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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

THEON OWENS,  
Plaintiff,  
v.  
JOSEPH DEFAZIO, et al.,  
Defendants.

No. 2: 16-cv-2750 JAM KJN P

ORDER AND FINDINGS AND  
RECOMMENDATIONS

I. Introduction

Plaintiff is a state prisoner, proceeding without counsel, with a civil rights action pursuant to 42 U.S.C. § 1983. Pending before the court is defendants’ motion to dismiss pursuant to Federal Rule of Civil Procedure 12(b)(6). (ECF No. 37.) After carefully considering the record, the undersigned recommends that defendants’ motion be granted in part and denied in part.

II. Legal Standard for Motion to Dismiss Brought Pursuant to Federal Rule of Civil Procedure 12(b)(6)

Rule 12(b)(6) of the Federal Rules of Civil Procedures provides for motions to dismiss for “failure to state a claim upon which relief can be granted.” Fed. R. Civ. P. 12(b)(6). In considering a motion to dismiss pursuant to Federal Rule of Civil Procedure 12(b)(6), the court must accept as true the allegations of the complaint in question, Erickson v. Pardus, 551 U.S. 89 (2007), and construe the pleading in the light most favorable to the plaintiff. Jenkins v.

1 McKeithen, 395 U.S. 411, 421 (1969); Meek v. County of Riverside, 183 F.3d 962, 965 (9th Cir.  
2 1999). Still, to survive dismissal for failure to state a claim, a pro se complaint must contain more  
3 than “naked assertions,” “labels and conclusions” or “a formulaic recitation of the elements of a  
4 cause of action.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555-57 (2007). In other words,  
5 “[t]hreadbare recitals of the elements of a cause of action, supported by mere conclusory  
6 statements do not suffice.” Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009). Furthermore, a claim  
7 upon which the court can grant relief must have facial plausibility. Twombly, 550 U.S. at 570.  
8 “A claim has facial plausibility when the plaintiff pleads factual content that allows the court to  
9 draw the reasonable inference that the defendant is liable for the misconduct alleged.” Iqbal, 556  
10 U.S. at 678. Attachments to a complaint are considered to be part of the complaint for purposes  
11 of a motion to dismiss for failure to state a claim. Hal Roach Studios v. Richard Reiner & Co.,  
12 896 F.2d 1542, 1555 n.19 (9th Cir. 1990).

13 A motion to dismiss for failure to state a claim should not be granted unless it appears  
14 beyond doubt that the plaintiff can prove no set of facts in support of his claims which would  
15 entitle him to relief. Hishon v. King & Spaulding, 467 U.S. 69, 73 (1984). In general, pro se  
16 pleadings are held to a less stringent standard than those drafted by lawyers. Haines v. Kerner,  
17 404 U.S. 519, 520 (1972). The court has an obligation to construe such pleadings liberally. Bretz  
18 v. Kelman, 773 F.2d 1026, 1027 n.1 (9th Cir. 1985) (en banc). However, the court’s liberal  
19 interpretation of a pro se complaint may not supply essential elements of the claim that were not  
20 pled. Ivey v. Bd. of Regents of Univ. of Alaska, 673 F.2d 266, 268 (9th Cir. 1982).

### 21 III. Background

22 On December 8, 2016, the undersigned ordered service of the original complaint on  
23 defendants Eldridge, Martineck, Schultz, Couch, Mercado, Blessing, Drake, Murillo, Martinez,  
24 Byers, Bettencourt, Guffee, Rashev, Matthews, Lebeck, Brady, Burke, Defazio, Okoroike and  
25 Staggs-Boatright. (ECF No. 4.)

26 On March 2, 2017, the undersigned referred this action to the Post-Screening ADR  
27 Project. (ECF No. 22.) On March 23, 2017, a settlement conference was held. The action did  
28 not settle.

1 On July 20, 2017, defendants filed the pending motion to dismiss. (ECF No. 37.) The  
2 motion to dismiss is made on behalf of Rashev, Byers, Guffee, Matthews, Mercado, Okoroike,  
3 Staggs-Boatright, Eldridge, Couch, Schultz and Martineck. The remaining defendants, i.e.,  
4 defendants Blessing, Drake, Murillo, Martinez, Bettencourt, Lebeck, Brady, Burke and Defazio,  
5 request a thirty day extension of time after the court rules on the motion to dismiss to file an  
6 answer. Good cause appearing, this request is granted.

7 IV. Plaintiff's Allegations

8 To put the motion to dismiss in context, the undersigned herein describes plaintiff's  
9 allegations against all defendants.

10 Plaintiff alleges that on February 18, 2015, defendants Byers and Rashev were passing out  
11 the daily breakfast and lunch meals on the top tier. (ECF No. 1 at 15.) Plaintiff alleges that  
12 defendants Byers and Rashev walked past plaintiff without offering him his meals. (Id.) When  
13 defendants passed plaintiff's cell, he asked if he could get his meals. (Id.) Defendants ignored  
14 plaintiff. (Id.)

15 Plaintiff confronted non-defendant Potter about the denial of food. (Id. at 16.) Potter  
16 allegedly refused to intervene. (Id.) Plaintiff became upset after being denied his meals. (Id.)  
17 Plaintiff began to throw water at his cell door throughout the morning. (Id.) At approximately  
18 1225 hours, defendants Martineck, Byers, Blessing, Bettencourt and Rashev, and Potter,  
19 approached plaintiff's cell door. (Id.) Defendant Martineck told plaintiff that Potter alleged that  
20 plaintiff had gassed him (i.e. thrown urine or feces at him). (Id.) Defendant Martineck asked  
21 plaintiff to submit to mechanical restraints so that his cell could be searched and all liquid  
22 removed from his cell. (Id.)

23 Plaintiff complied with defendant Martineck's request and submitted to restraints. (Id.)  
24 Plaintiff alleges that defendant Martineck then instructed his subordinates to place plaintiff in the  
25 upper tier shower. (Id. at 16-17.) Once plaintiff was in the shower, defendant Martineck  
26 instructed his subordinates to search plaintiff's cell and remove all containers. (Id. at 17.) Once  
27 the cell was searched, defendant Martineck instructed his subordinates to put plaintiff back in his  
28 cell. (Id.)

1 Defendant Bettencourt then used a wet swab on Potter's shirt sleeve. (Id.) Plaintiff was  
2 written up for a rules infraction. (Id.) Potter then sat in front of plaintiff's cell. (Id.)

3 At approximately 1315 hours, defendants Defazio, Brady, Lebeck and Burke came to  
4 plaintiff's cell. (Id.) These defendants instructed plaintiff to cuff up, informing him that an  
5 "unidentified c/o (defendant Bettencourt)" said that he had been gassed by plaintiff. (Id.)  
6 Plaintiff told defendants that he had not gassed anyone and did not want to come out of his cell.  
7 (Id.) Plaintiff told them that defendant Martineck had already addressed the allegation and had  
8 already searched his cell. (Id. at 17-18.)

9 Defendant Defazio told plaintiff that defendants Blessing and Martineck wanted him to  
10 cuff up and come down to the rotunda to talk and that if he refused, he would be extracted from  
11 his cell. (Id. at 18.) Plaintiff then agreed to submit to mechanical restraints. (Id.) Defendant  
12 Defazio then signaled for tower officer defendant Matthews to open plaintiff's cell door. (Id.)  
13 Defendant Defazio then grabbed plaintiff's arm and slammed him on the concrete floor. (Id.)  
14 Plaintiff alleges that the other defendants who were present then began to strike plaintiff in the  
15 face with their clenched fists. (Id.)

16 Plaintiff alleges that this beating took place in the presence of defendant Matthews and  
17 non-defendant Brown. (Id. at 19.) Plaintiff alleges that neither defendant Matthews nor non-  
18 defendant Brown attempted to stop the attack or sound the unit alarm. (Id.)

19 Plaintiff alleges that during the beating, defendant Defazio punched plaintiff in the mouth,  
20 knocking out several of his teeth. (Id.) Plaintiff alleges that defendant Defazio also tore 25 to 30  
21 dreadlocks out of plaintiff's head. (Id.)

