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UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

JOEL ANAYA,
Petitioner,
v.
S. SHERMAN, Warden,
Respondent.

No. 2:16-cv-0003 JAM KJN P

FINDINGS & RECOMMENDATIONS

I. Introduction

Petitioner is a state prisoner, proceeding without counsel, with an application for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner challenges his 2012 conviction for premeditated attempted murder, assault with a firearm, second degree robbery, and assault likely to cause great bodily injury. Petitioner claims that the trial court erred by failing to correct the prosecutor’s improper comment on petitioner’s failure to call an unavailable witness; and his sentence cruel and unusual punishment because petitioner was 18-years-old when he committed the nonhomicide offense for which he received the functional equivalent of a life sentence without the possibility of parole. After careful review of the record, this court concludes that the petition should be denied.

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1 II. Procedural History

2 1. In 2012, a jury found petitioner guilty of premeditated attempted murder, assault with a
3 firearm, second degree robbery, and assault likely to cause great bodily injury. Petitioner's
4 sentence was enhanced because the jury found all of the crimes were committed for the benefit of
5 a criminal street gang, petitioner personally used a firearm in the attempted murder, and he was
6 on bail when he committed the robbery and assault. Petitioner was sentenced to 55 years plus 30
7 years to life in state prison.

8 2. Petitioner appealed the conviction to the California Court of Appeal, which affirmed
9 the conviction. (Respondent's Lodged Document ("LD") 1.)

10 3. Petitioner filed a petition for review in the California Supreme Court, which was
11 denied. (LD 5, 6.)

12 4. In state court, petitioner filed collateral challenges to his conviction, but he raises only
13 direct appeal claims in this action.

14 5. Petitioner filed the instant petition on January 4, 2016. (ECF No. 1.)

15 III. Facts¹

16 In its unpublished opinion affirming petitioner's judgment of conviction on appeal, the
17 California Court of Appeal for the Third Appellate District provided the following factual
18 summary:

19 [Petitioner], who is a Norteño gang member, committed his crimes
20 during two separate incidents: one at Harvey Park in Galt on
21 November 17, 2010, and the other outside Greenhaven Liquor in
22 Sacramento on October 22, 2011, while he was out on bail on the
23 Harvey Park crimes.

24 Harvey Park

25 A group of Sureños and others not affiliated with any gang were
26 socializing at Harvey Park when [petitioner] and some of his fellow
27 Norteño gang members approached. [Petitioner] had a gun in his
28 hand, wrapped in a red bandanna. As [petitioner] approached, he took
off his shirt. He fired the gun about five times in the direction of the
Sureños. After [petitioner] stopped firing, either because the gun

¹ The facts are taken from the opinion of the California Court of Appeal for the Third Appellate District in People v. Anaya, No. C071288 (April 30, 2014), a copy of which was filed by respondent on April 13, 2016. (ECF No. 12-1.)

1 jammed or he was out of bullets, the Sureños chased the Norteños
2 away.

3 Just before the shooting, Deanna Evans was at Harvey Park with four
4 children. She saw a group of men aggressively approaching a group
5 that was already in the park. Thinking that there would be trouble,
6 Evans frantically loaded the children into her car, which was in the
7 middle of the two groups. She saw a man with a red bandanna over
8 his hand and heard four gunshots. She saw no other weapon among
9 the two groups of men. After hearing the gunshots, Evans was able
10 to drive away.

11 The main defense with respect to the Harvey Park incident was that
12 the Sureños had knives and that [petitioner] fired the gun in self-
13 defense. Zackery Ricardos, one of the Norteños with [petitioner] at
14 the time, told a detective that the Sureños “pulled out a knife” and
15 chased the Norteños. Called as a witness at trial, Ricardos claimed
16 not to remember the incident or talking to a detective, but he was
17 impeached with his prior inconsistent statements.

18 Greenhaven Liquor

19 D’Angelo Gutierrez dropped out of the Norteño gang and changed
20 his life. As he was leaving Greenhaven Liquor, he was attacked by
21 three men, two of whom could be identified by surveillance camera
22 footage of the attack as [petitioner] and his brother Jonathan. The
23 footage was shown to the jury. The assailants punched Gutierrez in
24 the face and, after he fell to the ground, kicked and punched him
25 numerous times all over the body until he lost consciousness. The
26 assailants took Gutierrez’s shirt and shoes and left the scene in a car
27 registered to Jonathan Anaya’s girlfriend.

28 Id. (ECF No. 12-1 at 3-4.)

IV. Standards for a Writ of Habeas Corpus

An application for a writ of habeas corpus by a person in custody under a judgment of a state court can be granted only for violations of the Constitution or laws of the United States. 28 U.S.C. § 2254(a). A federal writ is not available for alleged error in the interpretation or application of state law. See Wilson v. Corcoran, 562 U.S. 1, 4 (2010); Estelle v. McGuire, 502 U.S. 62, 67-68 (1991).

