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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

KENNETH HILL,  
  
Plaintiff,  
  
v.  
  
J. AYALA,  
  
Defendant.

No. 2:19-cv-0184 TLN DB P

FINDINGS AND RECOMMENDATIONS

Plaintiff is a state prisoner proceeding pro se with a civil rights action pursuant to 42 U.S.C. § 1983. Plaintiff alleges defendant discriminated against him based on his participation in a mental health program in violation of the Americans with Disabilities Act (“ADA”) and the Rehabilitation Act (“RA”). Before the court is defendant’s motion for summary judgment. For the reasons set forth below, this court will recommend defendant’s motion be granted.

**BACKGROUND**

This case is proceeding on plaintiff’s second amended complaint (“SAC”). (ECF No. 18.) Plaintiff contends he was transferred to California State Prison, Sacramento (“CSP-Sac”) in 2017 so that he could enter the Enhanced Outpatient Program (“EOP”), a mental health treatment program. At that time, plaintiff was eligible for family overnight visits. In July 2017, plaintiff submitted a request for family visits to defendant Ayala. Ayala first told plaintiff that he was not eligible for family visits because he was an EOP participant. She then denied his request on the

1 ground that plaintiff did not have a marriage certificate on file and, later, because he had a  
2 pending rules violation report (“RVR”). Plaintiff challenges both reasons as pretexts for  
3 discrimination based on his EOP participation. First, plaintiff alleges he did, in fact, have a  
4 marriage certificate on file. Second, plaintiff contends that an unresolved RVR was not a proper  
5 basis to deny his family visiting request. Eventually, Ayala granted his request.

6 Plaintiff later attempted to be placed on a waiting list for a prison job. Ayala informed  
7 him that the prison did not permit EOP participants to work in the jobs he was seeking. However,  
8 in her written denial of plaintiff’s application, Ayala stated that it was due to plaintiff’s receipt of  
9 several RVRs. Three months later, Ayala’s supervisor granted plaintiff’s request and he was  
10 placed on a job list.

11 On screening, this court found plaintiff stated a potential claim under the ADA and the  
12 RA against defendant Ayala, the only remaining defendant in this case, for her denials of  
13 plaintiff’s requests for a family visit and request for a job. (ECF No. 21.)

14 On February 17, 2021, defendant filed the present motion for summary judgment. (ECF  
15 No. 69.) Plaintiff filed an opposition (ECF No. 73) and defendant filed a reply (ECF No. 76).

## 16 MOTION FOR SUMMARY JUDGMENT

### 17 I. Summary Judgment Standards under Rule 56

18 Summary judgment is appropriate when the moving party “shows that there is no genuine  
19 dispute as to any material fact and the movant is entitled to judgment as a matter of law.” Fed. R.  
20 Civ. P. 56(a). Under summary judgment practice, the moving party “initially bears the burden of  
21 proving the absence of a genuine issue of material fact.” In re Oracle Corp. Sec. Litigation, 627  
22 F.3d 376, 387 (9th Cir. 2010) (citing Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986)). The  
23 moving party may accomplish this by “citing to particular parts of materials in the record,  
24 including depositions, documents, electronically stored information, affidavits or declarations,  
25 stipulations (including those made for purposes of the motion only), admissions, interrogatory  
26 answers, or other materials” or by showing that such materials “do not establish the absence or  
27 presence of a genuine dispute, or that an adverse party cannot produce admissible evidence to  
28 support the fact.” Fed. R. Civ. P. 56(c)(1)(A), (B).

1           When the non-moving party bears the burden of proof at trial, “the moving party need  
2 only prove that there is an absence of evidence to support the nonmoving party’s case.” Oracle  
3 Corp., 627 F.3d at 387 (citing Celotex, 477 U.S. at 325.); see also Fed. R. Civ. P. 56(c)(1)(B).  
4 Indeed, summary judgment should be entered, after adequate time for discovery and upon motion,  
5 against a party who fails to make a showing sufficient to establish the existence of an element  
6 essential to that party's case, and on which that party will bear the burden of proof at trial. See  
7 Celotex, 477 U.S. at 322. “[A] complete failure of proof concerning an essential element of the  
8 nonmoving party’s case necessarily renders all other facts immaterial.” Id. In such a  
9 circumstance, summary judgment should be granted, “so long as whatever is before the district  
10 court demonstrates that the standard for entry of summary judgment . . . is satisfied.” Id. at 323.

