

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

FERNANDO SAMANIEGO,
Plaintiff,
v.
CDCR, et al.,
Defendants.

No. 2:19-cv-2606 TLN KJN P

FINDINGS AND RECOMMENDATIONS

Plaintiff is a state prisoner, proceeding through counsel, with a civil rights action pursuant to 42 U.S.C. § 1983. The court’s filing fee was paid. On June 16, 2020, plaintiff filed an amended complaint. Defendants’ motion to dismiss is before the court.

As discussed below, the undersigned recommends that defendants’ motion be granted in part and denied in part.

I. Motion to Dismiss: Legal Standards

Rule 12(b)(6) of the Federal Rules of Civil Procedures provides for motions to dismiss for “failure to state a claim upon which relief can be granted.” Fed. R. Civ. P. 12(b)(6). In considering a motion to dismiss pursuant to Federal Rule of Civil Procedure 12(b)(6), the court must accept as true the allegations of the complaint in question, Erickson v. Pardus, 551 U.S. 89 (2007), and construe the pleading in the light most favorable to the plaintiff. Jenkins v.

1 McKeithen, 395 U.S. 411, 421 (1969); Meek v. County of Riverside, 183 F.3d 962, 965 (9th Cir.
2 1999). Still, to survive dismissal for failure to state a claim, a pro se complaint must contain more
3 than “naked assertions,” “labels and conclusions” or “a formulaic recitation of the elements of a
4 cause of action.” Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555-57 (2007). In other words,
5 “[t]hreadbare recitals of the elements of a cause of action, supported by mere conclusory
6 statements do not suffice.” Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009). Furthermore, a claim
7 upon which the court can grant relief must have facial plausibility. Twombly, 550 U.S. at 570.
8 “A claim has facial plausibility when the plaintiff pleads factual content that allows the court to
9 draw the reasonable inference that the defendant is liable for the misconduct alleged.” Iqbal, 556
10 U.S. at 678. Attachments to a complaint are considered to be part of the complaint for purposes
11 of a motion to dismiss for failure to state a claim. Hal Roach Studios v. Richard Reiner & Co.,
12 896 F.2d 1542, 1555 n.19 (9th Cir. 1990). The court “need not accept as true allegations
13 contradicting documents that are referenced in the complaint or that are properly subject to
14 judicial notice.” Lazy Y Ranch Ltd. V. Behrens, 546 U.S. F.3d 580, 588 (9th Cir. 2006).

15 A motion to dismiss for failure to state a claim should not be granted unless it appears
16 beyond doubt that the plaintiff can prove no set of facts in support of his claims which would
17 entitle him to relief. Hishon v. King & Spaulding, 467 U.S. 69, 73 (1984).

18 II. Civil Rights

19 To state a civil rights claim under § 1983, a plaintiff must allege: (1) the violation of a
20 federal constitutional or statutory right; and (2) that the violation was committed by a person
21 acting under the color of state law. See West v. Atkins, 487 U.S. 42, 48 (1988); Jones v.
22 Williams, 297 F.3d 930, 934 (9th Cir. 2002). An individual defendant is not liable on a civil
23 rights claim unless the facts establish the defendant’s personal involvement in the constitutional
24 deprivation or a causal connection between the defendant’s wrongful conduct and the alleged
25 constitutional deprivation. See Hansen v. Black, 885 F.2d 642, 646 (9th Cir. 1989); Johnson v.
26 Duffy, 588 F.2d 740, 743-44 (9th Cir. 1978). That is, plaintiff may not sue any official on the
27 sole theory that the official is liable for the unconstitutional conduct of his or her subordinates.
28 Ashcroft v. Iqbal, 556 U.S. 662, 679 (2009); Crowley v. Bannister, 734 F.3d 967, 977 (9th Cir.

1 2013) (“Under Section 1983, supervisory officials are not liable for actions of subordinates on
2 any theory of vicarious liability.”); OSU Student All. v. Ray, 699 F.3d 1053, 1076 (9th Cir. 2012)
3 (citing Iqbal).

