



1 Presently pending is defendants’ motion for summary judgment premised on plaintiff’s  
2 alleged failure to exhaust his administrative remedies before commencing this action. See ECF  
3 No. 19. This matter is referred to the undersigned United States Magistrate Judge pursuant to 28  
4 U.S.C. § 636(b)(1)(B) and Local Rule 302(c). For the reasons that follow, this court recommends  
5 that defendants’ motion for summary judgment be denied.

## 6 LEGAL STANDARDS

### 7 I. Legal Standards for Exhausting Administrative Remedies

8 “The Prison Litigation Reform Act of 1995 (PLRA) mandates that an inmate exhaust  
9 ‘such administrative remedies as are available’ before bringing suit to challenge prison  
10 conditions.” Ross v. Blake, 136 S. Ct. 1850, 1854-55 (June 6, 2016) (quoting 42 U.S.C. §  
11 1997e(a)). “There is no question that exhaustion is mandatory under the PLRA[.]” Jones v.  
12 Bock, 549 U.S. 199, 211 (2007) (citation omitted) (cited with approval in Ross, 136 S. Ct. at  
13 1856). The PLRA also requires that prisoners, when grieving their appeal, adhere to CDCR’s  
14 “critical procedural rules.” Woodford v. Ngo, 548 U.S. 81, 91 (2006). “[I]t is the prison’s  
15 requirements, and not the PLRA, that define the boundaries of proper exhaustion.” Jones, 549 at  
16 218.

17 The exhaustion requirement is based on the important policy concern that prison officials  
18 should have “an opportunity to resolve disputes concerning the exercise of their responsibilities  
19 before being haled into court.” Jones, 549 U.S. at 204. The “exhaustion requirement does not  
20 allow a prisoner to file a complaint addressing non-exhausted claims.” Rhodes v. Robinson, 621  
21 F.3d 1002, 1004 (9th Cir. 2010); McKinney v. Carey, 311 F.3d 1198, 1199 (9th Cir. 2002) (per  
22 curiam) (“a prisoner does not comply with [the exhaustion] requirement by exhausting available  
23 remedies during the course of the litigation”).

24 Regardless of the relief sought, a prisoner must pursue an appeal through all levels of a  
25 prison’s grievance process as long as some remedy remains available. “The obligation to exhaust  
26 ‘available’ remedies persists as long as *some* remedy remains ‘available.’ Once that is no longer  
27 the case, then there are no ‘remedies . . . available,’ and the prisoner need not further pursue the  
28 grievance.” Brown v. Valoff, 422 F.3d 926, 935 (9th Cir. 2005) (original emphasis) (citing Booth

1 v. Churner, 532 U.S. 731, 739 (2001)). “The only limit to § 1997e(a)’s mandate is the one baked  
2 into its text: An inmate need exhaust only such administrative remedies as are ‘available.’”  
3 Ross, 136 S. Ct. at 1862.

4 Thus, “an inmate is required to exhaust those, but only those, grievance procedures that  
5 are ‘capable of use’ to obtain ‘some relief for the action complained of.’” Ross, 136 S. Ct. at  
6 1859 (quoting Booth, 532 U.S. at 738). The United States Supreme Court has made clear that  
7 The Supreme Court has clarified that there are only “three kinds of circumstances in which an  
8 administrative remedy, although officially on the books, is not capable of use to obtain relief.”  
9 Ross, 136 S. Ct. at 1859. These circumstances are as follows: (1) the “administrative procedure .  
10 . . operates as a simple dead end – with officers unable or consistently unwilling to provide any  
11 relief to aggrieved inmates;” (2) the “administrative scheme . . . [is] so opaque that it becomes,  
12 practically speaking, incapable of use . . . so that no ordinary prisoner can make sense of what it  
13 demands;” and (3) “prison administrators thwart inmates from taking advantage of a grievance  
14 process through machination, misrepresentation, or intimidation.” Id. at 1859-60 (citations  
15 omitted). Other than these circumstances demonstrating the unavailability of an administrative  
16 remedy, the mandatory language of 42 U.S.C. § 1997e(a) “foreclose[es] judicial discretion,”  
17 which “means a court may not excuse a failure to exhaust, even to take [special] circumstances  
18 into account.” Id., at 1856-57.

