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UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

DEREK TATE,

Plaintiff,

v.

J. ANDRES,

Defendant.

No. 2:18-cv-0822 KJM AC P

ORDER AND FINDINGS &
RECOMMENDATIONS

Plaintiff is a state prisoner proceeding pro se with a civil rights action pursuant to 42 U.S.C. § 1983. Currently before the court is the defendant’s motion for partial summary judgment based on plaintiff’s failure to exhaust administrative remedies (ECF No. 72), which plaintiff has opposed (ECF No. 73).

I. Procedural History

The court screened the complaint and found that it stated a claim for relief against defendant Andres. ECF No. 14. Defendant answered the complaint (ECF No. 25) and filed the motion for partial summary judgment (ECF No. 72) after the close of discovery.

II. Plaintiff’s Allegations

The complaint alleges that defendant Andres used excessive force against plaintiff in retaliation for filing a grievance against him, and then failed to get plaintiff medical treatment. ECF No. 1. Specifically, plaintiff claims that he filed a grievance against Andres, claiming that

1 defendant was interfering with plaintiff's ability to go to group therapy, and that after filing the
2 grievance, he was able to attend therapy. Id. at 7-8. On the way back from therapy on February
3 8, 2016, plaintiff told defendant he wanted other guards to escort him, and Andres told him to
4 shut up, that he did not get to choose who escorted him, and that he could "write it up." Id. at 9.
5 When plaintiff asked if defendant was angry about his appeal, defendant responded that "around
6 here 602's get you in trouble" and proceed to slam plaintiff's face into the wall after they got to
7 the top of the staircase. Id. at 9-10. The force broke plaintiff's glasses, chipped his tooth, and
8 injured his left foot, which got caught in the door railing when he lost his balance and fell. Id. at
9 10. Defendant then refused to alert his supervisor or medical about plaintiff's injuries, and
10 plaintiff was not seen by medical staff for over two hours. Id. at 11. The following day,
11 defendant taunted plaintiff about his broken glasses and recounted the assault to other correctional
12 staff while in front of plaintiff. Id. at 12-13.

13 III. Defendant's Motion for Summary Judgment

14 A. Defendant's Arguments

15 Defendant Andres moves for summary judgment as to plaintiff's retaliation claim on the
16 ground that plaintiff did not exhaust his administrative remedies before filing suit. ECF No. 72.
17 He alleges that plaintiff's initial grievance regarding this incident did not state that defendant's
18 use of force was retaliatory and that plaintiff, in violation of the grievance policy, waited until the
19 third level of review to make any allegations of retaliation. ECF No. 72-2 at 5-6. Because
20 plaintiff's retaliation claim was a new issue at the third level, it was not addressed through the
21 grievance and plaintiff did not properly submit and exhaust a separate grievance alleging
22 retaliation. Id. at 5.

23 B. Plaintiff's Response

24 "Pro se litigants must follow the same rules of procedure that govern other litigants."
25 King v. Atiyeh, 814 F.2d 565, 567 (9th Cir. 1987) (citation omitted), overruled on other grounds,
26 Lacey v. Maricopa County, 693 F.3d 896, 928 (9th Cir. 2012) (en banc). However, it is well-
27 established that district courts are to "construe liberally motion papers and pleadings filed by *pro*
28 *se* inmates and should avoid applying summary judgment rules strictly." Thomas v. Ponder, 611

1 F.3d 1144, 1150 (9th Cir. 2010). The unrepresented prisoner’s choice to proceed without counsel
2 “is less than voluntary” and they are subject to “the handicaps . . . detention necessarily imposes
3 upon a litigant,” such as “limited access to legal materials” as well as “sources of proof.”
4 Jacobsen v. Filler, 790 F.2d 1362, 1364 n.4 (9th Cir. 1986) (alteration in original) (citations and
5 internal quotation marks omitted). Inmate litigants, therefore, should not be held to a standard of
6 “strict literalness” with respect to the requirements of the summary judgment rule. Id. (citation
7 omitted).

8 Accordingly, although plaintiff has largely complied with the rules of procedure, the court
9 considers the record before it in its entirety. However, only those assertions which have
10 evidentiary support in the record will be considered.