22 After plaintiff saw blood gushing from his mouth, he yelled out, "HIV," in an attempt to  
23 stop the attack. (Id. at 20.) At that time, all defendants stopped attacking plaintiff except for  
24 defendant Defazio. (Id.) Defendant Defazio said to plaintiff, "No you don't nigger. You think  
25 you run this place, you walk around here like you run this place. You're going to learn nigger."  
26 (Id.) One of the correctional officers then told defendant Matthews to sound the unit alarm,  
27 which then occurred. (Id.)

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1 Defendant Martinez then ran into the section and up the stairs. (Id.) Defendant Martinez  
2 pulled the plaintiff's legs up and kicked him in the testicles. (Id.)

3 Defendants Blessing, Bettencourt and Rashev then came into the section. (Id.)  
4 Defendants Rashev and Bettencourt came up the stairs, and were later joined by defendant  
5 Blessing. (Id.) After he came up the stairs, defendant Blessing kicked plaintiff in the face with  
6 his steel work boot. (Id.) Then "all present defendants" began to kick and hit plaintiff in the face.  
7 (Id.) Defendant Guffee, who was at the bottom of the stairs, observed this violence. (Id. at 21.)  
8 Defendant Guffee did not attempt to stop this violence, nor did he object. (Id. at 21.) Plaintiff  
9 alleges that defendant Matthews also saw this violence but failed to intervene. (Id.)

10 By this time, plaintiff's left eye was completely swollen shut and bleeding, and his right  
11 eye was swollen shut approximately 80%. (Id.) Defendants Drake and Murillo entered the  
12 section and came up the stairs. (Id.) Defendant Blessing told defendants Drake and Murillo to  
13 take plaintiff to the rotunda holding cell. (Id.) Defendants Drake and Murillo grabbed plaintiff  
14 and began to drag him down the stairs. (Id.)

15 Once defendants Drake and Murillo had dragged plaintiff to the bottom of the stairs, they  
16 slammed plaintiff's head into the door frame. (Id. at 21-22.) Plaintiff alleges that once  
17 defendants Drake and Murillo had dragged him into the rotunda, they held him up so that the  
18 other defendants could strike plaintiff. (Id. at 22.) Defendants Defazio and Blessing then began  
19 striking and kicking plaintiff in the face. (Id.) While plaintiff was being struck in the head, he  
20 saw a flash of light which was a concussion. (Id.) Defendants Murillo and Drake then threw  
21 plaintiff into the holding cage. (Id.)

22 Plaintiff alleges that defendant Blessing then called the other defendants into the office.  
23 (Id. at 23.) Defendant Blessing told the other defendants, "We have to cover our asses." (Id.)  
24 Plaintiff alleges that the defendants began to conspire regarding how to cover-up what they had  
25 done to plaintiff. (Id.) Plaintiff heard defendant Defazio say, "I'm going to put in my report that  
26 he attempted to head butt me and spit on me." (Id. at 24.) Plaintiff heard non-defendant Potter  
27 tell the inmate worker to clean up the blood and hair on the tier. (Id.)

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1           Approximately fifteen minutes later, a guard contacted defendant Nurse Okoroike,  
2 requesting that she medically evaluate plaintiff. (Id.) Defendant Okoroike asked plaintiff what  
3 happened to him. (Id.) Plaintiff told defendant Okoroike what happened, i.e., he was beaten and  
4 kicked by defendants. (Id.) Plaintiff alleges that defendant Okoroike did not ask the Correctional  
5 Officers to pull plaintiff from the holding cell so that she could perform her duty and do a full  
6 body inspection. (Id.) Defendant Okoroike noted a few injuries on plaintiff's face, then left.  
7 (Id.)

8           Approximately three minutes later, defendant Martineck arrived on the unit. (Id. at 24-  
9 25.) Defendant Martineck grabbed the CDCR 7219 Medical Report of Injury or Unusual  
10 Occurrence from defendant Blessing. (Id. at 25.) Defendant Martineck instructed defendant  
11 Blessing to follow him out on to the yard. (Id.) Plaintiff was unable to make out what they were  
12 saying. (Id.) While defendant Blessing was talking, defendant Martineck became agitated and  
13 began talking in a loud, aggressive manner. (Id.)

14           Defendant Martineck returned to the rotunda and began to exit the unit door. (Id.)  
15 Plaintiff asked if he could speak with him. (Id.) Defendant Martineck said, "not now, I have to  
16 go take care of something." (Id.) As defendant Martineck exited the unit, defendant Blessing  
17 held up his middle finger toward defendant Martineck and said in a low tone, "Fuck you. You're  
18 going to take his side over me. He is an inmate." (Id.)

19           At approximately 1335 hours, plaintiff was taken to A Facility Triage by non-defendant  
20 McCarvel and defendants Murillo and Drake. (Id.) Plaintiff complained that he was unable to  
21 focus his vision, seeing double and feeling dizzy. (Id. at 26.) Non-defendant Dr. Wedell told  
22 plaintiff that these symptoms would pass. (Id.) Defendant Mercado then entered the Triage area  
23 to relieve defendant McCarvel, so that McCarvel could prepare his report regarding his  
24 involvement in the incident. (Id.)

25           Plaintiff alleges that his concussion symptoms persisted and he became irritable because  
26 of the circumstances of the beating. (Id.) Plaintiff alleges that he began to swear and state his  
27 thoughts out loud about the defendants. (Id.) Based on plaintiff's statements, as well as  
28 plaintiff's injuries, defendant Mercado became aware of what had happened. (Id.) In an attempt

1 to cover-up for the defendants who had inflicted the beating, plaintiff alleges that defendant  
2 falsely charged plaintiff with threatening staff.<sup>1</sup> (Id. at 26-27.)

3 Plaintiff alleges that non-defendant Dr. Wedell instructed his assistant, non-defendant  
4 Nurse Nicolaou, to apply an ice pack to plaintiff's eyes to stop them from swelling shut. (Id. at  
5 27.) Defendant Mercado told Nurse Nicolaou, "No, he cannot have an ice pack." (Id.) Nurse  
6 Nicolaou told plaintiff that she would document that defendant Mercado said that he could not  
7 have an ice pack. (Id.)

8 Plaintiff was then taken to a use of force interview. (Id.) As plaintiff was wheeled back  
9 to his cell after the interview, he saw defendant Byers. (Id. at 28.) Plaintiff asked defendant  
10 Byers, "is that how you are getting down, jumping on inmates with their hands cuffed behind  
11 their back and knocking out their teeth." (Id.) Defendant Byers replied, "Yeah." (Id.)

12 Approximately three hours after the incident, defendant Defazio asked defendant  
13 Psychiatric Technician Staggs-Boatright to write a CDCR 7219 alleging that he, defendant  
14 Defazio, had suffered injuries during the incident. (Id.) Plaintiff alleges that defendant Staggs-  
15 Boatright did not see any injuries on defendant Defazio, but agreed to help him anyway. (Id.)  
16 Defendant Boatright wrote that defendant Defazio had pain in his chest from being head butted by  
17 plaintiff and that his hand hurt from punching plaintiff in the face. (Id.) Plaintiff alleges that this  
18 report was a "job insurance" plan so defendant Defazio would not get fired for violating the law.  
19 (Id.)

20 Plaintiff alleges that on February 19, 2015, defendant Byers and non-defendant Parker  
21 denied him his breakfast and lunch. (Id. at 29.) Later that day, non-defendants Igbowke and  
22 Babbit denied plaintiff his evening meal. (Id.) On February 20, 2015, defendant Byers and non-  
23 defendant Parker denied plaintiff his "breakfast meals." (Id.)

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25 <sup>1</sup> Plaintiff does not allege a claim against defendant Mercado for making the allegedly false  
26 charges. In any event, the issuance of a false rules violation report does not, in and of itself,  
27 support a claim under section 1983. See Ellis v. Foulk, 2014 WL 4676350 at \*2 (E.D. Cal. 2014)  
28 ("Plaintiff's protection from the arbitrary action of prison officials lies in 'the procedural due  
process requirements as set forth in Wolff v. McDonnell.'") (citing Hanrahan v. Lane, 747 F.2d  
1137, 1140 (7th Cir. 1984).