Title 28 U.S.C. § 2254(d) sets forth the following standards for granting federal habeas corpus relief:

An application for a writ of habeas corpus on behalf of a person in custody pursuant to the judgment of a State court shall not be granted with respect to any claim that was adjudicated on the merits in State court proceedings unless the adjudication of the claim -

1 (1) resulted in a decision that was contrary to, or involved an
2 unreasonable application of, clearly established Federal law, as
determined by the Supreme Court of the United States; or

3 (2) resulted in a decision that was based on an unreasonable
4 determination of the facts in light of the evidence presented in the
State court proceeding.

5 28 U.S.C. § 2254(d).

6 For purposes of applying § 2254(d)(1), “clearly established federal law” consists of
7 holdings of the United States Supreme Court at the time of the last reasoned state court decision.
8 Thompson v. Runnels, 705 F.3d 1089, 1096 (9th Cir. 2013) (citing Greene v. Fisher, 132 S. Ct.
9 38 (2011)); Stanley v. Cullen, 633 F.3d 852, 859 (9th Cir. 2011) (citing Williams v. Taylor, 529
10 U.S. 362, 405-06 (2000)). Circuit court precedent “may be persuasive in determining what law is
11 clearly established and whether a state court applied that law unreasonably.” Stanley, 633 F.3d at
12 859 (quoting Maxwell v. Roe, 606 F.3d 561, 567 (9th Cir. 2010)). However, circuit precedent
13 may not be “used to refine or sharpen a general principle of Supreme Court jurisprudence into a
14 specific legal rule that th[e] [Supreme] Court has not announced.” Marshall v. Rodgers, 133 S.
15 Ct. 1446, 1450 (2013) (citing Parker v. Matthews, 132 S. Ct. 2148, 2155 (2012) (per curiam)).
16 Nor may it be used to “determine whether a particular rule of law is so widely accepted among
17 the Federal Circuits that it would, if presented to th[e] [Supreme] Court, be accepted as correct.
18 Id. Further, where courts of appeals have diverged in their treatment of an issue, it cannot be said
19 that there is “clearly established Federal law” governing that issue. Carey v. Musladin, 549 U.S.
20 70, 77 (2006).

21 A state court decision is “contrary to” clearly established federal law if it applies a rule
22 contradicting a holding of the Supreme Court or reaches a result different from Supreme Court
23 precedent on “materially indistinguishable” facts. Price v. Vincent, 538 U.S. 634, 640 (2003).
24 Under the “unreasonable application” clause of § 2254(d)(1), a federal habeas court may grant the
25 writ if the state court identifies the correct governing legal principle from the Supreme Court’s
26 decisions, but unreasonably applies that principle to the facts of the prisoner’s case.² Lockyer v.

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28 ² Under § 2254(d)(2), a state court decision based on a factual determination is not to be
overturned on factual grounds unless it is “objectively unreasonable in light of the evidence

1 Andrade, 538 U.S. 63, 75 (2003); Williams v. Taylor, 529 U.S. at 413; Chia v. Cambra, 360 F.3d
2 997, 1002 (9th Cir. 2004). In this regard, a federal habeas court “may not issue the writ simply
3 because that court concludes in its independent judgment that the relevant state-court decision
4 applied clearly established federal law erroneously or incorrectly. Rather, that application must
5 also be unreasonable.” Williams v. Taylor, 529 U.S. at 412. See also Schriro v. Landrigan, 550
6 U.S. 465, 473 (2007); Lockyer, 538 U.S. at 75 (it is “not enough that a federal habeas court, in its
7 independent review of the legal question, is left with a ‘firm conviction’ that the state court was
8 ‘erroneous.’”). “A state court’s determination that a claim lacks merit precludes federal habeas
9 relief so long as ‘fairminded jurists could disagree’ on the correctness of the state court’s
10 decision.” Harrington v. Richter, 562 U.S. 86, 101 (2011) (quoting Yarborough v. Alvarado, 541
11 U.S. 652, 664 (2004)). Accordingly, “[a]s a condition for obtaining habeas corpus from a federal
12 court, a state prisoner must show that the state court’s ruling on the claim being presented in
13 federal court was so lacking in justification that there was an error well understood and
14 comprehended in existing law beyond any possibility for fair-minded disagreement.” Richter,
15 562 U.S. at 103.

16 If the state court’s decision does not meet the criteria set forth in § 2254(d), a reviewing
17 court must conduct a de novo review of a habeas petitioner’s claims. Delgado v. Woodford,
18 527 F.3d 919, 925 (9th Cir. 2008); see also Frantz v. Hazey, 533 F.3d 724, 735 (9th Cir. 2008)
19 (en banc) (“[I]t is now clear both that we may not grant habeas relief simply because of
20 § 2254(d)(1) error and that, if there is such error, we must decide the habeas petition by
21 considering de novo the constitutional issues raised.”).

22 The court looks to the last reasoned state court decision as the basis for the state court
23 judgment. Stanley, 633 F.3d at 859; Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004).
24 If the last reasoned state court decision adopts or substantially incorporates the reasoning from a
25 previous state court decision, this court may consider both decisions to ascertain the reasoning of
26 the last decision. Edwards v. Lamarque, 475 F.3d 1121, 1126 (9th Cir. 2007) (en banc). “When a
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28 presented in the state court proceeding.” Stanley, 633 F.3d at 859 (quoting Davis v. Woodford,
384 F.3d 628, 638 (9th Cir. 2004)).