11 Plaintiff argues first that defendant’s motion for summary judgment should be denied
12 because it is untimely. He contends further that he was denied meaningful access to the grievance
13 process when staff failed to interview him regarding the grievance, leaving the final level of
14 review as his only opportunity to raise the retaliation issue. ECF No. 73 at 1-4, 11-20.

15 C. Defendant’s Reply

16 Defendant argues his motion for summary judgment is not untimely, and there is no
17 dispute of material fact as to plaintiff’s failure to exhaust his retaliation claim. ECF No. 74 at 1-2.

18 IV. Legal Standards for Summary Judgment

19 Summary judgment is appropriate when the moving party “shows that there is no genuine
20 dispute as to any material fact and the movant is entitled to judgment as a matter of law.” Fed. R.
21 Civ. P. 56(a). Under summary judgment practice, “[t]he moving party initially bears the burden
22 of proving the absence of a genuine issue of material fact.” In re Oracle Corp. Sec. Litig., 627
23 F.3d 376, 387 (9th Cir. 2010) (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The
24 moving party may accomplish this by “citing to particular parts of materials in the record,
25 including depositions, documents, electronically stored information, affidavits or declarations,
26 stipulations (including those made for purposes of the motion only), admissions, interrogatory
27 answers, or other materials” or by showing that such materials “do not establish the absence or
28 presence of a genuine dispute, or that an adverse party cannot produce admissible evidence to

1 support the fact.” Fed. R. Civ. P. 56(c)(1).

2 “Where the non-moving party bears the burden of proof at trial, the moving party need
3 only prove that there is an absence of evidence to support the non-moving party’s case.” Oracle
4 Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325); see also Fed. R. Civ. P. 56(c)(1)(B).
5 Indeed, summary judgment should be entered, “after adequate time for discovery and upon
6 motion, against a party who fails to make a showing sufficient to establish the existence of an
7 element essential to that party’s case, and on which that party will bear the burden of proof at
8 trial.” Celotex, 477 U.S. at 322. “[A] complete failure of proof concerning an essential element
9 of the nonmoving party’s case necessarily renders all other facts immaterial.” Id. at 323. In such
10 a circumstance, summary judgment should “be granted so long as whatever is before the district
11 court demonstrates that the standard for the entry of summary judgment, as set forth in Rule
12 56(c), is satisfied.” Id.

13 If the moving party meets its initial responsibility, the burden then shifts to the opposing
14 party to establish that a genuine issue as to any material fact actually does exist. Matsushita Elec.
15 Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586-87 (1986). In attempting to establish the
16 existence of this factual dispute, the opposing party may not rely upon the allegations or denials
17 of its pleadings but is required to tender evidence of specific facts in the form of affidavits, and/or
18 admissible discovery material, in support of its contention that the dispute exists. See Fed. R.
19 Civ. P. 56(c). The opposing party must demonstrate that the fact in contention is material, i.e., a
20 fact “that might affect the outcome of the suit under the governing law,” and that the dispute is
21 genuine, i.e., “the evidence is such that a reasonable jury could return a verdict for the nonmoving
22 party.” Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248 (1986).

23 In the endeavor to establish the existence of a factual dispute, the opposing party need not
24 establish a material issue of fact conclusively in its favor. It is sufficient that “the claimed factual
25 dispute be shown to require a jury or judge to resolve the parties’ differing versions of the truth at
26 trial.” T.W. Elec. Serv., Inc. v. Pac. Elec. Contractors Ass’n, 809 F.2d 626, 630 (9th Cir. 1987)
27 (quoting First Nat’l Bank of Ariz. v. Cities Serv. Co., 391 U.S. 253, 288-89 (1968). Thus, the
28 “purpose of summary judgment is to pierce the pleadings and to assess the proof in order to see

1 whether there is a genuine need for trial.” Matsushita, 475 U.S. at 587 (citation and internal
2 quotation marks omitted).