1 Later that day, plaintiff told defendant Martineck that staff was refusing to give him food.  
2 (Id.) Plaintiff also told defendant Martineck that he feared for his safety. (Id.) Defendant  
3 Martineck told plaintiff to say he was suicidal so that he could get him off the block. (Id.)  
4 Plaintiff told defendant Martineck that he was suicidal and that he wanted his food. (Id.)  
5 Defendant Martineck had plaintiff moved to a different housing block. (Id. at 29-30.)

6 Later that day, plaintiff was put in a holding cage, per defendant Martineck's order to have  
7 him evaluated by a psychologist based on his claims of feeling suicidal. (Id. at 30.) While he  
8 was in the holding cell, defendant Matthews began taunting plaintiff, mimicking the sounds  
9 plaintiff made as he was beaten. (Id.)

10 On March 3, 2015, non-defendant Demps came to plaintiff's housing unit and provided  
11 him with copies of the Rules Violation Reports that had been written against plaintiff. (Id.)  
12 Demps asked plaintiff to write down the names of any witnesses and documentary evidence he  
13 wanted to present at the disciplinary hearing. (Id.)

14 After reviewing the documentation regarding the incident, plaintiff discovered that  
15 defendant Martineck had helped to cover-up the incident. (Id.) Defendant Martineck had  
16 allowed staff to change their story and report things that did not happen. (Id.) Plaintiff also  
17 alleges that he discovered that defendant Okoroike had attempted to conceal the injuries that he  
18 suffered. (Id. at 31.) Plaintiff again alleges that defendant Staggs-Boatright prepared a report  
19 falsely stating that defendant Defazio had suffered injuries during the incident, i.e., pain in his  
20 chest and hand. (Id. at 32.)

21 Plaintiff alleges that on April 2, 2015, defendant Couch was assigned as an Investigative  
22 Employee to help plaintiff prepare for the disciplinary hearing. (Id.) Plaintiff told defendant  
23 Couch that there were witnesses and documentary evidence. (Id. at 32-33.) Plaintiff told  
24 defendant Couch that the names of the eyewitnesses were on the CDC 115-A form. (Id.)  
25 Plaintiff also told defendant Couch that he (plaintiff) had prepared questions for the witnesses.  
26 (Id. at 33.) Defendant Couch said he had received the questions and that he would interview the  
27 witnesses and gather the documents identified by plaintiff. (Id.)

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1 Plaintiff alleges that on April 22, 2015, he received defendant Couch's report. (Id.)  
2 Plaintiff alleges that defendant Couch attempted to conceal witness testimony and had tampered  
3 with evidence. (Id.) Defendant Couch had prepared a new report stating that plaintiff had not  
4 requested anything. (Id.) Defendant Couch also refused to ask the witnesses the questions  
5 proposed by plaintiff. (Id.)

6 Plaintiff alleges that on May 28, 2015, defendant Schultz held the disciplinary hearing  
7 regarding the charges made against plaintiff by defendant Defazio. (Id. at 34.) Plaintiff alleges  
8 that defendant Schultz refused plaintiff's request for witnesses and to present documentary  
9 evidence. (Id. at 34-35.) Defendant Schultz found plaintiff guilty of head butting defendant  
10 Defazio. (Id.) Defendant Schultz told plaintiff that he would not consider his evidence and  
11 witnesses because, "I am not going to deal with all of that, the office of Internal Affairs can deal  
12 with that since they are investigating the assault and battery that happen[ed] to you." (Id. at 35.)  
13 Plaintiff alleges that defendant Schultz denied his request for witnesses by stating, "This R.V.R.  
14 [rules violation report] is what I have to go off of." (Id.)

15 Plaintiff alleges that after being found guilty, he was punished by being deprived of all  
16 personal property, vendor packages, and telephone privileges for 90 days. (Id. at 35, 37.) In  
17 addition, plaintiff was referred to the Classification Committee, which sentenced him to an 18  
18 Month Aggravated Security Housing Unit ("SHU") term. (Id. at 35, 37.) Plaintiff alleges that the  
19 SHU requires that inmates are housed in an isolated cell for up to 22 hours a day. (Id. at 37.)  
20 Inmates in the SHU have limited visiting privileges, are denied personal property and telephone  
21 privileges, have limited access to the commissary, have limited access to packages, limited access  
22 to educational, rehabilitative and recreational programs, movement outside the cell is restricted to  
23 mechanical restraints, are unable to work and have limited law library access. (Id.)

24 Plaintiff alleges that on June 17, 2015, defendant Mercado came to his cell door and said,  
25 "I wanted to see your smile. Have they fixed your two teeth yet, let me see." (Id. at 36.) Plaintiff  
26 felt attacked by defendant Mercado's comments and told him to get the "fuck away" from the  
27 door. (Id.) Defendant Mercado then told plaintiff, "that's why you got your ass beat down and  
28 your teeth knocked down your throat, you little bitch." (Id.) Plaintiff and defendant Mercado then

1 began to argue. (Id.) Defendant Mercado told plaintiff, “You bitch, you’re lucky I was not there  
2 because I would have knocked out even more.” (Id.) Plaintiff then said, “You would not have  
3 done shit but write a fake report like you did.” (Id. at 37.) Defendant Mercado replied, “You got  
4 found guilty didn’t you.” (Id.) At that time, other staff began to enter the section. (Id.)  
5 Defendant Mercado noticed the other staff and walked away. (Id.)

6 On June 30, 2015, defendant Eldridge upheld defendant Schultz’s findings. (Id. at 35-36.)

7 On August 26, 2015, the Institutional Classification Committee (“ICC”) reviewed the  
8 rules violation report. (Id. at 37.) The ICC upheld the actions and findings of defendants  
9 Eldridge, Schultz and Couch. (Id.) The ICC sentenced plaintiff to an 18 month Security Housing  
10 Unit (“SHU”) term. (Id.)

## 11 V. Discussion

12 Defendants move to dismiss all claims against defendants Rashev, Byers, Guffee,  
13 Matthew, Mercado, Okoroike, Boatright-Staggs, Eldridge, Couch, Schultz and Martineck.

### 14 A. Defendants Rashev and Byers

#### 15 *Alleged Denial of Food*

16 Plaintiff alleges that on February 18, 2015, defendants Byers and Rashev denied him his  
17 breakfast and lunch. Plaintiff alleges that on February 19, 2015 defendant Byers denied him his  
18 breakfast and lunch. Plaintiff alleges that he was denied his evening meal on February 19, 2015,  
19 by non-defendants. Plaintiff alleges that on February 20, 2015, defendant Byers denied him his  
20 “breakfast meals.”

21 The Eighth Amendment protects a prisoner’s right to receive food “adequate to maintain  
22 health.” Lemaire v. Maas, 12 F.3d 1444, 1456 (9th Cir. 1993). The Ninth Circuit has held that  
23 denial of 16 meals in 23 days “is a sufficiently serious deprivation because food is one of life's  
24 basic necessities.” Foster v. Runnels, 554 F.3d 807, 812-13 (9th Cir. 2009). In that case, the  
25 court did not reach the conclusion whether the meals plaintiff did receive were adequate to  
26 maintain his health. Other cases finding a sufficiently serious deprivation involve the plaintiff  
27 being deprived of food entirely for more than two consecutive days. See Dearman v. Woodson,  
28 429 F.2d 1288, 1289 (10th Cir. 1970) (no food for twelve days); Reed v. McBride, 178 F.3d 849,

1 853 (7th Cir. 1999) (“infirm” plaintiff did not receive food for 3-4 days at a time); Robles v.  
2 Coughlin, 725 F.2d 12, 16 (2d Cir. 1983) (no food for 12 days, some consecutive, out of 53-day  
3 period). In another case, the Eighth Circuit found that depriving plaintiff of four consecutive  
4 meals in two days is a sufficiently serious deprivation. Simmons v. Cook, 154 F.3d 805, 809 (8th  
5 Cir. 1998).

