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UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

STEVEN REFUGIO RODRIGUEZ,  
Petitioner,  
v.  
MADDEN, Warden,  
Respondent.

No. 2:22-cv-1539 WBS KJN P

FINDINGS AND RECOMMENDATIONS

I. Introduction

Petitioner, a state prisoner, proceeds without counsel with an application for a writ of habeas corpus under 28 U.S.C. § 2254. Petitioner challenges the denial of his request to continue the hearing on his motion to withdraw his guilty plea, and the denial of such motion. He also moves for discovery and to expand the record. After careful review of the record, this court concludes that the petition and his motion should be denied.

II. The Instant Action

On September 1, 2022, petitioner filed the instant petition, a supporting memorandum, and a motion for discovery and expansion of the record. (ECF Nos. 1, 2, 3.) Respondent lodged the record and filed an answer. (ECF Nos. 18, 19.) Following extensions of time, petitioner filed a traverse on April 6, 2023. (ECF No. 24.)

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1 III. Facts and Procedural Background<sup>1</sup>

2 In its unpublished opinion affirming petitioner’s judgment of conviction on appeal, the  
3 California Court of Appeal for the Third Appellate District provided the following factual  
4 summary:

5 Defendant Steven Refugio Rodriguez pled no contest<sup>2</sup> to several  
6 charges of assault on a peace officer with a machine gun, discharge  
7 of a firearm from a vehicle, felony evading a peace officer, and being  
8 a prohibited person with a firearm, and stipulated to a 21-year  
9 sentence. This plea was in exchange for dismissal of numerous other  
10 charges. Thereafter, defendant filed a motion to withdraw the plea  
11 on the basis that it was not knowing, intelligent, and voluntary. At  
12 the hearing, the trial court denied defendant’s request for a  
13 continuance, denied his motion, and sentenced him to 21 years in  
14 prison. . . .[¶] . . . .

15 In September 2017, defendant was charged with three counts of  
16 attempted murder of a peace officer . . . three counts of assault on a  
17 peace officer with a semiautomatic firearm. . . , two counts of  
18 shooting at an occupied motor vehicle . . . , two counts of permitting  
19 a passenger to shoot from a vehicle . . . , one count of evading a peace  
20 officer . . . , two counts of premeditated attempted murder . . . , two  
21 counts of assault with a semiautomatic firearm . . . , one count of  
22 possession of a firearm with a prior violent felony conviction . . . ,  
23 one count of possession of ammunition . . . , one count of bigamy . . .  
24 , and one count of criminal street gang activity . . . . Defendant was  
25 also charged with the special allegations that the offense was  
26 committed for the benefit of, at the direction of, or in association with  
27 a criminal street gang . . . , in the commission of the felony a principal  
28 used a firearm . . . , defendant was previously convicted of the serious  
felony of robbery, and defendant served several prior prison terms  
and did not remain free of committing an offense resulting in a felony  
conviction during a period of five years subsequent to the conclusion  
of said terms. . . .

29 In October 2017, the trial court granted defendant’s Faretta v.  
30 California (1975) 422 U.S. 806 motion to represent himself. The trial  
31 court appointed standby counsel. In February 2019, defendant  
32 withdrew his self-representation status and the trial court appointed  
33 counsel. Four months later, the court granted another Faretta motion  
34 and again appointed standby counsel.

35 In January 2021, defendant initialed and signed a plea agreement,  
36 entering pleas of no contest to three counts of assault on a peace

37 <sup>1</sup> The facts are taken from People v. Rodriguez, No. C093743 (Dec. 22, 2021), a copy of which  
38 was lodged by respondent as ECF No. 18-14 at 1-5.)

<sup>2</sup> Under California law, a plea of no contest is equivalent to a guilty plea. Cal. Penal Code  
§ 1016; People v. Mendez, 19 Cal.4th 1084, 1094-95, 81 Cal.Rptr.2d 301 (1999).

1 officer with a machine gun, [fn2] and as to each of these counts,  
2 defendant admitted an arming enhancement. . . . Defendant also  
3 pled no contest to one count of discharge of a firearm from a vehicle,  
4 one count of evading a peace officer, and one count of possession of  
5 a firearm with a prior violent felony conviction. Defendant agreed  
6 to a stipulated term of 21 years in prison. The People dismissed the  
7 remaining counts and the remaining allegations and enhancements  
8 were stricken.

9 [FN 2: The originally charged counts of assault on a peace officer  
10 with a semiautomatic firearm were amended to assault on a peace  
11 officer with a machine gun.]

12 During the change of plea hearing, the trial court addressed  
13 defendant: “Mr. Rodriguez, what I anticipate happening this  
14 morning is that Count 1 will be amended to reflect violation of . . .  
15 [s]ection 245[, subdivision (d)](3), which is assault with a machine  
16 gun on a police officer. That will be amended from the attempt[ed]  
17 murder charge. Count 1 applies to Officer Clyborn. And there will  
18 be an amendment as to the enhancement on that charge, which will  
19 be a [section]12022[, subdivision (a)](1), principal being armed.

20 “Count 2 will, likewise, be amended to reflect a violation of . . .  
21 [s]ection 245[, subdivision (d)](3). Same enhancement pursuant to .  
22 . . [s]ection 12022[, subdivision (a)](1), principal armed. That  
23 applies to Officer Tacker. Count 3 will be amended to reflect a  
24 violation of . . . [s]ection 245[, subdivision (d)](3). Same  
25 enhancement pursuant to . . . [s]ection 12022[, subdivision (a)](1).

26 “For some reason, on my plea form here, there is a typo as to the  
27 enhancements. I have (A)(2) on Counts 2 and 3. I’m going to amend  
28 that right now. Count 9, is not going to be amended. Count 10 and  
Count 16, it’s my understanding that you are going to enter pleas of  
no contest to those six counts. Now, the maximum exposure for those  
six counts is actually 21 years 8 months. However, that’s not going  
to be the sentence because Count 16 is going to run concurrent.

“So the total prison sentence would be 21 years in [s]tate [p]rison, is  
that your understanding?”

Defendant responded, “Yes.”

The trial court determined the plea was knowledgeable, intelligent,  
and voluntary. The court accepted the plea and set the matter for  
sentencing in March 2021.

In February 2021, defendant filed a motion to withdraw his plea. The  
bases for defendant’s motion were that his plea was not entered  
knowingly, intelligently, and of his own free will, and that there  
existed outstanding discovery. Defendant argued he was missing  
outstanding discovery, that he was unaware that the machine gun  
charge was a greater offense than the semiautomatic rifle charge, the  
plea process was undertaken by his standby counsel without his  
participation, and he was not given adequate time to consider the  
plea.

1 The People filed a seven-page opposition on March 11, 2021. The  
2 hearing on defendant's motion took place on March 15, 2021.  
3 During the hearing, defendant indicated he did not have adequate  
time to review the People's opposition to his motion and would like  
a continuance to formulate a response.

4 Defendant stated: "I just received the -- there has been a finding  
5 issue with the service for my gang expert as well as my legal runs,  
6 and Mr. Valdez. I just barely received the People's opposition to the  
7 [d]efendant's motion right now. If it pleases the Court, it's seven  
pages long. There is [sic] authorities attached. And I would like to  
request a short continuance to respond. I'll do an oral argument, if  
that's fine with the Court.