3 “In evaluating the evidence to determine whether there is a genuine issue of fact, [the
4 court] draw[s] all inferences supported by the evidence in favor of the non-moving party.” Walls
5 v. Cent. Contra Costa Transit Auth., 653 F.3d 963, 966 (9th Cir. 2011) (citation omitted). It is the
6 opposing party’s obligation to produce a factual predicate from which the inference may be
7 drawn. See Richards v. Nielsen Freight Lines, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to
8 demonstrate a genuine issue, the opposing party “must do more than simply show that there is
9 some metaphysical doubt as to the material facts.” Matsushita, 475 U.S. at 586 (citations
10 omitted). “Where the record taken as a whole could not lead a rational trier of fact to find for the
11 non-moving party, there is no ‘genuine issue for trial.’” Id. at 587 (quoting First Nat’l Bank, 391
12 U.S. at 289).

13 Defendant simultaneously served plaintiff with notice of the requirements for opposing a
14 motion pursuant to Rule 56 of the Federal Rules of Civil Procedure along with their motion for
15 summary judgment. ECF No. 72-1; see Klingele v. Eikenberry, 849 F.2d 409, 411 (9th Cir.
16 1988) (pro se prisoners must be provided with notice of the requirements for summary judgment);
17 Rand v. Rowland, 154 F.3d 952, 960 (9th Cir. 1998) (en banc) (movant may provide notice).

18 V. Legal Standards for Exhaustion

19 Because plaintiff is a prisoner suing over the conditions of his confinement, his claims are
20 subject to the Prison Litigation Reform Act (PLRA), 42 U.S.C. § 1997e(a). Under the PLRA,
21 “[n]o action shall be brought with respect to prison conditions under section 1983 of this title, or
22 any other Federal law, by a prisoner confined in any jail, prison, or other correctional facility until
23 such administrative remedies as are available are exhausted.” 42 U.S.C. § 1997e(a); Porter v.
24 Nussle, 534 U.S. 516, 520 (2002) (“§ 1997e(a)’s exhaustion requirement applies to all prisoners
25 seeking redress for prison circumstances or occurrences”). “[T]hat language is ‘mandatory’: An
26 inmate ‘shall’ bring ‘no action’ (or said more conversationally, may not bring any action) absent
27 exhaustion of available administrative remedies.” Ross v. Blake, 578 U.S. 632, 638 (2016)
28 (quoting Woodford v. Ngo, 548 U.S. 81, 85 (2006)).

1 Failure to exhaust is “an affirmative defense the defendant must plead and prove.” Jones
2 v. Bock, 549 U.S. 199, 204, 216 (2007). “[T]he defendant’s burden is to prove that there was an
3 available administrative remedy, and that the prisoner did not exhaust that available remedy.”
4 Albino v. Baca, 747 F.3d 1162, 1172 (9th Cir. 2014) (en banc) (citing Hilao v. Estate of Marcos,
5 103 F.3d 767, 778 n.5 (9th Cir. 1996)). “[T]here can be no ‘absence of exhaustion’ unless *some*
6 relief remains ‘available.’” Brown v. Valoff, 422 F.3d 926, 936 (9th Cir. 2005) (emphasis in
7 original). Therefore, the defendant must produce evidence showing that a remedy is available “as
8 a practical matter,” that is, “it must be capable of use; at hand.” Albino, 747 F.3d at 1171
9 (citation and internal quotations marks omitted). “[A]side from [the unavailability] exception, the
10 PLRA’s text suggests no limits on an inmate’s obligation to exhaust—irrespective of any ‘special
11 circumstances.’” Ross, 578 U.S. at 639. “[M]andatory exhaustion statutes like the PLRA
12 establish mandatory exhaustion regimes, foreclosing judicial discretion.” Id. (citation omitted).

13 For exhaustion to be “proper,” a prisoner must comply with the prison’s procedural rules,
14 including deadlines, as a precondition to bringing suit in federal court. Woodford, 548 U.S. at 90
15 (“Proper exhaustion demands compliance with an agency’s deadlines and other critical procedural
16 rules.”). “[I]t is the prison’s requirements, and not the PLRA, that define the boundaries of
17 proper exhaustion.” Jones, 549 U.S. at 218; see also Marella v. Terhune, 568 F.3d 1024, 1027
18 (9th Cir. 2009) (“The California prison system’s requirements ‘define the boundaries of proper
19 exhaustion’” (quoting Jones, 549 U.S. at 218)).