19 Failure to exhaust administrative remedies is an affirmative defense that must be raised by  
20 defendants and proven on a motion for summary judgment. See Albino v. Baca, 747 F.3d 1162,  
21 1172 (9th Cir. 2014), cert. denied sub nom. Scott v. Albino, 135 S. Ct. 403 (2014).

22 If a court concludes that a prisoner failed to exhaust his available administrative remedies,  
23 the proper remedy is dismissal without prejudice. See Jones, 549 U.S. at 223-24; Lira v. Herrera,  
24 427 F.3d 1164, 1175-76 (9th Cir. 2005).

## 25 II. Legal Standards for Summary Judgment

26 The Ninth Circuit has laid out the analytical approach to be taken by district courts in  
27 assessing the merits of a motion for summary judgment based on the alleged failure of a prisoner  
28 to exhaust his administrative remedies. As set forth in Albino, 747 F.3d at 1172 (citation and

1 internal quotations omitted):

2 [T]he defendant’s burden is to prove that there was an available  
3 administrative remedy, and that the prisoner did not exhaust that  
4 available remedy. . . . Once the defendant has carried that burden,  
5 the prisoner has the burden of production. That is, the burden shifts  
6 to the prisoner to come forward with evidence showing that there is  
something in his particular case that made the existing and  
generally available administrative remedies effectively unavailable  
to him. However, . . . the ultimate burden of proof remains with the  
defendant.

7 Summary judgment is appropriate when the moving party “shows that there is no genuine  
8 dispute as to any material fact and the movant is entitled to judgment as a matter of law.” Fed. R.  
9 Civ. P. 56(a). Under summary judgment practice, the moving party “initially bears the burden of  
10 proving the absence of a genuine issue of material fact.” Nursing Home Pension Fund, Local 144  
11 v. Oracle Corp. (In re Oracle Corp. Securities Litigation), 627 F.3d 376, 387 (9th Cir. 2010)  
12 (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The moving party may accomplish  
13 this by “citing to particular parts of materials in the record, including depositions, documents,  
14 electronically stored information, affidavits or declarations, stipulations (including those made for  
15 purposes of the motion only), admission, interrogatory answers, or other materials” or by showing  
16 that such materials “do not establish the absence or presence of a genuine dispute, or that the  
17 adverse party cannot produce admissible evidence to support the fact.” Fed. R. Civ. P. 56  
18 (c)(1)(A), (B).

19 When the non-moving party bears the burden of proof at trial, “the moving party need  
20 only prove that there is an absence of evidence to support the nonmoving party’s case.” Oracle  
21 Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325); see also Fed. R. Civ. P. 56(c)(1)(B).  
22 Indeed, summary judgment should be entered, after adequate time for discovery and upon motion,  
23 against a party who fails to make a showing sufficient to establish the existence of an element  
24 essential to that party’s case, and on which that party will bear the burden of proof at trial. See  
25 Celotex, 477 U.S. at 322. “[A] complete failure of proof concerning an essential element of the  
26 nonmoving party’s case necessarily renders all other facts immaterial.” Id. In such a  
27 circumstance, summary judgment should be granted, “so long as whatever is before the district  
28 court demonstrates that the standard for entry of summary judgment ... is satisfied.” Id. at 323.

1           If the moving party meets its initial responsibility, the burden then shifts to the opposing  
2 party to establish that a genuine issue as to any material fact actually does exist. See Matsushita  
3 Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to establish the  
4 existence of this factual dispute, the opposing party may not rely upon the allegations or denials  
5 of its pleadings but is required to tender evidence of specific facts in the form of affidavits, and/or  
6 admissible discovery material, in support of its contention that the dispute exists. See Fed. R.  
7 Civ. P. 56(c)(1); Matsushita, 475 U.S. at 586 n.11. Moreover, “[a] [p]laintiff’s verified complaint  
8 may be considered as an affidavit in opposition to summary judgment if it is based on personal  
9 knowledge and sets forth specific facts admissible in evidence.” Lopez v. Smith, 203 F.3d 1122,  
10 1132 n.14 (9th Cir. 2000) (en banc).<sup>1</sup>