8 "But I do not know the legalities of her motion, because I don't have  
9 my tablet with me. I can't research it at the law library, because I  
10 don't have nexus [sic] with me at this point in time to respond to the  
11 motion. I was prepared to do an oral argument if there wasn't no  
[sic] opposition motion. But, like I said, now that there is an  
opposition motion, it's going to change the trajectory of my legal  
arguments that was [sic] set forth for the motion."

12 The trial court responded: "I don't think anything contained within  
13 her motion is going to change your arguments in any way, shape, or  
14 form. Take a minute to look at it. The reality is, all she does is go  
15 through your plea. And she's arguing that you made a knowing,  
intelligent, voluntary waiver of your plea. That's pages 1 through 4.  
The legal arguments that she makes are just that there is no defect in  
your plea.

16 "That you can't take back your plea just because you changed your  
17 mind. And asks me to take judicial notice of your plea form. I don't  
see how that response is going to alter your argument in any way."

18 Defendant responded: "Okay. Well, if the Court's not going to grant  
19 the motion, I'm going to object. And I'm going to be compelled to  
argue here today as I am, pro per."

20 Defendant and the trial court engaged in significant discussions on  
21 the record concerning the merits of his motion. Specifically,  
22 defendant raised concerns about payment of his gang expert, issues  
23 with outstanding discovery, and his argument that he did not  
24 understand the nature of the charges he was pleading guilty to at the  
25 time he pled guilty. The trial court ultimately denied the motion to  
26 withdraw the plea, concluding defendant made a knowing, voluntary,  
27 and intelligent waiver of his rights. Defendant "[f]ully understood  
28 what was happening when he entered his pleas. That's borne out by  
the transcript, by the plea form, and by my own observations of  
[defendant] when I took the plea."

The trial court sentenced defendant to a determinate term of 21 years  
in prison pursuant to the plea agreement. Defendant filed a timely  
notice of appeal and the trial court issued a certificate of probable  
cause.

1 People v. Rodriguez, No. C093743, 1-5 (Dec. 22, 2021). (ECF No. 18-14 at 1-5.) After  
2 independently reviewing the record, this court finds the appellate court’s summary accurate and  
3 adopts it herein.

4 IV. Standards for a Writ of Habeas Corpus

5 An application for a writ of habeas corpus by a person in custody under a judgment of a  
6 state court can be granted only for violations of the Constitution or laws or treaties of the United  
7 States. 28 U.S.C. § 2254(a). A federal writ is not available for alleged error in the interpretation  
8 or application of state law. See Wilson v. Corcoran, 562 U.S. 1, 5 (2010); Estelle v. McGuire,  
9 502 U.S. 62, 67-68 (1991).

10 Title 28 U.S.C. § 2254(d) sets forth the following standards for granting federal habeas  
11 corpus relief:

12 An application for a writ of habeas corpus on behalf of a person in  
13 custody pursuant to the judgment of a State court shall not be granted  
14 with respect to any claim that was adjudicated on the merits in State  
15 court proceedings unless the adjudication of the claim -

16 (1) resulted in a decision that was contrary to, or involved an  
17 unreasonable application of, clearly established Federal law, as  
18 determined by the Supreme Court of the United States; or

19 (2) resulted in a decision that was based on an unreasonable  
20 determination of the facts in light of the evidence presented in the  
21 State court proceeding.

22 28 U.S.C. § 2254(d).

23 For purposes of applying § 2254(d)(1), “clearly established Federal law” consists of  
24 holdings of the Supreme Court at the time of the last reasoned state court decision. Thompson v.  
25 Runnels, 705 F.3d 1089, 1096 (9th Cir. 2013) (citing Greene v. Fisher, 132 S. Ct. 38, 44-45  
26 (2011)); Stanley v. Cullen, 633 F.3d 852, 859 (9th Cir. 2011) (citing Williams v. Taylor, 529 U.S.  
27 362, 412 (2000)). Circuit court precedent “may be persuasive in determining what law is clearly  
28 established and whether a state court applied that law unreasonably.” Stanley, 633 F.3d at 859  
(quoting Maxwell v. Roe, 606 F.3d 561, 567 (9th Cir. 2010)). However, circuit precedent may  
not be “used to refine or sharpen a general principle of Supreme Court jurisprudence into a  
specific legal rule that th[e] [Supreme] Court has not announced.” Marshall v. Rodgers, 133 S.

1 Ct. 1446, 1450 (2013) (citing Parker v. Matthews, 132 S. Ct. 2148, 2155 (2012) (per curiam)).  
2 Nor may it be used to “determine whether a particular rule of law is so widely accepted among  
3 the Federal Circuits that it would, if presented to th[e] [Supreme] Court, be accepted as correct.  
4 Id. Further, where courts of appeals have diverged in their treatment of an issue, there is no  
5 “clearly established federal law” governing that issue. See Carey v. Musladin, 549 U.S. 70, 77  
6 (2006).

7 A state court decision is “contrary to” clearly established federal law if it applies a rule  
8 contradicting a holding of the Supreme Court or reaches a result different from Supreme Court  
9 precedent on “materially indistinguishable” facts. Price v. Vincent, 538 U.S. 634, 640 (2003).  
10 Under the “unreasonable application” clause of § 2254(d)(1), “a federal habeas court may grant  
11 the writ if the state court identifies the correct governing legal principle from [the Supreme  
12 Court’s] decisions, but unreasonably applies that principle to the facts of the prisoner’s case.”<sup>3</sup>  
13 Lockyer v. Andrade, 538 U.S. 63, 75 (2003) (quoting Williams, 529 U.S. at 413; see also Chia v.  
14 Cambra, 360 F.3d 997, 1002 (9th Cir. 2004). In this regard, “a federal habeas court may not issue  
15 the writ simply because that court concludes in its independent judgment that the relevant state-  
16 court decision applied clearly established federal law erroneously or incorrectly. Rather, that  
17 application must also be unreasonable.” Williams, 529 U.S. at 411; see also Schriro v. Landrigan,  
18 550 U.S. 465, 473 (2007); Lockyer, 538 U.S. at 75 (“It is not enough that a federal habeas court,  
19 in its ‘independent review of the legal question,’ is left with a “‘firm conviction’” that the state  
20 court was “‘erroneous’”). “A state court’s determination that a claim lacks merit precludes  
21 federal habeas relief so long as ‘fairminded jurists could disagree’ on the correctness of the state  
22 court’s decision.” Harrington v. Richter, 562 U.S. 86, 101 (2011) (quoting Yarborough v.  
23 Alvarado, 541 U.S. 652, 664 (2004)). Accordingly, “[a]s a condition for obtaining habeas corpus  
24 from a federal court, a state prisoner must show that the state court’s ruling on the claim being  
25 presented in federal court was so lacking in justification that there was an error well understood

26 \_\_\_\_\_  
27 <sup>3</sup> Under § 2254(d)(2), a state court decision based on a factual determination is not to be  
28 overturned on factual grounds unless it is “objectively unreasonable in light of the evidence  
presented in the state court proceeding.” Stanley, 633 F.3d at 859 (quoting Davis v. Woodford,  
384 F.3d 628, 638 (9th Cir. 2004)).

1 and comprehended in existing law beyond any possibility for fair-minded disagreement.” Id. at  
2 103.