20 As long as some potential remedy remained available through the administrative appeals
21 process, even if it was not the remedy he sought, plaintiff was required to exhaust his remedies.
22 Booth v. Churner, 532 U.S. 731, 741 & n.6 (2001) (“Congress has provided in § 1997e(a) that an
23 inmate must exhaust irrespective of the forms of relief sought and offered through administrative
24 avenues.”). The Supreme Court has identified “three kinds of circumstances in which an
25 administrative remedy, although officially on the books, is not capable of use to obtain relief.”
26 Ross, 578 U.S. at 643. “First, . . . an administrative procedure is unavailable when (despite what
27 regulations or guidance materials may promise) it operates as a simple dead end—with officers
28 unable or consistently unwilling to provide any relief to aggrieved inmates.” Id. (citing Booth,

1 532 U.S. at 736). “Next, an administrative scheme might be so opaque that it becomes,
2 practically speaking, incapable of use.” Id. Finally, administrative remedies are unavailable
3 “when prison administrators thwart inmates from taking advantage of a grievance process through
4 machination, misrepresentation, or intimidation.” Id. at 644.

5 When the district court concludes that the prisoner has not exhausted administrative
6 remedies on a claim, “the proper remedy is dismissal of the claim without prejudice.” Wyatt v.
7 Terhune, 315 F.3d 1108, 1120 (9th Cir. 2003) (citation omitted), overruled on other grounds by
8 Albino, 747 F.3d at 1168.

9 VI. California Regulations Governing Exhaustion of Administrative Remedies

10 Exhaustion requires that the prisoner complete the administrative review process in
11 accordance with all applicable procedural rules. Woodford, 548 U.S. at 90. This review process
12 is set forth in the California Code of Regulations. In 2016, those regulations allowed prisoners to
13 “appeal any policy, decision, action, condition, or omission by the department or its staff that the
14 inmate or parolee can demonstrate as having a material adverse effect upon his or her health,
15 safety, or welfare.” Cal. Code Regs. tit. 15, § 3084.1(a) (2015).¹

16 At the time plaintiff was proceeding through the appeals process, it was comprised of
17 three levels of review for most types of appeals. Id., § 3084.7.² Appeals were “limited to one
18 issue or related set of issues per each Inmate/Parolee Appeal form submitted.” Id.,
19 § 3084.2(a)(1). The inmate was to “state all facts known and available to him/her regarding the
20 issue being appealed at the time of submitting the Inmate/Parolee Appeal form, and if needed, the
21 Inmate/Parolee Appeal Form Attachment.” Id., § 3084.2(a)(4). Unless exempt, all appeals were
22 “initially submitted and screened at the first level,” which could be bypassed in certain
23 circumstances. Id., § 3084.7(a). “The second level [was] for review of appeals denied or not

24
25 ¹ Due to amendments which largely went into effect in late 2016, after plaintiff completed the
26 appeals process, all citations to Title 15 of the California Code of Regulations, unless otherwise
27 noted, are to the 2015 version, which was the version in effect at the time plaintiff was pursuing
28 his administrative remedies.

² Section 3084.7 was subject to emergency amendment on June 2, 2016. However, the
subsection subject to amendment did not apply to plaintiff’s appeal and the regulation otherwise
remained identical to the 2015 version.

1 otherwise resolved to the appellant's satisfaction at the first level, or for which the first level
2 [was] otherwise waived by [the] regulations." Id., § 3084.7(b). Relevant to the issues here, the
3 third level was "for review of appeals not resolved at second level," Id. § 3084.7(c).

4 Each prison was required to have an "appeals coordinator" whose job was to "screen all
5 appeals prior to acceptance and assignment for review." Id., § 3084.5(b). The appeals
6 coordinator could refuse to accept an appeal, whereupon "the inmate or parolee [would] be
7 notified of the specific reason(s) for the rejection or cancellation of the appeal and of the
8 correction(s) needed for the rejected appeal to be accepted." Id., § 3084.5(b)(3). "Administrative
9 remedies [were] not . . . considered exhausted relative to any new issue, information, or person
10 later named by the appellant that was not included in the originally submitted CDCR Form 602."
11 Id., § 3084.1(b).

12 VII. Undisputed Material Facts

13 The facts as they relate to exhaustion are largely undisputed. The parties agree that
14 plaintiff pursued an appeal related to the claims in the complaint, and they agree on the timeline
15 for that appeal as set forth below. Other facts have been obtained from documentation in the
16 record, the accuracy of which is not in dispute.