11           The opposing party must demonstrate that the fact in contention is material, i.e., a fact that  
12 might affect the outcome of the suit under the governing law, see Anderson v. Liberty Lobby,  
13 Inc., 477 U.S. 242, 248 (1986); T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Assoc., 809  
14 F.2d 626, 630 (9th Cir. 1987), and that the dispute is genuine, i.e., the evidence is such that a  
15 reasonable jury could return a verdict for the nonmoving party, see Wool v. Tandem Computers,  
16 Inc., 818 F.2d 1433, 1436 (9th Cir. 1987).

17           In the endeavor to establish the existence of a factual dispute, the opposing party need not  
18 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed factual  
19 dispute be shown to require a jury or judge to resolve the parties’ differing versions of the truth at  
20 trial.” T.W. Elec. Serv., 809 F.2d at 631. Thus, the “purpose of summary judgment is to ‘pierce  
21 the pleadings and to assess the proof in order to see whether there is a genuine need for trial.’”

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22           <sup>1</sup> In addition, in considering a dispositive motion or opposition thereto in the case of a pro se  
23 plaintiff, the court does not require formal authentication of the exhibits attached to plaintiff’s  
24 verified complaint or opposition. See Fraser v. Goodale, 342 F.3d 1032, 1036 (9th Cir. 2003)  
25 (evidence which could be made admissible at trial may be considered on summary judgment);  
26 see also Aholelei v. Hawaii Dept. of Public Safety, 220 Fed. Appx. 670, 672 (9th Cir. 2007)  
27 (district court abused its discretion in not considering plaintiff’s evidence at summary judgment,  
28 “which consisted primarily of litigation and administrative documents involving another prison  
and letters from other prisoners” which evidence could be made admissible at trial through the  
other inmates’ testimony at trial); see Ninth Circuit Rule 36-3 (unpublished Ninth Circuit  
decisions may be cited not for precedent but to indicate how the Court of Appeals may apply  
existing precedent).

1 Matsushita, 475 U .S. at 587 (citations omitted).

2 In evaluating the evidence to determine whether there is a genuine issue of fact,” the court  
3 draws “all reasonable inferences supported by the evidence in favor of the non-moving party.”

4 Walls v. Central Costa County Transit Authority, 653 F.3d 963, 966 (9th Cir. 2011) (per curiam).

5 It is the opposing party’s obligation to produce a factual predicate from which the inference may  
6 be drawn. See Richards v. Nielsen Freight Lines, 602 F. Supp. 1224, 1244–45 (E.D. Cal. 1985),  
7 aff’d, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to demonstrate a genuine issue, the opposing  
8 party “must do more than simply show that there is some metaphysical doubt as to the material  
9 facts. . . . Where the record taken as a whole could not lead a rational trier of fact to find for the  
10 nonmoving party, there is no ‘genuine issue for trial.’” Matsushita, 475 U.S. at 587 (citation  
11 omitted).

12 In applying these rules, district courts must “construe liberally motion papers and  
13 pleadings filed by pro se inmates and . . . avoid applying summary judgment rules strictly.”  
14 Thomas v. Ponder, 611 F.3d 1144, 1150 (9th Cir. 2010). However, “[if] a party fails to properly  
15 support an assertion of fact or fails to properly address another party’s assertion of fact, as  
16 required by Rule 56(c), the court may . . . consider the fact undisputed for purposes of the motion  
17 . . . .” Fed. R. Civ. P. 56(e)(2).

#### 18 UNDISPUTED FACTS

19 The following facts concerning the processing of plaintiff’s relevant inmate grievance,  
20 Appeal Log No. SAC-B-15-02176, are undisputed:

21 • On July 30, 2015, while incarcerated at CSP-SAC, plaintiff submitted the subject  
22 inmate appeal alleging that he had been subjected to excessive force by defendants Rashev and  
23 Pierce on July 25, 2015. Declaration of M. Voong, Chief, CDCR Office of Appeals (Voong  
24 Decl.), ¶ 4; Ex. A.