3 The court looks to the last reasoned state court decision as the basis for the state court  
4 judgment. Stanley, 633 F.3d at 859; Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004).  
5 If the last reasoned state court decision adopts or substantially incorporates the reasoning from a  
6 previous state court decision, this court may consider both decisions to ascertain the reasoning of  
7 the last decision. Edwards v. Lamarque, 475 F.3d 1121, 1126 (9th Cir. 2007) (en banc). “When a  
8 federal claim has been presented to a state court and the state court has denied relief, it may be  
9 presumed that the state court adjudicated the claim on the merits in the absence of any indication  
10 or state-law procedural principles to the contrary.” Richter, 562 U.S. at 99. This presumption  
11 may be overcome by a showing “there is reason to think some other explanation for the state  
12 court’s decision is more likely.” Id. at 99-100. Similarly, when a state court decision on  
13 petitioner’s claims rejects some claims but does not expressly address a federal claim, a federal  
14 habeas court must presume, subject to rebuttal, that the federal claim was adjudicated on the  
15 merits. Johnson v. Williams, 568 U.S. 289, 298-301 (2013) (citing Richter, 562 U.S. at 98). If a  
16 state court fails to adjudicate a component of the petitioner’s federal claim, the component is  
17 reviewed de novo in federal court. See, e.g., Wiggins v. Smith, 539 U.S. 510, 534 (2003).

## 18 V. Petitioner’s Claims

### 19 A. Denial of Continuance

#### 20 The Parties’ Arguments

21 Petitioner claims that the trial court abused its discretion and violated petitioner’s rights to  
22 due process and the effective assistance of counsel when it denied his request for a continuance so  
23 he could review the prosecutor’s opposition to the motion to withdraw the guilty plea, research  
24 the cases argued in the opposition, and prepare a written reply. He relies on various California  
25 cases and Faretta. (ECF No. 2 at 18-25.)

26 Respondent counters that to the extent petitioner renews the claim raised on appeal, it fails  
27 because, in addition to the state appellate court’s discussion:

28 ///

1 reasonable jurists reading only Supreme Court holdings on the  
2 Opinion's date could doubt:

3 (a) that claimed abuse of discretion implicates the Constitution, (b)  
4 that a "general appeal to a constitutional guarantee as broad as due  
5 process" has "substance" even as to preparing for a constitutionally-  
6 guaranteed trial, much less a not-constitutionally-guaranteed reply to  
7 opposition to one's own after-conviction motion filed in pro per, or  
8 (c) that the Constitution governs effectiveness of an attorney who is  
9 discretionarily appointed for a self-represented defendant.

10 (ECF No. 19 at 6) (all footnotes omitted).

11 In reply, petitioner contends that "the trial court knew the clandestine plea discussions  
12 would not be accepted by petitioner once he found out the plea was illegal and amended greater in  
13 time." (ECF No. 24 at 4.) Petitioner claims the rejection of the continuance violated his  
14 constitutional rights, citing various cases from California state courts, but adding "accord"  
15 Chambers v. Mississippi, 410 U.S. 284, 294 (1973). (ECF No. 24 at 3-4.) Petitioner also  
16 reiterates his claim that the decision violated his rights under Faretta.

#### 17 State Court Decision

18 The last reasoned rejection of petitioner's claim is the decision of the California Court of  
19 Appeal on petitioner's direct appeal. The state court addressed this claim as follows:

20 Defendant argues the trial court abused its discretion when it denied  
21 his request for a continuance of the hearing on his motion to  
22 withdraw his plea.

23 Courts, judicial officers, and counsel owe a duty to expedite criminal  
24 proceedings "to the greatest degree that is consistent with the ends of  
25 justice." (§ 1050, subd. (a).) Accordingly, motions to continue are  
26 disfavored and granted only on a showing of good cause. (Id., subd.  
27 (e); Cal. Rules of Court, rule 4.113.) "An important factor for a trial  
28 court to consider is whether a continuance would be useful." (People  
v. Beeler (1995) 9 Cal.4th 953, 1003.) "[T]o demonstrate the  
usefulness of a continuance a party must show both the materiality  
of the evidence necessitating the continuance and that such evidence  
could be obtained within a reasonable time." (Ibid.) The decision to  
grant or deny a continuance rests within the sound discretion of the  
trial court. (People v. Beames (2007) 40 Cal.4th 907, 920.)

A trial court may not exercise its discretion over continuances so as  
to deprive the defendant or his attorneys of a reasonable opportunity  
to prepare. (People v. Snow (2003) 30 Cal.4th 43, 70.) However,  
"the party challenging a ruling on a continuance bears the burden of  
establishing an abuse of discretion, and an order denying a  
continuance is seldom successfully attacked. [Citation.] [¶] Under



1 this state law standard, discretion is abused only when the court  
2 exceeds the bounds of reason, all circumstances being considered.”  
3 (People v. Beames, supra, 40 Cal.4th at p. 920.) “A reviewing court  
4 considers the circumstances of each case and the reasons presented  
5 for the request to determine whether a trial court’s denial of a  
6 continuance was so arbitrary as to deny due process.” (People v.  
7 Doolin (2009) 45 Cal.4th 390, 450.) Not every denial of a request  
8 for more time violates due process, “even if the party seeking the  
9 continuance thereby fails to offer evidence.” (Beames, supra, at p.  
10 921; accord Ungar v. Sarafite (1964) 376 U.S. 575, 589.)

11 All circumstances being considered, the trial court did not abuse its  
12 discretion in denying defendant’s request for a continuance.  
13 Defendant argues that the lack of a continuance resulted in denial of  
14 a reasonable opportunity to prepare, however the proof of service on  
15 the opposition indicates it was served electronically on March 10,  
16 2021, five days before the hearing. Further, even if defendant did  
17 not receive the opposition on March 10, the trial court offered  
18 defendant time to fully read the opposition, which directly addressed  
19 arguments made by defendant in his moving papers. As the trial  
20 court indicated, the opposition was only seven pages long, of which  
21 one and a half pages had the legal standard for withdrawing a  
22 previously entered guilty plea. Defendant’s motion also sets out this  
23 legal standard, and includes cites to some of the same cases cited in  
24 the People’s opposition. The opposition did not include any detailed  
25 scrutiny of case law or comparisons of defendant’s circumstances to  
26 a specific precedent. The opposition focused instead on the People’s  
27 argument that defendant entered into the plea agreement with  
28 knowledge of its terms and received a “justly-bargained plea.” Thus,  
the crux of the opposition’s argument relied on facts known to  
defendant prior to the hearing and relied on the same legal standard  
as defendant’s moving papers.

Defendant was given the opportunity to read and respond to these  
arguments during the hearing on his motion to withdraw his plea.  
After reviewing the opposition, defendant did not point to any  
specific legal or factual issue that he needed additional time to  
adequately address. The trial court engaged in extensive discussion  
with him concerning the claimed reasons for his motion, including  
his arguments that he did not enter into the plea agreement with full  
knowledge of its terms. Defendant articulated the details of his  
complaints on the record, and after full consideration of the  
arguments, the trial court denied his motion to withdraw.

Defendant has failed to establish that the trial court’s denial of a  
continuance was so arbitrary as to deny him due process, or that a  
continuance would have been useful. (See People v. Doolin, supra,  
45 Cal.4th at p. 450; People v. Beeler, supra, 9 Cal.4th at p. 1003.)  
Acting as his own counsel, the record demonstrates defendant was  
able to adequately respond to the arguments raised in the opposition,  
as well as to engage with the trial court as to the validity of his  
claimed bases for the withdrawal of his plea. In determining

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1 defendant was adequately prepared to respond to the opposition, the  
2 trial court did not exceed the bounds of reason.

3 (ECF No. 18-14 at 5-6.)