6 As discussed above, plaintiff alleges that defendant Rashev denied him breakfast and  
7 lunch on one day. Based on the case law cited above, the undersigned finds that this claim  
8 against defendant Rashev does not state a potentially colorable Eighth Amendment claim.  
9 Accordingly, this claim against defendant Rashev should be dismissed.

10 Plaintiff alleges that Byers denied him five meals over three days. However, plaintiff is  
11 also claiming that he was denied four consecutive meals (breakfast, lunch and dinner on February  
12 19, 2015, and breakfast on February 20, 2015), and that defendant Byers denied him three of  
13 those four meals. Even assuming defendant Byers knew that plaintiff would not and did not  
14 receive his fourth meal, for the reasons stated herein, the undersigned finds no Eighth  
15 Amendment violation.

16 As discussed above, in Simmons v. Cook, 154 F.3d 805 (1998), the Eighth Circuit found  
17 that inmates’ rights were violated when they were denied four consecutive meals. The  
18 undersigned finds Simmons distinguishable from the instant action. In reaching this holding, the  
19 Eighth Circuit found an Eighth Amendment violation based on the totality of the conditions of the  
20 plaintiffs’ confinement. 154 F.3d at 808. The inmates in Simmons were paraplegic, wheelchair  
21 bound and confined in inadequate maximum security cells where the defendants made sure that  
22 the plaintiffs were unable to access their food trays (their wheelchairs could not reach the food  
23 slots), and were not given the appropriate supplies and assistance so that they could have bowel  
24 movements. The Eighth Circuit found that “[s]uch conditions denied [plaintiffs] ‘the minimal  
25 civilized measure of life’s necessities.’” Id. at 808, citing Farmer v. Brennan, 511 U.S. 825, 832  
26 (1994).

27 In the instant case, plaintiff alleges that he did not receive breakfast and lunch on  
28 November 18, 2015 (thus he received dinner that day), that he received no meals on November

1 19, 2015, and no breakfast on November 20, 2015. Based on the case law discussed above, the  
2 undersigned finds that such facts, and the absence of any other conditions of confinement claims  
3 alleged to have occurred in combination with such deprivations on these dates, fail to state a  
4 claim under the Eighth Amendment. Accordingly, the motion to dismiss this claim against  
5 defendant Byers should be granted.

6 *Excessive Force*

7 Defendants argue that plaintiff has failed to allege sufficient facts to state a potentially  
8 colorable excessive force claim against defendant Rashev. Defendants argue that plaintiff makes  
9 no specific allegations of excessive force against defendant Rashev. Defendants argue that  
10 plaintiff merely lumps defendant Rashev with the other alleged excessive force defendants based  
11 upon his alleged presence at the incident.

12 When prison officials stand accused of using excessive force, the core judicial inquiry is  
13 “... whether force was applied in a good-faith effort to maintain or restore discipline, or  
14 maliciously and sadistically to cause harm.” Hudson v. McMillian, 503 U.S. 1, 6-7 (1992);  
15 Whitley v. Albers, 475 U.S. 312, 320-21 (1986). The “malicious and sadistic” standard, as  
16 opposed to the “deliberate indifference” standard applicable to most Eighth Amendment claims,  
17 is applied to excessive force claims because prison officials generally do not have time to reflect  
18 on their actions in the face of risk of injury to inmates or prison employees. See Whitley, 475  
19 U.S. at 320-21. In determining whether force was excessive, the court considers the following  
20 factors: (1) the need for application of force; (2) the extent of injuries; (3) the relationship  
21 between the need for force and the amount of force used; (4) the nature of the threat reasonably  
22 perceived by prison officers; and (5) efforts made to temper the severity of a forceful response.  
23 See Hudson, 503 U.S. at 7.

24 Plaintiff’s excessive force claim against defendant Rashev follows herein:

25 Defendants Blessing, Bettencourt and Rashev then came into the  
26 section. Rashev and Bettencourt came up the stairs, while Blessing  
27 immediately started clearing the alarm. Once the alarm was cleared  
28 Blessing then came up the stairs and then he kicked the plaintiff in  
the face with his steel toe work boot. At which time all present  
defendants then began to kick and hit the plaintiff in the face.

1 (ECF No. 1 at 20.)

2 While defendants argue that plaintiff fails to attribute any particular alleged excessive  
3 force acts to defendant Rashev, the complaint alleges that “all present defendants,” which  
4 included defendant Rashev, kicked and hit plaintiff in the face. Plaintiff also clearly describes the  
5 movements of defendant Rashev prior to the alleged excessive force, i.e., coming into the section  
6 and then going up the stairs. These allegations are more than “naked assertions,” “labels and  
7 conclusions” or “a formulaic recitation of the elements of a cause of action.” Defendants’ motion  
8 to dismiss plaintiff’s excessive force claim against defendant Rashev should be denied.

9 B. Defendants Guffee and Matthews

10 Defendants move to dismiss the claims against defendants Guffee and Matthews. Plaintiff  
11 alleges that defendants Guffee and Matthews observed the alleged incidents of excessive force  
12 but failed to intervene. The undersigned describes these allegations herein.

13 Plaintiff alleges that defendant Matthews witnessed defendant DeFazio and other  
14 defendants beat plaintiff. (ECF No. 1 at 18.) Plaintiff alleges that defendant Matthews observed  
15 this beating while he was in the tower, which was approximately 20 to 25 feet from where the  
16 attack occurred. (Id. at 19.) Plaintiff alleges that defendant Matthews opened the cell door for  
17 defendant Defazio. (Id. at 18.) Plaintiff alleges that defendant Matthews did not attempt to stop  
18 the attack or sound the unit alarm. (Id. at 19.)

19 Plaintiff alleges that defendant Guffee failed to intervene when defendants Blessing,  
20 Bettencourt and Rashev kicked and hit plaintiff in the face. (Id. at 20-21.) Plaintiff alleges that  
21 defendant Guffee, who was at the bottom of the stairs when this beating occurred, did not stop the  
22 violence or object. (Id.) Plaintiff alleges that defendant Matthews also saw this violence and  
23 failed to intervene. (Id.)

24 A prison official who does not himself use force may violate the Eighth Amendment if he  
25 has a reasonable opportunity to intervene in other officials’ use of excessive force but does not do  
26 so. See Robins v. Meecham, 60 F.3d 1436, 1442 (9th Cir. 1995).

27 Defendants argue that plaintiff has not pled sufficient facts demonstrating that defendant  
28 Matthews had a reasonable opportunity to intervene. Defendants argue that plaintiff alleges that

1 defendant Matthews was in the control booth, 20-25 feet away, and has pled no facts showing that  
2 defendant Matthews was aware that defendants used excessive force. Defendants also argue that  
3 by the time defendant Matthews would have been able to observe the force, the incident would  
4 have been over by the time he arrived at the incident.

5 For the following reasons, the undersigned finds that plaintiff has pled sufficient facts  
6 supporting a claim that defendant Matthews saw the beatings as they occurred. As discussed  
7 above, plaintiff alleges that defendant Matthews witnessed the first beating by defendant Defazio  
8 and the other defendants, and the second beating by defendants Blessing, Bettencourt and  
9 Rasheve. Regarding the first incident, plaintiff alleges that it occurred “in the presence” of  
10 defendant Matthews, who failed to intervene. (ECF No. 1 at 19.) Regarding the second incident,  
11 plaintiff alleges that defendant Matthews “observed the violations occurring,” but failed to  
12 intervene. (Id. at 21.) Plaintiff also alleges that defendant Matthews later taunted plaintiff, by  
13 mimicking the crying sounds that plaintiff made during the beatings “while Matthews watched  
14 from the tower.” (Id. at 30.) Based on these allegations, the undersigned finds that plaintiff has  
15 pled sufficient facts supporting a claim that defendant Matthews was aware of the alleged  
16 beatings when they occurred.

