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6 IN THE UNITED STATES DISTRICT COURT
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8 FOR THE NORTHERN DISTRICT OF CALIFORNIA
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10 SECURITIES AND EXCHANGE
11 COMMISSION,

No. C 83-00711 WHA

12 Plaintiff,

**REQUEST FOR ADDITIONAL
INFORMATION**

13 v.

14 JOSEPH S. AMUNDSEN,

15 Defendant.
16 _____/

17 By **FRIDAY, JANUARY 20, 2012, AT NOON**, both sides shall make submissions explaining
18 the role of FINRA in the controversy and the term FOCUS. Is the Commission also trying to
19 terminate his FINRA and FOCUS work, and, if so, on what basis? Is this true even for filings not
20 signed by him but which include his work? Was FINRA even in existence in 1983? In addition,
21 please state the date Mr. Amundsen regained his CPA license and the date of the first audit report
22 by him covering financial statements filed with the Commission after the consent decree.

23
24 **IT IS SO ORDERED.**

25
26 Dated: January 10, 2011.



27 WILLIAM ALSUP
28 UNITED STATES DISTRICT JUDGE