge . . .”).  
7 However, absent “clear evidence to the contrary,” courts presume that prosecutors make charging  
8 decisions within constitutional bounds. See Armstrong, 517 U.S. at 465. Furthermore, “[t]he  
9 prosecutor may be influenced by the penalties available upon conviction, but this fact, standing  
10 alone, does not give rise to a violation of the Equal Protection or Due Process Clause.” United  
11 States v. Bachelder, 442 U.S. 114, 125 (1979).

12 Here, the prosecutor enjoyed broad discretion to pursue criminal charges rather than a  
13 drug diversion program. Furthermore, petitioner has neither argued nor shown that the prosecutor  
14 charged him to retaliate against him for exercising his right to trial. Additionally, he has cited no  
15 authority, and the court knows of none, proposing that drug addicts are a protected class for equal  
16 protection purposes. See Fields v. Legacy Health Sys., 413 F.3d 943, 955 (9th Cir. 2005) (stating  
17 that “race, alienage, national origin, [and] sex” are examples of “protected characteristics” under  
18 the Equal Protection Clause). Moreover, even were this so, he has not shown that his drug  
19 addiction motivated the prosecutor to charge him. Accordingly, the California Supreme Court  
20 reasonably rejected this argument.

21 b. Biased Juror

22 This claim is deficient for several reasons. First, in his state habeas petition, petitioner did  
23 not provide any evidence showing that the unnamed juror managed a Home Depot. Second, he  
24 did not provide any evidence showing that he was arrested for shoplifting at the Home Depot  
25 where the juror allegedly worked. Third, assuming the juror managed a Home Depot from which  
26 he shoplifted, he did not provide evidence that the juror knew of the incident. Fourth, he did not  
27 provide evidence that the prosecutor knew of the alleged incident or the alleged familiarity  
28 between him and the juror. Petitioner asserts that the prosecutor “could have known this fact”

1 because he had a duty to “conduct a full investigation into each and every juror prior to the  
2 beginning of the trial.” (Doc. 7 at 12.) But petitioner has not identified any authority for this  
3 proposition, and the court knows of none, binding or otherwise. Cf. Standard 3-6.3 Selection of  
4 Jurors, ABA Standards for Criminal Justice 3-6.3. Accordingly, the California Supreme Court  
5 reasonably rejected this argument.

6 For these reasons, claim (3) lacks merit.

7 **D. Whether petitioner’s trial and appellate counsel were ineffective**

8 Petitioner bootstraps his fourth claim onto this third. In his state habeas petition, he  
9 contends that his trial counsel was ineffective for failing to persuade the prosecutor to pursue a  
10 drug diversion program and challenge the allegedly biased witness. (Doc. 7 at 14.) Likewise, he  
11 contends that his appellate counsel was ineffective for failing to raise these issues on appeal. (Id.  
12 at 16.)

13 1. Trial counsel

14 To establish a claim of ineffective assistance of counsel, petitioner must show that his  
15 attorney’s performance was deficient and that the deficient performance prejudiced his defense.  
16 Strickland v. Washington, 466 U.S. 668, 687 (1984). To prove deficiency, he must show that his  
17 attorney’s performance “fell below an objective standard of reasonableness” as measured by  
18 prevailing professional norms. Id. at 688. To prove prejudice, he “must show that there is a  
19 reasonable probability that, but for counsel’s unprofessional errors, the result of the proceeding  
20 would have been different.” Id. at 694.

21 Regarding the performance prong, courts must “indulge a strong presumption that  
22 counsel’s conduct falls within the wide range of reasonable professional assistance.” Id. at 689;  
23 see also Premo v. Moore, 131 S. Ct. 733, 739–40 (2011) (citations omitted). Thus, courts must  
24 make every effort “to eliminate the distorting effects of hindsight, to reconstruct the  
25 circumstances of counsel’s challenged conduct, and to evaluate the conduct from counsel’s  
26 perspective at the time.” Strickland, 466 U.S. at 669; see Harrington v. Richter, 562 U.S. 86, 101,  
27 107 (2011). Likewise, courts must “give the attorneys the benefit of the doubt” and  
28 “affirmatively entertain the range of possible reasons [defense] counsel may have had for

1 proceeding as they did.” Cullen v. Pinholster, 563 U.S. 170, 195 (2011) (some alterations  
2 omitted).