11           If the moving party meets its initial responsibility, the burden then shifts to the opposing  
12 party to establish that a genuine issue as to any material fact actually does exist. See Matsushita  
13 Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). In attempting to establish the  
14 existence of this factual dispute, the opposing party typically may not rely upon the allegations or  
15 denials of its pleadings but is required to tender evidence of specific facts in the form of  
16 affidavits, and/or admissible discovery material, in support of its contention that the dispute  
17 exists. See Fed. R. Civ. P. 56(c)(1); Matsushita, 475 U.S. at 586 n.11. However, a complaint that  
18 is submitted in substantial compliance with the form prescribed in 28 U.S.C. § 1746 is a “verified  
19 complaint” and may serve as an opposing affidavit under Rule 56 as long as its allegations arise  
20 from personal knowledge and contain specific facts admissible into evidence. See Jones v.  
21 Blanas, 393 F.3d 918, 923 (9th Cir. 2004); Schroeder v. McDonald, 55 F.3d 454, 460 (9th Cir.  
22 1995) (accepting the verified complaint as an opposing affidavit because the plaintiff  
23 “demonstrated his personal knowledge by citing two specific instances where correctional staff  
24 members . . . made statements from which a jury could reasonably infer a retaliatory motive”);  
25 McElyea v. Babbitt, 833 F.2d 196, 197-98 (9th Cir. 1987); see also El Bey v. Roop, 530 F.3d  
26 407, 414 (6th Cir. 2008) (Court reversed the district court’s grant of summary judgment because  
27 it “fail[ed] to account for the fact that El Bey signed his complaint under penalty of perjury  
28 pursuant to 28 U.S.C. § 1746. His verified complaint therefore carries the same weight as would

1 an affidavit for the purposes of summary judgment.”). The opposing party must demonstrate that  
2 the fact in contention is material, i.e., a fact that might affect the outcome of the suit under the  
3 governing law, and that the dispute is genuine, i.e., the evidence is such that a reasonable jury  
4 could return a verdict for the nonmoving party. See Anderson v. Liberty Lobby, Inc., 477 U.S.  
5 242, 248 (1986).

6 To show the existence of a factual dispute, the opposing party need not establish a  
7 material issue of fact conclusively in its favor. It is sufficient that “the claimed factual dispute be  
8 shown to require a jury or judge to resolve the parties’ differing versions of the truth at trial.”  
9 T.W. Elec. Serv., Inc. v. Pacific Elec. Contractors Ass’n, 809 F.2d 626, 631 (9th Cir. 1987).  
10 Thus, the “purpose of summary judgment is to ‘pierce the pleadings and to assess the proof in  
11 order to see whether there is a genuine need for trial.’” Matsushita, 475 U.S. at 587 (citations  
12 omitted).

13 “In evaluating the evidence to determine whether there is a genuine issue of fact,” the  
14 court draws “all reasonable inferences supported by the evidence in favor of the non-moving  
15 party.” Walls v. Central Contra Costa Transit Auth., 653 F.3d 963, 966 (9th Cir. 2011). It is the  
16 opposing party’s obligation to produce a factual predicate from which the inference may be  
17 drawn. See Richards v. Nielsen Freight Lines, 602 F. Supp. 1224, 1244-45 (E.D. Cal. 1985),  
18 aff’d, 810 F.2d 898, 902 (9th Cir. 1987). Finally, to demonstrate a genuine issue, the opposing  
19 party “must do more than simply show that there is some metaphysical doubt as to the material  
20 facts. . . . Where the record taken as a whole could not lead a rational trier of fact to find for the  
21 nonmoving party, there is no ‘genuine issue for trial.’” Matsushita, 475 U.S. at 587 (citation  
22 omitted).

## 23 **II. Analysis**

24 Defendant first argues that plaintiff did not exhaust his claim of job discrimination. In her  
25 second argument, defendant contends she did not discriminate against plaintiff based on his  
26 participation in a mental health program when she denied his application for family visiting.  
27 Finally, defendant argues that plaintiff fails to demonstrate that she acted pursuant to a prison  
28 policy, as required under the ADA and RA.