1 federal claim has been presented to a state court and the state court has denied relief, it may be
2 presumed that the state court adjudicated the claim on the merits in the absence of any indication
3 or state-law procedural principles to the contrary.” Richter, 562 U.S. at 99. This presumption
4 may be overcome by a showing “there is reason to think some other explanation for the state
5 court’s decision is more likely.” Id. at 99-100 (citing Ylst v. Nunnemaker, 501 U.S. 797, 803
6 (1991)). Similarly, when a state court decision on a petitioner’s claims rejects some claims but
7 does not expressly address a federal claim, a federal habeas court must presume, subject to
8 rebuttal, that the federal claim was adjudicated on the merits. Johnson v. Williams, 568 U.S. 289,
9 (2013) (citing Richter, 562 U.S. at 98). If a state court fails to adjudicate a component of the
10 petitioner’s federal claim, the component is reviewed *de novo* in federal court. Wiggins v. Smith,
11 539 U.S. 510, 534 (2003).

12 Where the state court reaches a decision on the merits but provides no reasoning to
13 support its conclusion, a federal habeas court independently reviews the record to determine
14 whether habeas corpus relief is available under § 2254(d). Stanley, 633 F.3d at 860; Himes v.
15 Thompson, 336 F.3d 848, 853 (9th Cir. 2003). “Independent review of the record is not *de novo*
16 review of the constitutional issue, but rather, the only method by which we can determine whether
17 a silent state court decision is objectively unreasonable.” Himes, 336 F.3d at 853. Where no
18 reasoned decision is available, the habeas petitioner still has the burden of “showing there was no
19 reasonable basis for the state court to deny relief.” Richter, 562 U.S. at 98.

20 A summary denial is presumed to be a denial on the merits of the petitioner’s claims.
21 Stancle v. Clay, 692 F.3d 948, 957 & n.3 (9th Cir. 2012). While the federal court cannot analyze
22 just what the state court did when it issued a summary denial, the federal court must review the
23 state court record to determine whether there was any “reasonable basis for the state court to deny
24 relief.” Richter, 562 U.S. at 98. This court “must determine what arguments or theories . . . could
25 have supported, the state court’s decision; and then it must ask whether it is possible fairminded
26 jurists could disagree that those arguments or theories are inconsistent with the holding in a prior
27 decision of [the Supreme] Court.” Id. at 101. The petitioner bears “the burden to demonstrate
28 that ‘there was no reasonable basis for the state court to deny relief.’” Walker v. Martel, 709 F.3d

1 925, 939 (9th Cir. 2013) (quoting Richter, 562 U.S. at 98).

2 When it is clear, however, that a state court has not reached the merits of a petitioner’s
3 claim, the deferential standard set forth in 28 U.S.C. § 2254(d) does not apply and a federal
4 habeas court must review the claim de novo. Stanley, 633 F.3d at 860; Reynoso v. Giurbino, 462
5 F.3d 1099, 1109 (9th Cir. 2006).

6 V. Petitioner’s Claims

7 A. Alleged Prosecutorial Misconduct

8 Petitioner claims that the prosecutor in his trial committed prosecutorial misconduct by
9 suggesting during her closing that an uncalled witness, Luis Salinas, who the prosecutor knew
10 could not be located, did not testify because his testimony would have been unfavorable to the
11 petitioner. Petitioner alleges that this portion of the prosecutor’s closing statement violates
12 petitioner’s Fifth Amendment rights, citing Griffin, by mentioning an unavailable witness and
13 making the jury believe Salinas was not called because his testimony did not support petitioner’s
14 defense and not because he was unavailable. Petitioner argues that this error was prejudicial
15 because it was “critical to attacking the expert’s opinion and tainted petitioner’s defense.” (ECF
16 No. 2 at 18-19.)

17 Respondent counters that there is a lack of clearly established Supreme Court precedent,
18 barring petitioner’s prosecutorial misconduct claim. (ECF No. 12 at 10-11.) Further, the
19 respondent argues that the prosecutor’s comment on the petitioner’s failure to produce the witness
20 was reasonable because petitioner’s counsel asked the jury to consider the ramifications of that
21 witness’ possible testimony and because the witness was not legally unavailable. (Id.)

22 Petitioner responded that legal availability is insufficient because “trial counsel admitted
23 he was informed that the witness fled to Mexico.” (ECF No. 18 at 7.) Petitioner contends that
24 the prosecutor knew that petitioner was trying to secure the attendance of the witness and was
25 unable to, which should have been sufficient to “prohibit the prosecutor from making the false
26 claim that the witness was available and could have been called at trial.” (Id.)