4 The requisite causal connection between a supervisor’s wrongful conduct and the
5 violation of the prisoner’s constitutional rights can be established in a number of ways, including
6 by demonstrating that a supervisor’s own culpable action or inaction in the training, supervision,
7 or control of his subordinates was a cause of plaintiff’s injury. Starr v. Baca, 652 F.3d 1202,
8 1208 (9th Cir. 2011). Such liability may be found without any personal participation if the
9 official implemented “a policy so deficient that the policy itself is a repudiation of the
10 constitutional rights and is the moving force of the constitutional violation.” Redman v. Cty. of
11 San Diego, 942 F.2d 1435, 1446 (9th Cir. 1991) (citations and quotations marks omitted),
12 abrogated on other grounds by Farmer v. Brennan, 511 U.S. 825 (1970).

13 III. Plaintiff’s Amended Complaint

14 Plaintiff alleges the following. Following his conviction for carjacking, plaintiff was first
15 incarcerated in 2011, and was identified by CDCR officials as a member of a Sureno street gang
16 known as East Side Bolen. He served almost three years, during which he was housed “largely in
17 either General Population yards and/or in administrative segregation.” (ECF No. 15 at 7.)
18 Plaintiff incurred about fourteen serious rules violations during his first term, three of which
19 involved alleged battery on other inmates.

20 Several months after his release, plaintiff reoffended and was subsequently convicted of
21 child endangerment and sentenced to a second prison term. His second prison term began in
22 December of 2014, at which time plaintiff informed prison staff that he elected to drop out of his
23 gang, and asked to be designated as a Sensitive Needs Yard (“SNY”) inmate. Plaintiff’s face
24 was, and is, covered with tattoos indicating his gang affiliation, and plaintiff believed that his
25 child endangerment conviction could expose him to an attack by fellow inmates. From December
26 2014 to September 2018, plaintiff was housed on SNY yards with the objective to separate
27 plaintiff from gang members and known enemies.

28 During his second term, plaintiff was eligible for and in need of mental health care

1 services, having suffered depression, anxiety and psychosis. He was initially designated to
2 receive mental health care at the CCCMS level, but in August of 2018, he was elevated to the
3 EOP level.¹ Plaintiff took GED classes, and participated in Narcotics Anonymous (“NA”) and
4 Criminals & Gang Members Anonymous (“CGA”).

5 Plaintiff also sustained serious rules violations during his second term: refusing a
6 urinalysis; two batteries on an inmate, one with and one without a weapon; one related to inmate
7 manufactured alcohol; and delaying a correctional officer in the performance of duties. In
8 addition, he incurred a third prison term as a result of battery on a prisoner with a weapon.

9 Around May of 2018, all defendants and Does 1 - 10 implemented a policy of “Non-
10 Designated Programming Yards” (“NDPF”) such that SNY prisoners would no longer necessarily
11 be segregated from general population inmates. Defendants were aware that implementation of
12 these NDPF yards had resulted in injuries at prisons in California.

13 On September 28, 2018, plaintiff was transferred to CSP-Sacramento, a largely maximum
14 security level (IV) prison. On September 28, 2018, plaintiff appeared before a classification
15 committee (“UCC”), composed of defendants Ramirez, Spangler, and Parsons, who reviewed
16 plaintiff’s critical case factors and determinants, and decided plaintiff could be, and was,
17 transferred to a NDPF yard. On November 1, 2018, defendant Curry, Classification Staff
18 Representative, reviewed the UCC decision to house plaintiff on a NDPF yard.

19 On the morning of November 1, 2018, plaintiff entered an integrated yard and was
20 attacked by five prisoners (two armed with inmate-manufactured weapons), who allegedly “have
21 ties to street gangs, prison gangs, and or Security Threat Groups, and or were enemies of
22 [plaintiff].” (ECF No. 15 at 20.) Plaintiff was stabbed in the bladder, ear, face, and spine, pushed
23 to the ground and beaten, and suffered a collapsed lung and broken neck, eventually losing
24 consciousness.

25
26 ¹ The Mental Health Services Delivery System Program Guide for the California Department of
27 Corrections and Rehabilitation provides four levels of mental health care services: Correctional
28 Clinical Case Management System (“CCCMS”); Enhanced Outpatient (“EOP”); Mental Health
Crisis Bed (“MHCB”) and inpatient hospital care. Coleman v. Brown, 2013 WL 6491529, at *1
(E.D. Cal. Dec. 10, 2013).