#### 4 Governing Standards

5 Habeas corpus relief is available only if the petitioner’s conviction or sentence is “in  
6 violation of the Constitution or laws or treaties of the United States.” 28 U.S.C. § 2254(a). See  
7 Estelle v. McGuire, 502 U.S. 62, 67-68 (1991). “The matter of continuance is traditionally within  
8 the discretion of the trial judge, and it is not every denial of a request for more time that violates  
9 due process even if the party fails to offer evidence or is compelled to defend without counsel.”  
10 Ungar v. Sarafite, 376 U.S. 575, 589 (1964). In other words, the denial of a motion for a  
11 continuance is generally a matter of state law and only violates due process when it is arbitrary.  
12 Id.; U.S. v. Rivera-Guerrero, 426 F.3d 1130, 1138 (9th Cir. 2005).

13 “There are no mechanical tests for deciding when a denial of a continuance is so arbitrary  
14 as to violate due process.” Ungar, 376 at 589. Instead, a court must look at the circumstances of  
15 each individual case with special consideration given to “the reasons presented to the trial judge  
16 at the time the [continuance was] request is denied.” Id. at 589-90 (citations omitted). The Ninth  
17 Circuit identified four factors to help guide the analysis: (1) petitioner’s diligence in preparing  
18 his case; (2) the likelihood that a continuance would serve a useful purpose; (3) whether a  
19 continuance would inconvenience the court, the parties, or the witnesses; and (4) whether  
20 petitioner was prejudiced by the denial. Rivera-Guerrero, 426 F.3d at 1138-43. Of the four  
21 factors, only the fourth is dispositive. Id. at 1139. Because trial courts have broad discretion  
22 when considering whether to continue a trial, the denial of a motion for more time violates due  
23 process only in rare cases. Ungar, 376 U.S. at 589; see also Morris v. Slappy, 461 U.S. 1, 11-12  
24 (1983) (only unreasonable and arbitrary “‘insistence upon expeditiousness in the face of a  
25 justifiable request for delay’ violates the right to the assistance of counsel”).

#### 26 Analysis

27 Here, petitioner’s claim that the trial court abused its discretion in denying petitioner’s  
28 request for a continuance of the hearing on his motion to withdraw his guilty plea is a state law

1 issue. Because the claim is solely based on an error under California law, it fails to state a basis  
2 for federal habeas relief. See Langford v. Day, 110 F.3d 1380, 1389 (9th Cir. 1996) (petitioner  
3 “may not[ ] transform a state-law issue into a federal one merely by asserting a violation of due  
4 process”), cert. denied, 522 U.S. 881 (1997); Laborin v. Clark, 2010 WL 3835778, at \*4 (E.D.  
5 Cal. Sept. 30, 2010) (no cognizable federal habeas claim where petitioner claimed state court’s  
6 denial of continuance motion constituted an abuse of discretion).

7 Therefore, the question is whether the trial court’s denial of petitioner’s motion for a  
8 continuance was so arbitrary as to violate petitioner’s due process rights.

9 The undersigned finds that the state appellate court reasonably determined that the trial  
10 court’s decision was not so arbitrary as to violate due process. The trial court considered the  
11 basis for petitioner’s requested continuance and found it lacking. As the appellate court found,  
12 the trial court gave petitioner time to review the seven page opposition, noting that all the  
13 prosecution did was to “go through [petitioner’s] plea,” simply arguing that there was no defect in  
14 the plea. (ECF No. 18-10 at 5.) Review of the prosecution’s opposition confirms that the  
15 opposition did not have any detailed scrutiny of case law or comparisons of defendant’s  
16 circumstances to a specific precedent. (ECF No. 18-8 at 279-85 (Clerk’s Transcript (“CT”) 1969-  
17 76).) In addition, petitioner argued his motion in detail, engaging the trial judge on multiple  
18 occasions, and demonstrating he was prepared to argue his motion and address the prosecution’s  
19 opposing arguments. (ECF No. 18-10, *passim* (2RT).) On this record, the undersigned cannot  
20 find that petitioner suffered actual prejudice from the denial of the continuance. See Gallego v.  
21 McDaniel, 124 F.3d 1065, 1072 (9th Cir. 1997) (“In the absence of a showing of actual prejudice  
22 to [the petitioner’s] defense resulting from the trial court’s refusal to grant a continuance, it  
23 cannot be said that the district court erred by denying relief on this ground.”).

24 Finally, petitioner’s reliance on Faretta is unavailing. Under the Sixth Amendment, a  
25 defendant in a state court criminal proceeding is entitled to assert the right to self-representation.  
26 Faretta v. California, 422 U.S. 806, 821, 832 (1975). Although Faretta entitles a criminal  
27 defendant the right to represent himself at trial, “Faretta says nothing about any specific legal aid  
28 that the State owes a pro se criminal defendant.” Kane v. Espitia, 546 U.S. 9, 10 (2005) (per

1 curiam). Moreover, there is no clearly established constitutional right to effective assistance of  
2 standby counsel or to receive correct advice from standby counsel in the plea bargaining process.  
3 See Locks v. Sumner, 703 F.2d 403, 407-08 (9th Cir. 1983) (holding that the right to advisory  
4 counsel is “not of constitutional dimension”); United States v. Kienenberger, 13 F.3d 1354, 1356  
5 (9th Cir. 1994) (holding that a district court has discretion in deciding whether to appoint standby  
6 counsel). Therefore, petitioner’s reliance on Faretta is unavailing.

7 Accordingly, petitioner’s first claim should be denied.

8 B. Denial of Motion to Withdraw Plea

9 The Parties’ Arguments

10 Petitioner argues that the trial court committed error by denying the motion to withdraw  
11 the plea because petitioner was rushed to accept the plea because standby counsel was no longer  
12 assigned, and the next court date was January 11, 2021. Further, at the January 8, 2021 hearing,  
13 petitioner was not aware the charges would be amended to include 245(D)(3), and he was not  
14 prepared for trial because he did not have all the necessary discovery and thought he would have  
15 to go to trial without an adequately prepared gang expert. (ECF No. 2 at 27.) For all those  
16 reasons, petitioner accepted the plea under duress, impulsively, and contrary to his right and  
17 desire to have a jury trial. Petitioner argues his standby counsel (also his second cousin by  
18 blood), was concerned at the unexpected court hearing, which “coerced” petitioner to take the  
19 plea because standby counsel had been excused on December 14, 2020. (ECF No. 2 at 33.)  
20 Petitioner contends he was confused and believed he pleaded no contest to the assaults charged in  
21 counts 4, 5 and 6, assault with a semi-automatic rifle on an officer under P.C. 245(D)(2). (ECF  
22 No. 2 at 35.) Further, he acted under duress because he knew he would be without funds to retain  
23 a gang expert and without a complete review of all necessary discovery. Finally, petitioner  
24 argues that his plea was not voluntary and intelligent because his standby counsel was working  
25 with the prosecution and intimidated petitioner with “losing trial and getting life in prison.” (ECF  
26 No. 2 at 36.) Petitioner objects that this case is not a matter of “buyer’s remorse,” but that he did  
27 not understand the terms of the plea deal as required under Faretta and accepted the plea deal  
28 under duress. (ECF No. 2 at 37.)