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1 California Court of Appeal Decision

2 The last reasoned rejection of petitioner’s first claim is the decision of the California
3 Court of Appeal for the Third Appellate District on petitioner’s direct appeal. The state court
4 addressed this claim as follows:

5 [Petitioner] contends that the prosecutor committed misconduct by
6 commenting on the defense’s failure to call a witness the prosecutor
7 knew was unavailable. We conclude that the comment was not
8 improper because it would have been logical for the defense to call
9 the witness and it was not established that the witness was
10 unavailable. And, in any event, even if the comment was improper,
11 it was harmless beyond a reasonable doubt.

12 A. Background

13 During pretrial proceedings and in connection with a defense motion
14 for a continuance, defense counsel informed the trial court that a
15 defense witness could not be found. Counsel believed, from
16 reviewing a recording of a police interview with Luis Salinas, that
17 Salinas, one of [petitioner’s] fellow Norteño gang members, would
18 testify that the Sureños at Harvey Park appeared unexpectedly and
19 were wielding knives. However, when questioned by the court about
20 the defense’s lack of due diligence in getting Salinas to testify,
21 counsel said that he had not been aware of how valuable Salinas’s
22 testimony would be. Counsel also said that Salinas “may now have
23 fled to Mexico, which is what the information I have is.” The court
24 informed counsel that it could not grant a continuance without a
25 showing that Salinas might be located.

26 Salinas did not testify at trial. However, during cross-examination of
27 the prosecution’s gang expert Detective Kyle Slater, defense counsel
28 asked whether the detective had considered statements by Salinas
when concluding that the Sureños did not provoke [petitioner] and
his fellow gang members at Harvey Park. Detective Slater responded
that he had considered Salinas’s statement. Defense counsel asked
Detective Slater whether Salinas’s statement that three men with
knives had approached Salinas’s group was an indication that the
initial aggressors were the three men with knives. Defense counsel
also reviewed with the detective Salinas’s statement that Salinas,
upon seeing the men with knives, began to flee and saw [petitioner]
raise an object in his right hand and heard gunshots.

 During Detective Slater’s testimony, the trial court instructed the jury
that Salinas’s statement was not to be considered for the truth of the
matter, but could be used only to help assess Detective Slater’s
testimony.

 In his closing argument, defense counsel criticized Detective Slater
for discounting Salinas’s statement when concluding that [petitioner]
and his fellow Norteño gang members were the aggressors, not the
Sureños. In his argument, counsel reviewed Salinas’s statement at
length and completed the comment, saying, “So why did . . .

1 Detective Slater . . . discount this statement in forming that opinion?”
2 Defense counsel then reviewed other evidence that supported
3 Salinas’s statement that the Sureños were the aggressors.

4 In rebuttal, the prosecutor reminded the jury that Salinas’s statement
5 was not admissible for the truth of the matter. And the prosecutor
6 added: “If counsel wanted that to be evidence, he could have called
7 Luis Salinas to the witness stand.” Defense counsel objected that the
8 defense was “[n]ot required to call witnesses.” But the court
9 overruled the objection.

10 The prosecutor continued: “If [defense counsel] wanted that
11 evidence, he could have called that witness. I haven’t any obligation.
12 Haven’t any duty to. [¶] But just like I had to call a bunch of reluctant
13 witnesses, maybe Luis Salinas didn’t want to be here. None of the
14 witnesses wanted to be here. He could have done that if that’s how
15 he wanted to [] produce that evidence and put that evidence before
16 you, but that wasn’t done. [¶] So that evidence was introduced for
17 the very limited purpose of testing Detective Slater’s opinion in
18 relationship to this being a gang related activity.”

19 B. Analysis

20 [Petitioner] contends that the prosecutor committed misconduct,
21 violating [petitioner’s] state and federal rights to due process and to
22 remain silent, by commenting on the defense’s failure to call a
23 witness who was unavailable. Citing People v. Frohner (1976) 65
24 Cal.App.3d 94, he claims that “a prosecutor may not comment on the
25 defense’s failure to call a witness the prosecutor knows is
26 unavailable.”

27 It is error for a prosecutor to comment directly or indirectly upon a
28 [petitioner’s] failure to testify in his own defense. (Griffin v.
California (1965) 380 U.S. 609, 615 [14 L.Ed.2d 106, 110]; People
v. Medina (1995) 11 Cal.4th 694, 755.) The Griffin rule, however,
does not preclude comments on the state of the evidence, or on the
[petitioner’s] failure to introduce material evidence or call logical
witnesses. (People v. Bradford (1997) 15 Cal.4th 1229, 1339; People
v. Medina, supra, at p. 755.)

In People v. Frohner, supra, 65 Cal.App.3d 94, on which [petitioner]
relies, the court found that the prosecutor committed misconduct
when he allowed the jury to infer that the [petitioner] purposely failed
to call a witness when in fact the prosecutor had a duty to make
reasonable efforts to produce the witness and failed to fulfill that
duty. (Id. at p. 109.) Under these circumstances, the court found it
“inexcusable” that the prosecutor argued to the jury that the defense
failed to produce the witness. Coupled with the prosecution’s own
failure to produce the witness, the comment substantially prejudiced
the [petitioner]. (Ibid.)