1 Prior to losing consciousness, plaintiff saw approximately five correctional officers
2 observing the attack, which number increased to perhaps fifteen officers as the attack progressed.
3 Defendant Does 1 -10 did not intervene or take sufficient action to stop the initial and ongoing
4 attack on plaintiff.

5 When the five inmate attackers appeared at their UCC hearings, all defendants and Does 1
6 - 10 allowed each of them to be housed on the same NDPF yard as plaintiff, despite knowing that
7 the attacking inmates had ties to street gangs, prison gangs, or Security Threat Groups, or were
8 enemies of plaintiff. Three of the inmate assailants were gang members serving murder
9 convictions, and would be considered life prisoners based on their indeterminate sentences. (ECF
10 No. 15 at 19 (inmates Avila, Stapleton and Flores).) In addition to the seven risk factors
11 identified by plaintiff, defendants and Does 1 - 10 should not have housed the five inmates who
12 attacked plaintiff on the same NDPF yard as plaintiff because plaintiff's conviction for
13 child endangerment was not violent, and defendants Lynch and Does 1-10 were deliberately
14 indifferent by allowing such integration with plaintiff.

15 It was common policy and practice for defendant Lynch to review UCC decisions,
16 including the September 28, 2018 decision to house plaintiff on a NDPF yard, as well as any
17 UCC decision to house the five inmate attackers on the same NDPF yard as plaintiff. (ECF No.
18 15 at 13.)

19 As a result of his serious injuries, plaintiff, now 28, is a complete quadriplegic, and has
20 been granted medical parole.

21 IV. Eighth Amendment Failure to Protect Standards

22 “[P]rison officials have a duty . . . to protect prisoners from violence at the hands of other
23 prisoners.” Farmer, 511 U.S. at 833 (internal quotations and citation omitted). “The failure of
24 prison officials to protect inmates from attacks by other inmates may rise to the level of an Eighth
25 Amendment violation when: (1) the deprivation is ‘objectively, sufficiently serious’ and (2) the
26 prison officials had a ‘sufficiently culpable state of mind,’ acting with deliberate indifference.”
27 Hearns v. Terhune, 413 F.3d 1036, 1040 (9th Cir. 2005) (quoting Farmer, 511 U.S. at 834). The
28 second prong of this test is subjective, and “the official must both be aware of facts from which

1 the inference could be drawn that a substantial risk of serious harm exists, and he must also draw
2 the inference.” See Farmer, 511 U.S. at 837. “Deliberate indifference entails something more
3 than mere negligence but is satisfied by something less than acts or omissions for the very
4 purpose of causing harm or with knowledge that harm will result.” Hearns, 413 F.3d at 1040
5 (quoting Farmer, 511 U.S. at 835) (internal alterations omitted). One way this can be established
6 is “if the inmate shows that the risk posed by the deprivation is obvious.” Thomas v. Ponder, 611
7 F.3d 1144, 1150 (9th Cir. 2011) (citing Farmer, 511 U.S. at 842 (“[A] factfinder may conclude
8 that a prison official knew of a substantial risk from the very fact that the risk was obvious.”)).
9 “[A]n official’s failure to alleviate a significant risk that he should have perceived but did not,
10 while no cause for commendation, cannot under [the Supreme Court’s] cases be condemned as
11 the infliction of punishment.” Farmer, 511 U.S. at 838; Peralta v. Dillard, 744 F.3d 1076, 1086
12 (9th Cir. 2014) (“Even if a prison official *should* have been aware of the risk, if he was not, then
13 [he] has not violated the Eighth Amendment, no matter how severe the risk.”) (internal quotation
14 and citation omitted), cert. denied, 574 U.S. 1073 (2015). In addition, “prison officials who
15 actually knew of a substantial risk to inmate health or safety may be found free from liability if
16 they responded reasonably.” Farmer, 511 U.S. at 844.