25 • First Level Review was bypassed. Id., Ex. A, ECF No. 19-4 at 5-6.<sup>2</sup>

26 • On September 15, 2015, plaintiff’s appeal was denied on Second Level Review. Id.,

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28 <sup>2</sup> Page references reflect the electronic pagination accorded by this court’s Case Management/  
Electronic Case Files (CM/ECF) system, not the internal pagination of the filed documents.

1 Ex. A, ECF No. 19-4 at 6-11.

2 • On October 23, 2015, plaintiff was informed in writing by Appeals Coordinator C.  
3 Burnett that his appeal had been forwarded to the Office of Appeals for Third Level Review. Id.,  
4 Ex. A, ECF No. 19-4 at 6, 13.

5 • On March 22, 2016, Appeals Chief M. Voong issued a notice informing plaintiff that  
6 his appeal was “rejected” at Third Level Review pursuant to Cal. Code Regs. tit. 15, §  
7 3084.6(b)(7) & (13) because “incomplete” and “missing necessary supporting documents.” Id.,  
8 Voong Decl., ¶ 5; Ex. B, ECF No. 19-4 at 6, 44. Plaintiff was advised that his appeal was  
9 missing the following, id.:

- 10 - Signature and original date submitted is required on form  
11 requesting a Third Level Review  
12 - CDCR Form 1858, Rights and Responsibilities Statement  
13 - CDCR Form 837, Crime/Incident Report (Parts A, B & C), SAC-  
14 FB-15-07-0808

15 • The March 22, 2016 notice provided the following boiler-plate instructions, id.  
16 (emphasis added):

17 Be advised that you cannot appeal a rejected appeal, but should take  
18 the corrective action necessary and *resubmit the appeal within the  
19 timeframes specified in CCR 3084.6(a) and CCR 3084.8(b).*<sup>3</sup>  
20 Pursuant to CCR 3084.6(e), once an appeal has been cancelled, that  
21 appeal may not be resubmitted. However, a separate appeal can be  
22 filed on the cancellation decision. The original appeal may only be  
23 resubmitted if the appeal on the cancellation is granted.

24 • On May 3, 2016, Plaintiff resubmitted his appeal for Third Level Review. Voong  
25 Decl., Ex. A, ECF No. 19-4 at 45-6 (copy of envelope and postmark).

26 • On June 7, 2016, Appeals Chief M. Voong issued a notice informing plaintiff that his

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27 <sup>3</sup> These regulations provide in pertinent part (emphasis added):

28 Cal. Code Regs. tit. 15, § 3084.6(a): Appeals may be rejected  
pursuant to subsection 3084.6(b), or cancelled pursuant to  
subsection 3084.6(c), as determined by the appeals coordinator.

Cal. Code Regs. tit. 15, § 3084.8(b): An inmate or parolee *must*  
*submit the appeal within 30 calendar days of:* (1) The occurrence of  
the event or decision being appealed, or; (2) *Upon first having*  
*knowledge of the action or decision being appealed, or;* (3) *Upon*  
*receiving an unsatisfactory departmental response to an appeal*  
*filed.*

1 appeal had been cancelled on Third Level Review because untimely submitted. Voong Decl., ¶ 5;  
2 Ex. C, ECF No. 19-4 at 6, 50. The notice provided in pertinent part, id.:

3 Your appeal has been cancelled pursuant to [Cal. Code Regs. tit.  
4 15] Section (CCR) 3084.6(c)(10). Failure to correct and return a  
5 rejected appeal within 30 calendar days of the rejection.

6 The Office of Appeals rejected and returned the appeal to the  
7 inmate on March 23, 2016 [sic]. The envelope addressed to The  
8 Office of Appeals was signed by staff on May 3, 2016<sup>4</sup> and was  
9 postmarked on May 4, 2016. The envelope was received in our  
10 office on May 10, 2016. This exceeds the time constraints to  
11 subject for third level review.