Here, on the other hand, there is no suggestion that the prosecution
failed in a duty to procure Salinas as a witness. While there was some
indication that efforts by a previous defense attorney had failed to
produce Salinas and trial counsel had information that Salinas “may”

1 have fled to Mexico, it was far from established that the defense
2 could not have produced Salinas as a witness through reasonably
3 diligent efforts. (See Evid. Code, § 240, subd. (a)(5) [requiring
4 reasonable diligence before witness treated as unavailable].)
5 Therefore, the prosecutor's comment was permissible because it
6 would have been logical for the defense to present testimony from a
7 witness who apparently would have testified (or been impeached
8 with prior inconsistent statements) that the Sureños at Harvey Park
9 approached [petitioner] and his fellow gang members with knives.

10 In any event, even if the comment by the prosecutor was improper,
11 it was harmless beyond a reasonable doubt. The jury was properly
12 instructed that it could consider Salinas's hearsay statements only for
13 the purpose of evaluating Detective Slater's expert testimony, and
14 the focus of the prosecutor's comment was that the jury must follow
15 the court's instruction, even though defense counsel discussed
16 Salinas's statement at length in cross-examining Detective Slater and
17 in closing argument. The jury could not rely on Salinas's statement
18 to conclude that the Sureños were the aggressors. In light of the
19 evidence that [petitioner] was the initial aggressor, bearing a
20 handgun, it is not reasonable to conclude that the prosecutor's
21 additional comment that the defense did not produce Salinas as a
22 witness affected the verdicts in any way.

23 Given this conclusion, we need not consider the Attorney General's
24 argument that [petitioner] did not preserve the constitutional
25 objection for appeal or [petitioner's] argument that, if defense
26 counsel failed to preserve the objection, [petitioner's] right to
27 counsel was violated.

28 (People v. Anaya, ECF No. 12-1 at 5-8.)

Legal Standards

A criminal defendant's due process rights are violated when a prosecutor's misconduct renders a trial fundamentally unfair. Darden v. Wainwright, 477 U.S. 168, 181 (1986). Claims of prosecutorial misconduct are reviewed "on the merits, examining the entire proceedings to determine whether the prosecutor's [actions] so infected the trial with unfairness as to make the resulting conviction a denial of due process." Johnson v. Sublett, 63 F.3d 926, 929 (9th Cir. 1995) (citation omitted); Towery v. Schriro, 641 F.3d 300, 306 (9th Cir. 2010). When prosecutorial conduct is called into question the issue is whether, considered in the context of the entire trial, that conduct appears likely to have affected the jury's discharge of its duty to judge the evidence fairly. United States v. Young, 470 U.S. 1, 11 (1985).

The Due Process Clause prohibits a prosecutor from commenting on a defendant's decision not to testify. Griffin v. California, 380 U.S. 609, 615 (1965). While a direct comment

1 about the defendant's failure to testify violates Griffin, a prosecutor's indirect comment violates
2 Griffin only "if it is manifestly intended to call attention to the defendant's failure to testify, or is
3 of such a character that the jury would naturally and necessarily take it to be a comment on the
4 failure to testify." Lincoln v. Sunn, 807 F.2d 805, 809 (9th Cir. 1987). Inappropriate statements
5 by a prosecutor, particularly during closing arguments, may present a claim of constitutional
6 magnitude if the comments were so prejudicial that they rendered the trial fundamentally unfair.
7 Donnelly v. DeChristoforo, 416 U.S. 637, 646-48 (1974). This is because the "touchstone of due
8 process analysis in cases of alleged prosecutorial misconduct is the fairness of the trial, not the
9 culpability of the prosecutor." Smith v. Phillips, 455 U.S. 209, 219 (1982) (citation omitted).

10 Relief on such claims is limited to cases in which the petitioner can establish that
11 prosecutorial misconduct resulted in actual prejudice. Darden, 477 U.S. at 181-83. See also
12 Towery, 641 F.3d at 307 ("When a state court has found a constitutional error to be harmless
13 beyond a reasonable doubt, a federal court may not grant habeas relief unless the state court's
14 determination is objectively unreasonable"). Prosecutorial misconduct violates due process when
15 it has a substantial and injurious effect or influence in determining the jury's verdict. Ortiz-
16 Sandoval v. Gomez, 81 F.3d 891, 899 (9th Cir. 1996).

17 Analysis

18 Petitioner has failed to demonstrate that the prosecutor committed misconduct or that any
19 misconduct resulted in prejudice. Importantly, the prosecutor's comment regarding the defense's
20 ability to call a witness did not address petitioner or his decision not to testify. Here, petitioner
21 seeks to apply Griffin to the prosecutor's comment on petitioner's failure to present a particular
22 defense witness. However, Griffin only applies when a prosecutor makes a direct comment about
23 or alludes to a defendant's failure to testify. Thus, petitioner's Fifth Amendment rights were not
24 violated by the prosecutor's comment.