1 Respondent argues that to the extent petitioner renews the claim raised on appeal, it fails  
2 because, in addition to the state appellate court’s discussion,

3 reasonable jurists at finality could find it not apparent, and  
4 fairminded jurists reading only Supreme Court holdings on the  
5 Opinion’s date could doubt: (a) that one lacked legally-required  
6 knowledge of consequences of admitted charges when one  
7 personally agreed in writing to admit specific charges to get a twenty-  
8 one-year term (ECF 18-8 at 144) and after one’s plea to precisely  
9 those charges one received precisely that term (*id.* at 296-98; ECF  
10 18-9 at 4-6); (b) that any alleged nondisclosure of evidence to  
11 undercut prosecution efforts to prove guilt in a trial constitutionally  
12 mattered, when one agreed instead to be convicted without a trial  
13 (ECF 18-9 at 8); (c) that a right constitutionally exists to represent  
14 that one’s plea is free and voluntary, not a result of undisclosed  
15 promises or threats (*id.* at 9), but later change one’s mind and invoke  
16 judicial process to pursue a factual premise that the contrary is true;  
17 or (d) that on the list of things that must not have “induced” a plea,  
18 alleged impulsiveness appears.

19 (ECF No. 19 at 8-9) (all footnotes omitted.)

20 In reply, petitioner claims that his plea was not voluntary and intelligent because he had  
21 not received outstanding and exculpatory discovery, including interviews with gang officers, in  
22 violation of Brady v. Maryland, 373 U.S. 83 (1963). (ECF No. 24 at 6-11.) Petitioner claims he  
23 was left out of plea negotiations which coerced the plea in a rapid resolution and deprived him of  
24 the knowledge of amended charges that resulted in a 21 year sentence instead of the 18 year  
25 sentence it should have been. (ECF No. 24 at 18.)

### 26 State Court Decision

27 The last reasoned rejection of petitioner’s claim is the decision of the California Court of  
28 Appeal on petitioner’s direct appeal. The state court addressed this claim as follows:

Defendant argues the trial court committed error when it denied  
defendant’s motion to withdraw his no contest plea because he has  
shown by clear and convincing evidence there was good cause to  
withdraw the plea. Defendant asserts he was unaware that the  
indictment would be amended with charges of assault on a peace  
officer with a machine gun, as opposed to assault on a peace officer  
with a semiautomatic rifle. As the original charges were lesser than  
the amended charges, defendant argues that if he had been aware of  
the proposed amendment, he never would have accepted the deal.

Section 1018 provides that, at any time before judgment, the court  
may, “for a good cause shown, permit the plea of guilty to be  
withdrawn and a plea of not guilty substituted. . . . This section shall

1 be liberally construed to [a]ffect these objects and to promote  
2 justice.” Although the statute directs liberal interpretation, case law  
3 implementing section 1018 establishes a rigid standard for  
4 overturning a guilty plea. Courts have stated that “ ‘pleas resulting  
5 from a bargain should not be set aside lightly and finality of  
6 proceedings should be encouraged.’ ” (People v. Weaver (2004) 118  
7 Cal.App.4th 131, 146.) “A plea may not be withdrawn simply  
8 because the defendant has changed his [or her] mind.” (People v.  
9 Nance (1991) 1 Cal.App.4th 1453, 1456.)

6 A defendant seeking to withdraw his plea has the “burden to produce  
7 evidence of good cause by clear and convincing evidence.” (People  
8 v. Wharton (1991) 53 Cal.3d 522, 585.) To demonstrate good cause,  
9 a defendant must show that his plea was not the product of his free  
10 judgment. “Mistake, ignorance or any other factor overcoming the  
11 exercise of free judgment is good cause for withdrawal of a guilty  
12 plea.” (People v. Cruz (1974) 12 Cal.3d 562, 566.) “The defendant  
13 must also show prejudice in that he or she would not have accepted  
14 the plea bargain had it not been for the mistake. (In re Moser (1993)  
15 6 Cal.4th 342, 352.)” (People v. Breslin (2012) 205 Cal.App.4th  
16 1409, 1416.) We review a claim of an erroneous denial of a motion  
17 to withdraw a plea for abuse of discretion. (People v. Holmes (2004)  
18 32 Cal.4th 432, 442-443.)

13 As detailed above, at the change of plea hearing, the trial court  
14 walked defendant step-by-step through the charges he was pleading  
15 no contest to, including the fact that the charging document would be  
16 amended to reflect violations of “[s]ection 245[, subdivision (d)](3),  
17 which is assault with a machine gun on a police officer.” The trial  
18 court explained that defendant’s maximum exposure for what he was  
19 pleading to was 21 years eight months, but that defendant would be  
20 sentenced to 21 years in prison. The trial court specifically inquired  
21 whether defendant understood these amended charges and the total  
22 prison sentence. Defendant responded with an unequivocal “yes.”

19 At the hearing on the motion to withdraw his plea, the trial court  
20 listened to and questioned defendant as he argued that the plea was  
21 not knowing, intelligent, and voluntary. The trial court noted that the  
22 plea form itself listed that defendant was pleading no contest to  
23 “Assault w/machine gun on officer” instead of simply listing the  
24 applicable Penal Code section. The trial court indicated it had done  
25 this so defendant would understand exactly what he was pleading to,  
26 as well as the minimum exposure and maximum exposure.

23 The trial court also noted that it had witnessed defendant over  
24 numerous appearances and concluded: “[Y]ou’re probably one of  
25 the smartest defendants that I have ever witnessed in 22 years of  
26 practicing criminal law. So, it doesn’t work for you to plead  
27 ignorance at this point. Because I have stacks of very articulate  
28 motions from you. And for you to say that now, all of a sudden, you  
don’t understand what’s going on, belies the fact that you’re a very  
good attorney.” The trial court further addressed defendant’s  
concerns regarding discovery issues and his complaints about his  
gang expert.

1 The trial court did not engage in an abuse of discretion in denying  
2 defendant's motion to withdraw his no contest plea. The trial court  
3 made numerous efforts to ensure that defendant's plea was knowing,  
4 intelligent, and voluntary, including by spelling out the charges on  
5 the plea form, walking defendant through the charges and sentence  
6 at the plea hearing, and addressing all of defendant's concerns at the  
7 hearing on defendant's motion to withdraw his plea. We are not  
8 persuaded by defendant's contention he acted in duress because he  
9 thought he would be required to go to trial without the benefit of all  
10 discovery, as the trial court confirmed with the People that defendant  
11 had indeed received outstanding discovery documents. Further, the  
12 discovery was related to the gang activity charges, which were all  
13 dismissed as part of defendant's plea. Defendant argued that funding  
14 for his gang expert had been denied, but the trial court pointed out  
15 that the trial court had already authorized "many tens of thousands  
16 of dollars" for this expense. While defendant may have changed his  
17 mind subsequent to entering his plea, such circumstances do not  
18 provide the good cause necessary for withdrawal.

19 (ECF No. 18-14 at 6-8.)

20 Analysis and Applicable Law

21 Again, whether the state trial court properly exercised its discretion in denying petitioner's  
22 motion to withdraw his guilty plea concerns an issue of state law that is not cognizable on federal  
23 habeas review. Langford, 110 F.3d at 1389. A state prisoner is entitled to habeas relief only if he  
24 is being held in custody in violation of the constitution, laws or treaties of the United States  
25 Constitution. 28 U.S.C. § 2254(a). The California appellate court analyzed this claim solely  
26 under an abuse of discretion analysis pursuant to California law because petitioner presented a  
27 violation of state law and did not allege a constitutional violation. (ECF No. 18-14 at 6-8.) Any  
28 alleged error is one of state law which is not cognizable in a federal habeas petition. Plummer v.  
McDaniel, 2009 WL 10677901, at \*4-5 (D. Nev. Mar. 16, 2009), aff'd, 444 F. App'x 177 (9th  
Cir. 2011).