12 Pursuant to CCR 3084.6(e), once an appeal has been cancelled, that  
13 appeal may not be resubmitted. However, a separate appeal can be  
14 filed on the cancellation decision. The original appeal may only be  
15 resubmitted if the appeal on the cancellation is granted. You have  
16 30 calendar days to appeal the cancellation. Time constraints begin  
17 from the date on the screen out form which cancelled your appeal.

18 • Plaintiff did not file an appeal challenging the cancellation of his Appeal Log No. SAC-  
19 B-15-02176. Voong Decl., ¶ 7.

20 • Plaintiff has submitted no other inmate appeal challenging the subject conduct of  
21 defendants. Id.

22 • Plaintiff filed his complaint in this action on August 5, 2016. See ECF No. 1.

### 23 PLAINTIFF'S CONTENTIONS

24 Plaintiff filed both an opposition and a surreply. Plaintiff failed to sign or verify his  
25 opposition, but did verify his surreply. Although a surreply is not authorized as a matter of right  
26 under the Federal Rules of Civil Procedure or the Local Rules of this court, defendants have not  
27 objected to the court's review of plaintiff's surreply, and the court finds good cause to consider it.

28 Plaintiff makes the following contentions in opposition to defendants' motion for  
summary judgment.<sup>5</sup> Plaintiff initially contends that he timely resubmitted his completed appeal

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<sup>4</sup> Defendants acknowledge that, under the prison mailbox rule, May 3, 2016 is the appropriate date for calculating plaintiff's resubmission of his appeal for Third Level Review. Pursuant to the prison mailbox rule, a document is deemed served or filed on the date a prisoner signs the document and gives it to prison officials for mailing. See Houston v. Lack, 487 U.S. 266 (1988) (establishing prison mailbox rule); Campbell v. Henry, 614 F.3d 1056, 1059 (9th Cir. 2010) (applying the mailbox rule to both state and federal filings by incarcerated inmates).

<sup>5</sup> Plaintiff's contention that he did not receive the October 23, 2015 notice that his appeal had

1 for Third Level Review within 30 days after March 22, 2016. Plaintiff directs the court to his  
2 April 21, 2016 signature on a “Rights and Responsibility Statement” form. See ECF No. 19-4 at  
3 15-7. Plaintiff next contends that he never received notice of the June 7, 2016 cancellation of his  
4 appeal, and therefore did not receive notice that he could challenge the cancellation in a new  
5 appeal. Plaintiff also appeals to the court’s discretion, asserting that he is a participant in the  
6 prison’s mental health care system, and lacks familiarity with all pertinent regulations and  
7 guidelines.

### 8 ANALYSIS

9 The analytical framework set forth in Albino requires defendants to bear the initial burden  
10 of demonstrating that plaintiff had an available administrative remedy to grieve his claims against  
11 defendants Rashev and Pierce, but that plaintiff did not exhaust that remedy. See Albino, 747  
12 F.3d at 1172. The court finds that defendants have met this burden, by demonstrating the  
13 administrative processing, rejection and ultimate cancellation of plaintiff’s relevant appeal, and  
14 therefore plaintiff’s failure to exhaust his administrative remedies. Plaintiff does not contest this  
15 assessment.

16 The burden then shifts to plaintiff “to come forward with evidence showing that there is  
17 something in his particular case that made the existing and generally available administrative  
18 remedies effectively unavailable to him.” Albino, 747 F.3d at 1166; see also Ross, 136 S. Ct. at  
19 1858-60.

20 Plaintiff’s initial contention – that he timely resubmitted his completed appeal for Third  
21 Level Review within 30 days after March 22, 2016 – fails to satisfy this burden. Plaintiff’s only  
22 evidence is his April 21, 2016 signature on a “Rights and Responsibility Statement” form, the  
23 completion of which is a precondition for pursuing a criminal complaint against a correctional  
24 officer. See ECF No. 19-4 at 15-7. This form is unrelated to the administrative appeals process.  
25 Pursuing a criminal complaint against a correctional officer is a distinctly different process than  
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27 been forwarded to the Office of Appeals for Third Level Review until March 22, 2016, is plainly  
28 without merit. The first critical trigger date, requiring a further response from plaintiff, was  
March 22, 2016.

