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	Telephone: (775) 849-3811		
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ا ء ا	CALIFORNIA CLASS COUNSEL		
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16			
17	UNITED STA	TES DISTRICT COURT	
4.0			
18	NORTHERN DI	STRICT OF CALIFORNIA	
19	CANIEDA	NCICCO DIVICION	
19	SAN FRA	ANCISCO DIVISION	
20	In Re:	Case No. MDL 06-CV-1770 MHP	
21	WELLS FARGO HOME MORTGAGE	STIPULATION AND [PROPOSED]	
	OVERTIME PAY LITIGATION	ORDER ENJOINING CALIFORNIA	
22		CLASS MEMBERS FROM PARTICIPATING IN QUESTIONNAIDE	
23	THIS DOCUMENT RELATES TO	PARTICIPATING IN QUESTIONNAIRE IN STRICKLER CASE	
23	MEVORAH v. WELLS FARGO HOME	IN STRICKLER CASE	
24	MORTGAGE		
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Counsel for Wells Fargo Home Mortgage, a division of Wells Fargo Bank ("WFHM") and California Class Counsel of Wells Fargo's Home Mortgage Consultants ("HMCs"), hereby stipulate to the following:

- 1. Plaintiff Jason Mevorah filed his class-action complaint against WFHM on February 10, 2005 ("California Federal Action"), which was removed to this Court, alleging that WFHM misclassified its HMCs as exempt and, for this reason, did not pay them overtime in alleged violation of both the Fair Labor Standards Act and the California Labor Code.
- 2. On May 15, 2006, Laura Strickler filed an action against WFHM in San Diego Superior court, entitled, *Strickler v. Wells Fargo Bank*, Case No. GIN052537 ("*Strickler Action*"). Strickler is represented by the Initiative Legal Group, LLP. In her Second Amended Complaint, Strickler alleges causes of action for penalties pursuant to the California Labor Code Private Attorneys Act, Labor Code sections 2698, *et seq.*, which overlap with the misclassification-overtime claims in the California Federal Action. In one cause of action, Strickler alleges that WFHM allegedly misclassified its California HMCs as exempt and, therefore, they were not paid overtime in alleged violation of the California Labor Code. Similarly, in another cause of action, Strickler alleges that WFHM, because it allegedly misclassified its HMCs as exempt, violated the Labor Code by providing HMCs with wage statements that did not show the number of hours worked.
- 3. On October 18, 2007, this Court certified a class of HMCs in the California Federal Action with respect to alleged misclassification-overtime issues ("California Class"). It also appointed the law firms of McInerney & Jones and Hoffman & Lazear as class counsel for the California Federal Action ("California Class Counsel").
- 4. On September 5, 2008, the Superior Court in the *Strickler* Action, Judge Michael B. Orfield, presiding, indicated that it would order the proposed questionnaire (the "Questionnaire") to be sent to all California HMCs who have been employed by WFHM from May 15, 2005 to the present, but would stay his order for a period of thirty days to allow both WFHM and California Class Counsel to seek an order from the district court in this action enjoining California class members from responding to the Questionnaire. The Questionnaire is attached hereto as Exhibit A.

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5. Both California Rule of Professional Conduct 2-100 and ABA Model Rule of Professional Conduct 4.2 prohibit a lawyer from communicating with another party who is represented about the "subject of the representation." In this respect, "communicating with the class members after [a] court had ... certified the class" violates these rules. Tedesco v. Mishkin, 629 F. Supp. 1474, 1483 (S.D. N.Y. 1986). See also Resnick v. American Dental Ass'n, 95 F.R.D. 372, 377 (D.C. Ill. 1982) ("After a court has certified a case as a class action ... Defense counsel must observe the rules of ethical conduct in these circumstances and communicate with the opposing parties through their attorney, who is counsel for the class.") (quoting from 2 Newberg, Class Actions § 2730(d), at 1220 (1977)). Thus, sending the Questionnaire to HMCs who are presently represented by counsel with respect to the subject of the representation would violate both California and the ABA codes of professional responsibility. Moreover, California Class Counsel strenuously objects to the Questionnaire being sent to California HMCs as it solicits testimony, without advice of counsel, concerning possible damages in the California Federal Action. California Class Counsel also believes that the Questionnaire will cause confusion within the California Class concerning the subject matter of the California Federal Action.

