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8	UNITED STATES DISTRICT COURT	
9	FOR THE EASTERN DISTRICT OF CALIFORNIA	
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11	GRADY HARRIS,	No. 2:16-cv-0830 TLN DB P
12	Plaintiff,	
13	v.	FINDINGS AND RECOMMENDATIONS
14	JEFF MACOMBER, et al.,	
15	Defendants.	
16		
17	Plaintiff, a state prisoner proceeding pro se and in forma pauperis, has filed this civil	
18	rights action seeking relief under 42 U.S.C. § 1983. The matter was referred to this court	
19	pursuant to 28 U.S.C. § 636(b)(1)(B) and Local Rule 302.	
20	On February 9, 2018, defendant Leavitt filed a motion to dismiss this action against him ¹	
21	pursuant to Federal Rule of Civil Procedure 12(b)(6). (ECF No. 34). For the reasons stated	
22	below, the court will recommend herein that the motion be granted in part and denied in part.	
23	I. RELEVANT PROCEDURAL HISTORY	
24	Plaintiff filed his original civil rights complaint on April 21, 2016. (ECF No. 1). On	
25	April 29, 2016, the previously assigned magistrate judge screened the complaint. In so doing, it	
26	was determined that plaintiff had alleged two discrete incidents that had occurred when he was	
27	¹ Defendant Leavitt is represented by separate, private counsel. All other remaining named	
28	defendants are represented by the Office of the Attorney General.	
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1	housed at California State Prison – Sacramento. (See ECF No. 4 at 3). One of the incidents		
2	alleged retaliation in April 2014 by a prison official in the form of falsely charging him with		
3	hoarding because plaintiff had threatened to file a grievance against one of them. This, in turn,		
4	led to mistreatment by other defendants, including, but not limited to, the discontinuation of his		
5	pain medication. (See id. at 3). The other incident alleged mistreatment, humiliation, physical		
6	abuse and the use of unnecessary force in November 2014 by multiple prison officials after		
7	plaintiff had filed a grievance and had had an unpleasant verbal exchange with one of the named		
8	defendants. (See id. at 3-4).		
9	Because the two claims were not properly joined as required by Federal Rule of Civil		
10	Procedure 20(a), the court dismissed the complaint with leave to amend. (See ECF No. 4 at 4, 7-		
11	9). At that time, plaintiff was informed that any amended complaint could raise claims from		
12	either event, but not from both. (See id. at 7-8).		
13	On May 25, 2016, plaintiff filed the instant first amended complaint ("FAC"). (ECF No.		
14	10). In it, plaintiff raised claims stemming from the November 2014 incident. (See generally		
15	<u>id.</u>).		
16	On August 3, 2016, the matter was reassigned to the undersigned. (ECF No. 11). A		
17	cursory screening of the FAC found that it states cognizable claims for relief pursuant to 42		
18	U.S.C. § 1983 and 28 U.S.C. § 1915A(b). (ECF No. 15 at 2). As a result, service was		
19	determined to be appropriate on defendants K. Rose, J. Munoz, M. Fong, S. Williamson, D.		
20	Calderon, M. Thompson, E. Cervantes, T. Fuller and D. Leavitt. (See id. at 5). Defendants Jeff		
21	Macomber, Bobbala and L. Daciois, who had been named as defendants in the original complaint		
22	(see ECF No. 1 at 2, 4), were dismissed from the action (see ECF No. 15 at 5).		
23	On November 17, 2017, all defendants represented by the Office of the Attorney General		
24	filed an answer. (ECF No. 25). A discovery and scheduling order was issued for plaintiff and		
25	those defendants the same day. ² (ECF No. 26). On February 9, 2018, defendant Leavitt filed the		
26	instant motion to dismiss. (ECF No. 34). On June 20, 2018, plaintiff filed his opposition to		
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28	² Because this order solely addresses defendant Leavitt's motion to dismiss, the subsequent procedural history of the remaining defendants' filings is not detailed herein.		
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defendant Leavitt's motion to dismiss. (ECF No. 57). Defendant Leavitt did not file a reply. The
 motion is deemed submitted and ready for review.

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II.