3 Regarding the prejudice prong, a reasonable probability is “a probability sufficient to  
4 undermine confidence in the outcome.” Strickland, 466 U.S. at 694. “The likelihood of a  
5 different result must be substantial, not just conceivable.” Richter, 562 U.S. at 112. “[A] court  
6 need not determine whether counsel’s performance was deficient before examining the prejudice  
7 suffered by the defendant as a result of the alleged deficiencies.” Strickland, 466 U.S. at 697. “If  
8 it is easier to dispose of an ineffectiveness claim on the ground of lack of sufficient prejudice, . . .  
9 that course should be followed.” Id.

10 It is “all the more difficult” to prevail on a Strickland claim under § 2254(d). Richter, 562  
11 U.S. at 105. As the standards that Strickland and § 2254(d) create are both “highly deferential,”  
12 review is “doubly” so when the two apply in tandem. Id. (citation omitted). Thus, “[w]hen §  
13 2254(d) applies, the question is not whether counsel’s actions were reasonable.” Id. Rather,  
14 “[t]he question is whether there is any reasonable argument that counsel satisfied *Strickland*’s  
15 deferential standard.” Id.

16 Here, there is an argument that trial counsel’s assistance was reasonable. As discussed  
17 earlier, prosecutors enjoy wide latitude in charging; petitioner has not shown that the prosecutor’s  
18 decision to pursue criminal charges rather than a drug diversion program was improper. And his  
19 assertion that his counsel could have persuaded the prosecutor to do otherwise is speculative. See  
20 Brown v. Wenerowicz, 663 F.3d 619, 634 (3d Cir. 2011) (“Speculation is not enough under  
21 AEDPA.”); Kinnamon v. Scott, 40 F.3d 731, 735 (5th Cir. 1994) (speculative arguments that  
22 counsel could have obtained the desired result are insufficient for habeas relief).

23 There is also a reasonable argument that trial counsel was not ineffective for failing to  
24 challenge the allegedly biased juror. Again, petitioner did not provide any evidence showing that  
25 he shoplifted from a Home Depot or that the unspecified juror worked there or knew of his  
26 alleged shoplifting. Therefore, he has not shown that his counsel knew that the alleged juror was  
27 biased against him. Further, while he suggests that his counsel should have more thoroughly  
28 investigated the juror, he does not explain how counsel was deficient in this regard. See Jones v.

1 Gomez, 66 F.3d 199, 205 (9th Cir. 1995) (“[C]onclusory suggestions that . . . trial . . . counsel  
2 provided ineffective assistance fall far short of stating a valid claim of constitutional violation.”).

3 Additionally, there is a reasonable argument that counsel’s failure to investigate the  
4 allegedly biased juror did not prejudice petitioner. As discussed, the evidence of his guilt was  
5 strong. Therefore, even had counsel successfully challenged the juror, there is no reasonable  
6 probability that the outcome of the trial would have been different.<sup>6</sup>

7 For these reasons, petitioner has not shown that his trial counsel was ineffective.

8 2. Appellate counsel

9 The Strickland standard applies to appellate counsel as well as trial counsel. Smith v.  
10 Murray, 477 U.S. 527, 535–36 (1986); Miller v. Keeney, 882 F.2d 1428, 1433–44 (9th Cir.  
11 1989). However, an indigent defendant “does not have a constitutional right to compel appointed  
12 counsel to press nonfrivolous points requested by the client, if counsel, as a matter of professional  
13 judgment, decides not to present those points.” Jones v. Barnes, 463 U.S. 745, 751 (1983).  
14 Counsel “must be allowed to decide what issues are to be pressed.” Id. Otherwise, the ability of  
15 counsel to present the client’s case in accord with his or her professional evaluation would be  
16 “seriously undermine[d].” Id.; see also Smith v. Stewart, 140 F.3d 1263, 1274 n.4 (9th Cir. 1998)  
17 (citing cases) (Counsel is not required to file “kitchen-sink briefs” because it “is not necessary,  
18 and is not even particularly good appellate advocacy.”); Pollard v. White, 119 F.3d 1430, 1435  
19 (9th Cir. 1997) (“A hallmark of effective appellate counsel is the ability to weed out claims that  
20 have no likelihood of success, instead of throwing in a kitchen sink full of arguments with the  
21 hope that some argument will persuade the court.”); Miller v. Keeney, 882 F.2d 1428, 1434 &