17 V. Discussion

18 A. Defendant Diaz

19 The undersigned is persuaded that plaintiff fails to state a claim against defendant Diaz.
20 As argued by defendants, plaintiff’s allegations as to defendant Diaz are solely based on his role
21 as Secretary of the CDCR under a theory of respondeat superior. Plaintiff is required to plead
22 facts demonstrating that defendant Diaz, by his own actions, violated the Constitution. Iqbal, 556
23 U.S. at 676. Although plaintiff contends that defendant Diaz was responsible for CDCR policies
24 and protocols, including creating security protocols for safe housing, mixing medium and high
25 security inmates, and procedures regarding how correctional staff were to quell violence on CSP-
26 Sacramento yards, plaintiff fails to allege any facts demonstrating a link or connection from Diaz
27 to plaintiff’s placement on the NDPF yard. Plaintiff alleges no facts showing that he informed
28 defendant Diaz that plaintiff faced a specific risk if housed on the NDPF yard, or that Diaz

1 assigned plaintiff to the NDPF yard. In his opposition, plaintiff argues that Diaz “knew of all the
2 facts set forth above as to the specific vulnerability of [plaintiff].” (ECF No. 21 at 14.) But such
3 allegation is not included in the amended complaint, and plaintiff fails to identify facts showing
4 how defendant Diaz, Secretary of the CDCR, was allegedly aware of plaintiff’s specific
5 vulnerability. Plaintiff’s general allegations that “all defendants” knew of the dangers of housing
6 sensitive needs inmates in an integrated yard (ECF No. 13 at ¶¶ 32-33) are insufficient to
7 demonstrate that defendant Diaz was personally aware of a specific threat to plaintiff’s safety if
8 he was housed on a NDPF yard. See Johnston v. Diaz, 2020 WL 5630278, at *6 (S.D. Cal. Sept.
9 21, 2020) (dismissing Eighth Amendment claim against Kernan or Diaz because prisoner did not
10 allege that either of them “was involved in assigning plaintiff or his cellmate to an integrated yard
11 or had any reason to believe that plaintiff’s cellmate posed a danger to plaintiff”).

12 Plaintiff has had an opportunity to amend to allege specific facts as to defendant Diaz’
13 involvement, yet failed to adduce facts demonstrating Diaz’ link or connection to the incident at
14 issue. Accordingly, defendant Diaz should be dismissed.

15 B. NDPF Policy

16 Plaintiff attempts to hold defendant Diaz and all of the defendants liable solely based on
17 their alleged role in implementing and supervising the integration policy. Plaintiff argues that
18 defendant Diaz “effectuated the policies that lead to [plaintiff] being housed in the integrated yard
19 despite his sensitivity.” (ECF No. 21 at 14.) Such liability may exist without any personal
20 participation if the official implemented “a policy so deficient that the policy itself is a
21 repudiation of the constitutional rights and is the moving force of the constitutional violation.”
22 Redman, 942 F.2d at 1446. But plaintiff does not challenge the constitutionality of the
23 integration policy, or demonstrate that any defendant “implement[ed] a policy so deficient that
24 the policy itself is a repudiation of constitutional rights and is the moving force of the
25 constitutional violation.” Hansen, 885 F.2d at 646 (internal quotation and citation omitted).
26 Rather, plaintiff recognized that prison officials were aware that the policy and its implementation
27 required a “careful and highly deliberate manner of integration to avoid preventable assaults. . . .”
28 (ECF No. 15 at 16.) Such recognition does not demonstrate that the integration policy, standing

1 alone, was the moving force of the constitutional violation alleged here.

2 Taking plaintiff's allegations as true, plaintiff demonstrates that defendants established an
3 integration policy that would require care in its implementation, not that any defendant
4 implemented or supervised a policy so defective that it posed a substantial risk of obvious harm.
5 Thus, it appears unlikely that plaintiff could amend to state a cognizable civil rights claim based
6 solely on the implementation and supervision of the integration policy. See, e.g., Montalvo v.
7 Diaz, 2020 WL 3469365, at *6 (dismissing Eighth Amendment claims because plaintiff failed to
8 allege that Diaz or Allison were "personally aware" of risks associated with integrated yards or
9 harms resulting therefrom, or demonstrate that implementing the NDPF policy was so deficient it
10 was the moving force of a constitutional violation); Mendez v. Diaz, 2020 WL 1974231, at *5
11 (E.D. Cal. Apr. 24, 2020) (prisoner failed to state claim against Diaz and Allison because prisoner
12 did not allege that they were "aware of a non-speculative, specific risk to Plaintiff's health and
13 safety," nor were there "any facts demonstrating that implementation of the NDPF policy would
14 always violate the Eighth Amendment, no matter which SNY or [general-population] inmates or
15 which Level 1 or 2 prison yards the policy was applied to"), adopted, 2020 WL 2731993 (E.D.
16 Cal. May 26, 2020); Andrade v. Diaz, No. 20-cv-0137 FMO JC (C.D. Cal. Nov. 19, 2020)
17 (prisoner failed to provide "non-speculative facts showing that Moving Defendants knew of a
18 high risk of such attacks prior to implementing the NDPFs at CIM or any other institution."). The
19 undersigned recommends plaintiff's claims based solely on the NDPF policy be dismissed from
20 this action with prejudice.