PLAINTIFF'S CLAIMS³

4 Plaintiff alleges that defendant Leavitt and/or the other named defendants violated his 5 rights under the First, Sixth, Eighth and Fourteenth Amendments as well as his rights under 42 6 U.S.C. § 1997 when, in November 2014: (1) excessive force was used against him by defendants 7 Munoz and Fong while handcuffing him too tightly and escorting plaintiff after plaintiff had had a 8 verbal altercation with defendant Munoz; (2) his defendant escorts pushed him to walk faster than 9 he could due to his mobility restriction and his inability to use his prescribed medical cane; (3) 10 defendant Leavitt and other defendants "responded with attention" to plaintiff's escort as his 11 shorts fell during the escort, exposing his buttocks and genitals to onlookers on the exercise yard; 12 (4) defendants Munoz and Fong ignored plaintiff's repeated requests to help him pull up his 13 shorts during the escort; (5) defendant Munoz dismissed plaintiff's pleas for assistance with his 14 shorts, indicating that plaintiff deserved the treatment for "running [his] mouth" and filing 602 15 grievance forms in August 2014 against people for having fallen down the stairs; (6) some 16 defendants then slammed plaintiff to the concrete, scraping his face, injuring his shoulder and 17 aggravating his previously injured back, and (7) some defendants raised plaintiff's handcuffed 18 arms over his head and proceeded to kick and punch him in his arms, legs and torso, inflicting 19 pain and dislocating his shoulder. (See ECF No. 10 at 5-7).

As a result, plaintiff states he had a bruised face and shoulders, a scarred shoulder,
swollen and bruised wrists, pain and aggravation to his pre-existing back injury, and discomfort
in both legs, and he contends that he suffered degradation and humiliation. (See id. at 5).
Plaintiff also states that the incident had a "chilling effect" on him. (See id. at 5).
In addition, plaintiff alleges that after having a medical evaluation which documented

- some of his injuries, defendant Leavitt and/or other defendants further violated these rights of
 plaintiff's when they wrongfully: (8) conspired to further retaliate and conceal plaintiff's assault
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 ³ The enumeration of facts alleged by plaintiff herein is done for clarification and streamlining
 purposes. It is not intended to suggest that each fact is a separate claim.

1 by filing false peace officer reports which charged plaintiff with an assault against defendant 2 Munoz. (See ECF No. 10 at 7-8). 3 Finally, plaintiff alleges that these rights were again violated when: (9) after filing a 4 grievance in late November 2014 claiming use of excessive force, in January 2015, defendant 5 Macomber improperly ignored camera footage that had recorded plaintiff's assault and upheld 6 defendants' use of excessive force against him; (10) that same month, he was wrongfully 7 convicted of assault of defendant Munoz based on defendants' "bogus retaliatory" charge filed 8 against him, and (11) his appeal of the assault conviction was improperly upheld by defendant 9 Macomber. (See id. at 8-9). 10 III. DISCUSSION 11 A. **Rule 12(b)(6)** 12 1. Defendant Leavitt's Motion to Dismiss 13 Defendant Leavitt has moved for the dismissal of plaintiff's FAC on the grounds that it 14 fails to state sufficient facts to allege claims of violations of plaintiff's Eighth, First, Sixth, and 15 Fourteenth Amendment rights, and it fails to state sufficient facts to allege a claim of a violation 16 of 42 U.S.C. § 1997. (See ECF No. 34 at 1). He claims that the only allegations lodged against 17 him are that: (1) he "responded" to defendants Munoz and Fong's escort of plaintiff, and (2) he, 18 along with other defendants, filed false reports charging plaintiff with an assault and battery on 19 defendant Munoz. (See ECF No. 34-1 at 2). Defendant Leavitt notes that plaintiff claims that he 20 was punished as a result of the false reports, and that the "alleged false statements" that plaintiff 21 mentions "are not described with any specificity." (See ECF No. 31-4 at 2). 22 2. Applicable Law 23 Federal of Rule Civil Procedure 12(b)(6) permits an action to be dismissed if it fails to 24 state a claim upon which relief can be granted. Fed. R. Civ. P. 12(b)(6). 25 In considering a motion to dismiss, the court must accept as true the allegations of the complaint in question, Hospital Bldg, Co. v. Rex Hospital Trustees, 425 U.S. 738, 740 (1976), 26 27 construe the pleading in the light most favorable to the party opposing the motion, and resolve all 28 doubts in the pleader's favor. Jenkins v. McKeithen, 395 U.S. 411, 421, reh'g denied, 396 U.S. 4

