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9 Attorneys for Defendants
 10 JOHN NORDSTROM; and
 INNOVATION WEALTH MANAGEMENT
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12 **UNITED STATES DISTRICT COURT**
 13 **EASTERN DISTRICT OF CALIFORNIA**
 14 **SACRAMENTO DIVISION**

15 FIDELITY BROKERAGE SERVICES
 LLC,

16 Plaintiff,

17 vs.

18 JOHN NORDSTROM; and
 19 INNOVATION WEALTH
 MANAGEMENT,

20 Defendants.
 21

Case No. 2:17-CV-00594-JAM-KJN

HON. JOHN A. MENDEZ

**STIPULATION AND ORDER TO
 EXTEND DEFENDANTS' TIME TO
 RESPOND TO COMPLAINT**

22 All parties hereby stipulate and request that the court enter an order
 23 extending defendants' time to file an answer or other responsive pleading until 30
 24 days after a final decision is entered by the Financial Industry Regulatory Authority
 25 (FINRA) arbitration panel. Good cause exists for this request:

26 1. On March 20, 2017, Plaintiff filed a Statement of Claim with FINRA
 27 seeking binding arbitration pursuant to Rule 13804(a)(2) of a dispute arising out of
 28

1 alleged wrongful acts committed by Defendant Nordstrom, a former Fidelity
2 employee, in concert with Defendant Innovation Wealth Management.

3 2. On March 20, 2017, Plaintiff filed the Complaint in the instant case
4 based on the same conduct and claims as the FINRA arbitration proceeding.

5 3. On March 21, 2017, Plaintiff filed its *Ex Parte* Motion for a
6 Temporary Restraining Order.

7 4. On March 30, 2017, the Court granted Plaintiff's motion, entered a
8 Temporary Restraining Order, and directed the parties to proceed to arbitrate their
9 claims before FINRA (provided Defendant Innovation Wealth Management
10 consented to the arbitration).

11 5. On April 4, 2017, Defendant Innovation Wealth Management filed its
12 Notice of Consent to Arbitration.

13 6. The Parties agree that the merits of this case will be resolved in
14 arbitration before FINRA, pursuant to FINRA Rule 13804.

15 7. Therefore, to avoid unnecessary expenses, Plaintiff and Defendants are
16 in agreement that Defendants' date to file an answer or other pleading responsive to
17 the Complaint be extended until 30 days after a final decision is entered by the
18 FINRA arbitration panel. The parties respectfully request that the Court so order.

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1 DATED: April 4, 2017

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By: /s/ Dylan W. Wiseman
DYLAN W. WISEMAN
VALERIE E. COLLANTON
Attorneys for Defendants
JOHN NORDSTROM; and
INNOVATION WEALTH MANAGEMENT

DATED: April 4, 2017

LOCKE LORD LLP

By: /s/ Nina Huerta (as authorized on April 4, 2017)
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ORDER

Pursuant to stipulation, and for good cause shown, Defendants' deadline to respond to Plaintiff's Complaint is extended to 30 days after a final decision is entered by the FINRA Arbitration Panel.

IT IS SO ORDERED.

Dated: 4/5/2017

/s/ John A. Mendez
UNITED STATES DISTRICT COURT JUDGE