1           **A. Exhaustion of Job Claim**

2                   **1. Legal Standards for Exhaustion of Administrative Remedies**

3                           **a. PLRA Exhaustion Requirement**

4           The Prison Litigation Reform Act of 1995 (“PLRA”) mandates that “[n]o action shall be  
5 brought with respect to prison conditions under section 1983 . . . or any other Federal law, by a  
6 prisoner confined in any jail, prison, or other correctional facility until such administrative  
7 remedies as are available are exhausted.” 42 U.S.C. § 1997e(a). Compliance with deadlines and  
8 other critical prison grievance rules is required to exhaust. Woodford v. Ngo, 548 U.S. 81, 90  
9 (2006) (exhaustion of administrative remedies requires “using all steps that the agency holds out,  
10 and doing so properly”). “[T]o properly exhaust administrative remedies prisoners ‘must  
11 complete the administrative review process in accordance with the applicable procedural rules,’ -  
12 rules that are defined not by the PLRA, but by the prison grievance process itself.” Jones v.  
13 Bock, 549 U.S. 199, 218 (2007) (quoting Woodford, 548 U.S. at 88); see also Marella v. Terhune,  
14 568 F.3d 1024, 1027 (9th Cir. 2009) (“The California prison system’s requirements ‘define the  
15 boundaries of proper exhaustion.’” (quoting Jones, 549 U.S. at 218)).

16           Although “the PLRA’s exhaustion requirement applies to all inmate suits about prison  
17 life,” Porter v. Nussle, 534 U.S. 516, 532 (2002), the requirement for exhaustion under the PLRA  
18 is not absolute, Albino v. Baca, 747 F.3d 1162, 1172-72 (9th Cir. 2014) (en banc). As explicitly  
19 stated in the statute, “[t]he PLRA requires that an inmate exhaust only those administrative  
20 remedies ‘as are available.’” Sapp v. Kimbrell, 623 F.3d 813, 822 (9th Cir. 2010) (quoting 42  
21 U.S.C. § 1997e(a)) (administrative remedies plainly unavailable if grievance was screened out for  
22 improper reasons); see also Nunez v. Duncan, 591 F.3d 1217, 1224 (9th Cir. 2010) (“Remedies  
23 that rational inmates cannot be expected to use are not capable of accomplishing their purposes  
24 and so are not available.”). “We have recognized that the PLRA therefore does not require  
25 exhaustion when circumstances render administrative remedies ‘effectively unavailable.’” Sapp,  
26 623 F.3d at 822 (citing Nunez, 591 F.3d at 1226); accord Brown v. Valoff, 422 F.3d 926, 935  
27 (9th Cir. 2005) (“The obligation to exhaust ‘available’ remedies persists as long as some remedy

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1 remains ‘available.’ Once that is no longer the case, then there are no ‘remedies . . . available,’  
2 and the prisoner need not further pursue the grievance.”).

3 Dismissal of a prisoner civil rights action for failure to exhaust administrative remedies  
4 must generally be brought and decided pursuant to a motion for summary judgment under Rule  
5 56, Federal Rules of Civil Procedure. Albino, 747 F.3d at 1168. “Nonexhaustion” is “an  
6 affirmative defense” and defendants have the burden of “prov[ing] that there was an available  
7 administrative remedy, and that the prisoner did not exhaust that available remedy.” Id. at 1171-  
8 72. A remedy is “available” where it is “capable of use; at hand.” Williams v. Paramo, 775 F.3d  
9 1182, 1191 (9th Cir. 2015) (quoting Albino, 747 F.3d at 1171). Grievance procedures that do not  
10 allow for all types of relief sought are still “available” as long as the procedures may afford  
11 “some relief.” Booth v. Churner, 532 U.S. 731, 738 (2001). If a defendant meets the initial  
12 burden, a plaintiff then must “come forward with evidence showing that there is something in his  
13 particular case that made the existing and generally available administrative remedies effectively  
14 unavailable to him.” Albino, 747 F.3d at 1172. Remedies are “effectively unavailable” where  
15 they are “ineffective, unobtainable, unduly prolonged, inadequate, or obviously futile.” Id.  
16 (quoting Hilao v. Estate of Marcos, 103 F.3d 767, 778 n.5 (9th Cir. 1996)). “[T]he ultimate  
17 burden of proof,” however, never leaves the defendant. Id.