25 In any event, even if the prosecutor's comments were improper, the undersigned cannot
26 find that the prosecutor's comment infected the trial with unfairness. The trial judge instructed
27 the jury that Salinas' hearsay statements could only be considered in evaluating Detective Slater's
28 expert testimony. (RT at 196-97.) The trial judge instructed the jury that evidence is the sworn

1 testimony of witnesses, the exhibits admitted into evidence, and anything else [the judge] told you
2 to consider as evidence.” (Clerk’s Transcript (“CT”) at 258.) The trial judge also instructed the
3 jury that “[n]othing the attorneys say is evidence.” (CT at 258.) The trial judge instructed the jury
4 that no side was required to call witnesses, and reminded the jury that evidence admitted for a
5 limited purpose may be considered for such limited purpose and no other. (CT at 264. 267.)
6 Juries are presumed to follow the instructions given to them. Weeks v. Angelone, 528 U.S. 225,
7 234 (2000). Thus, as found by the state court, the jury could not rely on the hearsay statements
8 by Salinas to find that the Sureños were the aggressors. In light of these instructions, the jury
9 could not have misconstrued the prosecutor’s comment during closing arguments.

10 Moreover, the prosecutor provided witness testimony that petitioner was in fact the initial
11 aggressor and that petitioner had the gun. (RT at 184.) Given the evidence that petitioner did not
12 act in self-defense, the prosecutor’s comment that the defense failed to produce Salinas as a
13 witness would not have had a substantial and injurious effect on the verdict in this case. The
14 prosecutor’s comment alone was not so prejudicial that it would have caused the jury to change
15 their opinion in light of all the evidence produced by the prosecutor.

16 Therefore, the decision of the California Supreme Court denying petitioner’s claim of
17 prosecutorial misconduct is not contrary to or an unreasonable application of United States
18 Supreme Court authority. Such decision is not “so lacking in justification that there was an error
19 well understood and comprehended in existing law beyond any possibility for fairminded
20 disagreement.” Richter, 562 U.S. at 103. Accordingly, petitioner is not entitled to relief on this
21 claim.

22 B. Alleged Cruel and Unusual Punishment

23 Petitioner claims that his sentence violates the Eighth Amendment protections against
24 cruel and unusual punishment. Petitioner argues that since he was 18 years old at the time of the
25 crime his 84-years-to-life sentence constitutes a de facto sentence of life without the possibility of
26 parole because he will not live long enough to be eligible for parole.

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1 California Court of Appeal Decision

2 The last reasoned rejection of petitioner’s claim is the decision of the California Court of
3 Appeal for the Third Appellate District on petitioner’s direct appeal. The state court addressed
4 this claim as follows:

5 [Petitioner] contends that his sentence constitutes cruel and unusual
6 punishment under the Eighth Amendment of the federal Constitution
7 because he was only 18 years old when he committed the offenses
8 and the minimum term before he is eligible for parole exceeds his
9 natural life expectancy. The contention is without merit because
10 [petitioner] was an adult when he committed the offenses. [FN2]

11 “A sentence violates the federal Constitution if it is ‘grossly
12 disproportionate’ to the severity of the crime. [Citations.]” (People v.
13 Russell (2010) 187 Cal.App.4th 981, 993.)

14 In support of his contention that his sentence is cruel and unusual,
15 [petitioner] relies on cases in which the [petitioner] was a juvenile
16 when he committed the crimes. He concludes that “[t]he functional
17 equivalent of a mandatory life sentence for an 18–year–old does not
18 permit consideration of factors relevant to youth and is therefore
19 unconstitutional under the Eighth Amendment. . . .” The contention
20 fails because those cases do not support an argument that a sentence
21 imposed for crimes committed when the [petitioner] was an adult is
22 cruel and unusual. (People v. Argeta (2012) 210 Cal.App.4th 1478
23 (Argeta).

24 In People v. Caballero (2012) 55 Cal.4th 262, our Supreme Court
25 held that sentencing a juvenile who commits a nonhomicide offense
26 to a de facto sentence of life without parole is categorically cruel and
27 unusual punishment. (Id. at p. 268; see also Miller v. Alabama (2012)
28 — U.S. — [183 L.Ed.2d 407]; Graham v. Florida (2010) 560
U.S. 48 [176 L.Ed.2d 825].) Caballero is distinguishable because
[petitioner] here was not a juvenile when he committed his crimes.
This distinguishing factor was addressed in Argeta, which stated:
“Relying on Graham, . . . Miller, and Caballero, Argeta contends his
sentence is categorically cruel and/or unusual. Argeta was 18 and
was convicted of first degree murder as a principal. His counsel
argues that since the crime was committed only five months after
Argeta’s 18th birthday the rationale applicable to the sentencing of
juveniles should apply to him. We do not agree. These arguments
regarding sentencing have been made in the past, and while
‘[d]rawing the line at 18 years of age is subject . . . to the objections
always raised against categorical rules . . . [, it] is the point where
society draws the line for many purposes between childhood and
adulthood.’ (Roper v. Simmons (2005) 543 U.S. 551, 574 [161
L.Ed.2d 1]; see Graham, supra, 560 U.S. at p. ____ [130 S. Ct. at p.
2016].) Making an exception for a defendant who committed a crime
just five months past his 18th birthday opens the door for the next
defendant who is only six months into adulthood. Such arguments
would have no logical end, and so a line must be drawn at some point.
We respect the line our society has drawn and which the United

1 States Supreme Court has relied on for sentencing purposes, and
2 conclude Argeta's sentence is not cruel and/or unusual under
3 Graham, Miller, or Caballero." (Argeta, supra, 210 Cal.App.4th at p.
4 1482.)