21 C. Defendant Lynch

22 In the amended complaint, plaintiff raises the same allegations as pled against defendant
23 Diaz concerning policies, procedures, and supervision, and are solely based on defendant Lynch's
24 role as warden. Such allegations fail to state a cognizable claim for the same reasons set forth
25 above.

26 Plaintiff also alleges that defendant Lynch was "personally involved in decisions made at
27 inmate classification committee hearings . . . analogous to and including those directly affecting
28 plaintiff." (ECF No. 15 at ¶ 9.) Plaintiff alleges it was custom and practice or common policy

1 and practice for defendant Lynch to review inmate transfers and assignments, and UCC decisions.
2 (ECF No. 15 at ¶¶ 9, 51.) Plaintiff further alleges the UCC decisions rendered as to plaintiff, as
3 well as the five inmate assailants, were “fully reviewable and independently subject to
4 consideration, input and evaluation” by defendant Lynch. (ECF No. 15 at ¶¶ 53, 55.) However,
5 plaintiff fails to identify defendant Lynch’s personal involvement in any of plaintiff’s UCC
6 hearings, the hearings of the inmate assailants, or Lynch’s actual review or evaluation of any of
7 those UCC decisions. Simply showing that the warden had the authority to review such decisions
8 does not demonstrate that he did, and therefore fails to demonstrate that defendant Lynch knew
9 of, yet disregarded a substantial risk to plaintiff’s safety.² Moreover, even if it were plausible that
10 defendant Lynch reviewed the September 18, 2018 UCC decision, it is unclear when such review
11 would have occurred. Plaintiff conveniently pled that the decision is reviewable by Lynch in
12 between plaintiff’s allegation that after the committee reached its UCC decision, it was forwarded
13 to defendant Curry for further review. (ECF No. 15 at ¶ 53.) But if Lynch’s review took place
14 after Curry reviewed the UCC decision on November 1, 2018, the same day plaintiff was
15 attacked, such review by Lynch would not have caused plaintiff’s injuries.

16 Plaintiff argues that defendant Lynch “knew of all the facts set forth above as to the
17 specific vulnerability of [plaintiff],” and knew plaintiff “would be vulnerable to animus and
18 assault due to those and other circumstances.” (ECF No. 21 at 14.) But plaintiff points to no
19 facts demonstrating that defendant Lynch knew plaintiff, was present at plaintiff’s UCC hearing
20 on September 18, 2018, or reviewed the UCC decision as to plaintiff’s placement prior to the
21 November 1, 2018 attack. Similarly, plaintiff provided no such specific facts as to the five
22 attacking inmates.

23
24 ² Indeed, one district court noted that such duties may be delegated to staff other than the warden.
25 “[I]nstitutional regulations delegate initial housing assignments and their annual reviews to staff
26 members other than the warden. Compare Cal. Code Reg., tit. 15 § 3376(c)(1)-(2) (composition
27 of Initial and Unit Classification Committees, which review an inmate’s case upon transfer and at
28 least annually thereafter) with § 3376(c)(2) (composition of Institution Classification Committees,
which, *inter alia*, recommend transfer of inmates and act on cases referred by lower
committees).” See Becker v. Sherman, 2017 WL 6316836, at *7 (E.D. Cal. Dec. 11, 2017),
adopted, 2018 WL 623617 (E.D. Cal. Jan. 30, 2018) (policies regarding the housing of
transgender prisoners and four assaults against the plaintiff supported Monell claim).

1 For all of these reasons, defendant Lynch should be dismissed. In his opposition, plaintiff
2 identified no facts that he could plead that would demonstrate defendant Lynch's personal
3 involvement herein. Therefore, plaintiff is not granted leave to amend at this time. However,
4 should plaintiff adduce facts through discovery that demonstrate defendant Lynch's personal
5 involvement, either with plaintiff's or any of the attacking inmates' UCC hearings or reviews of
6 the UCC decisions, plaintiff may seek leave to amend at that time. Accordingly, defendant Lynch
7 should be dismissed without prejudice.