Moreover, "a guilty plea represents a break in the chain of events which has preceded it in  
the criminal process. When a criminal defendant has solemnly admitted in open court that he is  
in fact guilty of the offense with which he is charged, he may not thereafter raise independent  
claims relating to the deprivation of constitutional rights that occurred prior to the entry of the  
guilty plea. He may only attack the voluntary and intelligent character of the guilty plea." Tollett  
v. Henderson, 411 U.S. 258, 267 (1973). Petitioner makes various claims concerning his inability

1 to obtain discovery prior to the entry of his plea. In addition to failing to show a violation of  
2 clearly established federal law, under Tollett, such claims are not cognizable on federal habeas  
3 review. See Ortberg v. Moody, 961 F.2d 135, 137-38 (9th Cir.), cert. denied, 506 U.S. 878  
4 (1992) (holding that claims alleging constitutional violations that occurred prior to the entry of a  
5 plea were not cognizable under Tollett).

6 In any event, the record establishes that the trial court's denial of the motion to withdraw  
7 the guilty plea did not violate petitioner's federal constitutional rights. It is clearly established  
8 federal law that a guilty plea must be knowing, intelligent and voluntary. Brady v. United States,  
9 397 U.S. 742, 748 (1970); Boykin v. Alabama, 395 U.S. 238, 242 (1969). The record must  
10 reflect that a criminal defendant pleading guilty understands, and is voluntarily waiving, his rights  
11 to the privilege against compulsory self-incrimination, to trial by jury and to confront one's  
12 accusers. Boykin, 395 U.S. at 243. However, "beyond these essentials, the Constitution 'does  
13 not impose strict requirements on the mechanics of plea proceedings.'" Loftis v. Almager, 704  
14 F.3d 645, 648 (9th Cir. 2012) (quoting United States v. Escamilla-Rojas, 640 F.3d 1055, 1062  
15 (9th Cir. 2011), cert. denied, 133 S. Ct. 101 (2012)). See also Wilkins v. Erickson, 505 F.2d 761,  
16 763 (9th Cir. 1974) (specific articulation of the Boykin rights "is not the sine qua non of a valid  
17 guilty plea."). Rather, if the record demonstrates that a guilty plea is knowing and voluntary, "no  
18 particular ritual or showing on the record is required." United States v. McWilliams, 730 F.2d  
19 1218, 1223 (9th Cir. 1984). The long-standing test for determining the validity of a guilty plea is  
20 "whether the plea represents a voluntary and intelligent choice among the alternative courses of  
21 action open to the defendant." Parke v. Raley, 506 U.S. 20, 29 (quoting North Carolina v.  
22 Alford, 400 U.S. 25, 31 (1970)). "The voluntariness of [a petitioner's] guilty plea can be  
23 determined only by considering all of the relevant circumstances surrounding it." Brady, 397  
24 F.2d at 749.

25 In Blackledge v. Allison, 431 U.S. 63 (1977), the Supreme Court addressed the  
26 presumption of verity to be given the record of plea proceedings when the plea is subsequently  
27 subject to a collateral challenge. While noting that the defendant's representations at the time of  
28 his guilty plea are not "invariably insurmountable" when challenging the voluntariness of his



1 plea, the court stated that, nonetheless, the defendant's representations, as well as any findings  
2 made by the judge accepting the plea, "constitute a formidable barrier in any subsequent collateral  
3 proceedings" and that "[s]olemn declarations in open court carry a strong presumption of verity."  
4 Blackledge, 431 U.S. at 74.

5 "[I]t is the policy of the law to hold litigants to their assurances" at a plea colloquy.  
6 United States v. Marrero-Rivera, 124 F.3d 342, 349 (1st Cir. 1997) (citations, internal quotation  
7 marks, and alteration omitted). A petitioner "should not be heard to controvert his Rule 11  
8 statements in a subsequent § 2255 motion unless he offers a valid reason why he should be  
9 permitted to depart from the apparent truth of his earlier statement[s]." United States v. Butt, 731  
10 F.2d 75, 80 (1st Cir. 1984) (quoting Crawford v. United States, 519 F.2d 347 (4th Cir. 1975)).  
11 "[I]n the absence of extraordinary circumstances, the truth of sworn statements made during a  
12 Rule 11 colloquy is conclusively established." United States v. Lemaster, 403 F.3d 216, 221 (4th  
13 Cir. 2005) (quoting United States v. Bowman, 348 F.3d 408, 417 (4th Cir. 2003).)

14 Here, the state court record includes petitioner's signed "Plea Form, With Explanations  
15 and Waiver of Rights -- Felony" (hereafter "waiver"). (ECF No. 18-8 at 144-50 (CT 1832-40).)  
16 The waiver states that petitioner is pleading no contest to three counts of "assault w/ machine gun  
17 on officer," with a minimum of 6 years and a maximum of 12 years, in violation of California  
18 Penal Code § 12022(a)(1) – principle armed. (ECF No. 18-8 at 144.) The waiver further states  
19 that petitioner faced a maximum of 21 years, 8 months, but will be sentenced to 21 years in state  
20 prison. (ECF No. 18-8 at 144.) The waiver provides that petitioner is also pleading no contest to  
21 one count of discharge of firearm from vehicle (count 9), felony evading VC 2600.2 (count 10),  
22 and prohibited person w/ firearm (count 16)." (ECF No. 18-8 at 144.) Petitioner acknowledged  
23 that he had discussed the negotiated plea with his attorney, that his attorney had answered all of  
24 his questions, and that he and his attorney had discussed possible defenses and motions. (ECF  
25 No. 18-8 at 148.)

26 Petitioner also waived his Constitutional rights to a jury trial and court trial, the right to  
27 confront and cross-examine witnesses, the right to remain silent and not to incriminate himself,  
28 and the right to produce evidence and to present a defense, including the right to testify on his

1 own behalf. (ECF No. 18-8 at 147, 148.) He marked that he understood that he was, “in fact,  
2 incriminating [himself] with his plea.” (Id.) Petitioner agreed that no one had made any threats;  
3 used any force against him, his family, or loved ones; or made any promises to him, except as  
4 provided in the waiver form, to induce him to enter his plea and that he was entering the plea  
5 voluntarily and of his own free will. (ECF No. 18-8 at 148.) Petitioner also had notice of the  
6 nature of the charges against him, and the grand jury transcript provided the factual basis for the  
7 plea. (ECF Nos. 18-1 at 36-124 (CT 1-89); 18-9 at 5-6; 10-11.) See Marshall v. Lonberger, 459  
8 U.S. 422, 436 (1983) (in order for a plea to be voluntary, an accused must receive notice of the  
9 nature of the charge against him, “the first and most universally recognized requirement of due  
10 process”) (quoting Smith v. O’Grady, 312 U.S. 329, 334 (1941)). Also contained in the waiver  
11 form is petitioner’s counsel’s declaration that counsel had read and explained the waiver form to  
12 petitioner and that the attorney concurred in petitioner’s decision to plead no contest, joined in the  
13 waiver, and stipulated that there is a factual basis for the plea. (ECF No. 18-8 at 149.)