1 869 (1969). Moreover, pro se pleadings are held to a less stringent standard than those drafted by 2 lawyers. Haines v. Kerner, 404 U.S. 519, 520 (1972). Once a claim has been stated adequately, 3 it may be supported by showing any set of facts consistent with the allegations in the complaint. 4 Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 563 (2007). Thereafter, a plaintiff "receives the 5 benefit of imagination, so long as the hypotheses are consistent with the complaint." Sanjuan v. 6 American Bd. of Psychiatry and Neurology, Inc., 40 F.3d 247, 251 (7th Cir. 1994) 7 Conversely, to survive a motion to dismiss for failure to state a claim, a pro se complaint 8 must contain more than "naked assertions," "labels and conclusions," or "a formulaic recitation of 9 the elements of a cause of action." See Twombly, 550 U.S. at 555-57. In other words, 10 "[t]hreadbare recitals of the elements of a cause of action, supported by mere conclusory 11 statements do not suffice." Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009). A claim upon which the 12 court can grant relief must have facial plausibility. Twombly, 550 U.S. at 570. "A claim has 13 facial plausibility when the plaintiff pleads factual content that allows the court to draw the

reasonable inference that the defendant is liable for the misconduct alleged." <u>Iqbal</u>, 556 U.S. at
678.

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B. Eighth Amendment Claim: Excessive Force

1. Parties' Arguments

18 Regarding plaintiff's Eighth Amendment argument, plaintiff's FAC states that during his 19 escort through the exercise yard by defendants Munoz and Fong, while he was still stripped down 20 to boxer shorts and a t-shirt, his shorts began to fall down. As a result, his buttocks and genitals 21 were exposed. (See ECF No. 10 at 6). This, plaintiff contends, "prompt[ed] prisoners on the 22 exercise yard to laugh at [my] humiliation." (Id. at 6) (brackets added). The FAC then states that 23 defendant Leavitt along with several other defendants "responded with attention on the escort." 24 (Id. at 6). When plaintiff asked if defendants could slow down and pull up his shorts for him, 25 defendant Munoz stated, "So what [?] You should of [sic] thought of that before you started to run your mouth and [started] filing 602's [sic] against people for falling down the stairs." (Id. at 26 27 6) (brackets added). This, plaintiff contends, constituted an admission as to why plaintiff was 28 ////

being mistreated, namely, because he had filed a grievance in August 2017 after falling down the
 stairs. (See id. at 6-7).

3 Plaintiff further states that several of the defendants who "focused attention on [his] escort laughed at defendant Munoz's comment in agreement." (ECF No. 10 at 7). Plaintiff then states 4 5 that defendants Munoz, Fong, Thompson, Williamson and Calderon "act[ed] in concert," 6 slamming him on the concrete floor, which scraped the left side of his face and shoulder and 7 aggravated his previously injured back. These same defendants then began to "force [my] 8 handcuffed arms raised high from behind then commence[d] to kick and punch with closed fist[s] 9 [my] arms, legs, and torso repeatedly imposing vast pain and dislocation of [my] shoulders." (Id. 10 at 7) (brackets added).

Plaintiff goes on to state that after receiving a medical evaluation which documented some
of his injuries, "Defendant's [sic] Rose, Munoz, Fong, Williamson, Calderon, Thompson,
Cervantes, Fuller, and Leavitt each conspired to further retaliate as well as conceal the assault

Cervantes, Fuller, and Leavitt each conspired to further retaliate as well as conceal the assault
imposed on [me] by filing false peace officer reports charging [me] with a bogus assault against
defendant Munoz." (ECF No. 10 at 7-8) (brackets added). "On November 30, 2014, [I] filed a
grievance concerning excessive force used by defendants." (<u>Id.</u> at 8) (brackets added).

In January 2015, plaintiff had a disciplinary hearing "on the bogus retaliatory assault
charge" that allegedly happened to defendant Munoz. It resulted in plaintiff being found guilty of
assault. (See id. at 8).

In response to plaintiff's Eighth Amendment claim of excessive force, defendant Leavitt
argues that plaintiff's FAC fails to allege that he used any degree of force against plaintiff.
Therefore, he argues, plaintiff has failed to state an Eighth Amendment claim against him. (See
ECF No. 34-1 at 4).

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2. Applicable Law

25 "In its prohibition of 'cruel and unusual punishments,' the Eighth Amendment places
26 restraints on prison officials, who may not . . . use excessive physical force against prisoners."
27 <u>Farmer v. Brennan</u>, 511 U.S. 825, 832 (1994) (citing <u>Hudson v. McMillian</u>, 503 U.S. 1 (1992)).
28 "[W]henever prison officials stand accused of using excessive physical force in violation of the

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[Eighth Amendment], the core judicial inquiry is . . . whether force was applied in a good-faith effort to maintain or restore discipline, or maliciously and sadistically to cause harm." Hudson, 503 U.S. at 6-7 (brackets added) (referencing Whitley v. Albers, 475 U.S. 312 (1986)).

Incarcerated prisoners retain a limited right to bodily privacy. Michenfelder v. Sumner, 860 F.2d 328, 333 (9th Cir. 1988). "Shielding one's unclothed figure from the view of strangers . . . is impelled by elementary self-respect and personal dignity." Id.