8 D. Defendants Ramirez, Spangler & Parsons

9 Defendants argue that plaintiff fails to allege such defendants knew of, and disregarded, a
10 specific or significant risk to plaintiff. (ECF No. 18-1 at 8; 22 at 4.)

11 But taking plaintiff's allegations as true, plaintiff alleges that such defendants were
12 deliberately indifferent to an excessive risk of harm by placing plaintiff on a NDPF yard despite
13 knowing plaintiff's face was covered with gang-identifying tattoos, plaintiff was a former gang
14 member who had renounced his gang affiliation, was previously assigned SNY housing for
15 almost four years, had sustained a conviction for child endangerment, suffered mental health
16 issues, and attended NA and CGA. Plaintiff contends that all of these attributes constituted
17 plaintiff's unique and excessive risk of harm he faced if housed on the NDPF yard. Arguably, the
18 gang-related tattoos on plaintiff's face constitute a specific risk because plaintiff was at risk of
19 attack from members of rival gangs, particularly where plaintiff's gang affiliation was obvious
20 and could not be hidden from view, or at risk of attack from members of his former gang who
21 might be angry plaintiff renounced gang membership. Thomas, 611 F.3d at 1150 (citing Farmer,
22 511 U.S. at 842 (“[A] factfinder may conclude that a prison official knew of a substantial risk
23 from the very fact that the risk was obvious.”)). Plaintiff argues that his case is similar to Cortez
24 v. Skol, 776 F.3d 1046, 1051 (9th Cir. 2015), where the Circuit reversed the grant of summary
25 judgment on a deliberate indifference claim. Id. Inmate Cortez was attacked by two fellow
26 inmates while all three were being escorted by a single guard through a dangerous part of the
27 prison. Id. The Circuit found Cortez had adduced evidence that the escorting officer: (i) knew
28 about the hostility between the inmates based on the inmates' harassing conversation, (ii) was

1 aware of the plaintiff's protective custody status, and (iii) knew prison policy required leg
2 restraints for these inmates during escort but did not put them in leg restraints. See id. at 1052-53.
3 Here, plaintiff argues that seven different specific risk factors joined together to put plaintiff's
4 UCC committee on notice of the specific risks he faced if plaintiff was on the NDPF yard.

5 The undersigned finds that defendants parse plaintiff's claims against defendants Ramirez,
6 Spangler and Parsons too narrowly, and their motion to dismiss should be denied. Of course, at
7 summary judgment, defendants may be able to adduce evidence that they were not deliberately
8 indifferent to an excessive risk of harm by deciding to place plaintiff on the NDPF yard, despite
9 his obvious facial tattoos or other risk factors. But at this stage of the proceedings, the
10 undersigned finds that such defendants are not entitled to dismissal.

11 E. Defendant Curry

12 On the other hand, while defendant Curry may have become aware of plaintiff's unique
13 circumstances upon review of the September 18, 2018 UCC decision, plaintiff pleads that
14 defendant Curry did not review the UCC decision until November 1, 2018. Plaintiff was attacked
15 on the morning of November 1, 2018. Although plaintiff does not allege the time of day that
16 defendant Curry issued the review, the undersigned cannot find that defendant Curry was
17 responsible for plaintiff's attack based on such timing.

18 In his opposition, plaintiff now argues that had defendant Curry earlier reviewed the UCC
19 decision, the attack could have been prevented. (ECF No. 21 at 15.) But as argued by
20 defendants, plaintiff did not allege such facts in his pleading. Rather, plaintiff alleges that on
21 November 1, 2018, defendant Curry "personally gave inadequate or insufficient consideration and
22 indeed deliberate indifference to [plaintiff's] safety concerns." (ECF No. 15 at 14.) Moreover,
23 plaintiff's new argument does not demonstrate that his claim against defendant Curry could be
24 cured by amendment because it is based on mere speculation. The undersigned finds that
25 defendant Curry should be dismissed. However, because it is unclear whether defendant Curry
26 may have been involved in reviewing the placement of the attacking inmates housed on the same
27 NDPF yard as plaintiff, such dismissal should be without prejudice.