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22  
23 <sup>6</sup> Under Strickland, prejudice is presumed in certain cases. Bell v. Cone, 535 U.S. 685, 695  
24 (2002) (citation omitted). However, “no Supreme Court precedent holds that a failure to  
25 investigate potential juror bias presents structural error . . . .” Sims v. Rowland, 414 F.3d 1148,  
26 1153 (9th Cir. 2005). Granted, the Ninth Circuit has stated that “[t]he presence of a biased juror  
27 cannot be harmless; the error requires a new trial without a showing of actual prejudice.” Dyer v.  
28 Calderon, 151 F.3d 970, 973 (9th Cir. 1998). However, circuit court precedent may not be “used  
to refine or sharpen a general principle of Supreme Court jurisprudence into a specific legal rule  
that [the Supreme] Court has not announced.” Marshall, 133 S. Ct. at 1450. Thus, counsel’s  
failure to investigate the allegedly biased juror is not presumptively prejudicial under AEDPA.  
Sims, 414 F.3d at 1153 (citation omitted).

1 n.10 (9th Cir. 1989) (citing authorities) (“[T]he weeding out of weaker issues is widely  
2 recognized as one of the hallmarks of effective appellate advocacy.”).

3 Further, it is well settled that appellate counsel has no obligation to raise meritless  
4 arguments on a client’s behalf. See Strickland, 466 U.S. at 687 (requiring a showing of deficient  
5 performance and prejudice); see also Moormann v. Ryan, 628 F.3d 1102, 1107 (9th Cir. 2010)  
6 (citation omitted) (“[A]ppellate counsel did not act unreasonably in failing to raise a meritless  
7 claim . . . , and [the petitioner] was not prejudiced by appellate counsel’s omission.”); Turner v.  
8 Calderon, 281 F.3d 851, 872 (9th Cir. 2002) (citations omitted) (“A failure to raise untenable  
9 issues on appeal does not fall below the *Strickland* standard.”). To establish prejudice, petitioner  
10 must demonstrate that “there is a reasonable probability that [he] would have won the appeal” but  
11 for appellate counsel’s errors. Miller, 882 F.2d at 1434 n.9.

12 The performance and prejudice “prongs partially overlap when evaluating the  
13 performance of appellate counsel.” Id. at 1434. “Appellate counsel will . . . frequently remain  
14 above an objective standard of competence (prong one) and have caused her client no prejudice  
15 (prong two) for the same reason—because she declined to raise a weak issue.” Id.

16 Here, there is a reasonable argument that appellate counsel was not ineffective. As  
17 explained, claim (3) lacks merit. See supra Part III(C)(3). Thus, appellate counsel had no  
18 obligation to raise it on appeal. For the same reason, there is no reasonable probability that  
19 petitioner would have won the appeal had appellate counsel raised claim (3). Thus, appellate  
20 counsel was not ineffective.

21 For these reasons, claim (4) lacks merit.

#### 22 **IV. CONCLUSION**


23 Petitioner has failed to establish that the decisions of the state courts rejecting his claims  
24 were contrary to, or an unreasonable application of, clearly established federal law or an  
25 unreasonable determination of the facts. See 28 U.S.C. § 2254(d). Because he has not satisfied  
26 the requirements of § 2254(d), IT IS HEREBY RECOMMENDED that his petition for a writ of  
27 habeas corpus be denied.

28 ///



1           These findings and recommendations will be submitted to the United States District Judge  
2 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
3 after being served with these findings and recommendations, any party may file written  
4 objections with the court and serve a copy on all parties. The document should be captioned  
5 “Objections to Magistrate Judge’s Findings and Recommendations.” In his objections petitioner  
6 may address whether a certificate of appealability should issue in the event he files an appeal of  
7 the judgment in this case. See Rule 11, Rules Governing Section 2254 Cases (the district court  
8 must issue or deny a certificate of appealability when it enters a final order adverse to the  
9 applicant). A certificate of appealability may issue under 28 U.S.C. § 2253 “only if the applicant  
10 has made a substantial showing of the denial of a constitutional right.” 28 U.S.C. § 2253(c)(3).  
11 Any response to the objections shall be filed and served within fourteen days after service of the  
12 objections. The parties are advised that failure to file objections within the specified time may  
13 result in waiver of the right to appeal the district court’s order. Martinez v. Ylst, 951 F.2d 1153,  
14 1157 (9th Cir. 1991).

15 Dated: July 27, 2017

16  
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19 DEBORAH BARNES  
20 UNITED STATES MAGISTRATE JUDGE

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