17 At all times relevant to the complaint, plaintiff was a prisoner in custody at Mule Creek
18 State Prison. ECF No. 1. On February 21, 2016, plaintiff submitted inmate appeal MCSP-16-
19 00536, claiming that defendant had subjected him to excessive force. ECF No. 72-4 at 13, 15.

20 The inmate appeal stated that

21 [o]n February 8, 2016; C/O Andres slammed I/M Tate's face into the
22 wall while escorting I/M Tate from C12-A section group; breaking
23 I/M Tate's glasses and causing other injuries. I/M Tate suffered a
24 chipped tooth as a result. I/M Tate further suffered a server [sic] neck
25 strian [sic] and headaches following the assault. I/M Tate's foot was
26 injured as well after falling to the floor after the assault. I/M Tate
27 asked C/O Quick to alert the medical staff of the injuries. C/O Quick
28 refused due to I/M Tate refusing to put hands through the food tray
slot so that the hand cuffs could be removed. Immediately after this
assault C/O Andres ordered I/M Tate to put his hands through the
tray slot so that C/O Andres could remove them. I/M Tate refused.
I/M Tate did not believe C/O Andres wanted to remove the cuffs.
I/M Tate believed C/O Andres would continue to assault and act in
an unprofessional manner. C/O Andres then did not report this
incident to Sgt. Banks. I/M Tate asked C/O Andres to call the
[illegible] watch Sgt. C/O Andres refused and walked away from the

1 cell.

2 Id.

3 In the “action requested” section, plaintiff requested

4 [t]hat staff be required to wear body cameras w/audio capabilities
5 while working in Administrative Segregation Units. That C/O
6 Andres be charged with battery and any other violation of the law
7 that applies to these sets of facts. That C/O Andres be held civilly
8 responsible for the assault and injuries suffered by I/M Tate. That
9 C/O Andres be held liable for monetary damages of \$50,000 dollars
10 and punitive damages as well. That staff be required to report
11 assaults to the shift supervisor soon after the incident occurs. No
12 report was generated in this matter. This proves consciencousness
13 [sic] of guilt and violation of CDCR policy Title 15 3271; 3287;
14 3391(a); 3413(2); that a state administered lie detector test be given
15 to both parties in this matter (I/M Tate & C/O Andres) Title 15
16 3293(a)(1). That my glasses be replaced at expense of CDCR.

17 Id.

18 This appeal was designated as a “staff complaint” and bypassed the first level of review.
19 Defendant’s Statement of Undisputed Facts (“DSUF”) (ECF No. 72-3) ¶ 2; Response to DSUF
20 (ECF No. 73 at 25-27) ¶ 2. Plaintiff’s appeal was partially granted at the second level of review,
21 where an appeal inquiry was conducted and found no violation of policy. DSUF ¶ 4; Response to
22 DSUF ¶ 4; ECF No. 72-4 at 10-11. The second-level response also stated that plaintiff had been
23 interviewed in relation to his allegations on February 9, 2016, that the interview was documented
24 on video, and that during the interview he made the same claims as were written in the appeal.
25 ECF No. 72-4 at 10.

26 Plaintiff submitted his appeal for third-level review on May 25, 2016. DSUF ¶ 5;
27 Response to DSUF ¶ 5. The appeal was initially rejected, but plaintiff resubmitted it, and the
28 resubmitted appeal was accepted. DSUF ¶¶ 6-7; Response to DSUF ¶¶ 6-7. The third-level
29 appeal stated that

30 I/M Tate is dissatisfied with the second level response. I/M Tate
31 requested a lie detector exam in this matter. That request has not
32 been addressed. C/O Andres also taunted I/M Tate the following day
33 after the assault. C/O Andres approached I/M Tate’s cell and asked
34 I/M Tate where his glasses were, and how his foot was doing. C/O
35 Andres also bragged to toher staff about the incident the following
36 day. C/O Mason & Counselor Sua were present. C/O Andres was
37 only able to get away with violating I/M Tate’s constitutional rights
38 as well as Title 15 3268.1(a) provision, et. al. because staff are not

1 required to wear body cameras with audio capabilities. I/M Tate
2 suffered a severe [sic] cut to the top & side of the left foot after C/O
3 Andres slammed I/M Tate's face into the wall & I/M Tate fell. Sgt.
4 Banks took a video taped interview of I/M Tate's injuries on 2-8-
5 2016; the same day of the assault. I/M Tate wants that tape preserved
6 for further proof of the assault, if it becomes necessary. There is a
7 very good chance that the assault was the result of I/M Tate
8 submitting an appeal on 1-27-2016 Log # MSCP HC 16048026. C/O
9 Andres was named in that appeal.