#### 18 **b. California’s Inmate Appeal Process**

19 In California, prisoners may appeal “any policy, decision, action, condition, or omission  
20 by the department or its staff that the inmate or parolee can demonstrate as having a material  
21 adverse effect upon his or her health, safety, or welfare.” Cal. Code Regs. tit. 15, § 3084.1(a). At  
22 the time plaintiff filed his appeals,<sup>1</sup> inmates in California proceeded through three levels of appeal  
23 to exhaust the appeal process: (1) formal written appeal on a CDC 602 inmate appeal form; (2)  
24 second level appeal to the institution head or designee; and (3) third level appeal to the Director  
25 of the California Department of Corrections and Rehabilitation (“CDCR”). Cal. Code Regs. tit.

26 \_\_\_\_\_  
27 <sup>1</sup> In 2020, California changed the grievance system from a three-tier system to a two-tier system.  
28 That change was effective in June 2020, after plaintiff initiated the relevant appeals in the present  
case. See Cal. Code Regs. tit. 15, § 3480. All citations to the California code in the text refer to  
the prior law.

1 15, § 3084.7. Under specific circumstances, the first level review may be bypassed. Id. The  
2 third level of review constitutes the decision of the Secretary of the CDCR and exhausts a  
3 prisoner’s administrative remedies. See id. § 3084.7(d)(3). However, a cancellation or rejection  
4 decision does not exhaust administrative remedies. Id. § 3084.1(b).

5 A California prisoner is required to submit an inmate appeal at the appropriate level and  
6 proceed to the highest level of review available to him. Butler v. Adams, 397 F.3d 1181, 1183  
7 (9th Cir. 2005); Bennett v. King, 293 F.3d 1096, 1098 (9th Cir. 2002). In submitting a grievance,  
8 an inmate is required to “list all staff members involved and shall describe their involvement in  
9 the issue.” Cal. Code Regs. tit. 15, § 3084.2(3). Further, the inmate must “state all facts known  
10 and available to him/her regarding the issue being appealed at the time,” and they must “describe  
11 the specific issue under appeal and the relief requested.” Id. § 3084.2(a)(4). The appeal should  
12 not involve multiple issues that do not derive from a single event. Id. § 3084.6(b)(8).

13 An inmate has thirty calendar days to submit their grievance from the occurrence of the  
14 event or decision being appealed, or “upon first having knowledge of the action or decision being  
15 appealed.” Cal. Code Regs. tit. 15, § 3084.8(b).

## 16 **2. Discussion of Exhaustion of Job Claim**

17 Initially, this court notes that defendant’s Undisputed Fact No. 4 appears to be an error  
18 because it is both unclear and could be construed to conflict with Undisputed Fact No. 2.  
19 Undisputed Fact No. 4 states:

20 None of the appeals that Plaintiff submitted to the CSP-Sacramento  
21 Appeals Office from August 13, 2017 through June 15, 2018 only  
22 SAC-V-17-3501 discussed any allegations relating to a wrongful  
denial of his job applications.

23 (See ECF Nos. 69-3 at 2; 76-1 at 3.) Plaintiff admitted this fact. One strained reading of this  
24 statement is that the appeal to the second level of appeal no. SAC-V-17-3501 discussed plaintiff’s  
25 allegations regarding job applications. However, that reading would directly conflict with  
26 defendant’s Undisputed Fact No. 2 which states that none of the appeals plaintiff filed with the  
27 CSP-Sac Appeals Office discussed wrongful denial of job applications. Plaintiff denied  
28 Undisputed Fact No. 2.

1           The records provided by both parties belie plaintiff's contention that Fact No. 2 is  
2           disputed. Plaintiff provides a copy of his application to participate in the jobs program. (ECF  
3           No. 73 at 15.) That form shows defendant's denial of his request and plaintiff's petition for  
4           review by a supervisor. (Id. at 16.) Plaintiff does not allege, and the rules do not provide, that his  
5           request for supervisory review exhausted an appeal of defendant's decision under the PLRA. See  
6           Cal. Code Regs. tit. 15, § 3084.7 (compliance with appeals process starts with submission of  
7           CDCR form 602). The only appeal provided to the court in which plaintiff mentioned the job  
8           application was his appeal of the second level response to appeal no. SAC-V-17-3501 regarding  
9           the denial of family visiting. Plaintiff did not raise the job application issue with the CSP-Sac  
10          Appeals Office. He raised it only in his appeal to CDCR's Director, the third level of review.  
11          (See ECF No. 73 at 10-17.)