3. Analysis

Defendant Leavitt's statement that the FAC fails to allege that he specifically used any degree of force against plaintiff is, on its face, technically accurate. However, throughout the FAC, when describing the events that occurred, at times plaintiff identifies specific defendants who engaged in specific acts, and at times plaintiff speaks about defendants and their actions in general. (See generally ECF No. 10). As a result, with respect to defendant Leavitt, to what extent he participated in the alleged humiliation and excessive beating of plaintiff is not completely clear.⁴

Despite these facts, the court is required to construe the complaint in a light that is most favorable to plaintiff and to resolve all doubts in the plaintiff's favor. See Jenkins, 395 U.S. at 421 (stating for purposes of motion to dismiss, material allegations of complaint are taken as admitted, and complaint is to be liberally construed in favor of plaintiff); see generally Twombly, 550 U.S. at 563; see also Sanjuan, 40 F.3d at 251. The undersigned does so herein.

Plaintiff clearly identifies defendant Leavitt as someone who became a part of his escort after he had been handcuffed. (See ECF No. 10 at 5-6). Plaintiff states that defendants who "focused attention on [his] escort" laughed in agreement at defendant Munoz's statement that he was being treated the way he was by defendants because he had previously filed a grievance after falling down the stairs. (See id. at 6-7). Plaintiff's FAC then proceeds to name specific

26 ⁴ To the extent that plaintiff's opposition attempts to provide more factual insight on this matter, when considering a motion to dismiss, the court may not consider new facts provided in an 27 opposition to the motion. See Aktar v. Mesa, 698 F.3d 1202, 1212 (9th Cir. 2012); see also 28 Schneider v. California Dep't of Corr., 151 F.3d 1194, 1197 n.1 (9th Cir. 1998).

defendants who are said to have slammed him on the ground, injuring his face, shoulder and back
and who kicked and punched him in his arms, legs and torso. (See ECF No. 10 at 7). However,
in the very next sentence, plaintiff then specifically identifies defendant Leavitt and several other
defendants as ones who "conspired to <u>further</u> retaliate as well as conceal [plaintiff's] assault" by
filing false reports which charged plaintiff with a "bogus assault" on defendant Munoz. (See id.
at 7-8) (underline added).

7 Implicit in plaintiff's statement that defendant Leavitt and other defendants "conspired to 8 further retaliate" is that defendant Leavitt had participated in the initial retaliation led by 9 defendant Munoz, namely, the beating of plaintiff that occurred after plaintiff had been escorted 10 handcuffed across the prison yard with his shorts down and his buttocks and genitals exposed to 11 everyone. Pleadings are to be construed so as to do justice. Fed. R. Civ. P. 8(e). Viewed in a 12 light most favorable to plaintiff if, while plaintiff was restrained in handcuffs, lying on the 13 ground, and not resisting defendants' efforts to secure him, if defendant Leavitt was part of the 14 group that punched and kicked plaintiff, and/or if defendant Leavitt participated in the 15 humiliating escort of plaintiff and forbid him to use his cane, there does not appear to be a 16 penological interest that was served by defendant Leavitt doing this. Therefore, a threshold claim 17 of excessive physical force used by defendant Leavitt in violation of plaintiff's Eighth 18 Amendment rights has been established.

- Consequently, defendant Leavitt's motion to dismiss this excessive force claim shall bedenied.
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C. Sixth Amendment Claim

1. Parties' Arguments

Plaintiff's FAC claims that his Sixth Amendment rights have been violated. (See ECF
No. 10 at 5). However, in the remainder of the pleading, plaintiff provides neither facts nor law
to support this claim, nor does he describe how defendant Leavitt violated his Sixth Amendment
rights. (See generally id.).

27 Defendant Leavitt argues that because: (1) the Sixth Amendment affords liberties to
28 criminal defendants; (2) plaintiff makes no allegations that he interfered with any of plaintiff's

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1 Sixth Amendment rights, and (3) a disciplinary proceeding is not a criminal proceeding,

2 || plaintiff's Sixth Amendment claim is inapplicable given the facts. (See ECF No. 34-1 at 4-5).