14 On January 8, 2021, in open court during the change of plea hearing, the trial judge  
15 reappointed standby counsel for petitioner. (ECF No. 18-9 at 4.) Petitioner was advised by the  
16 trial judge that he anticipated Counts 1 through 3, attempted murder of a police officer, would be  
17 amended to reflect a violation of California Penal Code Section 245(D)(3), which is “assault with  
18 a machine gun on a police officer,” and that although the maximum exposure for the six counts  
19 now charged is 21 years 8 months, petitioner would be sentenced to 21 years because count 16  
20 would run concurrently. (ECF No. 18-9 at 5.) Petitioner responded that he understood. The trial  
21 judge also stated that all of the remaining charges and enhancements would be dismissed in light  
22 of petitioner’s plea, and that the gang allegations would be dismissed “with prejudice,” meaning  
23 they “cannot be re-filed.” (ECF No. 18-9 at 5-6.)

24 Subsequently, the trial judge asked petitioner whether he waived his right to a court trial,  
25 jury trial, his right to see, hear and confront the witnesses called against petitioner, his right to  
26 have the court issue subpoenas on petitioner’s behalf to make his witnesses and evidence be  
27 brought to court for his defense, his right to testify and present evidence, and his right to remain  
28 silent, and petitioner responded affirmatively to all, confirming his waiver of constitutional rights.

1 (ECF No. 18-9 at 7-9.) The trial judge then questioned petitioner as to whether he was freely and  
2 voluntarily pleading guilty, in the following plea colloquy:

3 The Court: Has anyone made you any promises to get you to enter  
4 into this deal other than what we have discussed today on the record?

5 Petitioner: No.

6 The Court: Has anyone threatened you in any way to get you to enter  
7 into this deal?

8 Petitioner: No.

9 The Court: Are you entering into this deal freely and voluntarily?

10 Petitioner: Yes, I am.

11 The Court: Are you currently under the influence of alcohol,  
12 narcotics, marijuana, medication or any other substance that is  
13 affecting your decision-making ability in a negative way?

14 Petitioner: Not one bit, sir.

15 The Court: Are you supposed to be taking any medication that you're  
16 not taking and that is affecting your decision-making ability?

17 Petitioner: Not at all.

18 The Court: Do you feel you have had enough time to talk to [standby  
19 counsel] about your rights, the consequences of your plea, and any  
20 possible defenses?

21 Petitioner: I think so. Yes.

22 (ECF No. 18-9 at 9-10.) All parties stipulated to a factual basis using the grand jury testimony  
23 (CT 128-702) as the basis of the plea and waived full reading of the amended indictment. (ECF  
24 No. 18-9 at 10.) Petitioner pled no contest to the amended counts 1, 2 and 3, and no contest to  
25 counts 9, 10 and 16. (ECF No. 18-9 at 12.) The trial judge found that petitioner was fully  
26 informed of and understood his constitutional rights, that he made a knowing, intelligent, free and  
27 voluntary waiver of those rights, and that there was a factual basis for the plea, accepted  
28 petitioner's plea and found petitioner guilty. (ECF No. 18-9 at 13.)

The trial judge acknowledged receipt of petitioner's signed plea form and waiver of his  
felony rights. At the judge's request, petitioner confirmed that he had signed and initialed the

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1 waiver of rights form, and the trial judge incorporated the written plea form into petitioner's  
2 waiver of rights in open court. (ECF No. 18-9 at 13-14.)

3 There is nothing in the record before this court to overcome the presumption that  
4 petitioner pled guilty voluntarily and intelligently. Petitioner's claim that he was unaware of an  
5 increase in prison time based on the amendment of counts one through three to reflect assault on a  
6 peace officer with a machine gun rather than attempted murder, or that he was confused about the  
7 amount of time he would serve is belied by the record. Indeed, his argument that he thought he  
8 was pleading to the assault with a semiautomatic weapon in counts 4, 5, and 6 is belied by the  
9 express writing on the waiver form which does not include such counts. (ECF No. 18-8 at 144.)  
10 While the written plea form does not reference 245(D)(3), neither does it reference 245(D)(2).

11 (Id.)

12 Petitioner informed the court in writing and orally that he understood his punishment and  
13 the consequences of his plea. The waiver of rights form, which petitioner initialed and signed,  
14 fully informed him about these matters. Specifically, petitioner was informed and acknowledged  
15 that his plea included the amended language referencing a machine gun and confirmed the 21  
16 year 8 month maximum sentence he faced, but that he would be sentenced to 21 years, and he  
17 was so sentenced. (ECF No. 18-10 at 19.)

18 On March 15, 2021, at the hearing on his motion to withdraw his plea, petitioner claimed  
19 that he was "just ignorant of the 245(D)(3)," and "didn't understand that it was greater than the  
20 lesser included offense, which was 5, 7 and 9, which should have left [petitioner] at 18 [years]."  
21 (ECF No. 18-10 at 12.) Petitioner claimed that had he known it was greater than the lesser  
22 included offense, he would not have signed off on it. Petitioner objected that the plea form did  
23 not state 245(D)(3), it only stated "assault with machine gun on officers 6, 12 years." (ECF No.  
24 18-10 at 12.) The trial judge responded that he did not put 245(D)(3) on the waiver form because  
25 he put in "assault with a machine gun on an officer" to make it more clear to petitioner, stating "I  
26 don't see how that could be any more clear." (Id. at 13.) The trial judge then reminded petitioner  
27 that during the change of plea hearing, the prosecution noted the violation of Penal Code Section  
28 245(D)(3), and the judge asked petitioner three separate times "what was his plea to the three

1 amended counts for violation of Penal Code Section 245(D)(3), assault with machine gun on an  
2 officer alleged to have occurred on or about June 25th of 2017?” and petitioner pled no contest to  
3 each. (ECF No. 18-10 at 13-14.) Petitioner objected that he did not understand because he  
4 signed the plea form before the judge read it and petitioner did not know the People were going to  
5 amend the charges but if he had known, he would not have taken the deal. When the judge  
6 explained that the charges were amended to conform with the plea deal, as usual, and petitioner  
7 continued to object that he did not understand, the judge asked petitioner:

8           The Court: So you’re telling me, you thought you had to come in  
9           here and plead guilty to the sheet, that you had to admit to  
          everything?

10          Petitioner: No. I did not.

11          The Court: Okay.

12          Petitioner: I did not know it was going to be amended, sir, Your  
13          Honor.

14          The Court: How did you think you were going to get to 21 years at  
15          halftime? Again, this is now your – you’re way smarter than that,  
          Mr. Rodriguez.

16 (ECF No. 18-10 at 14.) After petitioner again stated he did not understand the deal, and claimed  
17 he wasn’t involved in the plea negotiations at all, the judge interrupted petitioner and reminded  
18 him that as chief counsel, petitioner signed off on the deal both when the judge took the plea and  
19 when petitioner signed the plea form. (ECF No. 18-10 at 15.) Petitioner then objected that the  
20 gang allegations were dismissed in the interest of justice not with prejudice, as promised. The  
21 judge then stated he would order on the record that the gang allegations are dismissed with  
22 prejudice.