1 exhausting administrative remedies as a precondition to filing a civil rights suit. Moreover,  
2 defendants have submitted uncontested evidence demonstrating that plaintiff resubmitted his  
3 appeal on May 3, 2016, more than 30 days after March 22, 2016. See ECF No. 19-4 at 50; see  
4 also id. at 45-6. Plaintiff's subsequent transfer to SCJ on May 10, 2016 did not impact this  
5 period. Accordingly, the undisputed evidence establishes that plaintiff's resubmission of his  
6 appeal for Third Level Review was untimely by 11 days, thus providing a valid reason for  
7 cancelling the appeal. See Cal. Code Regs. tit. 15, § 3084.6(c)(4).<sup>6</sup>

8 Plaintiff's second contention fares better. Plaintiff has asserted that he never received a  
9 copy of the June 7, 2016 notice that his appeal was cancelled, and thus was never informed that  
10 he could challenge such cancellation in a new appeal filed within 30 days. Plaintiff suggests that,  
11 due to his transfer from CSP-SAC to SCJ on May 10, 2016, the notice may have mistakenly been  
12 sent to CSP-SAC or another facility. ECF No. 24 at 1. Plaintiff asks how he can "be held  
13 responsible for not responding to a cancellation response that he never knew existed?" ECF No.  
14 28 at 2. Review of the cancellation notice itself does not contradict plaintiff's assertion or theory.  
15 Unlike the prior Appeals Office notices that included plaintiff's CSP-SAC address, the June 7,  
16 2016 cancellation notice does not include any address for plaintiff. See ECF No. 19-4 at 50.

17 Defendants do not dispute the possibility that plaintiff may not have received the June 7,  
18 2016 cancellation notice. Instead they focus exclusively on plaintiff's failure to timely resubmit  
19 his rejected appeal for Third Level Review within 30 days after March 22, 2016. See Reply, ECF  
20 No. 26 at 3-4. Defendants have not submitted any evidence to refute plaintiff's contention that he  
21 did not receive the cancellation notice. The declaration of Appeals Chief Voong states in

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23 <sup>6</sup> Plaintiff has not disputed defendants' assumption that plaintiff was informed of the March 22,  
24 2016 rejection notice on the date it was issued. Defendants have relied on the date of issuance for  
25 calculation of the resubmission delay, but there is no evidence before the court regarding  
26 plaintiff's actual receipt of the March 22 notice. Had plaintiff produced evidence that he received  
27 actual notice of the rejection of his appeal sometime after March 22, 2016, it may have affected  
28 the analysis. Under Cal. Code Regs. tit. 15, § 3084.8(b), the 30-day period for the resubmission  
of plaintiff's appeal commenced *either* on the date when plaintiff "*first [had] knowledge* of the  
action or decision being appealed," *id.*, § 3084.8(b)(2), or "*receiv[ed] an unsatisfactory*  
*departmental response* to an appeal filed, *id.*, § 3084.8(b)(3) (emphasis added). In the absence of  
such evidence, however, the court has accepted the date of notice as undisputed.

1 pertinent part only that “[a] true copy of the cancellation letter sent to Plaintiff regarding appeal  
2 log no. SAC-15-02176 is attached as Exhibit C.” Voong Decl., ¶ 6, ECF No. 19-4 at 2. The  
3 referenced cancellation letter is the June 7, 2016 cancellation notice that does not include  
4 plaintiff’s address or any other indication that it was actually “sent.”