28 ///

1 F. Medical Attention

2 In paragraph 8 of the amended complaint, plaintiff alleges that defendants Diaz and Lynch
3 were responsible for all policies and practices at CSP-Sacramento, “regarding the timing and
4 manner of the medical attention to be utilized on prison grounds to treat any inmate,” including
5 plaintiff, who was injured and required serious medical attention. (ECF No. 15 at 3.) However, as
6 discussed above in connection with the NDPF policy, plaintiff alleges no facts linking defendants
7 Diaz and Lynch to the November 1, 2018 attack or the medical care provided thereafter. Thus
8 plaintiff’s allegation is solely based on a theory of respondeat superior which is insufficient to
9 demonstrate an Eighth Amendment violation. Therefore, such claim is dismissed as to defendants
10 Diaz and Lynch.

11 G. Failure to Intervene

12 As noted by defendants, plaintiff concedes that his failure to intervene allegations do not
13 state a claim against the named defendants. (ECF No. 21 at 14.) Rather, plaintiff’s failure to
14 intervene allegations are pled solely as to DOES 1-10. As such, they are not subject to dismissal
15 by the named defendants.

16 VI. Qualified Immunity

17 A. Legal Standards

18 Qualified immunity applies when an official’s conduct does not violate clearly established
19 statutory or constitutional rights of which a reasonable person would have known. White v.
20 Pauly, 137 S. Ct. 548, 551 (2017). Officers are entitled to qualified immunity under § 1983
21 unless (1) the officers violate a federal statutory or constitutional right, and (2) the unlawfulness
22 of their conduct was “clearly established at the time.” District of Columbia v. Wesby, 138 S. Ct.
23 577, 589 (2018); White, 137 S. Ct. at 551. “Clearly established” means that the statutory or
24 constitutional question was “beyond debate,” such that every reasonable official would
25 understand that what he is doing is unlawful. See Wesby, 138 S. Ct. at 589; Vos v. City of
26 Newport Beach, 892 F.3d 1024, 1035 (9th Cir. 2018). This is a “demanding standard” that
27 protects “all but the plainly incompetent or those who knowingly violate the law.” Wesby, 138 S.
28 Ct. at 589 (citing Malley v. Briggs, 475 U.S. 335, 341 (1986)). To be “clearly established,” a rule

1 must be dictated by controlling authority or by a robust consensus of cases of persuasive
2 authority. Wesby, 138 S. Ct. at 589; see also Perez v. City of Roseville, 882 F.3d 843, 856-57
3 (9th Cir. 2018) (noting that Ninth Circuit precedent is sufficient to meet the “clearly established”
4 prong of qualified immunity); Hamby v. Hammond, 821 F.3d 1085, 1095 (9th Cir. 2016)
5 (“[D]istrict court decisions -- unlike those from the courts of appeals -- do not necessarily settle
6 constitutional standards or prevent repeated claims of qualified immunity.”). In examining
7 whether a rule/right is clearly established, courts are to define the law to a “high degree of
8 specificity,” and not “at a high level of generality.” Wesby, 138 S. Ct. at 590; Kisela v. Hughes,
9 138 S. Ct. 1148, 1152 (2018). The key question is “whether the violative nature of particular
10 conduct is clearly established” in the specific context of the case. Vos, 892 F.3d at 1035 (quoting
11 Mullenix v. Luna, 136 S. Ct. 305, 308 (2015)). Although it is not necessary to identify a case that
12 is “directly on point,” generally the plaintiff needs to identify where an officer acting under
13 similar circumstances was held to have violated federal right. Wesby, 138 U.S. at 577; Vos, 892
14 F.3d at 1035; Felarca v. Birgeneau, 891 F.3d 809, 822 (9th Cir. 2018); Shafer v. City of Santa
15 Barbara, 868 F.3d 1110, 1118 (9th Cir. 2017).

16 B. Discussion

17 Since 1994, it has been clearly established that prison staff and officials “have a duty to
18 protect prisoners from violence at the hands of other prisoners.” Farmer, 511 U.S. at 833. “[A]
19 prison official cannot be found liable under the Eighth Amendment for denying an inmate
20 humane conditions of confinement unless the official knows of and disregards an excessive risk
21 to inmate health or safety.” Id. at 837. “The question under the Eighth Amendment is whether
22 prison officials, acting with deliberate indifference, exposed a prisoner to a sufficiently
23 substantial “risk of serious damage to his future health,” and it does not matter whether the risk
24 comes from a single source or multiple sources, any more than it matters whether a prisoner faces
25 an excessive risk of attack for reasons personal to him or because all prisoners in his situation
26 face such a risk.” Farmer, 511 U.S. at 843, quoting Helling v. McKinney, 509 U.S. 25, 35 (1993).