17 Defendants’ argument that plaintiff has not pled sufficient facts demonstrating that  
18 defendant Matthews had a reasonable opportunity to intervene is without merit. Plaintiff alleges  
19 that defendant Matthews sounded the unit alarm only after his teeth were knocked out, his  
20 dreadlocks were torn out of his head, and after he yelled, “HIV.” Based on these allegations,  
21 plaintiff has stated a potentially colorable claim that defendant Matthews violated his Eighth  
22 Amendment rights by failing to sound the alarm sooner than he did. Plaintiff also alleges that  
23 defendant Matthews failed to sound the alarm when he was beaten again by defendants Blessing,  
24 Bettencourt and Rashev. Accordingly, the motion to dismiss the claims against defendant  
25 Matthews should be denied.

26 Defendants argue that plaintiff has not pled sufficient facts demonstrating that defendant  
27 Guffee had a reasonable opportunity to intervene. Defendants argue that plaintiff has not alleged  
28 how defendant Guffee could see the alleged excessive force if he was at the bottom of the stairs

1 when it occurred or how he had an opportunity to intervene.

2 Plaintiff alleges that defendant Guffee “observed” the alleged excessive force from the  
3 bottom of the stairs. (ECF No. 1 at 21.) For purposes of a motion to dismiss pursuant to Rule  
4 12(b)(6), these allegations are sufficient to demonstrate that defendant Guffee had knowledge of  
5 the alleged excessive force. Whether defendant Guffee was actually able to see, or hear, the  
6 alleged incident from this viewpoint is better left for summary judgment. These allegations are  
7 also adequate to state a claim that defendant Guffee had an opportunity to intervene, either by  
8 coming up the stairs or, possibly, sounding an alarm. Whether defendant Guffee was actually  
9 able to intervene is also better left for summary judgment. For these reasons, the motion to  
10 dismiss the claims against defendant Guffee should be denied.

11 C. Defendants Mercado, Staggs-Boatright, Okoroike and Martineck

12 Defendants argue that plaintiff’s claims against defendants Mercado, Staggs-Boatright,  
13 Okoroike and Martineck for violating his Eighth Amendment right to adequate medical care  
14 should be dismissed. Defendants also move to dismiss plaintiff’s verbal harassment claim against  
15 defendant Mercado.

16 *Legal Standard for Eighth Amendment Claim Alleging Inadequate Medical Care*

17 To state an Eighth Amendment claim based on a prisoner's medical treatment, the prisoner  
18 must demonstrate that the defendant was “deliberately indifferent” to his “serious medical needs.”  
19 Jett v. Penner, 439 F.3d 1091, 1096 (9th Cir. 2006). To establish a “serious medical need,” the  
20 prisoner must demonstrate that “failure to treat a prisoner’s condition could result in further  
21 significant injury or the ‘unnecessary and wanton infliction of pain.’” Jett, 439 F.3d at 1096  
22 (citation omitted).

23 To establish “deliberate indifference” to such a need, the prisoner must demonstrate: “(a)  
24 a purposeful act or failure to respond to a prisoner’s pain or possible medical need, and (b) harm  
25 caused by the indifference.” Id. Deliberate indifference “may appear when prison officials deny,  
26 delay or intentionally interfere with medical treatment, or it may be shown by the way in which  
27 prison physicians provide medical care.” Id. (citations omitted). The defendant must have been  
28 subjectively aware of a serious risk of harm and must have consciously disregarded that risk. See

1 Farmer v. Brennan, 511 U.S. 825, 845 (1994).

2 *Defendant Mercado-Eighth Amendment*

3 The undersigned restates plaintiff's Eighth Amendment claim against defendant Mercado  
4 herein.

5 Plaintiff alleges that after the beatings, he was seen by Dr. Wedell. (ECF No. 1 at 27.)  
6 Plaintiff alleges that Dr. Wedell instructed Nurse Nicolaou to apply an ice pack to his eyes to stop  
7 them from swelling shut. (Id.) Plaintiff alleges that defendant Mercado told Nurse Nicolaou that  
8 plaintiff could not have an ice pack. (Id.) Plaintiff alleges that Nurse Nicolaou told plaintiff that  
9 she would document that defendant Mercado stated that plaintiff could not have an ice pack. (Id.)

10 Defendants argue that plaintiff's failure to receive an ice pack does not state a claim for  
11 deliberate indifference. Defendants cite three cases in support of this argument.

12 First, defendants cite Mouton v. Villagran, 2015 WL 5935316 (N.D. Cal. 2015). In  
13 Mouton, the plaintiff fell down from a bus returning to jail from court. 2015 WL 5935316 at \*1.  
14 The plaintiff complained of a scrape to her knee and a sore back, which she rated as a 3 on a 10  
15 point scale. (Id.) The defendant cleaned and dressed the abrasion on the plaintiff's knee. (Id.)  
16 The plaintiff was able to walk. (Id.) The defendant ordered an ice pack for three days, apparently  
17 for the back injury. (Id.) The defendant did not provide pain medication, because the plaintiff  
18 was already receiving pain medication for an unrelated condition. (Id.) The defendant also did  
19 not order x-rays, because it was a minor fall with minor injuries. (Id.)

20 In addressing the summary judgment motion, the court in Mouton stated that it was not  
21 clear whether the plaintiff's injuries qualified as serious medical needs. (Id. at 3.) Assuming that  
22 the scraped knee and sore back were serious medical needs, the court found no deliberate  
23 indifference. (Id.) In Mouton, the plaintiff alleged, in part, that her failure to receive an ice pack  
24 until three hours after the incident violated her Eighth Amendment rights. (Id.) The district court  
25 summarily rejected this claim. (Id.) The district court also found that treating plaintiff's injuries  
26 with an ice pack, rather than providing additional treatment, was not unconstitutional.

27 Mouton can be distinguished from the instant case. The injuries in Mouton, for which ice  
28 pack was prescribed, were not clearly serious. In the instant case, plaintiff alleges that he was



1 prescribed the ice pack to prevent his eyes from swelling shut, i.e., a serious injury. In addition,  
2 the court in Mouton found no Eighth Amendment violation based on a three hour delay in  
3 plaintiff's receipt of the ice pack. In the instant case, plaintiff alleges defendant Mercado  
4 prevented him from receiving an ice pack. In addition, Mouton was decided on summary  
5 judgment, rather than on a motion to dismiss for failure to state a claim. For these reasons, the  
6 undersigned is not persuaded by defendants' citation to this case.

7 Defendants next cite Micenheimer v. CDCR Pers. In Their Individual Capacity, 2016 WL  
8 4203819 (C.D. Cal. 2016). Defendants state that in Micenheimer, the court found that the  
9 plaintiff's claim that he was forced to go without ice packs following an injury was not sufficient  
10 to state a claim for deliberate indifference.

11 In Micenheimer, the plaintiff alleged that he was "denied appropriate medical care, forced  
12 to endure severe pain, denied adequate therapy, forced to walk with a buckling knee with no cane,  
13 left to develop a crippling hand disability, left to develop a deformity in his shoulder and hand,  
14 and forced to go without ice packs." 2016 WL 4203816 at \*2. The court found that these  
15 allegations were "wholly conclusory and devoid of supporting facts." (Id. at \*4.) The court  
16 found that, "merely making these conclusory statements and attaching dozens of medical records  
17 is insufficient to adequately set forth a deliberate indifference claim." (Id.)

18 Micenheimer can be distinguished from the instant case. The court in Micenheimer found  
19 that the plaintiff's claims regarding the ice pack were conclusory and devoid of supporting facts.  
20 In the instant case, plaintiff's claims regarding defendant Mercado's alleged denial of the ice pack  
21 are neither conclusory nor devoid of supporting facts.

22 Finally, defendants cite Jones v. Blanas, 2007 WL 137168 (E.D. Cal. 2007). Defendants  
23 state that in Jones, the court found that where deliberate indifference was based on denial of an  
24 ice pack for a swollen ankle, disagreement over whether treatment should have included icing to  
25 ease the swelling reflected a mere difference of opinion about the proper course of treatment,  
26 which does not rise to a constitutional claim.