12          The question, then, is whether plaintiff's mention of the denial of a job for the first time in  
13          an appeal of a second level response satisfied the exhaustion requirement. California regulations  
14          require new issues to be raised in a separate appeal. California Code of Regulations, title 15, §  
15          3084.1(b) states: "Administrative remedies shall not be considered exhausted relative to any new  
16          issue, information, or person later named by the appellant that was not included in the originally  
17          submitted [appeal] and addressed through all required levels of administrative review up to and  
18          including the third level." Thus, new claims are not permitted as the appeal moves through the  
19          levels of review. A prisoner does not exhaust administrative remedies when he includes new  
20          issues from one level of review to another. Mitchell v. Pena, No. 1:11-cv-01205-JLT LJO PC,  
21          2013 WL 3733593, at \*5 (E.D. Cal. July 15, 2013) (citing Rodgers v. Tilton, CIV. No. 2:07-  
22          02269-WBS-DAD, 2011 WL 3925085, at 2 (E.D. Cal. Sept. 1, 2011), rep. and reco. adopted,  
23          2013 WL 4009750 (E.D. Cal. Aug. 5, 2013); Dawkins v. Butler, No. 09CV1053 JLS (DHB),  
24          2013 WL 2475870, \*8 (S.D. Cal. July 7, 2013) (a claim made for the first time in plaintiff's  
25          request for Third Level review was insufficient to exhaust the issue where it was not included in  
26          the original appeal); see also Woodford v. Ngo, 548 U.S. 81, 90-93 (2006) (Exhaustion under the  
27          PLRA requires "proper exhaustion," which "demands compliance with an agency's deadlines and  
28          other critical procedural rules.").



1 Plaintiff's contention that defendant discriminated against him by rejecting his request for  
2 a job is unexhausted because he raised that issue for the first time in his appeal to the third level  
3 of review. Plaintiff does not argue, and there is no indication, that administrative remedies were  
4 unavailable to him. Plaintiff's claim under the ADA and RA that defendant discriminated against  
5 him when she denied his job program application should be dismissed.

6 **B. Family Visits Claim**

7 Defendant contends she did not intentionally discriminate against plaintiff based on his  
8 participation in the EOP. She further contends that plaintiff fails to allege or show that she  
9 discriminated against him pursuant to a prison policy, as required by the ADA and RA.

10 **1. Legal Standards**

11 **a. ADA and RA**

12 Title II of the ADA provides that "no qualified individual with a disability shall, by reason  
13 of such disability, be excluded from participation in or be denied the benefits of the services,  
14 programs, or activities of a public entity, or be subjected to discrimination by any such entity."  
15 42 U.S.C. § 12132. Title II authorizes suits by private citizens for money damages against public  
16 entities, United States v. Georgia, 546 U.S. 151, 153 (2006), and state prisons "fall squarely  
17 within the statutory definition of 'public entity,'" Pennsylvania Dept. of Corrs. v. Yeskey, 524  
18 U.S. 206, 210 (1998).

19 The proper defendant in an ADA action is the public entity responsible for the alleged  
20 discrimination. Georgia, 546 U.S. at 153. State correctional facilities are "public entities" within  
21 the meaning of the ADA. See 42 U.S.C. § 12131(1)(A) & (B); Yeskey, 524 U.S. at 210;  
22 Armstrong v. Wilson, 124 F.3d 1019, 1025 (9th Cir. 1997). However, a state official sued in her  
23 official capacity is, in effect, a suit against the governmental entity and is an appropriate  
24 defendant in an ADA action. See Applegate v. CCI, No. 1:16-cv-1343 MJS (PC), 2016 WL  
25 7491635, at \*5 (E.D. Cal. Dec. 29, 2016) (citing Miranda B. v. Kitzhaber, 328 F.3d 1181, 1187-  
26 88 (9th Cir. 2003); Kentucky v. Graham, 473 U.S. 159, 165 (1985)); see also Vinson v. Thomas,  
27 288 F.3d 1145, 1156 (9th Cir. 2002) ("[A] plaintiff cannot bring an action under 42 U.S.C. § 1983

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1 against a State official in her individual capacity to vindicate rights created by Title II of the  
2 ADA.”).