Federal Action. Pursuant to the All Writs Act, a District Court can enjoin a state-court action "in aid of preserving [the court's] jurisdiction." 28 U.S.C. § 1651. Thus, a court may enjoin absent members of a plaintiff class from prosecuting separate class actions in state court. See Carlough v. Amchem Products, Inc., 10 F. 3d 189, 204 (3rd Cir. 1993) ("it is within the sound discretion of the District Court to enjoin their action in state court"). Likewise, an injunction against "duplicative state suits" may be applied not only to the named plaintiffs, but also to "unnamed class members [who] have the status of parties for many purposes and are bound by the [District Court's] decision whether or not the court otherwise would have had personal jurisdiction over them." In re Bridgestone/Firestone, Inc., Tires Products Liability Litigation, 333 F. 3d 763, 768 (7th Cir. 2003). Finally, a District Court may enjoin state litigation in a Multidistrict Litigation action, where it found that "the need to enjoin conflicting state proceedings arises because the jurisdiction of a multidistrict Court is 'analogous to that of a court in an in rem action or in a school desegregation case, where it

1	is intolerable to have conflicting orders from different courts." In re Baldwin-United Corp. (Single		
2	Premium Deferred Annuities Ins. Litigation), 770 F. 2d 328, 337 (2nd Cir. 1985) (citing 17 C		
3	Wright & A. Miller & E. Cooper, Federal Practice & Procedure § 4225 at 105 n. 8 (Supp. 1985)		
4	This Court thus has jurisdiction over the named and absent members of the California Class.		
5	7. Based on the above, counsel for WFHM and California Class Counsel reques		
6	the Court to issue an order enjoining named and absent class members in the California Federa		
7	Action from responding to the Questionnaire, or responding to any other communication sent by an		
8	attorney or agent of the Initiative Legal Group, LLP, concerning the subject matter of the Californi		
9	Federal Action, without permission of California Class Counsel.		
10			
11	Dated: September 9, 2008		
12	Dated. September 9, 2008		
13	KEVIN J. McINERNEY		
14	KELLY McINERNEY CHARLES A. JONES		
15	MCINERNEY & JONES Class Counsel for the		
16	CALIFORNIA FEDERAL ACTION		
17			
18	Dated: September 9, 2008		
19	Ihim Calm		
20	LINDBERGH PORTER, JR. RICHARD H. RAHM		
21	ALISON S. HIGHTOWER LITTLER MENDELSON		
22	A Professional Corporation Attorneys for Defendant		
23	WELLS FARGO HOME MORTGAGE, a division of WELLS FARGO BANK,		
24	NATIONAL ASSOCIATION		
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ORDER

Based on the attached Stipulation, the Court finds that there is good cause to enjoin the named and absent class members in the action entitled, *Mevorah v. Wells Fargo Home Mortgage* (the "California Federal Action"), from responding to, or in any other way communicating with counsel for plaintiff in the action entitled, *Strickler v. Wells Fargo Bank*, San Diego County Superior Court Case No. GIN052537, concerning, the Questionnaire attached hereto as Exhibit A. The Court further enjoins the named and absent class members in the California Federal Action from communicating with any attorney or agent of the Initiative Legal Group, LLP, concerning the subject matter of the California Federal Action, without the permission of California Class Counsel.

SO ORDERED.