27 In Jones, the plaintiff alleged that the defendant, Dr. Mabeus, provided inadequate  
28 medical care for his sprained ankle. Plaintiff alleged, in part, that defendant Dr. Mabeus refused

1 to give plaintiff an ice pack. 2007 WL 137168 at \*7. The court granted summary judgment to  
2 defendant Dr. Mabeus on the grounds that the disagreement over whether treatment of plaintiff's  
3 sprained ankle should have included icing to ease the swelling reflects a mere difference of  
4 opinion about the proper course of treatment that does not raise to the level of a cognizable  
5 constitutional claim. (Id.)

6 Jones can be distinguished from the instant case. Jones involved an inmate disagreeing  
7 with a doctor regarding whether icing was the appropriate treatment for a sprained ankle. In the  
8 instant case, plaintiff alleges that defendant Mercado, a non-medical offer, directed Nurse  
9 Nicolaou to, in essence, disobey Dr. Wadell's order that plaintiff receive an ice pack to prevent  
10 his eyes from swelling shut. These allegations do not involve a mere difference of opinion  
11 regarding appropriate medical care.

12 Plaintiff's allegation that that defendant Mercado refused to allow plaintiff to receive the  
13 ice pack states a potentially colorable Eighth Amendment claim. Accordingly, defendants'  
14 motion to dismiss this claim should be denied.

15 *Defendant Staggs-Boatright*

16 Plaintiff alleges that defendant Staggs-Boatright prepared a report falsely stating that  
17 defendant Defazio had suffered injuries during the incident. (ECF No. 1 at 28.) Plaintiff alleges  
18 that defendant Staggs-Boatright saw no injuries on defendant Defazio, but wrote in the report that  
19 defendant had pain in his chest from being head butted by plaintiff and hand pain. (Id.) Plaintiff  
20 alleges that defendant Staggs-Boatright prepared this report as "job insurance" for defendant  
21 Defazio so he would not get fired. (Id.)

22 Defendants argue that the allegations against defendant Staggs-Boatright do not  
23 demonstrate that she was deliberately indifferent to plaintiff following the alleged excessive force  
24 incident. The undersigned does not construe plaintiff's allegations to state an Eighth Amendment  
25 claim for denial of adequate medical care. Instead, plaintiff is alleging that defendant Staggs-  
26 Boatright prepared a false report in order to help defendant Defazio cover-up the alleged  
27 excessive force incident, i.e., defendant Staggs-Boatright conspired with defendant Defazio to  
28 violate plaintiff's constitutional rights.

1 A conspiracy claim brought under section 1983 requires proof of “an agreement or  
2 meeting of the minds to violate constitutional rights,” Franklin v. Fox, 312 F.3d 423, 441 (9th  
3 Cir. 2001) (internal quotation marks and citation omitted), and an “actual deprivation  
4 of...constitutional rights [that] resulted from the alleged conspiracy.” Hart v. Parks, 450 F.3d  
5 1059, 1071 (9th Cir. 2006) (internal quotation marks and citation omitted). “To be liable, each  
6 participant in the conspiracy need not know the exact details of the plan, but each participant must  
7 at least share the common objective of the conspiracy.” Franklin, 312 F.3d at 441 (internal  
8 quotation marks and citation omitted).

9 Plaintiff’s allegations against defendant Staggs-Boatright do not state a potentially  
10 colorable conspiracy claim for the following reasons. Plaintiff alleges that defendant Staggs-  
11 Boatright prepared a report containing defendant Defazio’s description of his injuries. These  
12 injuries were not based on defendant Staggs-Boatright’s personal observations of defendant  
13 Defazio, but were instead based on what he told her. These allegations do not demonstrate that  
14 defendant Staggs-Boatright falsely reported her own observations in an attempt to cover-up the  
15 alleged excessive force. Under these circumstances, defendant Staggs-Boatright’s preparation of  
16 the report based on what defendant Defazio told her about his injuries does not demonstrate that  
17 she conspired with defendants to violate plaintiff’s constitutional rights. Accordingly, the claims  
18 against defendant Staggs-Boatright should be dismissed.

19 *Defendant Okoroike*

20 Plaintiff alleges that after the beatings, a guard contacted defendant Nurse Okoroike,  
21 requesting that she medically evaluate plaintiff. (ECF No. 1 at 24.) Defendant Okoroike came to  
22 the holding cell where plaintiff was held and asked plaintiff what happened to him. (Id.) Plaintiff  
23 told defendant Okoroike that he had been beaten up. (Id.) Plaintiff alleges that defendant  
24 Okoroike did not ask the correctional officers to pull plaintiff from the holding cell so that she  
25 could perform her duty and do a full body inspection. (Id.) Instead, she noted a few injuries that  
26 were on plaintiff’s face, and then she left. (Id.) Plaintiff alleges that defendant Okoroike  
27 prepared a false report in violation of his Eighth Amendment rights. (Id. at 43.)

28 ///

1 Defendants argue that defendant Okoroike's failure to remove plaintiff from his cell to  
2 evaluate his injuries does not state a potentially cognizable Eighth Amendment claim.

3 In his opposition to the pending motion, plaintiff clarifies that he is raising two claims  
4 against defendant Okoroike. First, plaintiff is alleging that defendant Okoroike failed to provide  
5 him with adequate medical care. Second, plaintiff is alleging that defendant Okoroike prepared a  
6 report falsely documenting plaintiff's injuries in an attempt to cover-up the alleged excessive  
7 force.

8 The undersigned agrees that plaintiff has not pled sufficient facts to support a claim that  
9 defendant Okoroike denied him medical care in violation of the Eighth Amendment. Plaintiff  
10 alleges that later the same day, he was taken to A Facility Triage where he received treatment for  
11 his injuries. Thus, plaintiff's Eighth Amendment claim against defendant Okoroike is based on a  
12 delay in his receipt of medical treatment. A delay in treatment does not violate the Eighth  
13 Amendment unless the delay caused further harm. McGuckin v. Smith, 974 F.2d 1050, 1059-60  
14 (9th Cir. 1992). Plaintiff does not allege that he suffered any harm as a result of the delay in his  
15 receipt of treatment. Accordingly, this Eighth Amendment claim against defendant Okoroike  
16 should be dismissed.

17 Plaintiff alleges that defendant Okoroike prepared a report minimizing his injuries in an  
18 attempt to cover-up the alleged excessive force. These allegations state a potentially colorable  
19 conspiracy claim. Accordingly, the motion to dismiss this claim should be denied.

20 *Defendant Martineck*

21 It appears that the gravamen of plaintiff's claim against defendant Martineck is that he  
22 attempted to cover-up the alleged excessive force by preparing false reports, etc. In relevant part,  
23 plaintiff alleges,

24 Upon receiving written documentation regarding the incident of  
25 February 18, 2015, it was found that Lt. Martineck had committed  
26 the act of deliberate indifference by the specific acts of aiding and  
27 abetting and attempting to conceal evidence, by continuously  
28 allowing involved staff to change their story of the event, by  
allowing them to submit clarification reports one after the next, and  
by helping them on what to say, by leading them with his questions.  
He also tampered with evidence/documents by adding things that  
did not happen on his CDCR 831-A1 crime/incident report Part A1-

1 Supplemental (CDCR 831-A1) page 2 of 8 (e.g., “Officer Defazio  
2 attempted to apply a spit net to Owens head and Owens again tried  
3 to bite Officer Defazio at which time Officer Defazio again struck  
4 Owens in the head area), and minimizing the injuries the plaintiff  
5 suffered (e.g. he stated that the plaintiff received [A] missing tooth,  
[an] abrasion to the lip, [a] swollen and dislocated left eye, as well  
as reddened forehead area) on his CDCR 837-A1 page 2 of 8. He  
also did not have all staff that were mentioned in other reports write  
a report.

6 (ECF No. 1 at 31.)