5 In addition to the fact that [petitioner] was an adult when he
6 committed his crimes, the crimes justified severe punishment. He
7 engaged in dangerous, assaultive gang conduct. He endangered not
8 just other gang members but also innocent bystanders, including
9 children, when he attempted to murder the two Sureño gang members
10 at Harvey Park. And after he got out on bail for the Harvey Park
11 shootings, he went right back to his violent ways, participating in a
12 brutal beating. The combination of two premeditated attempted
13 murders on behalf of a gang, using a firearm, along with robbery and
14 assault causing great bodily injury, again to promote his gang,
15 justified the substantial sentence permitted by statute. The sentence
16 was not disproportionate, much less grossly disproportionate, to the
17 severity of his crimes.

18 [FN 2: [Petitioner] also contends that trial counsel was
19 constitutionally deficient if counsel's objection that the
20 sentence was "unwarranted" did not preserve for appeal the
21 issue of whether the sentence is cruel and unusual. We reject
22 the contention because the sentence was not cruel and
23 unusual and, therefore, counsel's failure to raise the issue did
24 not cause prejudice to [petitioner]. (See People v. Lawley
25 (2002) 27 Cal.4th 102, 133, fn. 9 [prejudice necessary to
26 ineffective assistance of counsel claim].)

27 (People v. Anaya, ECF No. 12-1 at 9-11.)

28 Legal Standards

The Eighth Amendment, which forbids cruel and unusual punishment, "contains a 'narrow proportionality principle,'" that applies to noncapital sentences and "'does not require strict proportionality between crime and sentence' but rather forbids only extreme sentences that are 'grossly disproportionate' to the crime." Graham v. Florida, 560 U.S. 48, 59-60 (2010) (quoting Harmelin v. Michigan, 501 U.S. 957, 997, 1000-01 (1991) (Kennedy, J., concurring); some internal quotation marks omitted); see also Lockyer v. Andrade, 538 U.S. 63, 72 (2003) (under "clearly established" Eighth Amendment jurisprudence, "[a] gross disproportionality principle is applicable to sentences for terms of years"). "The gross disproportionality principle reserves a constitutional violation for only the extraordinary case." Andrade, 538 U.S. at 77; see also Rummel v. Estelle, 445 U.S. 263, 272 (1980) ("Outside the context of capital punishment, successful challenges to the proportionality of particular sentences have been exceedingly rare.").

1 In assessing gross disproportionality, the court compares the harshness of the penalty
2 imposed upon petitioner with the gravity of his triggering offenses and criminal history. Ewing v.
3 California, 538 U.S. 11, 28-29 (2003) (O'Connor, J., plurality opinion); Norris v. Morgan, 622
4 F.3d 1276, 1290 (9th Cir. 2010). “[I]n the rare case in which [this] threshold comparison ...
5 leads to an inference of gross disproportionality’ the court should then compare the defendant’s
6 sentence with the sentences received by other offenders in the same jurisdiction and with the
7 sentences imposed for the same crime in other jurisdictions.” Graham, 560 U.S. at 60 (quoting
8 Harmelin, 501 U.S. at 1005 (Kennedy, J., concurring)); see also Norris, 622 F.3d at 1286 n.12
9 (describing the three-factor approach set forth herein as the Supreme Court’s “authoritative
10 answer to how reviewing courts should apply the proportionality principle to non-capital
11 sentences”).

12 Analysis

13 Petitioner contends his sentence constitutes cruel and unusual punishment because he was
14 18 when he committed his controlling offense. However, the Supreme Court previously
15 established that “a line must be drawn The age of 18 is the point where society draws the
16 line for many purposes between childhood and adulthood. It is, we conclude, the age at which the
17 line for death eligibility ought to rest.” Roper, 543 U.S. at 574. The state court refers to People
18 v. Argeta, which maintains the age limit for a juvenile at 17 years and younger. People v. Argeta,
19 210 Cal. App. 4th 1478 (2012) (court rejected the argument of an 18-year-old defendant that the
20 holding of Caballero should be extended).

21 Petitioner was 18-years-old when he committed his controlling offense. Therefore, as
22 established in Roper, petitioner was considered an adult when he committed his controlling
23 offense and as such his punishment as an adult is not cruel and unusual. Roper, 543 U.S. at 574.
24 Thus, the state court’s decision does not violate clearly established federal law under AEDPA.
25 Knowles v. Mirzayance, 556 U.S. 111, 122 (2009); White v. Woodall, 134 S. Ct. 1697, 1705
26 (2014) (“[W]here the precise contours of [a constitutional] right remain unclear, state courts enjoy
27 broad discretion in their adjudication of a prisoner’s claims.” (quotations and citation omitted)).