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2. Applicable Law

4 The Sixth Amendment to the Constitution applies only to criminal proceedings. Olhausen 5 v. Comm'r, 273 F.2d 23, 27 (9th Cir. 1959). It does not apply to administrative hearings. See 6 Hanna v. Larche, 363 U.S. 420, 440 (1960); see generally S.E.C. v. Jerry T. O'Brien, Inc., 467 7 U.S. 735, 742 (1984) (stating administrative investigation adjudicates no legal rights). The "fact 8 that a proceeding will result in loss of liberty does not ipso facto mean that the proceeding is a 9 'criminal prosecution' for purposes of the Sixth Amendment." Middendorf v. Henry, 425 U.S. 10 25, 37 (1976); Carty v. Nelson, 426 F.3d 1064, 1073 (9th Cir. 2005) (quoting Middendorf). On 11 the contrary, [p]rison disciplinary proceedings are not a part of a criminal prosecution, and the 12 full panoply of rights due a defendant in such proceedings do not apply." Wolff v. McDonnell, 13 418 U.S. 539, 556 (1974) (brackets added).

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3. Analysis

15 Plaintiff's FAC sheds no light on what plaintiff is intending to argue with respect to any 16 Sixth Amendment rights he claims have been violated. The FAC only mentions the Sixth 17 Amendment in a cursory manner at the beginning of his claim. (See generally ECF No. 10 at 5-18 9). To the extent that plaintiff's opposition attempts to provide more detail of his legal argument, 19 suggesting that under the Sixth Amendment, he should have been provided an "impa[r]tial 20 tribunal fact finder," during his disciplinary proceeding, or that Sixth Amendment protections 21 should have applied to his disciplinary hearing because actual criminal charges were also pending 22 related to the same alleged assault, or that "Defendant Leavitt "can not [sic] also file false charges 23 to cover up a violation of plaintiff's rights" (see ECF No. 57 at 6), these attempts to add legal 24 context to his Sixth Amendment claim are inadequate because plaintiff's disciplinary hearing was 25 not a criminal proceeding eligible for constitutional protection under the Sixth Amendment.

For this reason, no relief can be provided to plaintiff based on this claim against defendant
Leavitt. Accordingly, it shall be dismissed.

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D. First Amendment Claims: Retaliation

1. Parties' Arguments

3 Plaintiff argues that defendant Leavitt violated his First Amendment rights when he 4 retaliated against plaintiff. (See ECF No. 10 at 6-7; see also ECF No. 57 at 6). Referencing his 5 FAC, plaintiff points to defend ant Munoz's admission during the escort that plaintiff was being 6 treated the way he was because he had "run his mouth" and had "file[d] 602[]s against people for 7 falling down the stairs." (See ECF No. 57 at 6; see also ECF No. 10 at 6-8) (brackets added). 8 The FAC notes that "several defendant[]s who focused attention on plaintiff's escort laughed at 9 defendant Munoz's comment in agreement." (ECF No. 10 at 7) (brackets added). Plaintiff's 10 opposition then appears to impute defendant Munoz's retaliatory statements to defendant Leavitt 11 and other defendants given that they laughed at it and subsequently participated in plaintiff's 12 beating with defendant Munoz for these reasons. (See ECF No. 57 at 6-7; see also ECF No. 10 at 13 6-7).

Plaintiff also alleges that defendant Leavitt and other defendants "further retaliate[d]" against him by concealing their assault on him and by filing "false peace officer reports" which charged him with a "bogus" assault of defendant Munoz. (See ECF No. 10 at 7-8). Plaintiff contends that because the First Amendment prohibits jail and prison officials from retaliating against inmates who exercise their protected right to file grievances, he has stated a cognizable claim against defendant Leavitt. (See ECF No. 57 at 7).

20 In response, defendant Leavitt first points out that plaintiff makes allegations against 21 defendant Munoz that defendant Munoz retaliated against him because of a complaint plaintiff 22 filed in August 2014, but plaintiff makes no such allegations against him. (See ECF No. 34-1 at 23 5). He acknowledges that plaintiff asserts that he filed a false report against plaintiff in an act of 24 further retaliation. (See id. at 5). Defendant Leavitt argues, however, that because plaintiff has 25 not identified the false statements that were made, the alleged retaliatory act cannot be 26 determined. (See id. at 6). Furthermore, defendant Leavitt argues, for precisely what reason 27 defendant Leavitt is to have retaliated is not clear. (See id. at 6). Finally, defendant Leavitt 28 contends that to the extent that plaintiff alleges that the false report was made for the purpose of

covering up the misdeeds of defendant Munoz, a First Amendment claim cannot be supported
 because "[s]ubmitting a false report for the purpose of a cover-up . . . is not done in response to
 the exercise of protected conduct." (See id. at 6).