10 ECF No. 72-5 at 14, 16.

11 The appeal was denied at the third level of review. DSUF ¶ 8; Response to DSUF ¶ 8.

12 The third-level response summarized plaintiff's arguments as follows:

13 It is the appellant's position that he [was] subjected to unnecessary
14 use of force. The appellant alleges that on February 8, 2016, while
15 being escorted from his assigned Mental Health Group to his
16 assigned Administrative Segregation Unit (ASU) cell, Correctional
17 Officer (CO) Andres slammed his face against the wall breaking his
18 glasses and causing him to receive a foot injury. The appellant also
19 alleges that he spoke with CO Quick and requested to see medical
20 staff for an evaluation of his injuries and CO Quick refused to escort
21 him to do so. In remedy, the appellant requests that staff be required
22 to wear body cameras with audio capabilities while working in ASU;
23 that CO Andres be charged with Battery and any other violation of
24 the law; that he received [sic] punitive damages in the amount of
25 \$50,000; that staff be required to report assaults; that a lie detector
26 test be given to both parties; and that his glasses be replaced at CDCR
27 expense.

28 ECF No. 72-5 at 11. It further stated that "[t]he appellant has added new issues and requests to
his appeal. The additional requested action is not addressed herein as it is not appropriate to
expand the appeal beyond the initial problem and the initially requested action (CDC Form 602,
Inmate/Parolee Appeal Form, Sections A and B)." Id.

29 VIII. Discussion

30 As an initial matter, plaintiff's request to deny the motion for summary judgment as
31 untimely will be denied. Because the parties were given thirty days to file dispositive motions
32 and the thirtieth day fell on a weekend, the deadline continued until the following Monday, which
33 is when defendant filed his motion. See ECF No. 71 (setting deadline at thirty days from service
34 of order); Fed. R. Civ. P. 6(a)(1)(C) (continuing deadline to "next day that is not a Saturday,
35 Sunday, or legal holiday" when last day of time period falls on a weekend). The motion for

1 summary judgment was therefore timely filed.

2 As to the issue of exhaustion, the parties are in agreement that plaintiff exhausted Appeal
3 No. MCSP-16-00536 and that it related to defendant Andres alleged excessive use of force
4 against plaintiff. They also agree that the appeal addressed the claim that Andres conduct was
5 retaliatory, but disagree as to whether those claims were present from the first stage of the appeal,
6 as required by the regulations. DSUF ¶¶ 3, 9; Response to DSUF ¶¶ 3, 9. As a result, they
7 disagree as to whether that appeal was sufficient to exhaust the administrative remedies for
8 plaintiff's retaliation claim.³

9 Plaintiff's primary argument is that he was prevented from utilizing the grievance process
10 because he intended to explain his retaliation claim during the mandatory interview for his
11 grievance but was denied when the second-level reviewer failed to interview him. ECF No. 73 at
12 12-18. He argues that the recorded interview taken in response to the use of force allegation was
13 not a substitute for an appeal interview and that the reviewer's failure to conduct an interview as
14 required by title 15, § 3084.7(e) of the California Code of Regulation⁴ violated due process and
15 forfeited any grounds for finding the appeal insufficient. *Id.*

16 Plaintiff submitted his appeal on February 21, 2016, and was transferred to Salinas Valley
17 State Prison a few days later. ECF No. 72-4 at 23. It therefore appears possible that plaintiff's
18 appeal fell within an exception to the interview requirement and the reviewer failed to document
19 the exception. *See* Cal. Code Regs. tit. 15, § 3084.7(e)(4) (exception when prisoner is not present
20 at institution where appeal was filed and other conditions are met). However, even assuming that
21 an interview with plaintiff was required, plaintiff fails to demonstrate how the failure to conduct
22

23 ³ Although plaintiff indicates that he disputes DSUF ¶ 1, which states that Appeal No. MCSP-16-
24 00536 was the only non-healthcare appeal he filed at Mule Creek State Prison between February
25 2016 and July 2016, he does not appear to be claiming that he filed any other grievances related
26 to the claims in this case. ECF No. 73 at 25. Instead, both his objection to DSUF ¶ 1 and the
documents he references appear to demonstrate that he wrote to the appeals office to follow up on
Appeal No. MCSP-16-00536 after failing to receive a response to his initial appeal. *Id.* 25, 67-76.
Accordingly, there are no other appeals at issue.