28 ////

1 Further, a threshold comparison of the gravity of petitioner’s offenses with the severity of
2 the sentence imposed does not raise an inference of gross disproportionality. Petitioner was
3 convicted of premeditated attempted murder, assault with a firearm, second degree robbery, and
4 assault likely to cause great bodily injury with enhancements because the crimes were committed
5 for the benefit of a criminal street gang, petitioner personally used a firearm in the attempted
6 murder, and he was on bail when he committed the robbery and assault. Under these
7 circumstances, petitioner’s 84-years-to-life sentence does not constitute cruel and unusual
8 punishment. See Harmelin, 501 U.S. at 1002-04 (affirming life without parole sentence for
9 possession of 672 grams of cocaine).

10 Finally, under state law expanded in 2017, petitioner will be eligible for a parole hearing
11 by 2037. In response to Miller and its progeny, the California Legislature enacted SB 260 and
12 261 in 2013, codified as California Penal Code § 3051, “establishing a parole eligibility
13 mechanism that provides a defendant serving a de facto life sentence without possibility of parole
14 for nonhomicide crimes that he or she committed as a juvenile with the opportunity to obtain
15 release on a showing of rehabilitation and maturity.” People v. Caballero, 55 Cal.4th 262, 269, n.
16 5. (2012) (16-year-old sentenced to 110-years-to-life for a non-homicide crime should have some
17 opportunity for parole); Cal. Penal Code § 3051. Section 3051 provides that, after a specified
18 number of years of incarceration, the Board of Parole Hearings (“Board”) shall conduct a “youth
19 offender parole hearing” to review “the parole suitability of any prisoner who was under 18 years
20 of age at the time of his or her controlling offense.” Cal. Penal Code § 3051, subd. (a)(1).

21 While Miller and Caballero only apply to juveniles, in 2017 the California legislature
22 expanded § 3051 to include offenders who committed their controlling offense under the age of
23 26. Under § 3051, a person convicted of an offense committed before the age of 26 who receives
24 a sentence of 25-years-or-more-to-life “shall be eligible for release on parole by the Board during
25 his or her 25th year of incarceration at a youth offender parole hearing.” Cal. Penal Code
26 § 3051(b)(3).³

27 ³ California Penal Code § 3051 was revised in 2016 and again in 2017 to its current version. The
28 maximum age an offender may have been when committing the controlling offense to receive a

1 Because California enacted and expanded § 3051 to offenders under the age of 26,
2 petitioner’s 84-year sentence no longer qualifies as a de facto life sentence. Rather, § 3051 will
3 afford petitioner a meaningful opportunity to obtain release on parole during his 25th year of
4 imprisonment. Cal. Penal Code § 3051, subd. (e). Under § 3051, petitioner will be eligible for a
5 parole hearing by 2037 in his nonhomicide case, affording petitioner a meaningful opportunity to
6 obtain release on parole during his 25th year of imprisonment.⁴ Cal. Penal Code § 3501 ((b)(3).

7 Because petitioner was 18-years-old when he committed his controlling offenses, his
8 sentence of 84-years-to-life does not violate the Eighth Amendment as cruel and unusual
9 punishment. In addition, given the gravity of his offenses, his harsh sentence does not raise an
10 inference of gross disproportionality in violation of the Eighth Amendment. Petitioner’s second
11 claim should also be dismissed.

12 VI. Conclusion

13 Accordingly, IT IS HEREBY RECOMMENDED that petitioner’s application for a writ of
14 habeas corpus be denied.

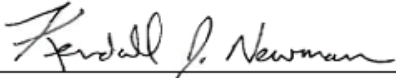
15 These findings and recommendations are submitted to the United States District Judge
16 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days
17 after being served with these findings and recommendations, any party may file written
18 objections with the court and serve a copy on all parties. Such a document should be captioned
19 “Objections to Magistrate Judge’s Findings and Recommendations.” If petitioner files
20 objections, he shall also address whether a certificate of appealability should issue and, if so, why
21 and as to which issues. A certificate of appealability may issue under 28 U.S.C. § 2253 “only if
22 the applicant has made a substantial showing of the denial of a constitutional right.” 28 U.S.C.
23 § 2253(c)(3). Any response to the objections shall be filed and served within fourteen days after
24 service of the objections. The parties are advised that failure to file objections within the

25 _____
26 youth parole hearing was to 23 in 2016 and to 25 in 2017. (Cal. Penal Code § 3051.)

27 ⁴ Petitioner’s parole eligibility date, subject to change, is currently listed as March of 2030, and
28 he is identified as a youth offender. This information was obtained from the California
Department of Corrections and Rehabilitation Inmate Locator website,
<https://inmatelocator.cdcr.ca.gov> (accessed August 13, 2018).

1 specified time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951
2 F.2d 1153 (9th Cir. 1991).

3 Dated: August 14, 2018

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5

KENDALL J. NEWMAN
6 UNITED STATES MAGISTRATE JUDGE

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