Dated: September 10, 2008

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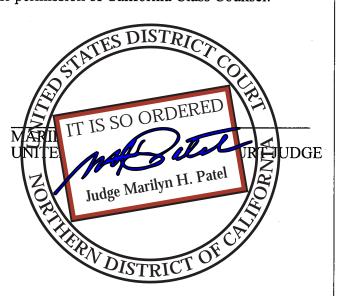


Exhibit A

WELLS FARGO HOME MORTGAGE CONSULTANT SURVEY

STRICKLER V. WELLS FARGO
San Diego Superior Court, Case No. GIN 052537

You are being sent this survey because you are or were a Home Mortgage Consultant with Wells Fargo. Hon. Michael Orfield, the Judge in the lawsuit entitled Strickler v. Wells Fargo, San Diego Superior Court, Case No. GIN 052537, has ordered that this survey be sent to certain Wells Fargo employees to determine if any California Labor Code violations have been committed by Wells Fargo.

- YOU ARE RECEIVING THIS SURVEY BY ORDER OF THE COURT.
- > YOU ARE NOT BEING SUED, NOR ARE YOU SUING WELLS FARGO.
- > YOUR PARTICIPATION IS ENTIRELY VOLUNTARY.
- THE INFORMATION YOU PROVIDE MAY ENTITLE YOU TO PAYMENT IN CONNECTION WITH THIS LAWSUIT AGAINST WELLS FARGO. HOWEVER, THERE IS NO GUARANTEE OF RECOVERY.

If you choose to fill out this Employment Experience Survey, please do so to the best of your recollection. If you find it helpful to consult either your official employment records (pay stubs, W-2 Forms, etc.) or your own personal records (such as a datebook or calendar diary), that is permitted and encouraged. All of the questions pertain to your employment with Wells Fargo.

All information disclosed may be used in the *Strickler v. Wells Fargo* lawsuit to help determine the accuracy of allegations against Wells Fargo. The information disclosed could impact your rights in other lawsuits. Please consult with your attorney if you have any questions about how this may impact your rights in any other lawsuit.



<u>Background Information</u>

1.	Name:
2.	E-mail address:
3.	Mailing Address:
4.	Telephone Number:
	Information About Your Work for Wells Fargo
5.	Do you currently work for Wells Fargo? ☐ YES ☐ NO
6.	Do you, or did you ever, work more than 8 hours per day for Wells Fargo as a Home Mortgage Consultant since May of 2005?
	□ YES □ NO
<i>7</i> .	What is your best estimate of the number of pay periods in which you worked more than 8 hours in a day since May of 2005? (The pay periods at Wells Fargo average 26 pay periods per year)
	(0-78 pay periods)
8.	Do you, or did you ever, work more than 40 hours per week during any given pay period since May of 2005?
	□ YES □ NO
9.	What is your best estimate of the number of pay periods in which you worked more than 40 hours per week since May of 2005?

Page 2 of 3

		(0-78 pay periods)
10.	Did you have to connect to (or "wage statement")?	to the Internet to obtain a copy of your pay stub
	☐ YES	□NO
	a. If yes, did you connect to the Wells Fargo Intranet through a computer other than one provided by Wells Fargo?	
	□ YES	□NO
		<u> </u>
	Signature	Date

Thank you for filling out this Survey! Please return it in the enclosed self-addressed stamped envelope or fax it back to 310-861-9051.

PROOF OF SERVICE

STATE OF CALIFORNIA, COUNTY OF LOS ANGELES

I am employed in the County of Los Angeles. I declare that I am over the age of eighteen (18) and not a party to this action. My business address is: Initiative Legal Group LLP, 1800 Century Park East, Second Floor, Los Angeles, California 90067.