23           After briefly going off the record, the prosecution added that the case was to be resolved  
24 on December 14th, but petitioner indicated to standby counsel that petitioner “wanted a better  
25 deal. And the 245(D)(3) was always contemplated as the assault charge that the plea would be  
26 taken to.” (ECF No. 18-10 at 15.)<sup>4</sup> The trial judge then denied petitioner’s motion to withdraw

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27 <sup>4</sup> The record confirms that the case had been set for change of plea on December 14, 2020, which  
28 did not occur; instead, the currently set dates for motions in limine and trial were rescheduled,

1 the plea, finding petitioner “made a knowing, voluntary and intelligent waiver of his rights. Fully  
2 understood what was happening when he entered his pleas,” which was “borne out by the  
3 transcript, by the plea form, and by [the judge’s] own observation of [petitioner] when [the judge]  
4 took the plea.” (ECF No. 18-10 at 16.).

5 The undersigned finds that petitioner’s arguments are insufficient to overcome the strong  
6 presumption that petitioner’s guilty plea was intelligent and voluntary. As found by the state  
7 appellate court, the record does not support petitioner’s claims that he was rushed into accepting  
8 the plea deal under duress because he thought he would be forced to go to trial without the benefit  
9 of all discovery. Moreover, petitioner’s subsequent objection based on his alleged failure to  
10 understand he would have to plead guilty to 245(D)(3) is disingenuous at best, particularly in  
11 light of the written plea form, as well as the plea colloquy in open court. Such records confirm  
12 that petitioner informed the trial court that he believed a guilty plea was in his best interests, that  
13 he understood what he was pleading to, and that he was aware of the consequences of his plea.

14 Also, during the hearing on his motion to withdraw his plea, petitioner acknowledged that  
15 he faced potential multiple life sentences if he chose not to plead guilty. (ECF No. 18-10 at 12.)  
16 As the judge explained, petitioner pled to the 245(D)(3) “[s]o [petitioner] can get a 50 percent  
17 case and not spend life in prison.” (*Id.*) Given the myriad charges petitioner faced if he chose to  
18 go to trial, as well as the potential for serving life in prison, the undersigned finds that petitioner’s  
19 guilty plea resulting in a prison sentence of 21 years was a voluntary and intelligent choice.  
20 Parke, 506 U.S. at 29.

21 After a careful review of the record, this court does not find that the trial judge violated  
22 petitioner’s constitutional rights by denying petitioner’s motion to withdraw his plea. This court  
23 agrees with the state appellate court that petitioner understood the ramifications of his plea but  
24 later changed his mind. His arguments concerning discovery of gang activity related charges are  
25 unavailing because all gang allegations were dismissed with prejudice as part of the plea bargain.  
26 Petitioner has failed to overcome the strong presumption that his guilty plea is valid and that his

27 \_\_\_\_\_  
28 standby counsel was relieved, and “[n]o further negotiation and the court will proceed with the  
trial.” (ECF No. 18-7 at 247 (CT 1662).)

1 representations on the waiver form and in open court are true. Accordingly, he is not entitled to  
2 relief on his claim that the trial court violated his federal constitutional rights in denying his  
3 motion to set aside his plea.

#### 4 VI. Pending Motion

5 Petitioner seeks an order allowing him to conduct discovery into “suppressed material  
6 evidence that impeaches gang cops/experts for the prosecution,” and to expand the record to  
7 inquire into alleged lies the prosecution made to the trial court and to “cure the prosecution’s  
8 fabricated gang allegations.” (ECF No. 3 at 2-3, 5; see also ECF No. 2 at 38-47.)

9 Although a habeas proceeding is a civil suit, a habeas petitioner “does not enjoy the  
10 presumptive entitlement to discovery of a traditional civil litigant.” Rich v. Calderon, 187 F.3d  
11 1064, 1068 (9th Cir. 1999); Bracy v. Gramley, 520 U.S. 899, 904 (1997) (stating that unlike other  
12 civil litigants, a habeas corpus petitioner is not entitled to broad discovery). A court considering a  
13 habeas corpus petition is ordinarily limited to the state court record. See Cullen v. Pinholster, 563  
14 U.S. 170, 180 (2011) (holding that “review under § 2254(d)(1) is limited to the record that was  
15 before the state court that adjudicated the claim on the merits”). Yet, under Rule 6(a) of the Rules  
16 Governing § 2254 Cases, 28 U.S.C. foll. § 2254, a court may grant a habeas petitioner’s  
17 discovery request upon a showing of good cause. Bracy, 520 U.S. at 904. Good cause exists  
18 “where specific allegations before the court show reason to believe that the petitioner may, if the  
19 facts are fully developed, be able to demonstrate that he is entitled to relief.” Id. at 908-09.

20 The Rules Governing Section 2254 Cases in the United States District Courts, in  
21 particular Rules 6, 7, and 8, govern a petitioner’s ability to conduct discovery and expand the  
22 record, as well as a court’s assessment of whether to hold an evidentiary hearing. Rules  
23 Governing § 2254 Cases, Rules 7, 8, 9, 28 U.S.C. foll. § 2254. These Rules are directed at  
24 discovery and expansion of the record regarding factual evidence, and not regarding legal  
25 authority or guidance. See Bracy, 520 U.S. at 904 (citing Rule 6(a), Rules Governing Section  
26 2254 Cases, which provides that a court may authorize a petitioner to conduct discovery for good  
27 cause and stating that good cause exists where “specific allegations before the court show reason  
28 to believe that the petitioner may, if the facts are fully developed, be able to demonstrate that he is

1 entitled to relief.”) (citation and internal quotation marks omitted). However, expansion of the  
2 record and evidentiary hearings under Rules 7 and 8 are only available if the petition is not  
3 dismissed. Id.

4 The undersigned reviewed the record in this matter and determined that petitioner’s  
5 pending motion requesting discovery failed to demonstrate good cause. Petitioner failed to offer  
6 specific allegations showing that, if the facts are fully developed, he would be entitled to relief.  
7 Bracy, 520 U.S. at 904. Indeed, as noted by the state court, the gang allegations were dismissed  
8 with prejudice. Because the undersigned recommends the petition be denied, petitioner’s motion  
9 to expand the record should also be denied. Rules Governing § 2254 Cases, Rule 7, 28 U.S.C.  
10 foll. § 2254.

11 Accordingly, petitioner’s motion should be denied.

## 12 VII. Conclusion

13 For all of the above reasons, the state courts’ denial of petitioner’s claims was not  
14 contrary to, or an unreasonable application of, clearly established Supreme Court authority or  
15 based on an unreasonable application of the facts.

16 Accordingly, IT IS HEREBY RECOMMENDED that:

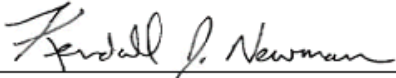
- 17 1. Petitioner’s motion for discovery and to expand the record (ECF No. 3) be denied; and
- 18 2. Petitioner’s application for a writ of habeas corpus be denied.

19 These findings and recommendations are submitted to the United States District Judge  
20 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days  
21 after being served with these findings and recommendations, any party may file written  
22 objections with the court and serve a copy on all parties. Such a document should be captioned  
23 “Objections to Magistrate Judge’s Findings and Recommendations.” If petitioner files objections,  
24 he shall also address whether a certificate of appealability should issue and, if so, why and as to  
25 which issues. A certificate of appealability may issue under 28 U.S.C. § 2253 “only if the  
26 applicant has made a substantial showing of the denial of a constitutional right.” 28 U.S.C.  
27 § 2253(c)(3). Any response to the objections shall be filed and served within fourteen days after  
28 service of the objections. The parties are advised that failure to file objections within the



1 specified time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951  
2 F.2d 1153 (9th Cir. 1991).

3 Dated: May 18, 2023

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6 KENDALL J. NEWMAN  
7 UNITED STATES MAGISTRATE JUDGE

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