5 Defendants have failed to demonstrate the existence of a factual dispute concerning  
6 plaintiff’s non-receipt of the June 7, 2016 cancellation notice regarding his Appeal Log No. SAC-  
7 B-15-02176, and consequent lack of notice that he could challenge the cancellation in a new  
8 appeal. Accordingly, the court finds that plaintiff has met his burden of coming forward with  
9 some evidence showing that the generally available administrative remedies were effectively  
10 unavailable to him. Albino, 747 F.3d at 1166. Without receiving such notice, the generally  
11 available grievance procedures were unavailable to plaintiff and therefore “so opaque” as to be  
12 “practically speaking, incapable of use.” Ross, 136 S. Ct. at 1859. Accord, Williams v.  
13 Correction Officer Priatno, 829 F.3d 118, 126 (2d Cir. 2016) (“The regulations plainly do not  
14 provide guidance on how a transferred inmate can appeal his grievance with the original facility  
15 without having received a response.”). Although it is clear that plaintiff failed to exhaust his  
16 relevant appeal, a further potential remedy remained available to plaintiff which he could have  
17 pursued and exhausted had he been provided timely notice. The obligation of an inmate to pursue  
18 all “available” remedies before commencing a civil suit, see Brown, 422 F.3d at 935, Ross, 136 S.  
19 Ct. at 1862, necessarily includes the opportunity to challenge the cancellation of one’s relevant  
20 appeal.<sup>7</sup>

21 Without evidence demonstrating a material fact dispute, this court need not convene an  
22 evidentiary hearing. As the undersigned previously noted in a similar case:

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23 <sup>7</sup> The handling of plaintiff’s appeal is problematic in other ways, which deserve note although  
24 they do not affect the exhaustion analysis. First, it is unclear why plaintiff was informed on  
25 October 23, 2015 that his appeal had been forwarded to Third Level Review if the appeal was  
26 then incomplete. It took five months for plaintiff to be notified that his appeal was not, after all,  
27 being considered at the Third Level. The length of that delay is also noteworthy in its own right.  
28 The delays in processing plaintiff’s appeal were significantly longer than the delay for which  
plaintiff was penalized. After the initial five-month delay, plaintiff’s subsequent appeal of the  
rejection was cancelled because resubmitted 11 days past the 30-day deadline. It then took  
another month, until June 7, 2016, for the Appeals Office to issue the cancellation notice.

1 If exhaustion were an element of plaintiff's claim rather than an  
2 affirmative defense, plaintiff might well have to "prove up" his  
3 allegations by submitting his evidence to adversary testing and  
4 credibility challenges at a pretrial hearing. But plaintiff does not  
5 bear the burden of proof as to exhaustion, even when he has  
6 assumed and satisfied the burden of production as to the practical  
7 unavailability of administrative remedies. Albino, 747 F.3d at  
8 1172. An evidentiary hearing is only necessary where summary  
9 judgment is denied on grounds that material factual disputes  
10 preclude the entry of summary judgment.

11 Hammler v. Davis, 2017 WL 735737, at \*10, 2017 U.S. Dist. LEXIS 25728 (E.D. Cal. Feb. 23,  
12 2017), report and recommendation adopted, 2017 WL 1093968, 2017 U.S. Dist. LEXIS 42693  
13 (E.D. Cal. Mar. 23, 2017) (Case No. 2:14-cv-2073 MCE AC P).

14 Because administrative exhaustion is an affirmative defense, and because the evidence  
15 presented on summary judgment must be viewed in the light most favorable to the nonmoving  
16 party, the record in this case compels the conclusion that defendants have failed to meet their  
17 burden on the exhaustion issue. Albino, 747 F.3d at 1176. For these reasons, as in Albino, *id.*,  
18 the undersigned concludes that summary judgment on the exhaustion issue should be entered *sua*  
19 *sponte* for plaintiff.

#### 20 CONCLUSION

21 For the foregoing reasons, IT IS HEREBY RECOMMENDED that defendants' motion  
22 for summary judgment, ECF No. 19, be denied, and that summary judgment on the issue of  
23 exhaustion be entered for plaintiff.

24 These findings and recommendations are submitted to the United States District Judge  
25 assigned to this case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
26 after being served with these findings and recommendations, any party may file written  
27 objections with the court and serve a copy on all parties. Such a document should be captioned  
28 "Objections to Magistrate Judge's Findings and Recommendations." The parties are advised that

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1 failure to file objections within the specified time may waive the right to appeal the District  
2 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

3 DATED: June 29, 2017

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5 ALLISON CLAIRE  
6 UNITED STATES MAGISTRATE JUDGE  
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