3 “Generally, public entities must ‘make reasonable modifications in policies, practices, or  
4 procedures when the modifications are necessary to avoid discrimination on the basis of  
5 disability, unless the public entity can demonstrate that making the modifications would  
6 fundamentally alter the nature of the service, program, or activity.’” Pierce v. County of Orange,  
7 526 F.3d 1190, 1215 (9th Cir. 2008) (quoting 28 C.F.R. § 35.130(b)(7)). The state is responsible  
8 for providing inmates with “the fundamentals of life, such as sustenance, the use of toilet and  
9 bathing facilities, and elementary mobility and communication,” and as such, the ADA requires  
10 that these “opportunities” be provided to disabled inmates “to the same extent that they are  
11 provided to all other detainees and prisoners.” Armstrong v. Schwarzenegger, 622 F.3d 1058,  
12 1068 (9th Cir. 2010); see also Pierce, 526 F.3d at 1220 (finding ADA violation where defendant  
13 failed to articulate “any legitimate rationale for maintaining inaccessible bathrooms, sinks,  
14 showers, and other fixtures in the housing areas and commons spaces assigned to mobility- and  
15 dexterity-impaired detainees”).

16 In order to state a claim that a public program or service violated Title II of the ADA, a  
17 plaintiff must show: (1) he is a “qualified individual with a disability;” (2) he was either excluded  
18 from participation in or denied the benefits of a public entity’s services, programs, or activities, or  
19 was otherwise discriminated against by the public entity; and (3) such exclusion, denial of  
20 benefits, or discrimination was by reason of his disability. McGary v. City of Portland, 386 F.3d  
21 1259, 1265 (9th Cir. 2004); see also Lee v. City of Los Angeles, 250 F.3d 668, 691 (9th Cir.  
22 2001) (“If a public entity denies an otherwise ‘qualified individual’ ‘meaningful access’ to its  
23 ‘services, programs, or activities’ ‘solely by reason of’ his or her disability, that individual may  
24 have an ADA claim against the public entity.”).

25 Furthermore, “[t]o recover monetary damages under Title II of the ADA, a plaintiff must  
26 prove intentional discrimination on the part of the defendant.” Duvall v. County of Kitsap, 260  
27 F.3d 1124, 1138 (9th Cir. 2001). The standard for intentional discrimination is deliberate  
28 indifference, “which requires both knowledge that a harm to a federally protected right is

1 substantially likely, and a failure to act upon that likelihood.” Id. at 1139. The ADA plaintiff  
2 must both “identify ‘specific reasonable’ and ‘necessary’ accommodations that the state failed to  
3 provide” and show that the defendant’s failure to act was “a result of conduct that is more than  
4 negligent, and involves an element of deliberateness.” Id. at 1140.

5 To establish a violation of section 504 of the RA, a plaintiff must show “(1) she is  
6 handicapped within the meaning of the [RA]; (2) she is otherwise qualified for the benefit or  
7 services sought; (3) she was denied the benefit or services solely by reason of her handicap; and  
8 (4) the program providing the benefit or services receives federal financial assistance.” Lovell v.  
9 Chandler, 303 F.3d 1039, 1052 (9th Cir. 2002). The elements of claims under the ADA and the  
10 RA are functionally the same. See Atcherley v. Hanna, No. 2:13-cv-0576 AC P, 2014 WL  
11 2918852, at \*7 (E.D. Cal. June 26, 2014).

#### 12 **b. Requirements for Official Capacity Claims**

13 A plaintiff in a § 1983 action who is pursuing a defendant in their official capacity must  
14 show that a policy or custom of the governmental agency “played a part in the violation of federal  
15 law.” Hafer v. Melo, 502 U.S. 21, 25 (1991) (“Because the real party in interest in an official-  
16 capacity suit is the governmental entity and not the named official, the entity’s policy or custom  
17 must have played a part in the violation of federal law.” (citation and internal quotation marks  
18 omitted)). This requirement applies to official capacity suits under the ADA and RA. See Hayes  
19 v. Voong, 709 F. App’x 494, 495 (9th Cir. 2018) (affirming dismissal of prisoner’s ADA claims  
20 against defendants in their official capacities because prisoner’s complaint failed to identify a  
21 policy or custom of the state that allegedly violated federal law); Perry v. Brevick, No. 2:21-cv-  
22 0065 KJN P, 2021 WL 352374 (E.D. Cal. Feb. 2, 2021) (dismissing prisoner’s ADA claims based  
23 on failure to identify a policy of custom of the state that violated federal law); Hernandez v.  
24 Marcelo, No. 1:19-cv-01219 NONE JLT, 2020 WL 6075648, at \*4 (E.D. Cal. Oct. 15, 2020)  
25 (same), rep. and reco. adopted, 2021 WL 1164400 (E.D. Cal. Mar. 26, 2021); Oliver v. Shelton,  
26 No. 2:18-cv-1809 KJM DMC, 2020 WL 2216943, at \*3 (E.D. Cal. May 7, 2020), rep. and reco.  
27 adopted, 2021 WL 217428 (E.D. Cal. Jan. 21, 2021); Dixon v. Cty. of Sonoma, No. 18-CV-  
28 07137-EMC, 2020 WL 4932061, at \*11 (N.D. Cal. July 1, 2020); Calloway v. Akanno, No. 1:13-