On September 8, 2008, I served the within document(s) described below as:

[PROPOSED] ORDER AUTHORIZING INITIATIVE LEGAL GROUP TO SEND SURVEY TO WELLS FARGO BANK'S EMPLOYEES

on the interested parties in this action by placing true copies thereon enclosed in sealed envelopes address as follows:

Lindbergh Porter, Esq. Richard H. Rahm, Esq. Littler Mendelson A Professional Corporation 650 California Street, 20th Floor San Francisco, California 94108

- (X) MAIL: I deposited such envelope in the mail at Los Angeles, California. The envelopes were mailed with postage thereon fully prepaid.
- () **PERSONAL**: I caused such envelope to be delivered by hand to the individual(s) listed above.
- () **ELECTRONIC SERVICE:** I caused the above-referenced document(s) to be transmitted to the above-named individual(s) at the e-mail address listed above.
- () **OVERNIGHT COURIER**: I caused the above-referenced document(s) to be delivered via overnight courier service (FedEx) to the individuals at the address listed above.
- (X) (STATE) I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

EXECUTED this document on September 8, 2008, at Los Angeles, California.



1 PROOF OF SERVICE Judicial Panel on Multidistrict Litigation 2 Wells Fargo Home Mortgage(OT LITIGATION) 3 Case No. MDL06-1770 4 I am a resident of the State of California, over the age of eighteen years, and not a 5 party to the within action. My business address is 650 California Street, 20th Floor, San Francisco, 6 California 94108.2693. On September 9, 2008, I served the within document(s): 7 STIPULATION AND [PROPOSED] ORDER ENJOINING CALIFORNIA CLASS MEMBERS FROM PARTÍCIPATING IN QUESTIONNAIRE IN 8 STRICKLER CASE POS 9 10 By United States mail. I enclosed the documents in a sealed envelope or package addressed to the persons at the addresses indicated below and (specify one): 11 deposited the sealed envelope with the United States Postal Service, with the postage 12 fully prepaid. placed the envelope for collection and mailing, following our ordinary business practices. 13 I am readily familiar with this firm's practice for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection 14 and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid. 15 By overnight delivery. I enclosed the documents in an envelope or package provided by an 16 overnight delivery carrier (FEDERAL EXPRESS) and addressed to the persons at the addresses listed below. I placed the envelope or package for collection and overnight delivery 17 at an office or a regularly utilized drop box of the overnight delivery carrier. By messenger service. I served the documents by placing them in an envelope or package 18 addressed to the persons at the addresses listed below and providing them to a professional messenger service for service (First Legal Services T(415) 626-3111). 19 By fax transmission. AS APPROVED BY CLERK OF THE PANEL and COURTESY COPY FOR ALL COUNSEL, I faxed the documents to the persons at the fax numbers 20 listed below. No error was reported by the fax machine that I used. A copy of the record of the fax transmission, which I printed out, for our file. 21 X By e-mail or electronic transmission I caused the documents to be sent to the persons at the 22 e-mail addresses listed below. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was 23 unsuccessful. 24 25 26 27

PROOF OF SERVICE

PROOF OF SERVICE

Andrew Frisch, Esq. **MORGAN & MORGAN** 7450 Griffin Road, Suite 230 Davie, Florida 33314 Tel: (954) 318-0268 Fax: (954) 333-3515 E-Mail: afrizhc@forthepeople.com

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1 Hanson, Wade v. Wells Fargo Bank, N.A. 2 **USDC Southern District of Florida** Case No. 08-80182-CV-RYSKAMP/VITUNAC 3 Counsel for Plaintiff, Wade Hanson 4 Jennifer J. Ator, Esq. HANKINS & ATOR, PL 5 371 North Royal Poinciana Blvd. Miami Springs, FL 33166 Tel: (305) 863-8525 6 Fax: (305) 863-8535 7 E-Mail: jja@kankinsator.com 8 9 I declare under penalty of perjury under the laws of the United States that the above is 10 true and correct. 11 Executed on September 9, 2008, at San Francisco, California. 12 13 14 15 Firmwide:86583049.1 051995.1003 16 17 18 19 20 21 22 23 24 25 26

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PROOF OF SERVICE