27 ⁴ Section 3084.7(e) provided that “[a]t least one face-to-face interview shall be conducted with
28 the appellant at the first level of review, or the second level if the first level of review is
bypassed” except under certain circumstances.

1 an interview made the appeals process unavailable to him. Plaintiff had the opportunity—and
2 was required—to raise the retaliation issue in his initial inmate appeal to exhaust that claim, and
3 he fails to identify any reason why he could not have included that information in his initial
4 grievance. See Cal. Code Regs. tit. 15, § 3084.2(a)(4) (requiring inmate to “state all facts known
5 and available to him/her regarding the issue being appealed at the time of submitting the
6 Inmate/Parolee Appeal form”); Cal. Code Regs. tit. 15, § 3084.1(b) (administrative remedies not
7 exhausted as to “any new issue, information, or person later named by the appellant that was not
8 included in the originally submitted CDCR Form 602”). Plaintiff failed to raise the retaliation
9 issue until he appealed to the third level, and the response explicitly advised him that he had
10 raised new issues that were not addressed. ECF No. 72-5 at 11. While the response did not
11 specifically identify which allegations were new, the summary of plaintiff’s argument was devoid
12 of any mention of retaliation, which should have notified him that that claim was not being
13 addressed. Id.

14 Plaintiff also suggests that his initial request that Andres be held civilly responsible for the
15 assault and “any other violations of the law that applies to these set(s) of fact(s)” was sufficient to
16 set out a retaliation claim. See ECF No. 73 at 24. However, the initial appeal makes no mention
17 of plaintiff’s previous appeal (the alleged basis for retaliation) or of the comments Andres
18 allegedly made regarding plaintiff’s filing of grievances. See ECF No. 72-5 at 14, 16. As a
19 result, there are no facts in the initial appeal providing notice that Andres’ conduct was alleged to
20 have been motivated by retaliatory animus.

21 IX. Conclusion

22 Plaintiff failed to properly exhaust his retaliation claim because he did not include
23 allegations of retaliation in his initial grievance and did not submit a separate grievance on that
24 issue. The motion for summary judgment on plaintiff’s retaliation claim should therefore be
25 granted.

26 X. Plain Language Summary of this Order for a Pro Se Litigant

27 It is being recommended that defendant’s motions for partial summary judgment be
28 granted and your retaliation claim be dismissed because you did not properly exhaust your

1 administrative remedies as to that claim before starting this case and you have not shown that you
2 were prevented from exhausting. If these findings and recommendations are adopted, your case
3 will go forward on your excessive force claim only.

4 Accordingly, IT IS HEREBY ORDERED that plaintiff's motion to dismiss the motion for
5 summary judgment as untimely (ECF No. 73) is DENIED.

6 IT IS FURTHER RECOMMENDED that:

7 1. Defendant's motion for partial summary judgment (ECF No. 72) be GRANTED.

8 2. Plaintiff's claim of retaliation against defendant Andres be DISMISSED without
9 prejudice for failure to exhaust administrative remedies.

10 3. This case proceed on plaintiff's excessive force claim against defendant Andres.

11 These findings and recommendations are submitted to the United States District Judge
12 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty-one days
13 after being served with these findings and recommendations, any party may file written
14 objections with the court and serve a copy on all parties. Such a document should be captioned
15 "Objections to Magistrate Judge's Findings and Recommendations." Any response to the
16 objections shall be served and filed within fourteen days after service of the objections. The
17 parties are advised that failure to file objections within the specified time may waive the right to
18 appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

19 DATED: April 12, 2022

20 
21 ALLISON CLAIRE
22 UNITED STATES MAGISTRATE JUDGE
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