1 cv-00747-SAB-PC, 2016 WL 6599734, at \*7 (E.D. Cal. Nov. 8, 2016). The “first inquiry in any  
2 case alleging” liability of a governmental agency “under § 1983 is the question whether there is a  
3 direct causal link between” an agency “policy or custom and the alleged constitutional  
4 deprivation.” City of Canton, Ohio v. Harris, 489 U.S. 378, 385 (1989).

## 5 **2. Undisputed Facts<sup>2</sup>**

- 6 • During 2017 and 2018, prisoners used a standardized form, CDC 1046, for family  
7 visiting applications at California State Prison, Sacramento. The CDC 1046 form  
8 provided instructions to the reviewing correctional counselor in order to properly  
9 evaluate family visiting applications.
- 10 • The CDC 1046 form requires the correctional counselor to verify that the  
11 information on the family visiting application is true and correct. This information  
12 includes, but is not limited to family relationship, privilege group, disciplinary  
13 record, and status as approved visitors.
- 14 • The CDC 1046 form also requires the correctional counselor to either approve or  
15 deny the family visiting application. The family visiting application may be  
16 denied on any of the following grounds: (1) Inmate not eligible for Family  
17 Visiting; (2) Records indicate inmate and proposed visitor are not legally married;  
18 (3) The visitor is not an approved visitor; (4) Inmate or visitor is on non-contact  
19 visiting status; or (5) Other.
- 20 • On August 13, 2017 plaintiff submitted a family visiting application to defendant  
21 using a CDC 1046 form requesting a family visit with Letisha Delories Adams-  
22 Hill.
- 23 • As part of her duties as a correctional counselor, defendant would review, and  
24 approve or deny inmate family visiting applications.

25 ///

26 ///

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27 <sup>2</sup> These are the material facts set out by defendant and admitted by plaintiff. (See ECF No. 69-3  
28 and ECF No. 73 at 2-7.)

1                   **3. Analysis of Family Visits Claim**

2                   Plaintiff alleges defendant violated his rights when she refused him family visiting based  
3 on his EOP status. Plaintiff contends that defendant used the supposed absence of a marriage  
4 certificate in plaintiff's file as a pretense for the discrimination. Plaintiff further alleges defendant  
5 denied him family visits a second time, also based on his participation in the mental health  
6 program, but claimed the denial was based on plaintiff's RVR.

7                   Plaintiff makes two allegations that could be construed to identify a governmental policy  
8 that lead to a violation of his rights. First, plaintiff alleges that "EOP patients on A-Fac. at CSP  
9 SAC were routinely denied participation in the F.V.P. [Family Visiting Program] . . . just  
10 because they were mental health patients in the EOP." (ECF No. 18 at 12.) Second, plaintiff  
11 alleges that a change to the family visiting policy based on an inmate's rules violations violated  
12 his rights. (ECF No. 18 at 12-14; ECF No. 73 at 28.) Neither of these allegations have  
13 evidentiary support.

14                   Plaintiff provides no factual basis for his claim that EOP participants were regularly  
15 denied family visiting. Plaintiff provides only information regarding the denial of his own  
16 application. Plaintiff's allegations in the SAC show that he felt he was qualified for family  
17 visiting under prison rules. Specifically, plaintiff alleged: "On 7-22-17, plaintiff was release[d]  
18 to A-facility at CSP SAC, an EOP treatment facility with his custody set at Medium-B, his work  
19 group and privilege group set at A1/A, with no factors excluding him from continuing his  
20 participation in CDCR's Family Visiting Program (FVP) at CSP SAC." (ECF No. 18 at 9.) In  
21 neither the remainder of his SAC nor in his opposition to the summary judgment motion does  
22 plaintiff provide any support for, or even mention, his conclusory assertion that other EOP  
23 inmates were discriminated against in family visiting.