7 Defendants argue that plaintiff is claiming that defendant Martineck, a lieutenant, merely  
8 oversaw the preparation of the crime incident report. Defendants argue that to the extent plaintiff  
9 is attempting to impose liability on defendant Martineck on a theory of supervisory liability based  
10 simply on his position as the lieutenant and supervisor of the defendants who allegedly used  
11 excessive force on plaintiff, that theory is improper.

12 The undersigned agrees with defendants that supervisory personnel are generally not  
13 liable under § 1983 for the actions of their employees under a theory of respondeat superior and,  
14 therefore, when a named defendant holds a supervisory position, the causal link between him  
15 and the claimed constitutional violation must be specifically alleged. See Fayle v. Stapley, 607  
16 F.2d 858, 862 (9th Cir. 1979) (no liability where there is no allegation of personal participation);  
17 Mosher v. Saalfeld, 589 F.2d 438, 441 (9th Cir. 1978) (no liability where there is no evidence of  
18 personal participation), cert. denied, 442 U.S. 941 (1979).

19 Plaintiff does not allege that defendant Martineck merely oversaw the preparation of the  
20 incident reports. Instead, plaintiff alleges that defendant Martineck directly participated in the  
21 preparation of the incident report by adding things that did not happen and minimizing plaintiff’s  
22 injuries. Plaintiff also alleges that defendant Martineck allowed staff to change their story in an  
23 attempt to cover-up the alleged excessive force. These allegations state a potentially colorable  
24 claim against defendant Martineck for conspiring to cover-up the alleged incidents of excessive  
25 force. Accordingly, defendants’ motion to dismiss plaintiff’s conspiracy claim against defendant  
26 Martineck should be denied.

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28 ///

1           *Defendant Mercado-Verbal Harassment*

2           Defendants also move to dismiss plaintiff's claim against defendant Mercado for verbally  
3 harassing him on June 17, 2015.

4           "[V]erbal harassment or abuse...[alone] is insufficient to state a constitutional deprivation  
5 under 42 U.S.C. 1983." Oltarzewski v. Ruggiero, 830 F.2d 136, 139 (9th Cir. 1987) (citation and  
6 internal quotation omitted). "A mere threat may not state a cause of action" under the Eighth  
7 Amendment, even if it is a threat against exercising the right of access to the courts. Gaut v.  
8 Sunn, 810 F.2d 923, 925 (9th Cir. 1987) (per curiam). Only verbal harassment clearly intended to  
9 humiliate or endanger the inmate may, in certain circumstances, violate the Constitution. See  
10 Somers v. Thurman, 109 F.3d 614, 622 (9th Cir. 1997); Keenan v. Hall, 83 F.3d 1083, 1092 (9th  
11 Cir. 1996), amended by 135 F.3d 1318 (9th Cir. 1998); see also Burton v. Livingston, 791 F.2d  
12 97, 100 (8th Cir. 1986) (finding potentially cognizable Eighth Amendment claim based on the  
13 plaintiff's allegation that a correctional officer "pointed a lethal weapon at the prisoner, cocked it,  
14 and threatened him with instant death").

15           Plaintiff alleges that defendant Mercado taunted him and told plaintiff what he (defendant  
16 Mercado) would have done to him had he been present during the alleged beatings. Defendant  
17 Mercado did not threaten plaintiff with imminent or future injury. Based on the law cited above,  
18 the undersigned finds that these allegations do not state a potentially colorable Eighth  
19 Amendment claim. Accordingly, the motion to dismiss this claim should be granted.

20           D. Defendants Couch, Schultz and Eldridge

21           Plaintiff's claims against defendants Couch, Schultz and Eldridge are based on their  
22 participation in the disciplinary proceedings after plaintiff was charged with a rules violation  
23 report in connection with the alleged excessive force incidents. Plaintiff alleges that these  
24 defendants violated his right to due process. (ECF No. 1 at 44.)

25           *Defendant Couch*

26           Plaintiff alleges that defendant Couch was assigned to be his Investigative Employee.  
27 Plaintiff alleges that he gave defendant Couch the names of witnesses and questions for  
28 witnesses. Plaintiff also alleges that he told defendant Couch about documentary evidence.

1 Plaintiff alleges that defendant Couch prepared a report falsely stating that plaintiff had requested  
2 no investigation.

3 “Prison disciplinary proceedings are not part of a criminal prosecution, and the full  
4 panoply of rights due a defendant in such proceedings does not apply.” Wolff v. McDonnell, 418  
5 U.S. 539, 556 (1974). With respect to prison disciplinary proceedings, the minimum procedural  
6 requirements that must be met are: (1) written notice of the charges; (2) at least 24 hours between  
7 the time the prisoner receives written notice and the time of the hearing, so that the prisoner may  
8 prepare his defense; (3) a written statement by the fact finders of the evidence they rely on and  
9 reasons for taking disciplinary action; (4) the right of the prisoner to call witnesses and present  
10 documentary evidence in his defense, when permitting him to do so would not be unduly  
11 hazardous to institutional safety or correctional goals; and (5) legal assistance to the prisoner  
12 where the prisoner is illiterate or the issues presented are legally complex. Id. at 563–71.  
13 Confrontation and cross examination are not generally required. Id. at 567.

14 Regarding legal assistance, the law distinguishes between staff assistants and investigative  
15 employees. A staff assistant, when assigned, is responsible for informing the inmate of his rights  
16 and of the disciplinary hearing procedures, advising and assisting the inmate in preparation for the  
17 hearing, and representing the inmate’s position at the hearing. Cal.Code Regs. tit. 15, § 3318(b).  
18 An investigative employee, when assigned, is responsible for interviewing the charged inmate,  
19 gathering information, questioning staff and inmates with relevant information, and screening  
20 prospective witnesses. Cal.Code. Regs. tit. 15, § 3318(a).

21 Plaintiff alleges that defendant Couch was an investigating employee. Unlike a staff  
22 assistant, an investigative employee is appointed to assist the hearing officer, not the inmate. Cal.  
23 Code Regs. tit. 15, § 3318(a). There is no federally recognized constitutional right to an  
24 Investigative Employee. Larkin v. Davey, 2015 WL 1440616, at \*6 (E.D. Cal. Mar. 27, 2015)  
25 (“[T]here is no right to a thorough investigative report or even an investigation, nor even a right  
26 to assignment of an investigative employee, which was provided to petitioner in this case.”);  
27 Fuqua v. Swarthout, 2013 WL 5493373, \*5 (E.D. Cal. Oct. 2, 2013) (no right to investigative  
28 employee); Tolliver v. Santoro, 2016 WL 8732347, at \*11 (C.D. Cal. May 20, 2016), report and

1 recommendation adopted, 2016 WL 4035958 (C.D. Cal. July 8, 2016) (“[T]he Court has been  
2 unable to locate, any authority, federal or otherwise, establishing that an inmate is guaranteed the  
3 effective assistance of his assigned investigative employee.”)

4 Because plaintiff had no constitutional right to an investigative employee, his claim that  
5 defendant Couch failed to perform his duties as an investigative employee does not state a  
6 potentially colorable due process claim. Accordingly, defendants’ motion to dismiss defendant  
7 Couch should be granted.

8 *Defendant Schultz*

9 Plaintiff alleges that defendant Schutz conducted the disciplinary hearing regarding the  
10 charges made against plaintiff by defendant Defazio. Plaintiff alleges that during the disciplinary  
11 hearing, defendant Shultz refused his request to call witnesses and present documentary evidence  
12 to rebut the charge that he headbutted defendant Defazio. Plaintiff alleges that defendant Schultz  
13 denied his request for witnesses by stating, “This R.V.R. [rules violation report] is what I have to  
14 go off of.” Defendant Schultz allegedly told plaintiff, “I am not going to deal with all of that, the  
15 Office of Internal Affairs can deal with that since they are investigating the assault and battery.”  
16 Defendant Schultz found plaintiff guilty of the charges.