27 At the time of the events at issue, the law was clearly established that the deliberate
28 indifference standard “does not require that the guard or official believe to a moral certainty that

1 one inmate intends to attack another at a given place at a time certain before that officer is
2 obligated to take steps to prevent such an assault.” Berg, 794 F.2d at 459 (quotations and
3 citations omitted); see also Farmer, 511 U.S. at 843 (“Nor may a prison official escape liability
4 for deliberate indifference by showing that, while he was aware of an obvious, substantial risk to
5 inmate safety, he did not know that the complainant was especially likely to be assaulted by the
6 specific prisoner who eventually committed the assault.”).

7 While defendants believe plaintiff has not identified a specific threat of harm, plaintiff
8 alleges special risk factors that he argues, taken together, constituted an obvious threat of harm.³
9 Given that plaintiff appeared before the UCC hearing at which defendants Ramirez, Parsons and
10 Spangler were committee members, they would have seen, firsthand, plaintiff’s facial tattoos, as
11 well as reviewed the risk factors identified by plaintiff in order to address plaintiff’s placement on
12 the NDPF yard, as opposed to the SNY yard where he was previously housed for almost four
13 years. Defendants contend they are entitled to qualified immunity because it was not clearly
14 established that a prison employee violates an inmate’s constitutional rights when he places an
15 inmate who is a former gang member on the same yard with other gang members. (ECF No. 22
16 at 8.) But defendants overgeneralize and minimize plaintiff’s allegations, failing to address the
17 issue of plaintiff’s gang-related facial tattoos or how all seven of the alleged special risk factors
18 could accumulate to pose a substantial risk of harm. The undersigned finds that at this stage of
19 the proceedings, defendants Ramirez, Parsons and Spangler are not entitled to qualified
20 immunity.

21 IX. Order and Recommendations

22 Accordingly, IT IS HEREBY RECOMMENDED that defendants’ motion to dismiss
23 (ECF No. 18) be granted in part and denied in part, as follows:

- 24 1. Defendant Diaz be dismissed with prejudice;

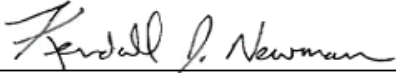
25 ³ Plaintiff failed to allege specific facts demonstrating the personal involvement of defendants
26 Diaz, Lynch and Curry, and failed to demonstrate that implementing the NDPF policy was so
27 deficient it was the moving force of a constitutional violation. Therefore, the undersigned need
28 not address defendants’ qualified immunity arguments as to such defendants or as to the NDPF
policy. See, e.g., Aguilera v. Baca, 510 F.3d 1161, 1167, 1174 (9th Cir. 2007) (noting that if no
constitutional violation occurred the court need not decide whether qualified immunity applies).

- 1 2. Plaintiff’s challenge based solely on the integration policy be dismissed with prejudice;
2 3. Defendant Lynch be dismissed without prejudice;
3 4. Defendant Curry be dismissed without prejudice;
4 5. The motion to dismiss defendants Ramirez, Parsons, and Spangler be denied;
5 6. The named defendants’ motion to dismiss plaintiff’s failure to intervene claims be
6 denied; and
7 7. The motion for qualified immunity as to defendants Ramirez, Parsons, and Spangler be
8 denied without prejudice.

9 These findings and recommendations are submitted to the United States District Judge
10 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within thirty days after
11 being served with these findings and recommendations, any party may file written objections with
12 the court and serve a copy on all parties. Such a document should be captioned “Objections to
13 Magistrate Judge’s Findings and Recommendations.” Any response to the objections shall be
14 filed and served within fourteen days after service of the objections. The parties are advised that
15 failure to file objections within the specified time may waive the right to appeal the District
16 Court’s order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

17 Dated: February 17, 2021

18
19 /sama2606.mtd


KENDALL J. NEWMAN
UNITED STATES MAGISTRATE JUDGE