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2. Applicable Law

"Of fundamental import to prisoners are their First Amendment 'right[s] to file prison
grievances' and to 'pursue civil rights litigation in the courts.' "<u>Rhodes v. Robinson</u>, 408 F.3d
559, 567 (9th Cir. 2005) (brackets in original) (quoting <u>Bruce v. Ylst</u>, 351 F.3d 1283, 1288 (9th
Cir. 2003) and <u>Schroder v. McDonald</u>, 55 F.3d 454, 461 (9th Cir. 1995), respectively). "Because
purely retaliatory actions taken against a prisoner for having exercised those rights necessarily
undermine those protections, such actions violate the Constitution quite apart from any underlying
misconduct they are designed to shield." Rhodes, 408 F.3d at 567.

12 To state a viable First Amendment retaliation claim, a prisoner must allege five elements: 13 "(1) An assertion that a state actor took some adverse action against an inmate (2) because of (3) 14 that prisoner's protected conduct, and that such action (4) chilled the inmate's exercise of his First 15 Amendment rights, and (5) the action did not reasonably advance a legitimate correctional goal." 16 Rhodes, 408 F.3d at 567-68; Jones v. Williams, 791 F.3d 1023, 1035 (9th Cir. 2015) (citing 17 Rhodes). A plaintiff who intends to assert a retaliation claim must show that the defendant was 18 aware of plaintiff's prior protected conduct and that the protected conduct was "the 'substantial' 19 or' motivating' factor" behind the defendant's alleged misconduct. Brodheim v. Cry, 584 F.3d 20 1262, 1271 (9th Cir. 2009). A prisoner need not prove that the alleged retaliatory action, in itself, 21 violated a constitutional right. Pratt v. Rowland, 65 F.3d 802, 806 (9th Cir. 1995)

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3. Analysis

Accepting the allegations in plaintiff's FAC as true and construing his FAC in a light most favorable to him (see <u>Hospital Bldg. Co.</u>, 425 U.S. at 740; <u>see also Jenkins</u>, 395 U.S. at 421), the court finds that plaintiff has stated actionable First Amendment claims against defendant Leavitt. Specifically, plaintiff has established a First Amendment claim as it relates to plaintiff's humiliation and beating by defendant Leavitt and others for having filed earlier grievances. He //// has also established a First Amendment claim as it relates to the filing of false disciplinary reports
 by defendant Leavitt and others for having filed earlier grievances.

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3 Plaintiff has stated that at a certain point during the incidents in question defendant Leavitt 4 and other defendants "responded with attention on" plaintiff's escort on the yard. (See ECF No. 5 10 at 6-7). Thereafter, when plaintiff repeatedly asked for defendants to slow down and help him 6 pull up his shorts, instead of doing so, defendants laughed in agreement at defendant Munoz's 7 discounting of plaintiff's physical situation and at defendant Munoz's statement that plaintiff was 8 being treated as he was (i.e., paraded on the yard at a fast pace without his cane with his shorts 9 down and his genitals exposed) because he had filed grievances against individuals for having 10 fallen down the stairs. (See id. at 6-7). The facts stated appear to indicate that plaintiff's 11 subsequent beating by several defendants in his escort while he was restrained in handcuffs was 12 contemporaneous with defendant Munoz's statement. (See generally id. at 5-7). Thus, it appears 13 that plaintiff's beating was also part and parcel of defendants' retaliation against plaintiff for 14 having filed grievances at the prison, an act which is protected by the Constitution.

In addition, plaintiff has stated that defendant Leavitt and others continued their retaliation
against plaintiff for having filed grievances by subsequently filing false reports which alleged that
plaintiff had assaulted defendant Munoz and that this ultimately led to plaintiff being found guilty
of the charge.⁵ (See ECF No. 10 at 7-9). He also clearly asserts that these retaliatory actions
taken by defendant Leavitt and others had a chilling effect on him. (See generally id. at 5).
These facts, if found to be true, do not appear to reasonably advance a legitimate correctional
goal. Rhodes, 408 F.3d at 567-68; Jones, 791 F.3d at 1035.

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Rhodes, 408 F.3d at 567. Plaintiff's allegations against defendant Leavitt and the other

24 defendants, if true, state First Amendment claims upon which relief could be granted.

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The ability to file a grievance is an activity that is protected under the First Amendment.

⁵ Defendant Leavitt's argument that plaintiff's claim that the false reports were filed in order to cover up the fact that defendants had beaten plaintiff is not actionable (see ECF No. 34-1 at 6) is correct.

For these reasons, defendant Leavitt's motion to dismiss with respect to these claims shall be denied.

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D. Fourteenth Amendment Claim

1. Parties' Arguments

Plaintiff's FAC states that his Fourteenth Amendment rights were violated by defendants.
(See ECF No. 10 at 5). In support of this claim, plaintiff then alleges that defendants filed false
reports on plaintiff which ultimately led to his assault conviction and his subsequent punishment.
(See id. at 7-9). However, the FAC does not make a clear link between the Fourteenth
Amendment and the false reports. (See generally id.).