24                   Further, it is undisputed that the prison rules, as reflected in form CDC 1046, required  
25 correctional counselors to review applications for family visiting for compliance with the visiting  
26 rules. The rules permitted denial of a family visiting application because, among other reasons,  
27 the inmate was not eligible for family visiting or records indicated the inmate and proposed

28                   ////

1 visitor were not legally married. Plaintiff does not show the procedure established in form CDC  
2 1046 was regularly disregarded by prison staff.

3 Plaintiff also fails to demonstrate that the alleged change in family visiting rules was made  
4 for the purpose of discriminating against inmates participating in mental health programs or even  
5 that it had the effect of doing so. Plaintiff contends that CDCR Director Kernan issued a  
6 memorandum denying family visits to inmates who had an “A or B offense within the last twelve  
7 months.” Plaintiff contends that this memorandum changed the FVP set out in the regulations  
8 without compliance with California’s Administrative Procedures Act. (See ECF No. 18 at 13.)  
9 According to plaintiff, California Code of Regulations, Title 15, § 3177(b)(2) provides that family  
10 visiting may be denied only if an inmate had been found guilty of a rules violation.

11 On screening, the court found plaintiff failed to state claims cognizable under § 1983  
12 regarding his complaints about Kernan’s memorandum. (ECF Nos. 21 and 26.) Specifically, with  
13 respect to plaintiff’s claims under the ADA and RA, the court found that plaintiff failed to allege  
14 that the rule change, if any, was made to discriminate against inmates participating in the prison’s  
15 mental health programs.<sup>3</sup>

16 In addition to failing to make any showing of the existence of a discriminatory policy or  
17 custom, plaintiff fails to provide evidence showing causation - that defendant was acting pursuant  
18 to such a policy or custom. First, with respect to plaintiff’s allegation that defendant relied on an  
19 improper rule change (see ECF No. 73 at 19), he fails to show that the alleged rule change, as  
20 described above, was made to discriminate against inmates in the mental health programs.

21 Second, plaintiff alleges only that defendant, acting on her own, failed to permit him  
22 access to family visiting. In the SAC, plaintiff consistently described the rules applicable to  
23 family visiting. He contends defendant failed to comply with those rules when she denied his  
24 family visiting applications. (See ECF No. 18 at 9-11.)

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25  
26 <sup>3</sup> On screening, the court dismissed plaintiff’s claims against all defendants besides defendant  
27 Ayala. This case is proceeding solely on plaintiff’s claims that defendant Ayala violated his  
28 rights under the ADA and RA because she discriminated against him based on his participation in  
the EOP.

1 Plaintiff fails to show any disputed facts regarding whether defendant acted pursuant to a  
2 governmental policy or custom. Because proving the existence of a policy or custom is a  
3 necessary predicate to proving an official capacity claim, City of Canton, Ohio, 489 U.S. at 385,  
4 the court need not reach any of the other issues raised in defendant's motion for summary  
5 judgment.

6 Plaintiff's claims that defendant denied him family visiting based on his EOP participation  
7 in violation of the ADA and RA should fail.

8 For the foregoing reasons, IT IS HEREBY RECOMMENDED that defendant's motion  
9 for summary judgment (ECF No. 69) be granted on the following grounds:

10 1. Plaintiff's claim that defendant discriminated against him in violation of the ADA and  
11 RA when she denied his job application should be dismissed for plaintiff's failure to exhaust his  
12 administrative remedies; and

13 2. Plaintiff's claim that defendant discriminated against him in violation of the ADA and  
14 RA when she denied his family visiting application should be denied.

15 These findings and recommendations will be submitted to the United States District Judge  
16 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty days  
17 after being served with these findings and recommendations, either party may file written  
18 objections with the court. The document should be captioned "Objections to Magistrate Judge's  
19 Findings and Recommendations." The parties are advised that failure to file objections within the  
20 specified time may result in waiver of the right to appeal the district court's order. Martinez v.  
21 Ylst, 951 F.2d 1153 (9th Cir. 1991).

22 Dated: August 4, 2021

23  
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25   
26 DEBORAH BARNES  
27 UNITED STATES MAGISTRATE JUDGE

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