17 Plaintiff alleges that defendant Schultz violated his right to due process by denying his  
18 request to call witnesses and present documentary evidence. Defendants move to dismiss this  
19 claim on the grounds that plaintiff has not alleged a sufficient liberty interest entitling him to  
20 these procedural due process protections.

21 The Supreme Court has held that the procedural protections guaranteed by the Fourteenth  
22 Amendment Due Process Clause only apply when a constitutionally protected liberty or property  
23 interest is at stake. See Wilkinson v. Austin, 545 U.S. 209, 221 (2005). The Due Process Clause  
24 itself does not give prisoners a liberty interest in avoiding transfer to more adverse conditions of  
25 confinement. See Meachum v. Fano, 427 U.S. 215, 225 (1976). However, states may create  
26 liberty interests which are protected by the Due Process Clause. These circumstances generally  
27 involve a change in condition of confinement that imposes an “atypical and significant hardship  
28 on the inmate in relation to the ordinary incidents of prison life.” Sandin v. Connor, 515 U.S.



1 472, 484 (1995).

2 Defendants incorrectly assert that the liberty interest plaintiff alleges is the right to be free  
3 from false charges. Plaintiff is alleging that his 18 month SHU term, imposed after being found  
4 guilty of the charges by defendant Schultz, is the liberty interest at stake. Plaintiff's description  
5 of the conditions of the SHU, set forth above, are sufficient to allege a potentially colorable  
6 liberty interest in support of his due process claim. Defendants' argument that plaintiff did not  
7 allege a sufficient liberty interest is without merit.

8 Plaintiff alleges that defendant Schultz denied his request for witnesses and to present  
9 documentary evidence because defendant Schultz did not want to "deal with all of that." In other  
10 words, plaintiff is claiming that defendant Schultz did not consider institutional safety or  
11 correctional goals when denying his request for witnesses and to present documentary evidence.  
12 Plaintiff has stated a potentially colorable due process claim based on the denial of his request to  
13 call witnesses and present documentary evidence.

14 Plaintiff also alleges that defendant Schultz's decision finding him guilty was not  
15 supported by adequate evidence. Due process is satisfied where there is "some evidence" in the  
16 record as a whole which supports the decision of the hearing officer. See Superintendent v. Hill,  
17 472 U.S. 445, 455 (1985). The "some evidence" standard is not particularly stringent and is  
18 satisfied where "there is any evidence in the record that could support the conclusion reached."  
19 Id. at 455-56. However, the "some evidence" standard does not apply where a prisoner alleges  
20 the rules violation report is false. See Hines v. Gomez, 108 F.3d 265, 268 (9th Cir. 1997).

21 Plaintiff alleges that defendant Schultz relied only on the allegedly false rules violation  
22 report to find him guilty. (ECF No. 1 at 35.) Based on plaintiff's claim that he told defendant  
23 Schultz that the charges that he head butted defendant Defazio were false, that he had witnesses  
24 who would testify in his favor, and that defendant Schultz claimed knowledge of the related  
25 Internal Affairs investigation, the undersigned finds that plaintiff has stated a potentially colorable  
26 due process claim against defendant Schultz for finding him guilty based on insufficient evidence.  
27 Accordingly, defendants' motion to dismiss this claim should be denied.

28 ///

1           *Defendant Eldridge*

2           Plaintiff alleges that defendant Eldridge, the Associate Warden and Chief Disciplinary  
3 Officer (see ECF No. 1 at 11), reviewed and upheld defendant Schultz’s decision finding him  
4 guilty of the rules violation.

5                       On June 30, 20-15, defendant A.W./C.D.O. Eldridge reviewed the  
6 findings of SHO Schultz’s action of finding the plaintiff guilty, he  
7 reviewed all related documents, and the methods used by Schultz.  
8 He also reviewed the I.E.’s report and the reasons given by Couch  
to decline to ask questions of eye witnesses, defendant Eldridge’s  
affirmed all actions taken, even though they were unconstitutional  
and there were clear due process violations on the face of the report.

9 (Id. at 35-36.)

10           To the extent plaintiff argues that defendant Eldridge violated his right to due process by  
11 affirming defendant Couch’s failure to perform his duties as an Investigative Employee, this  
12 claim must be dismissed because (as discussed above) plaintiff has no constitutional right to an  
13 investigative employee.

14           Plaintiff also alleges that defendant Eldridge reviewed “the methods used by Schultz” and  
15 affirmed all actions taken “even though they were unconstitutional.” Liberally construing these  
16 allegations, plaintiff is claiming that defendant Eldridge approved defendant Schultz’s decision to  
17 deny plaintiff’s request to call witnesses and present documentary evidence. Plaintiff is also  
18 challenging defendant Eldridge’s decision to uphold the guilty finding on the grounds that it was  
19 not supported by sufficient evidence. As discussed above, plaintiff has stated a potentially  
20 colorable due process claim against defendant Schultz based on these allegations. For the same  
21 reasons, the undersigned finds that plaintiff has stated potentially colorable due process claims  
22 against defendant Eldridge. Accordingly, the motion to dismiss these claims should be denied.

23 VI. Plaintiff’s Motion for Default

24           On August 31, 2017, plaintiff filed a motion requesting that default be entered against  
25 defendants Blessing, Drake, Murillo, Martinez, Bettencourt, Lebeck, Brady, Burke and Defazio.  
26 (ECF No. 43.) As discussed above, in the motion to dismiss, these defendants requested an  
27 extension of time to answer the complaint until 30 days after the court issues a final ruling on the  
28 motion to dismiss. Based on this request for extension of time, these defendants are not in

1 default. Accordingly, plaintiff's motion for entry of default is denied.

2 Accordingly, IT IS HEREBY ORDERED that:

3 1. Plaintiff's motion for entry of default (ECF No. 43) is denied;

4 2. The request for extension of time by defendants Blessing, Drake, Murillo, Martinez,  
5 Bettencourt, Lebeck, Brady, Burke and Defazio is granted; these defendants shall file an answer  
6 to the complaint on or before 30 days after the court issues a final ruling on the motion to dismiss;

7 IT IS HEREBY RECOMMENDED that:

8 1. Defendants' motion to dismiss (ECF No. 37) be *granted* as follows: a) claim alleging  
9 defendants Byers and Rashev denied him food; b) conspiracy claim against defendant Staggs-  
10 Boatright; c) claim that defendant Okoroike denied plaintiff medical care in violation of the  
11 Eighth Amendment; d) claim alleging verbal harassment by defendant Mercado; e) due process  
12 claim against defendant Couch; f) claim that defendant Eldridge violated due process by  
13 upholding alleged misconduct by defendant Couch;

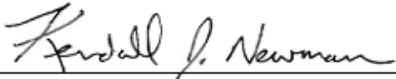
14 2. Defendants' motion to dismiss (ECF No. 37) be *denied* as to the following claims: a)  
15 claim alleging defendant Rashev used excessive force; b) failure to intervene claims against  
16 defendants Guffee and Matthews; c) claim alleging defendant Mercado denied plaintiff an ice  
17 pack; d) conspiracy claim against defendant Okoroike; e) conspiracy claim against defendant  
18 Martineck; f) claims alleging due process violations by defendants Schultz and Eldridge based on  
19 alleged denial of request to call witnesses and present documentary evidence, and insufficient  
20 evidence to support disciplinary conviction;

21 4. Defendants Rashev, Guffee, Matthews, Mercado, Okoroike, Martinick, Schultz and  
22 Eldridge be ordered to file an answer within thirty days of the adoption of these findings and  
23 recommendations.

24 These findings and recommendation be submitted to the United States District Judge  
25 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
26 after being served with these findings and recommendations, any party may file written  
27 objections with the court and serve a copy on all parties. Such a document should be captioned  
28 "Objections to Magistrate Judge's Findings and Recommendations." Any response to the

1 objections shall be filed and served within fourteen days after service of the objections. The  
2 parties are advised that failure to file objections within the specified time may waive the right to  
3 appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

4 Dated: October 3, 2017

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7 KENDALL J. NEWMAN  
8 UNITED STATES MAGISTRATE JUDGE

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