Defendant Leavitt argues that because plaintiff's claims which, if sufficiently pled, would
be addressed by the First and Eighth Amendments, Supreme Court law directs that the claims
should be analyzed under those amendments, not under the substantive due process contained
within the Fourteenth Amendment. Furthermore, defendant Leavitt argues, it is unclear to him
upon which clause of the Fourteenth Amendment (e.g., equal protection or due process) plaintiff
is relying. (See ECF No. 34-1 at 6-7) (citing Albright v. Oliver, 510 U.S. 266, 273 (1994)).

16 In response, plaintiff first notes that the Due Process Clause of the Fourteenth Amendment 17 prohibits a state from depriving any person of life, liberty or property without due process of law. 18 (See ECF No. 57 at 8). He then argues that the restrictions imposed upon him as a result of 19 defendant Leavitt's and others' falsified report "created and imposed atypical and significant 20 hardship on [him]" beyond the "ordinary incidents of prison life." (See id. at 8). Specifically, 21 plaintiff was found guilty – despite the absence of proof of any assault on his part – which led to 22 his placement in administrative segregation for ten to twelve months. This, plaintiff contends, 23 was "so removed from the original terms of confinement" that it "amounted to deprivations of 24 liberty." (See id. at 8).

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2. Applicable Law

a. No Constitutional Right to Be Free from False Accusations

The Due Process Clause itself does not contain any language that grants a broad right to
be free from false accusations. See Freeman v. Rideout, 808 F.2d 949, 951 (2nd Cir. 1986)

1 (stating filing of false disciplinary report against inmate not actionable under Section 1983 where 2 procedural due process protections are provided); see also Hanrahan v. Lane, 747 F.2d 1137. 3 1141 (7th Cir. 1984) (stating same). Prisoners, however, are entitled to be free from arbitrary 4 actions of prison officials. Wolff, 418 U.S. at 558; Hanrahan, 747 F.2d at 1140 (citing Wolff). 5 The protections against such arbitrary action are the procedural due process requirements set forth 6 in Wolff.⁶ Hanrahan, 747 F.2d at 1141. Thus, filing a false disciplinary charge against an inmate 7 is not actionable under Section 1983 where procedural due process protections are provided. 8 Freeman, 808 F.2d at 951-52.

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b. No Constitutional Right to Be Free from Administrative Segregation

10 An inmate has no constitutionally protected right to be free from the punishment of 11 administrative segregation. See Hewitt v. Helms, 459 U.S. 460, 466-67 (1983) (stating Due 12 Process Clause does not create liberty interest in remaining in the general population or being free 13 from administrative segregation); receded from by Sandin v. Conner, 515 U.S. 472 (1995); see 14 May v. Baldwin, 109 F.3d 557, 565 (9th Cir. 1997) (concluding prisoners have no liberty interest 15 in remaining free from administrative segregation or solitary confinement). On the contrary, 16 "administrative segregation is the sort of confinement that inmates should reasonably anticipate 17 receiving at some point in their incarceration." Hewitt, 459 U.S. at 468.

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3. Analysis

Construing the argument in a light most favorable to plaintiff, the court presumes that
plaintiff's Fourteenth Amendment claim relates to defendants' filing and processing of allegedly
false reports which led to plaintiff's placement in administrative segregation. The FAC mentions
the false reports, and plaintiff's opposition appears to meld the defendants' use of the reports and
plaintiff's placement in administrative segregation to allege a violation of his liberty interest.

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28 privileges." <u>Hanrahan</u>, 747 F.2d at 1140 (citation omitted).

⁶ The <u>Wolff</u> procedural due process requirements are: (1) advance, written notice of violation;
(2) provision of at least 24 hours to prepare for committee appearance; (3) written statement of
fact-finding; (4) the right to present witnesses and evidence where it would not be unduly
hazardous to institutional safety; (5) an impartial decision-making body, and (6) assistance if
inmate is illiterate or if issues are complex. <u>Wolff</u>, 418 U.S. at 564-70; see generally Hanrahan,
747 F.2d at 1140. These requirements protect prisoners from "arbitrary actions extinguishing their

(See ECF No. 10 at 7-8; see also ECF No. 57 at 7-8). Viewed in total, the court believes plaintiff
 is arguing that his procedural due process rights were violated when he was placed in
 administrative segregation unjustly based upon false reports about a made-up assault. In other
 words, his procedural due process rights were violated during his disciplinary hearing because
 false reports were used to determine his guilt.

6 This argument fails to state a claim upon which relief can be granted. This is primarily 7 because plaintiff has not stated that he was denied procedural due process as required under Wolff 8 at the hearing that took place prior to the finding of guilt and his subsequent placement into 9 administrative segregation. (See generally ECF No. 10). It is also because the Constitution does 10 not protect prisoners from the isolated act of having false reports filed against them. See 11 Freeman, 808 F.2d at 951; see also Hanrahan, 747 F.2d at 1141. It does not protect prisoners 12 from being placed in administrative segregation, either. Hewitt, 459 U.S. at 466-67; May, 109 13 F.3d at 565.

14 In sum, the false reports used and plaintiff's placement in administrative segregation 15 because of them are not actions of constitutional proportions that require relief under the Due 16 Process Clause of the Fourteenth Amendment. However, a claim with supporting facts that 17 plaintiff's disciplinary hearing – whether wrongfully held or not – did not provide him with the 18 procedural process required to be administered to him under Wolff likely would have created a 19 viable procedural due process claim for plaintiff under the Fourteenth Amendment. Given that 20 this is not the essence of plaintiff's Fourteenth Amendment argument, it fails to state a claim upon 21 which relief may be granted.

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E. 42 U.S.C. § 1997 Claims

1. Parties' Arguments

Lastly, in the FAC, plaintiff generally states that his rights have been violated under 42
U.S.C. § 1997. (See ECF No. 10 at 5). However, he neither provides facts nor makes an
argument in support of this statement. (See generally id.). Defendant Leavitt's motion to dismiss
points this out as well, further noting that plaintiff has failed to specify upon which subsections of

Therefore, this claim must be dismissed against defendant Leavitt.

the statutes – from 1997a through 1997j – plaintiff is relying for relief. (See ECF No. 34-1 at 7).
 Therefore, he contends, the claim should be dismissed.

In response, plaintiff simply states, "Plaintiff has sufficiently stated his claims of First,
Sixth, Eight[th] and Fourteenth Amendment along with the violation of [42] U.S.C. 1997(e)(a)
[sic] claim." (See ECF No. 57 at 8) (brackets added). The court construes this to mean that
plaintiff believes that Sections 1997a and 1997e provide him with statutory rights and that they
have been violated.

8

2. Analysis

Plaintiff fails to allege precisely how these statutes are applicable to him and/or how they
relate to defendant Leavitt. Section 1997a discusses the discretionary authority of the Attorney
General to initiate civil actions in situations where officials acting on behalf of the State have
developed a pattern or practice of depriving inmates of rights, privileges or immunities secured by
the Constitution or by the laws of the United States. (See 42 U.S.C. § 1997a). In sum, this statute
does not afford plaintiff any specific rights under the law.

Section 1997e governs lawsuits brought by prisoners. It provides guidance to prisoners,
attorneys and the court about how to manage actions brought under Section 1983 and other
federal law. Nothing in it affords plaintiff any particular right or entitlement.

In light of these facts, plaintiff's Section 1997a and Section 1997e claims fail to state a
claim upon which relief may be granted. See Fed. R. Civ. P. 12(b)(6).

20 Therefore, defendant Leavitt's motion to dismiss this claim shall be granted.

21 **IV.**

CONCLUSION

Plaintiff has sufficiently stated Eighth and First Amendment claims against defendant
Leavitt upon which relief can be granted. He has not, however, sufficiently stated claims for

relief against defendant Leavitt under the Sixth and Fourteenth Amendments or under 42 U.S.C. §
1997.

26

Accordingly, IT IS HEREBY RECOMMENDED that:

Defendant Leavitt's February 9, 2018 motion to dismiss this action against him
 pursuant to Federal Rule of Civil Procedure 12(b)(6) (ECF No. 34) be GRANTED IN PART and

DENIED IN PART as follows:

a. Defendant Leavitt's motion to dismiss plaintiff's, Sixth and Fourteenth
Amendment claims and his 42 U.S.C. § 1997 claims be GRANTED for failure to state claims
upon which relief can be granted, and

b. Defendant Leavitt's motion to dismiss plaintiff's First and Eighth Amendment claims pursuant to Federal Rule of Civil Procedure 12(b)(6) be DENIED.

These findings and recommendations are submitted to the United States District Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days after being served with these findings and recommendations, any party may file written objections with the court and serve a copy on all parties. Such a document should be captioned "Objections to Magistrate Judge's Findings and Recommendations." Any response to the objections shall be served and filed within fourteen days after service of the objections. The parties are advised that failure to file objections within the specified time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

15 Dated: March 22, 2019

DEBORAH BARNES UNITED STATES MAGISTRATE JUDGE

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